



# Left Atrial Appendage Function Assessment by Tissue Doppler Transesophageal Echocardiography in Acute Ischemic Stroke Patients

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## Abstract

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**BACKGROUND:** Strokes due to cardioembolic causes are the most severe in ischemic stroke subtypes. Left atrial appendage (LAA) flow patterns and function could be assessed accurately by transesophageal echocardiography (TEE).

**AIM:** The study aimed to present the importance of TEE in the assessment of LAA function and its relation to cardioembolic stroke.

**METHODS:** A group of 120 patients were enrolled in the study and were subdivided into three subgroups, each group included 40 patients. Group A; patients had a stroke with normal sinus rhythm, Group B; patients had a stroke with atrial fibrillation (AF), and Group C; normal control patients. The study participants were evaluated by medical history, physical examination, standard 12-leads electrocardiogram, a TEE detailed evaluation of the LAA, and brain computed tomography and/or magnetic resonance imaging for patients with stroke.

**RESULTS:** Both stroke patients with AF and sinus rhythm had significantly higher LAA mean orifice diameter and higher LAA length than control patients, significantly lower mean LAA medial wall tissue Doppler upward and downward motion velocities than control patients, and that patients with stroke and AF had significantly lower mean LAA pulsed-wave emptying and filling velocities than both patients with stroke and sinus rhythm and control patients. The presence of LAA thrombi, spontaneous echo contrast, and stroke recurrence were higher in stroked AF patients than stroke patients with sinus rhythm.

**CONCLUSION:** Increased LAA orifice diameter, LAA length, and reduced filling and emptying velocities, and upward and downward motion velocities of the medial wall of LAA as detected by TEE are associated with stroke and cardio embolization.

## Background

Stroke is a very important reason for long-term disability and mortality worldwide. Strokes due to cardioembolic causes are the most severe in ischemic stroke subtypes. The stroke incidence has been decreased; however, cardioembolic strokes have increased markedly over the past years. Two-thirds of cardioembolic strokes caused by atrial fibrillation (AF) may be prevented by oral anticoagulation [1], [2], and AF is the major documented reason for cerebral thromboembolism that originate mainly from left atrial appendage (LAA) and difficult to be identified by trans-thoracic echocardiography (TTE) [3].

Trans-esophageal echocardiography (TEE) is better than TTE for diagnosing probable cardiac embolism sources, without considering age factors.

Echocardiographic assessment is especially required in:

- History of cardiac disease, abnormal heart examination, or electrocardiography (ECG) abnormalities
- Multiple cerebral infarctions or multiple other arterial embolization
- Suspicion of diseased aorta
- Suspicion of intra-cardiac shunt
- The cause of stroke is not identified [3].  
Atrial contractility worsens with AF development, and secondarily deterioration of atrial cardiomyopathy occurs through atrial remodeling, which increases the incidence of thromboembolism. This can be the reason for increased the risk of stroke early after AF develops and with a high AF burden [4]. The number of cryptogenic strokes may originate from the left atrium (LA) although AF was not diagnosed yet [5].

During AF LAA contractility and function worsens that could be detected as LAA dilation and Doppler velocities impairment [6].

TEE is a highly specific and sensitive widely used tool for proving and excluding LAA thrombi. For a

comprehensive TEE assessment of the LAA evaluation, the LA, left ventricle, and mitral valve should be also assessed formally, with a comprehensive evaluation of LAA shape, contractile function, and Doppler velocities [7]. Tissue Doppler imaging (TDI) should be used in combination with flow velocities for the LAA evaluation. Impaired LAA contractility might be an independent predictor of LAA thrombus [8].

## Methods

This study included 80 patients admitted to the Critical Care Department and Neurology Department from May 2018 to November 2019.

Patients were subdivided into three main groups:

1. Group A: 40 patients with stroke in normal sinus rhythm
2. Group B: 40 patients with stroke in AF
3. Group C (control group): Forty patients with normal sinus rhythm and without stroke who were admitted and subjected to TEE for investigation for other possible diagnoses (e.g. infective endocarditis, masses, and aortic dissection) with no abnormality detected.

### Inclusion criteria

Adult patients with recent ischemic cerebrovascular stroke within seven days of its occurrence.

### Exclusion criteria

- Carotid artery stenosis more than or equal to 50% diagnosed by carotid duplex
- Esophageal varices or active upper gastrointestinal bleeding or recent esophageal operations
- Hemodynamic instability
- Severe valvular lesions
- Cardiomyopathy.

The following were done for all patients:

- Full medical history, complete physical examination, and laboratory tests
- Assessment of stroke recurrence (new neurological deficit due to vascular insult confirmed by brain imaging)
- Standard 12-leads electrocardiogram
- Brain computed tomography and magnetic resonance imaging for stroke patients
- Bilateral carotid and vertebrobasilar arterial duplex for patients with stroke

## TTE

All patients were subjected to a complete TTE study that was recorded at rest according to the current ASE recommendations by Philips EPIQ-7 machine, with 1.5–4.3 MHz transducer. The study was done at the left lateral position and ECG leads were attached to define the timing of the cardiac cycle. The following views were obtained; parasternal short and long axes, apical 3, 4, 5, and 2 chambers, and all valves were assessed for regurgitation or stenosis. The following parameters were obtained; left ventricular end-diastolic dimension, EF, mitral valve area, left atrial diameter, pulmonary artery systolic pressure, and regional wall motion abnormality if present.

## TEE

Patients were fasting for 4 hours prior to the study. TEE studies were performed using the same Philips EPIQ-7 machine by 7 MHz probe. Standard TEE views were taken for imaging and assessment of LAA, interatrial septum, mitral and aortic valves, ascending and descending aorta, and aortic arch. Agitated intravenous saline was injected for shunt visualization.

A detailed evaluation of the LAA was performed; in the mid esophageal level, the longest LAA dimension was imaged with the rotation of the sector angle degree from 40 to 90. The pulsed Doppler sector was aligned parallel to the longitudinally contracting appendage to abolish the necessity for angle correction for the assessment of LAA flow velocities. To reduce the influence of velocity variability during AF, the LAA parameters were obtained as the median of three successive beats. Velocities of LAA flow were assessed by positioning the volume sample at 0.5 cm from the orifice. LAA emptying flow velocity was assessed at maximal upward flow velocity and LAA filling flow velocity was assessed at maximal downward flow velocity.

TDI of the LAA wall motion velocities were measured by adjusting the sample volumes at the medial and lateral walls. Upward velocity represents the highest LAA wall motion velocity moving toward the LAA orifice, while downward velocity represents the highest LAA wall motion velocity moving away from the LAA orifice. The LAA orifice was delineated by a line connecting the aortic valve annulus adjacent to the left coronary artery with the lateral edge of the left superior pulmonary vein.

LAA depth was measured from the LAA orifice to its tip. Ascending aorta and aortic arch atherosclerotic plaques were measured when plaques measure 4mm or more this indicates significant atherosclerotic aorta.

### Statistical analysis

Data statistics were mentioned in terms of range, mean  $\pm$  standard deviation (SD), the median

for quantitative variables, frequencies (cases number, and relative frequencies (percentages for categorical variables). Student t-test for non-dependent samples was used to compare quantitative variables between studied groups when normally distributed while Mann Whitney U test was used when not normally distributed. Chi-square ( $\chi^2$ ) test was used to compare categorical data. Exact test was used instead when the expected frequency is  $<5$ . Values were considered statistically significant when the probability value (p-value) was  $<0.05$ . Calculations were performed by Microsoft Excel version XP and SPSS version 19 for Microsoft Windows. The receive operating characteristic curve was used to define the discrimination value of LAA parameters for stroke occurrence and to define optimal cut-points for sensitivity and specificity.

### Ethics approval and consent to participate

Study approval was given from the ethical review committee of our Faculty before the study conduction and every patient was assigned an informed written consent according to the principles of the Local Ethical Committee (Committee reference number: not applicable).

## Results

This study included 80 acute ischemic stroke patients and 40 control patients. Patients were subdivided into three groups (Table 1):

**Table 1: Patients' demographic characteristics, stroke risk factors and recurrence**

Variables	Group A	Group B	Group C	p-value		
	(No. 40)	(No. 40)	(No. 40)	A and B	B and C	A and C
Age	62.5 ± 13.7	63.2 ± 9.1	58.9 ± 9.2	NS	NS	NS
Sex (%)						
Male	19 (47.5)	18 (45)	22 (55.0)	NS	NS	NS
Female	21 (52.5)	22 (55)	18 (45.0)			
Diabetes (%)	17 (42.5)	28 (70.0)	11 (27.5)	$<0.05$	$<0.05$	$<0.05$
Hypertension (%)	23 (57.5)	27 (67.5)	12 (30.0)	$<0.05$	$<0.05$	$<0.05$
Dyslipidemia (%)	30 (75.0)	24 (60.0)	12 (30.0)	$<0.05$	$<0.05$	$<0.05$
Smoking (%)	16 (40.0)	14 (35.0)	16 (40.0)	NS	NS	NS
Stroke recurrence (%)	5 (12.5)	13 (32.5)	-	$<0.05$	---	---

NS: Non significant.

- Group (A): included 40 patients with stroke and normal sinus rhythm, 19 males and 21 females and the mean age was  $62.5 \pm 13.7$  years
- Group (B): included 40 patients with stroke and AF, 18 males and 22 females and the mean age was  $63.2 \pm 9.1$  years
- Group (C) (control group): included 40 patients with normal sinus rhythm and without stroke who had been admitted and subjected to TEE for investigation for other possible diagnoses (e.g. infective endocarditis, masses, and aortic dissection) with no abnormality detected, 22 of

them males and 18 females and the mean age was  $58.9 \pm 9.2$  years.

The prevalence of diabetes mellitus, hypertension, and dyslipidemia between the three groups of the study was significant but a non-significant difference was detected between the three groups regarding smoking (Table 1). It had been found that stroke recurrence was significantly high in Group B (Stroke with AF) than group A (Stroke with sinus rhythm) (Table 1).

### Study of LAA parameters by TEE (Table 2)

**Table 2: Study of LAA parameters by TEE**

Parameters	Group A	Group B	Group C	p-value		
	(No. 40)	(No. 40)	(No. 40)	A and B	B and C	A and C
Orifice diameter (cm)	1.56 ± 0.34	1.72 ± 0.32	1.30 ± 0.29	0.089	$<0.0001$	$<0.001$
Depth (cm)	2.67 ± 0.56	2.79 ± 0.43	2.44 ± 0.61	0.351	0.004*	0.049*
Pulsed wave emptying velocity of LAA flow (m/s)	0.58 ± 0.23	0.43 ± 0.16	0.60 ± 0.20	0.001	0.005	0.919
Pulsed wave filling velocity of LAA flow (m/s)	0.53 ± 0.16	0.32 ± 0.08	0.56 ± 0.06	$<0.0001$	$<0.0001$	0.081
LAA Medial wall tissue Doppler upward motion velocity (m/s)	0.14 ± 0.05	0.13 ± 0.06	0.26 ± 0.21	0.889	$<0.0001$	$<0.0001$
LAA Medial wall tissue Doppler downward motion velocity (m/s)	0.14 ± 0.05	0.13 ± 0.08	0.19 ± 0.16	0.875	0.024	0.021
LAA lateral wall tissue Doppler upward motion velocity (m/s)	0.21 ± 0.06	0.20 ± 0.18	0.24 ± 0.17	NS	NS	NS
LAA lateral wall tissue Doppler downward motion velocity (m/s)	0.20 ± 0.07	0.18 ± 0.21	0.21 ± 0.16	NS	NS	NS

LAA: Left atrial appendage, NS: Not significant, TEE: Trans-esophageal echocardiography.

### Orifice diameter and depth of the LAA

The orifice diameter of LAA differed significantly between groups (A) and (C) ( $p = 0.001$ ); and groups (B) and (C) ( $p < 0.0001$ ) but no significant difference between groups (A) and (B) ( $p = 0.089$ ). Stroke patients with AF had the highest mean diameter followed by stroke patients with sinus rhythm and finally, control patients.

The length of LAA depth differed significantly between group (B) and (C) ( $p = 0.004$ ) and between group (A) and (C) ( $p = 0.049$ ) but not between group (A) and (B) ( $p = 0.351$ ). Stroke patients with AF had the highest mean LAA depth length followed by stroke patients with sinus rhythm and finally, control patients.

### Pulsed wave doppler

#### Pulsed wave emptying velocity

There was a significant difference in pulsed-wave emptying velocity of LAA flow between groups (B) and (A) ( $p = 0.001$ ) and groups (B) and (C) ( $p < 0.001$ ),

but not between groups (A) and (C) ( $p = 0.919$ ). Stroke patients with AF had the lowest mean emptying velocity followed by stroke patients with sinus rhythm and finally, control patients.

#### *Pulsed wave filling velocity of LAA flow*

A significant difference was detected between groups (B) and (A) ( $p < 0.0001$ ) and groups (B) and (C) ( $p < 0.0001$ ), but not between groups (A) and (C) ( $p = 0.081$ ). Stroke patients with AF had the lowest mean filling velocity followed by Stroke patients with sinus rhythm and finally, control patients.

#### *Tissue doppler on LAA walls*

##### *Tissue doppler upward motion velocity of medial wall of LAA*

The difference was significant between group (B) and (C) ( $p < 0.0001$ ) and group (A) and (C) ( $p < 0.0001$ ) but not between group (A) and (B) ( $p = 0.889$ ). Stroke patients with AF had the lowest mean medial wall upward motion velocity followed by stroke patients with sinus rhythm and finally, control patients.

##### *Tissue doppler downward motion velocity of medial wall of LAA*

There was a significant difference between group (B) and (C) ( $p = 0.024$ ) and group (A) and (C) ( $p = 0.082$ ) but not between group (A) and (B) ( $p = 0.875$ ). Stroke patients with AF had the lowest mean medial wall upward motion velocity followed by stroke patients with sinus rhythm and finally, control patients.

##### *Tissue Doppler on the lateral wall of LAA*

The difference was not significant between our groups as regard both upward and downward motion velocities.

Sensitivity and specificity of LAA parameters in the prediction of stroke occurrence were calculated. In patients with larger LAA orifice diameter  $>1.47$  cm (95% CI with mean value 0.794 cm (0.709–0.878 cm),  $p < 0.0001$ ), patients with larger LAA longitudinal length  $>2.52$  cm (95% CI with mean value 0.629 cm (0.521–0.738 cm/s),  $p < 0.021$  cm), patients with lower LAA pulsed wave filling velocity  $<0.44$  m/s (95% CI with mean value. 44 m/s (0.701–0.863 m/s),  $p < 0.0001$ ) and patients with lower LAA medial wall tissue Doppler upward motion  $<0.21$  m/s (95% CI with mean value 0.668 m/s (0.550–0.785 m/s),  $p < 0.003$ ) had greater stroke incidence (Table 3 and Figure 1).

Prevalence of abnormal TEE findings which constitute risk factors of stroke that could not be detected by TTE was higher in Group B (30%) in the form of LAA

**Table 3: Sensitivity and specificity of LAA parameters in prediction of stroke occurrence**

Parameters	AUC (95% CI)	p-value	Cut-off point	Sensitivity	Specificity
Orifice diameter	0.794 (0.709–0.878)	< 0.0001	1.47 cm	72.5	80
Longitudinal length	0.629 (0.521–0.738)	0.021	2.52 cm	61.3	70
Pulsed wave filling velocity of LAA flow	0.782 (0.701–0.863)	<0.0001	0.44 m/s	73.8	80
LAA medial wall tissue Doppler upward motion	0.668 (0.550–0.785)	0.003	0.21 m/s	85	52.5

LAA: Left atrial appendage

thrombus (10%) and spontaneous echo contrast (20%) but lower in Group A (12.5%) in the form of patent foramen ovale (PFO) (5%), atrial septal defect (ASD) (2.5 %), significant atherosclerotic aorta (2.5%) (Table 4).

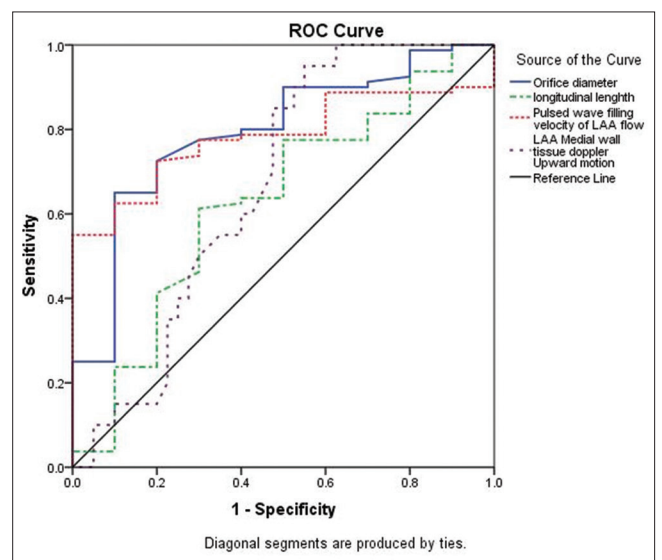
**Table 4: Abnormal TEE findings**

Other echo findings	Group A (No. 40) % (n)	Group B (No. 40) % (n)	p-value
Presence of other findings			
Yes	12.5 (5)	30 (12)	0.363
No	87.5 (35)	70 (28)	
Findings			
ASD	2.5 (1)	0 (0)	
Atherosclerotic aorta	2.5 (1)	0 (0)	
PFO	5.0 (2)	0 (0)	
LAA spontaneous echo contrast	0.0 (0)	20 (8)	
LAA thrombus	0.0 (0)	10 (4)	

ASD: Atrial septal defect, PFO: Patent foramen ovale, MV: Mitral valve, LAA: Left atrial appendage.

## Discussion

Our study revealed that patients with stroke in AF as well as sinus rhythm had significantly larger orifice diameter than control patients. The difference was not statistically significant between patients with stroke in AF and sinus rhythm though it has been higher in patients with AF.



**Figure 1: Left atrial appendage parameters by transesophageal echocardiography**

Concordant with our results, Lee JM and colleagues also reported a negative relation between the diameter



of the LAA orifice and velocity of blood flow, and a bigger orifice diameter was related to strokes [9]. Chen *et al.* and colleagues also found that a bigger diameter of LAA orifice has significant relation to stroke risk in patients with nonvalvular AF [10].

The length of LAA depth was different significantly between patients with stroke in AF ( $p = 0.004$ ) and patients with stroke in sinus rhythm ( $p = 0.049$ ) compared to control patients. Beinart *et al.* reported that the mean LAA depth was higher in patients who had stroke or TIA in comparison to patients with no history of previous embolizations [11] and this agrees with our results. Chen *et al.* and colleagues also reported that the LAA depth was increased in thromboembolic non-valvular AF patients [10].

Regarding pulsed-wave emptying and filling velocities of LAA flow; we proved that stroked AF patients had the least mean LAA pulsed-wave velocities which were significantly different from stroked sinus rhythm patients and patients in the control group. Stroked sinus rhythm patients had also lower emptying and filling velocities than the control patients, though not statistically significant.

This finding comes with Ariyama *et al.* and colleagues who tested the predictive qualities LAA wall-motion velocity for the recurrence of AF 1 year after catheter ablation in patients with nonparoxysmal AF and found that the emptying and filling velocities of the LAA was slower within the AF group than in the sinus rhythm group [12]. Also, Lee *et al.* and colleagues found that AF patients and stroke had a slower LAA flow velocity than patients with stroke and sinus rhythm [9]. Regarding LAA medial wall tissue doppler upward and downward motion velocities, there were significantly lower upward and downward motion velocities in sinus rhythm stroked patients than the control group and even more in AF stroked patients compared to the control. This is concordant with Ariyama *et al.* and colleagues who found that the LAA medial wall tissue doppler upward and downward motion velocities were higher with a significant difference in AF patients than sinus rhythm patients [12]. Regarding tissue doppler on the lateral wall of LAA; the difference was not significant between our groups regarding both upward and downward motion velocities. This is concordant with Ariyama *et al.* and colleagues who also found no significant difference between patients with AF and patients with sinus rhythm regarding tissue Doppler on the lateral wall of LAA either upward or downward motion velocities [12].

The study showed that stroke recurrence was higher significantly in AF patients and this comes in agreement with Xu *et al.* and colleagues [13] who reported that AF augmented the chance of stroke recurrence.

Sensitivity and specificity of LAA parameters in prediction of stroke occurrence were calculated from

the study results and revealed that in patients with larger LAA orifice diameter  $>1.47$  cm (95% CI 0.709–0.878,  $p < 0.0001$ ), patients with larger LAA longitudinal length  $>2.52$  cm (95% CI 0.521–0.738,  $p < 0.021$ ), patients with lower LAA pulsed wave filling velocity  $<0.44$  m/s (95% CI 0.701–0.863,  $p < 0.0001$ ) and patients with lower LAA medial wall tissue Doppler upward motion  $<0.21$  m/s (95% CI 0.550–0.785,  $p < 0.003$ ) had greater stroke incidence.

TEE was beneficial in the detection of other abnormal TEE findings which constitute risk factors of stroke that could not be detected by TTE. These were higher in stroke patients with AF (30%) as LAA thrombus (10%), spontaneous echo contrast (20%) when compared to stroked sinus rhythm patients (12.5%) PFO (5%), ASD (2.5%), atherosclerotic aorta (2.5%) and vegetations on mitral valve (2.5%). In agreement with our study, these findings were evidenced as risk factors of stroke in numerous studies as: atherosclerotic aorta [14]; vegetations on mitral valve [15]; PFO [16]; and ASD [17].

Regarding thrombus burden, we detected that stroked AF patients had a higher risk of developing thrombi and spontaneous echo contrast than stroked sinus rhythm patients. LAA was the main site of developing thrombus in our studied patients and was detected only by TEE. This is in agreement with Yaghi *et al.* and colleagues who studied more than three hundred AF patients and an acute embolic event by TEE and located that about one fourth of patients had thrombus evidence, and all were within the LAA [18].

## Conclusion

Occult LAA thrombosis, spontaneous echo contrast as well as reduced emptying and filling velocities of LAA were found to augment the chance of cardioembolic strokes in AF patients. Reduced blood flow parameters in LAA were detected in stroked AF patients and sinus rhythm patients including dilated LAA orifice diameter increased LAA length and reduced upward and downward motion velocities of the medial wall of LAA. TEE is better than TTE in the detection of probable risk factors of stroke as PFO, ASD, vegetations, atherosclerotic aorta, and LAA thrombus.

## Recommendations

We recommend TEE use for all cryptogenic stroke patients with the assessment of LAA parameters associated with cardio embolization which will affect the treatment strategy of these patients and further

studies including larger patients' numbers to verify the TEE role in those patients are recommended. Further studies to evaluate LAA parameters in patients who were excluded from our study as patients with cardiomyopathy and valvular lesions are also recommended.

### Limitations

Relatively small study population size. Some patients refused the TEE study and a few were uncooperative. The exclusion of the enormous number of high-risk patients (cardiomyopathic patients and patients with valvular lesions) may affect the obtained results. Patients were not followed up to assess the importance of our study parameters on stroke recurrence.

### Declarations

#### Ethics approval and consent to participate

Study approval was obtained from the ethical review committee of the Faculty of Medicine, Fayoum University, Egypt in January 2018 prior to the study conduction and an informed written consent was obtained from each patient according to the rules of the Local Ethical Committee (Committee reference number: not applicable).

#### Availability of data and materials

The data sets used and/or analyzed during the current study available from the corresponding author on reasonable request.

#### Authors' contributions

GA was involved in analyzing the data and in preparing and editing the manuscript. OM was involved in analyzing the data. MA was involved in collecting and analyzing the data. TA was involved in collecting analyzing the data. All authors have read and approved the manuscript.

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