

Correlation of Immunohistochemistry and Fluorescence *in Situ* Hybridization for HER-2 Assessment in Breast Cancer Patients: Single Centre Experience

Magdalena Bogdanovska-Todorovska^{1*}, Slavica Kostadinova-Kunovska¹, Rubens Jovanovik¹, Blagica Krsteska¹, Goran Kondov², Borislav Kondov², Gordana Petrushevska¹

¹Institute of Pathology, Faculty of Medicine, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia; ²University Clinic for Thoracic and Vascular Surgery, Clinical Centre "Mother Theresa", Faculty of Medicine, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia

Abstract

Citation: Bogdanovska -Todorovska M, Kostadinova-Kunovska S, Jovanovik R, Krsteska B, Kondov G, Kondov B, Petrushevska G. Correlation of Immunohistochemistry and Fluorescence in Situ Hybridization for HER-2 Assessment in Breast Cancer Patients: Single Centre Experience. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):593-599. https://doi.org/10.3888/joamjms.2018.124

Keywords: Breast cancer; HER – 2; Fluorescence in situ hybridisation; Immunohistochemistry

"Correspondence: Magdalena Bogdanovska-Todorovska. Institute of Pathology, Faculty of Medicine, SS Cyril and Methodius University, Skopje, Republic of Macedonia. E-mail: magde_b981@yahoo.com

Received: 12-Feb-2018; Revised: 06-Mar-2018; Accepted: 16-Mar-2018; Online first: 23-Mar-2018

Copyright: © 2018 Magdalena Bogdanovska-Todorovska, Slavica Kostadinova-Kunovska, Rubens Jovanovik, Blagica Krsteska, Goran Kondov, Borislav Kondov, Gordana Petrushevska. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: Accurate assessment of HER-2 is imperative in selecting patients for targeted therapy. Most commonly used test methods for HER-2 are immunohistochemistry (IHC) and fluorescence in situ hybridisation (FISH). We evaluated the concordance between FISH and IHC for HER-2 in breast cancer samples using Food and Drug Administration approved tests.

MATERIAL AND METHODS: Archived paraffin tissue blocks from 73 breast cancer patients were used. HER-2 immunostaining was performed using Ventana anti–HER-2 monoclonal antibody. The FISH assay was performed using PathVysion™ HER-2 DNA Probe Kit.

RESULTS: Of the 73 cases 68.5% were IHC 0/1+, 15.07% were IHC 2+ and 16.44% were IHC 3+. Successful hybridisation was achieved in 72 cases. HER-2 FISH amplification was determined in 16.67% cases. Ten IHC 3+ and two IHC 2+ cases were FISH positive. Two of the IHC 3+ cases were FISH negative. Concordance rate was 100%, 18.18% and 83.33% for IHC 0/1+, 2+ and 3+ group, respectively. Total concordance was 84.72%, kappa 0.598 (p < 0.0001). The sensitivity of IHC in detecting IHC 2+ and IHC 3+ cases was 16.7% and 83.3%, and the specificity was 85% and 96.67%, respectively.

CONCLUSION: The consistency between the methods was highest for IHC negative and lowest for IHC equivocal cases. The immunohistochemistry showed high sensitivity for IHC 2+/3+ cases and high specificity for IHC 3+ cases. Our results support the view that false-positive rather than false-negative IHC results are a problem with HER-2/IHC testing, and that IHC should be used as an initial screening test, but IHC 2+/3+ results should be confirmed by FISH.

Introduction

The human epidermal growth factor receptor gene HER-2 (also known as HER-2/neu, c – *erb*B-2) is located on chromosome 17q12 and encodes a member of the epidermal growth factor receptor (EGFR) family with tyrosine kinase activity that is responsible for cell-cell or cell-stroma communication through the process of signal transduction [1]. Activation of the protein receptor is associated with increased cell proliferation, tumour invasiveness, progressive regional and distant metastases, increased angiogenesis and reduced apoptosis [1]. HER-2 gene amplification is the primary mechanism of protein overexpression and is found in nearly 15 to 20% of breast cancer patients [1] [2]. HER-2 gene amplification or protein overexpression are molecular targets for specific targeted therapies associated with good results in early and metastatic HER-2 positive breast carcinomas [3] [4] [5]. Therefore, accurate assessment of HER-2, using reliable, highly sensitive and specific test is imperative in the selection of patients for the therapy [3] [4] [5].

To date, there is still no single, universally accepted test for HER-2 assessment. Two most commonly used techniques are immunohistochemistry (IHC) and in situ hybridisation (fluorescence in situ

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):593-599.

hvbridization-FISH and briaht field in situ hybridization-BRISH), performed on formalin fixed paraffin embedded (FFPE) tissue samples [6] [7] [8]. Immunohistochemistry uses antibodies to detect HER-2 protein expression on the surface of tumour cells. while FISH is a molecular method that uses fluorescently labelled DNA probes, to determine HER -2 gene copy number. Although both methods are widely used in the routine analysis, both have advantages and disadvantages. Immunohistochemistry is relatively cheap and fast method that uses the light microscope for analysis. Conversely, a FISH method is technically more demanding, time-consuming and expensive assay [9], but is more consistent and more objective [9]. Numerous studies that evaluated the consistency between the IHC and FISH, as well as their effect on the response to trastuzumab therapy, showed contradictory results [10].

In this study we evaluated the concordance between FISH and IHC for HER-2 assessment in breast cancer tissue samples, using Food and Drug Administration (FDA) approved tests.

Material and Methods

In this retrospective study, we used FFPE tissue blocks from 73 patients diagnosed with invasive breast carcinoma, non-special type (NST), during 2014-2015. Patients who underwent radical mastectomy and did not receive neoadjuvant therapy were included.

All the cases were stained and analysed in the standard procedure to determine the histologic type and grade of a tumour, lymph node status and the stage of the disease. Tumour grade was determined based on the recommendations of the Nottingham grading system [11], while the stage of the disease was determined according to the criteria of the American Joint Committee on Cancer (AJCC) [12]. The patients' age and tumour dimension were obtained from medical records. HER-2/IHC was performed in parallel with ER, PR, and Ki-67 as part of the daily routine work at our Institute. Regardless of the IHC results, additional FISH testing was done in all cases, using parallel sections from the same tissue block as for IHC.

Using 4 micron thick sections mounted on silanized microscopic slides, HER-2 immunostaining was performed on BenchMark GX automated staining instrument (Ventana Medical Systems, Inc., USA) using Ventana anti-HER-2 rabbit monoclonal primary antibody, clone 4B5 and UltraVIEW universal DAB Detection Kit (Ventana), according to the manufacturer's recommendations. Briefly, after deparaffinization with EZ Prep, slides were pretreated

with Cell Conditioning 1 for 36 minutes at 100°C and then incubated with anti–HER-2 primary antibody for 20 minutes at 37°C. The antibody was detected using DAB and then counterstained with hematoxylin and bluing reagent, for 4 minutes in both steps.

The ER, PR and Ki67 immunostainings were performed using DAKO monoclonal antibodies (clone EP1, dilution 1:50; clone PgR 636, dilution 1:100 and clone Mib1, dilution 1:150, respectively), by PΤ semiautomated Link immunoperoxidase technique. Shortly, after deparaffinization and rehydration, samples were pretreated using Target Retrieval Solution for 20 minutes at 97°C and then incubated with primary antibody for 20 minutes at 25°C. Antibodies were detected using visualisation system (EnVision FLEX, DAKO) for 20 minutes at 25°C and chromogen (di-amino-benzene-DAB) for 5 minutes, also at 25°C. After that slides were counterstained with hematoxylin.

Semiquantitative evaluation of HER-2 protein expression included evaluation of membrane positivity according to the criteria of American Society of Clinical Oncology (ASCO)/College of American Pathologists (CAP) [7]. The expression of ER, PR and Ki67 was evaluated as a percentage of positive cells of the total number of cells. One percent was the cutoff point for hormone receptors [13], while 20% was taken as a cut-off point that distinguishes cases with low and high proliferative index (Ki67-low/Ki67-high) [14]. The slides were analyzed with a light microscope, Nikon 80i Eclipse (Nikon Instruments, Austria).

The FISH assay was performed by using PathVysion[™] HER-2 DNA Probe Kit (Abbott/Vysis, IL, USA) containing two fluorophore-labeled DNA probes allowing simultaneous detection of HER-2 and chromosome 17 (CEP 17) gene copy numbers: Spectrum Orange-labeled DNA probe for HER-2 gene locus and Spectrum Green labelled DNA probe for CEP 17. Samples were tested using two different paraffin pretreatment kits in two different FISH protocols, Vysis/Abbott Paraffin Pretreatment Reagent Kit (40 samples) and DAKO Histology FISH Accessory Kit (33 samples), described in details in a previous paper [15]. Briefly, 4 µm thick tumour sections were mounted on a positively charged microscopic slide, air dried and baked in the oven at overnight. After deparaffinization 56°C. and pretreatment, slides were incubated with protease/pepsin. Then slides were washed. dehydrated and DNA probe was applied. After denaturation (5 minutes at 72°C) and hybridisation (16 hours at 37°C), the slides were washed in preheated post-hybridisation buffer, counterstained with DAPI, and cover slips were applied.

For accurate localisation of the invasive tumour component, the FISH assays were viewed in conjunction with H&E sections, and DAPI counterstain was used to identify tumour nuclei. Signals were analyzed at x1000 magnification, using an appropriate filter. The results were interpreted according to recommendations of ASCO/CAP, where HER-2 status is defined as positive when the HER-2/CEP17 ratio is greater than 2, and negative when the ratio is less than 2 [7]. The tests were analysed using Olympus BX43 fluorescence microscope (Olympus Corporation, Japan) equipped with appropriate filters. Each case was photographed and documented with Olympus XM10 monochrome camera and analysed using the Olympus cell Sens Standard software, Version 1.15.

Analyses were performed by using SPSS for Windows 17.0. The results of HER-2 status by FISH and IHC were compared, and concordance, sensitivity, specificity, negative and positive predictive values were evaluated considering FISH as the gold standard. Kappa test was used to determine the concordance between the methods. Also, Fisher's exact two-tailed test and Chi-square tests were used to determine the correlation of HER-2 status with ER and PR status along with various clinical and histology parameters. The p-value < 0.05 was considered statistically significant.

Results

The HER-2/ IHC results showed that most of the samples 32 (43.84%), were classified as IHC 0, followed by 18 (24.66%) classified as IHC 1+, 12 (16.44%) classified as IHC 3+ and 11 (15.07%) classified as IHC 2+ (Figure 1A). From 73 cases included in this study, 72 showed successful hybridisation. HER-2 FISH gene amplification was determined in 12 (16.44%) of the cases, while 60 (82.19%) of the cases were FISH negative (Figure 1B and Figure 2). One case with failed hybridisation was excluded from the study. The FISH failure rate was 1.37%.

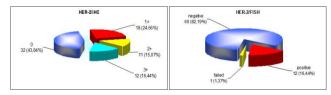


Figure 1: Distribution of HER-2 according to A, IHC (left) and B, FISH (right)

Of 12 HER-2 FISH amplified cases, 10 (83.3%) were scored IHC 3+, 2 (16.7%) were scored IHC 2+ and none was scored IHC 1+ or 0. Among the 60 FISH-negative cases, only 2 (3.3%) had IHC score 3+ (Figure 3), and the other samples were either indeterminate 9 (15%) or negative 49 (81.7%). The two IHC 3+ cases that were negative for FISH showed polysomy for chromosome 17.

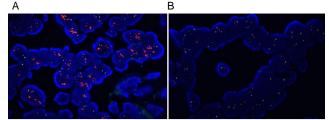


Figure 2: Typical examples of FISH-positive and FISH negative case. A, FISH amplified case, HER-2/Chr 17 > 2 (DAPI counterstain x 1000); B, FISH non amplified case, HER-2/Chr 17 < 2 (DAPI counterstain x 1000)

In Table 1 we present the rate of concordance for HER-2 results obtained by IHC and FISH. The concordance rate was high (100%) for negative IHC 0/1+ group and low (18.18%) for undetermined IHC 2+ group. The concordance rate for IHC 3+ group was 83.33%.

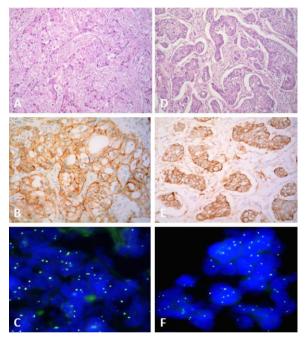


Figure 3: Discordance between IHC and FISH in two cases. Case 1: A, Invasive breast carcinoma (H&E x 200); B, HER-2/IHC 3+ (HER-2 x 200), C, FISH, HER-2/Chr 17 < 2, non amplified (DAPI counterstain x 1000). Case 2: D, Invasive breast carcinoma (H&E x 200); E, HER-2/IHC 3+ (HER-2 x 200); F, FISH, HER-2/Chr 17 < 2, non-amplified (DAPI counterstain x 1000)

When IHC 2+ and 3+ positive tumours were grouped, the total concordance between IHC and FISH was 84.72% (61/72), and the Kappa coefficient was 0.598, with a statistical significance of p < 0.0001. After excluding the IHC 2+ cases, the concordance rate improved to 96.72% (59/61).

Table 1: Comparison of HER-2 results determined by IHC and FISH

IHC scoring	HER-2/FISH positive	HER-2/FISH negative	Concordance by IHC	Discordance by IHC
0/1+ (n=49)	0	49	(49/49) 100%	(0/49) 0%
2+ (n=11)	2	9	(2/11) 18.18%	(9/11) 81.82%
3+ (n=12)	10	2	(10/12) 83.33%	(2/12) 16.67%

Table 2 presents the diagnostic performances of the IHC method in determining the HER-2 status using the FISH method as a gold standard. As indicated, the positive predictive value for positive IHC 3+ cases was 83.3%, and for 2+/3+ cases was 52.2%. The immunohistochemical method showed the sensitivity of 100% and 83.3% in detecting IHC 2+/3+ and 3+ cases, and specificity of 81.67% and 96.7% in detecting IHC 2+/3+ and IHC 3+ cases, accordingly.

Table 2: Sensitivity, specificity, positive and negative predictive values of IHC according to FISH as gold standard

IHC scoring	Sensitivity (%) (95% CI)	Specificity (%) (95% CI)	PPV (%) (95% CI)	NPV (%) (95% CI)
2+/3+ positive	100(75.8-100)	81.67(70.1-89.4)	52.2(33.01-70.8)	100(92.7-100)
3+ positive	83.3(55.2-95.3)	96.7(88.6-99.1)	83.3(55.2-95.3)	96.7(88.6-99.1)
2+ positive	16.7(4.7-44.8)	85(73.9-91.9)	18.2(5.1-47.7)	83.6(72.4-90.8)

In table 3 we present a correlation between HER-2 amplification and clinico-pathological characteristics. The mean age of the patients included in the study was 57.98 ± 10.3 years (range, 41-86 vears), and the mean tumour size was 27.01 ± 14.8 mm (range, 8-75 mm). There was no significant correlation between HER-2 amplification and patients' age, tumour size, tumour grade (G), nuclear grade (NG), tumour status (pT), lymph node status (pN) or stage of the disease. A significant correlation (p < p0.05) was detected between HER-2 and biological markers (ER, PR, Ki 67). ER and PR were more commonly detected in the HER-2/FISH negative than in HER-2/FISH positive tumours (90% vs 58.33%; 78.33% vs 41.67%, respectively). Conversely, the high proliferative index was more frequently found in HER -2 positive tumours (91.67% vs 56.67%).

 Table 3: Correlation of clinical and pathological features with

 HER-2 amplification status

Variable		Total	HER-	2/FISH	p-value
		n (%)	Negative (n = 60)	Positive (n = 12)	
Age	≤50	23 (31.94)	17 (28.33)	6 (50)	0.18
, igo	>50	49 (68.06)	43 (71.67)	6 (50)	0.10
Tumor size (mm)	≤20	24 (33.33)	19 (79.17)	5 (20.83)	0.5
	>20	48 (85.42)	41 (85.42)	7 (14.58)	0.0
G	1	3 (4.17)	3 (5)	0	0.26
•		19 (26.39)	18 (30)	1 (8.33)	0.20
	2 3	50 (69.44)	39 (65)	11 (91.67)	
NG	1	1 (1.39)	1 (1.67)	0	0.12
	2	15 (20.83)	15 (25)	0	
	3	56 (77.78)	44 (73.33)	12 (100)	
рТ	1	24 (33.33)	19 (31.67)	5 (41.67)	0.34
F ·	2	41 (56.94)	35 (58.33)	6 (50)	
	3	2 (2.78)	1 (1.67)	1 (8.33)	
	4	5 (6.94)	5 (8.33)	0	
pN	0	27 (37.56)	24 (40)	3 (25)	0.13
	1	21 (29,17)	18 (30)	3 (25)	
	2	12 (16.67)	11 (18.33)	1 (8.33)	
	3	12 (16.67)	7 (11.67)	5 (41.67)	
Stage	1	16 (22.22)	14 (23.33)	2 (16.67)	0.66
-	11	30 (41.67)	26 (43.33)	4 (33.33)	
	111	26 (36.11)	20 (33.33)	6 (50)	
ER	Ν	11 (15.28)	6 (10)	5 (41.67)	0.015
	Р	61 (84,72)	54 (90)	7 (58.33)	
PR	Ň	20 (27.78)	13 (21.67)	7 (58.33)	0.016
	Р	52 (72.22)	47 (78.33)	5 (41.67)	
Ki 67	high	45 (62.5)	34 (56.67)	11 (91.67)	0.025
	low	27 (37.5)	26 (43.33)	1 (8.33)	

G- histological grade, NG- nuclear grade, pTtumour status, pN- lymph node status, ER- estrogen receptor, PR- progesterone receptor, Ki67- a marker of proliferation.

Discussion

Breast cancer is the most common malignant tumour and second leading cause of cancer death in women [16]. Prognosis and treatment of breast cancer patients depend on several factors, such as histological type, grade, stage, hormone receptor status and HER-2 status. Determination of HER-2 status is a strong indicator of response to treatment with trastuzumab [17] [18]. Considering the benefits and side effects that patients would have from targeted therapy, the use of the appropriate test for HER -2 assessment is essential in selecting patients for treatment [3] [5]. Immunohistochemistry and FISH are most widely used routine test methods in pathology laboratories. Both methods have their advantages and disadvantages. To date, it is still under debate which single method is the best for HER-2 determination. According to some authors, the use of IHC and FISH methods in combination is the most effective strategy even though it is not cost effective [19] [20]. Immunohistochemistry is widely used, relatively inexpensive and easy to perform test method for HER-2. It is affected by variations in tissue fixation and processing and variations in testing methodologies that can influence the final results [21]. Other disadvantages of IHC are subjectivity in interpretation of the results and absence of internal control, which calls into question the reliability of the analysis, especially when the HER-2/IHC results are negative [3] [21] [22].

Fluorescence in situ hybridisation is expensive, technically demanding molecular assay that requires special equipment for evaluating the results, and its performance is limited to a smaller number of pathology laboratories [9]. However, the FISH method has several advantages over immunohistochemistry: it is less affected by artefacts associated with tissue processing, is more objective because the results are quantitative, and there are internal positive controls [9]. Fluorescence in situ hybridisation is a method of choice when selecting patients for HER-2 targeted therapy regarding accuracy, reproducibility, and predictivity [3]. It provides 96.5% sensitivity and 100% specificity for detection of HER-2 gene amplification in breast cancer patients [23].

In this study, we evaluated the concordance between FISH and IHC for HER-2 detection in breast cancer patients using FDA approved tests. Most of our cases (68.5%) were classified IHC 0/1+, 16.44% were classified IHC 3+ and 15.07% were classified IHC 2+. None of IHC 0/1+ cases was FISH positive. 16.67% of cases in our study showed amplification for HER-2: two cases of IHC 2+ group were FISH positive, and two cases from IHC 3+ group were FISH negative. Concordance rate in our study was 100%, 18.18% and 83.33% for IHC 0/1+, 2+ and 3+ group, respectively. When 2+ and 3+ positive tumours were grouped together, the concordance rate between IHC and FISH was 84.72%, kappa 0.598 (p < 0.0001), but after excluding the IHC 2+ cases form the group, the total concordance rate improved to 96.72%. According to literature, concordance rate between IHC and FISH ranges from 79% to 100% for 3+ cases [24] [25] and from 12% to 36% for 2+ cases [26] [27].

Gokhale et al., [10] showed high concordance between FISH and IHC 3+ groups and poor concordance in the 0, 1+ and 2+ groups. Contrary to these results, other authors [28] [29] have shown that the concordance rate between IHC and FISH is highest for the IHC negative cases and lowest for the IHC 2+ and 3+ cases. Our results also confirmed high concordance rate in IHC 0/1+ group, followed by IHC 3+ group with the lowest concordance rate in the IHC 2+ group. Other authors reported low concordance rates of only 51% between IHC and FISH [21] owing to subjectivity in interpretation, chromosome 17 aneuploidy and technical aspects of tissue processing and IHC. Sarode et al. [30] showed significant improvement in concordance rate in 10 year period due to an overall improvement in standardisation of pre-analytic and analytic variables and experience in HER-2 scoring. The finding of IHC 3+ staining without gene amplification is attributed to false -positive immunostaining when using an unstandardized or immunohistochemical unvalidated method. or chromosome 17 polysomy [31] [32]. Several studies have shown that chromosome 17 polysomy is responsible for discrepancies between protein expression and gene amplification [23] and that these patients have similar clinical outcomes to patients without the HER-2 gene alteration. The rate of discordance in our study may be the result of variability in tissue fixation (time to fixation and time in fixative) because almost half of the cases included were from other city hospitals where tissue fixation started. However, we cannot exclude the influence of aneusomy 17 because two IHC 3+/FISH- cases in our study, showed chromosome 17 polysomy.

Taking the FISH method as a gold standard, sensitivity rate in our study was 16.7% and 83.3% for IHC 2+ and 3+ cases. The specificity rate was 96.67% and 85% for 3+ and 2+ cases, respectively. When 2+/3+ cases were analyzed as a group, the sensitivity was 100%, but the specificity was 81.67%. The positive predictive value of positive IHC 3+ and IHC 2+/3+ cases was 83.3% and 52.2% respectively, and negative predictive value for negative IHC 0/1+ cases was 100%. The immunohistochemical method showed the highest sensitivity of 100% in detecting IHC 2+/3+ positive tumours as one group. Other authors reported high specificity (94%), but low sensitivity (43%), of immunohistochemistry [10].

According to some authors, the lowest cost effective HER-2 testing is to screen all breast cancer patients with immunohistochemistry (because of its high negative predictive value) and to confirm only IHC 2+ and 3+ scores with the FISH assay [29]. Although FISH testing is much more expensive than IHC, it never exceeds the cost of treating patients who are not likely to benefit because of a false-positive IHC [29]. Our findings support the view that false positive rather than false-negative IHC results are a major issue with HER-2 IHC testing. HER-2 positive status is a bad prognostic marker, and these tumours are associated with high histological grade, negative hormone receptor status and positive regional lymph nodes at the time of diagnosis [30] [33] [34]. Our results did not show a significant correlation between HER-2 amplification and other clinico-pathological parameters like patient's age, tumour size, tumour grade, nuclear grade, lymph node status and stage of the disease. Although statistically non-significant HER-2 amplified, tumours were more frequently poorly differentiated with high nuclear grade, positive lymph node status and high postoperative stage indicating biologically more aggressive tumours. Other authors found no association between HER-2 and patients age [35] [36] [37] [38] tumor size [35] [36] [37] or lymph node status [35], too. Contrary to our results, other authors noted significant correlation of HER-2 with tumour size [39] tumour grade [35] [39] or lymph node metastasis [40] [41].

Statistically significant association in our study was detected between HER-2 positive tumors and negative estrogen (p = 0.015), progesterone receptor (p = 0.016) status, and high proliferative index Ki67 (p = 0.025). Some authors also reported significant correlation with negative hormone receptor status [35] [39] and high proliferative index [30] [37] [38], while other authors showed correlation with positive hormone receptor status [41] [42] or low Ki67 [41].

In conclusion, the overall concordance between IHC and FISH was 84.72%. The consistency between the two methods was highest for IHC negative and lowest for IHC equivocal cases. With FISH as the gold standard, the positive predictive value of positive (IHC 3+) cases was 83.3%, and negative predictive value for negative (IHC 0/1+) cases was 100%. The immunohistochemical method showed high sensitivity in IHC 2+/3+ cases and high specificity in IHC 3+ group. Our results support the view that false -positive rather than false-negative IHC results are a bigger problem with HER-2/IHC testing, and that IHC should be used as an initial screening test, but that FISH should confirm IHC 2+ and 3+ results. Standardization of tissue processing is necessary to improve the specificity of the IHC assay.

References

1. Ross JS, Slodkowska EA, Symmans WF, Pusztai L, Ravdin PM, Hortobagyi GN. The HER-2 receptor and breast cancer: Ten years of targeted anti-HER-2 therapy and personalised medicine. Oncologist. 2009; 14(4):320-368.

https://doi.org/10.1634/theoncologist.2008-0230 PMid:19346299

2. Wolff AC, Hammond ME, Schwartz JN, Hagerty KL, Allred DC, Richard J, et al. American Society of Clinical Oncology/College of American Pathologists guideline recommendations for human epidermal growth factor receptor 2 testing in breast cancer. Arch Pathol Lab Med. 2007; 131(1):18-43. PMid:19548375

3. Sauter G, Lee J, Bartlett JM, Slamon DJ, Press MF. Guidelines for human epidermal growth factor receptor 2 testing: Biologic and methodologic considerations. J Clin Oncol. 2009; 27(8):1323-1333. https://doi.org/10.1200/JCO.2007.14.8197 PMid:19204209

4. Sapino A, Goia M, Recupero D, Marchiò C. Current challenges for HER2 testing in diagnostic pathology: State of the art and controversial issues. Front Oncol. 2013; 3:129. https://doi.org/10.3389/fonc.2013.00129 PMid:23734345 PMCid:PMC3659312

5. Lottner C, Schwarz S, Diermeier S, Hartmann A, Knuechel R, Hofstaedter F, et al. Simultaneous detection of HER2/neu gene amplification and protein overexpression in paraffin-embedded breast cancer. J Pathol. 2005; 205(5):577-584. https://doi.org/10.1002/path.1742 PMid:15732132

 Dowsett M, Hanna WM, Kockx M, Penault-Llorca F, Ruschoff J, Gutjahr T, et al. Standardization of HER2 testing: Results of an international proficiency-testing ring study. Mod Pathol. 2007; 20(5):584-591. <u>https://doi.org/10.1038/modpathol.3800774</u> PMid:17396141

7. Wolff AC, Hammond ME, Hicks DG, Dowsett M, McShane LM, Allison KH, et al. Recommendations for human epidermal growth factor receptor 2 testing in breast cancer: American society of clinical oncology/College of American pathologists clinical practice guideline update. J Clin Oncol. 2013; 31(31):3997-4013. https://doi.org/10.1200/JCO.2013.50.9984 PMid:24101045

8. Perez EA, Cortes J, Gonzalez-Angulo AM, Bartlett JM. HER2 testing: Current status and future directions. Cancer Treat Rev. 2014; 40(2):276-284. <u>https://doi.org/10.1016/j.ctrv.2013.09.001</u> PMid:24080154

9. Schnitt SJ, Jacobs TW. Current status of HER2 testing: caught between a rock and a hard place. Am J Clin Pathol. 2001; 116(6):806-810. <u>https://doi.org/10.1309/WMN8-VTR5-DUGF-X12L</u>PMid:11764067

10. Gokhale S, Gatalica Z, Mohammad A, Rampy AI, Velagaleti Gopalrao VN. FISH for HER-2/neu in breast cancer: Standardization makes the difference! Indian J Cancer. 2004; 41(4):152-158. PMid:15659867

11. Elston CW, Ellis IO: Pathological prognostic factors in breast cancer I. The value of histological grade in breast cancer: experience from a large study with long-term follow-up. Histopathology. 1991; 19(5):403-410. https://doi.org/10.1111/j.1365-2559.1991.tb00229.x PMid:1757079

12. Edge SB, Byrd DR, Compton CC, Fritz AG, Greene FL, Trotti A eds. AJCC Cancer Staging Handbook. 7th ed. New York: Springer-Verlag, 2010.

13. Anderson E. The role of oestrogen and progesterone receptors in human mammary development and tumorigenesis. Breast Cancer Res. 2002; 4(5):197-201. <u>https://doi.org/10.1186/bcr452</u> PMid:12223124 PMCid:PMC138744

14. Goldhirsch A, Winer EP, Coates AS, Gelber RD, Piccart-Gebhart M, Thurlimann B, et al. Personalizing the treatment of women with early breast cancer: highlights of the St Gallen International Expert Consensus on the Primary Therapy of Early Breast Cancer 2013. Ann Oncol. 2013; 24(9):2206-2223. https://doi.org/10.1093/annonc/mdt303 PMid:23917950 PMCid:PMC3755334

15. Bogdanovska-Todorovska M, Petrushevska G, Janevska V, Spasevska L, Kostadinova-Kunovska S. Standardization and optimization of fluorescence in situ hybridization (FISH) for HER-2 assessment in breast cancer: A single center experience. Bosnian journal of basic medical sciences. 2018 Jan 30. https://doi.org/10.17305/bjbms.2018.2519 PMid:29389309

16. Parkin DM, Bray F, Ferlay J, Pisani P. Estimating the world

cancer burden: Globocan 2000. Int J Cancer. 2001; 94(2):153-156. https://doi.org/10.1002/ijc.1440 PMid:11668491

17. Gong Y, Sweet W, Duh YJ, Greenfield I, Fang Y, Zhao J, et al. Chromogenic in situ hybridization is a reliable method for detecting HER2 gene status in breast cancer. Am. J. Clin. Pathol. 2009; 131(4): 490-497. <u>https://doi.org/10.1309/AJCPI00TVGIGYXAA</u> PMid:19289584

18. Slamon D, Leyland-Jones B, Shak S, Fuchs H, Paton V, Bajamonde A, et al. Use of chemotherapy plus a monoclonal antibody against Her-2 for metastatic breast cancer that overexpresses Her-2. N Engl J Med. 2001; 344(11):783–792. https://doi.org/10.1056/NEJM200103153441101 PMid:11248153

19. Ellis CM, Dyson MJ, Stephenson TJ, Maltby EL. HER2 amplification status in breast cancer: a comparison between immunohistochemical staining and fluorescence in situ hybridisation using manual and automated quantitative image analysis scoring techniques. J Clin Pathol. 2005; 58(7):710–714. https://doi.org/10.1136/jcp.2004.023424 PMid:15976337 PMCid:PMC1770709

20. Bilous M, Dowsett M, Hanna W et al. Current Perspectives on HER2 Testing: A Review of National Testing Guidelines. Mod Pathol. 2003; 16(2):173–182.

https://doi.org/10.1097/01.MP.0000052102.90815.82 PMid:12591971

21. Hammock L, Lewis M, Phillips C, Cohen C. Strong HER-2/neu Protein Overexpression by Immunohistochemistry Often Does Not Predict Oncogene Amplification by Fluorescence In Situ Hybridization. Hum Pathol. 2003; 34(10):1043-1047. https://doi.org/10.1053/S0046-8177(03)00409-X

22. Nitta H, Kelly BD, Allred C, Jewell S, Banks P, Dennis E, Grogan TM. The assessment of HER2 status in breast cancer: the past, the present, and the future. Pathol Int. 2016; 66(6):313–324. https://doi.org/10.1111/pin.12407 PMid:27061008

23. Pauletti G, Dandekar S, Rong H, Ramos L, Peng H, Seshadri R, Slamon DJ. Assessment of methods for tissue-based detection of the HER-2/neu alteration in human breast cancer: A direct comparison of fluorescence in situ hybridization and immunohistochemistry. J Clin Oncol. 2000; 18(21):3651-64. https://doi.org/10.1200/JCO.2000.18.21.3651 PMid:11054438

24. Lebeau A, Deimling D, Kaltz C, Sendelhofert A, Iff A, Luthardt B, et al. HER-2/neu analysis in archival tissue samples of human breast cancer: Comparison of immunohistochemistry and fluorescence in situ hybridization. J Clin Oncol. 2001; 19(2):354-363. https://doi.org/10.1200/JCO.2001.19.2.354 PMid:11208826

25. Tubbs RR, Pettay JD, Roche PC, Stoler MH, Jenkins RB, GroganTM. Discrepancies in clinical laboratory testing of eligibility for trastuzumab therapy: Apparent immunohistochemical falsepositives do not get the message. J Clin Oncol. 2001; 19(10):2714-2721. <u>https://doi.org/10.1200/JCO.2001.19.10.2714</u> PMid:11352964

26. Perez EA, Roche PC, Jenkins RB, Reynolds CA, Halling KC, Ingle JN, Wold LE. HER-2/neu testing in patients with breast cancer: Poor correlation between weak positivity by immunohistochemistry and gene amplification by fluorescence in situ hybridization. Mayo Clin Proc. 2002; 77(2):148-154. https://doi.org/10.1016/S0025-6196(11)62329-X

27. Ridolfi RL, Jamehdor MR, Arber JM. HER-2/neu testing in breast carcinoma: A combined immunohistochemical and fluorescence in situ hybridization approach. Mod Pathol. 2000; 13(8):866-873. https://doi.org/10.1038/modpathol.3880154 PMid:10955453

28. Dybdal N, Leiberman G, Anderson S, McCune B, Bajamonde A, Cohen RL, et al. Determination of HER2 gene amplification by fluorescence in situ hybridization and concordance with the clinical trials immunohistochemical assay in women with metastatic breast cancer evaluated for treatment with trastuzumab. Breast Cancer Res Treat. 2005; 93(1):3-11. <u>https://doi.org/10.1007/s10549-004-6275-8</u> PMid:16184453

29. Dendukuri N, Khetani K, McIsaac M, Brophy J. Testing for HER2-positive breast cancer: a systematic review and cost-

effectiveness analysis, CMAJ, 2007; 176(10):1429-1434. https://doi.org/10.1503/cmaj.061011 PMid:17485695 PMCid:PMC1863543

30. Sarode VR, Xiang QD, Christie A, Collins R, Rao R, Leitch AM, et al. Evaluation of HER2/neu status by immunohistochemistry using computer-based image analysis and correlation with gene amplification by fluorescence in situ hybridization assay: A 10-year experience and impact of test standardization on concordance rate. Arch Pathol Lab Med. 2015; 139(7):922-928.

https://doi.org/10.5858/arpa.2014-0127-OA PMid:26125432

31. Brunelli M. Manfrin E. Martignoni G. Bersani S. Remo A. Reghellin D. et al. HER-2/neu assessment in breast cancer using the original FDA and new ASCO/CAP guidelines recommendation: impact in selecting patients for Herceptin therapy. Am J Clin Pathol. 2008: 129(6):907-911.

https://doi.org/10.1309/MD79CDXN1D01E862 PMid:18480007

32. Roche PC, Suman VJ, Jenkins RB, Davidson NE, Martino S, Kaufman PA et al. Concordance between local and central laboratory HER2 testing in the Breast Intergroup Trial N9831. J Natl Cancer Inst. 2002: 94(11):855-857. https://doi.org/10.1093/inci/94.11.855 PMid:12048274

33. Ross JS, Fletcher JA, Bloom KJ, Linette GP, Stec J, Clark E, et al. Her- 2/ neu testing in breast cancer. Am J Clin Pathol. 2003; 120(Suppl 1):S53-S71.

https://doi.org/10.1309/949FPQ1AQ3P0RLC0

34. Makar AP, Desmedt EJ, De Potter CR, Vanderhevden JS, Schatteman EA. Neu (C-erbB-2) oncogene in breast cancer and its possible association with the risk of distant metastases. A retrospective study and review of literature. Acta Oncol. 1990; 29(7):931-934. https://doi.org/10.3109/02841869009096392 PMid:1979748

35. Panjwani P, Epari S, Karpate A, Shirsat H, Rajsekharan P, Basak R et al. Assessment of HER-2/neu status in breast cancer using fluorescence in situ hybridization & immunohistochemistry: Experience of a tertiary cancer referral centre in India. Indian J Med Res. 2010; 132:287-294 PMid:20847375

36. Onody P. Bertrand F. Muzeau F. Bieche I. Lideteau R. Fluorescence In Situ Hybridization and Immunohistochemical Assays for HER-2/ neu Status Determination. Arch Pathol Lab Med. 2001; 125(6):746-750. PMid:11371225

37. Qiao E-Q, Ji M, Wu J, Li J, Xu X, Ma R et al. Joint detection of multiple immunohistochemical indices and clinical significance in breast cancer. Mol Clin Oncol. 2013; 1(4):703-710. https://doi.org/10.3892/mco.2013.111 PMid:24649232 PMCid:PMC3915321

38. Shokouh TZ, Ezatollah A, Barand P. Interrelationships Between Ki67, HER2/neu, p53, ER, and PR Status and Their Associations With Tumor Grade and Lymph Node Involvement in Breast Carcinoma Subtypes: Retrospective-Observational Analytical Study. Feng Y, ed. Medicine. 2015; 94(32):e1359.

39. Bartlett JM, Ellis IO, Dowsett M, Mallon EA, Cameron DA, Johnson S et al. Human epidermal growth factor receptor 2 status correlates with lymph node involvement in patients with estrogen receptor (ER) negative, but with grade in those with ER-positive early-stage breast cancer suitable for cytotoxic chemotherapy. J Clin Oncol. 2007: 25(28):4423-4430. https://doi.org/10.1200/JCO.2007.11.0973 PMid:17906205

40. Ali EM, Ahmed ARH, Ali AMA. Correlation of Breast Cancer Subtypes Based on ER, PR and HER2 Expression with Axillary Lymph Node Status. Cancer and Oncology Research 2014, 2(4): 51-57.

41. Payandeh M, Shahriari-Ahmadi A, Sadeghi1 M, Sadeghi E. Correlations between HER2 Expression and Other Prognostic Factors in Breast Cancer: Inverse Relations with the Ki-67 Index and P53 Status. Asian Pac J Cancer Prev. 2016; 17(3)1015-1018. https://doi.org/10.7314/APJCP.2016.17.3.1015 PMid:27039719

42. Zhou P, Jiang YZ, Hu X, Sun W, Liu RY, Liu F et al. Clinicopathological characteristics of patients with HER2-positive breast cancer and the efficacy of trastuzumab in the People's Republic of China. Onco Targets Ther. 2016; 18(9):2287-2295. https://doi.org/10.2147/OTT.S97583 PMid:27143924 PMCid:PMC4846044



Impact of Silver Nanoparticles on Gene Expression in Aspergillus Flavus Producer Aflatoxin B1

Mohamed Mahmoud Deabes^{1*}, Wagdy Khalil Bassaly Khalil², Ashraf Gamil Attallah³, Tarek Ahmed El-Desouky¹, Khayria Mahmoud Naguib¹

¹Food Toxicology & Contaminants Department, National Research Centre, 33 Bohouth St., 12622 Dokki, Giza, Egypt; ²Cell Biology Department, National Research Centre, 33 Bohouth St., 12622 Dokki, Giza, Egypt; ³Microbial Genetics Department, National Research Centre, 33 Bohouth St., 12622 Dokki, Giza, Egypt

Abstract

Citation: Deabes MM, Khali WKB, Attalla AG, El-Desouky TA, Naguib KM. Impact of Silver Nanoparticles on Gene Expression in *Aspergillus Flavus* Producer Aflatoxin B1. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):600-605.

Ktps://doi.org/10.3889/oamjms.2018.117 Keywords: Aflatoxin B1; Silver nanoparticles; qRT-PCR; HPLC; Omt-A gene

*Correspondence: Mohamed M. Deabes. Food Toxicology & Contaminants Department, National Research Centre, Dokki, Giza, Egypt. E-mail: mydeabes@yahoo.com

Received: 14-Jan-2018; Revised: 16-Feb-2018; Accepted: 18-Feb-2018; Online first: 13-Apr-2018

Copyright: © 2018 Mohamed Mahmoud Deabes, Wagdy Khalil Bassaly Khali, Ashraf Gamil Attalla, Tarek Ahmed El-Desouky, Khayria Mahmoud Naguib. This is an openaccess article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BV-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist AIM: In this study, we evaluated the effect of silver nanoparticles (AgNPs) on the production of aflatoxin B_1 (AFB₁) through assessment the transcription activity of aflatoxin biosynthesis pathway genes in *Aspergillus flavus* ATCC28542.

MATERIAL AND METHODS: The mRNAs were quantitative by Real Time-polymerase chain reaction (qRT-PCR) of *A. flavus* grown in yeast extract sucrose (YES) medium containing AgNPs. Specific primers that are involved in the AFB₁ biosynthesis which highly specific to *A. flavus*, O-methyltransferase gene (*omt-A*), were designed and used to detect the fungus activity by quantitative PCR assay. The AFB₁ production (from *A. flavus* growth) which effected by AgNPs were measured in YES medium by high-pressure liquid chromatography (HPLC).

RESULTS: The AFB₁ produced by *A. flavus* have the highest reduction with 1.5 mg^{-100 ml} of AgNPs were added in media those records 88.2%, 67.7% and 83.5% reduction by using AgNP HA1N, AgNP HA2N and AgNP EH, respectively. While on mycelial growth give significantly inhibitory effect. These results have been confirmed by qRT-PCR which showed that culture of *A. flavus* with the presence of AgNPs reduced the expression levels of *omt-A* gene.

CONCLUSION: Based on the results of the present study, AgNPs inhibit growth and AFB1 produced by Aspergillus flavus ATCC28542. This was confirmed through RT-PCR approach showing the effect of AgNPs on omt-A gene involved in aflatoxin biosynthesis.

Introduction

Aflatoxins secondary polyketide are metabolites mainly produced by aflatoxigenic fungi of Aspergillus flavus and Aspergillus parasiticus. Among the aflatoxins that have been identified, aflatoxin B₁ (AFB₁) is most prevalent form, presents the highest potential toxic [1]. AFB1 is classified as group 1 carcinogenic to mammals by the International Agency for Cancer Research (IARC) [2]. AFB1 has potential hepatic [3], carcinogenicity [4], cytotoxicity, genotoxicity [5] [6] [7] and immunotoxicity [8].

Swallowing of AFB₁ has a toxic to the immune

system works [9] and mainly reduces the function of cell-mediated immunity [8]. Biochemical pathways and gene regulation it is vital to aflatoxin (AF) also characterized. Many studied already were published in genetics about the AF biosynthesis [10] [11]. Approximately Twenty Seven enzymatic and at least 25 genes involved in the AF biosynthesis in *A. flavus*, this genes are cluster within 75 kilobytes (kb) in the fungal genome [12] [13]. Most of that seems to be coorganized by the DNA binding protein AfIR, encoded by the genes afIR [14]. Yabe and Nakajima [15] demonstrated that the three genes pksA, ver-1 and *omt-A* encode enzyme proteins were involved in the AF biosynthetic pathway. On the other hand, gene afIR is a regulatory gene whose product regulates

transcription of some genes; pksA, ver-1 and omt-A [16]. Many strategies have been developed to reduce aflatoxins contamination, either by preventing the growth of aflatoxigenic fungi or by blocking the production of the toxin after infection [17]. Silver nanoparticles (AgNPs) is recent advancements in the field of nanotechnology were used as a novel therapeutic agent as antibacterial, antifungal, antiviral, anti-inflammatory and anti-cancer agents [18] [19]. The effect of Silver nanoparticles on growth and production of other types mycotoxins by toxigenic fungi were studied but; the effect Silver nanoparticles AgNPs on gene expression of AFs biosynthesis pathway genes still need more studies. This study aims to evaluate the effects of three types AgNPs on the production of AFB1 through assessment the transcription activity (gene expression) of AFB1 biosynthesis pathway genes in Aspergillus flavus ATCC28542.

Material and Methods

Fungal strain

Fungal Strain: a Toxigenic strain of *Aspergillus flavus* (ATCC 28542) was obtained from Microbial Research Center, Faculty of Agriculture, Ain Shams University Cairo, Egypt (MIRCEN).

Chemicals and solvents

Potato dextrose agar (PDA) and yeast extract sucrose (YES) liquid growth medium and Sodium sulphate anhydrous were obtained from Sigma-Aldrich, France. Aflatoxin B_1 (AFB₁) standard was purchased from Sigma, Chemical Co. (St. Louis, MO, U.S.A). Stock solutions and standard were prepared and assayed according to Association of Official Analytical Chemists (AOAC) [20] Method 990.33A. Methanol and acetonitrile HPLC grade were produced by BDH, England. The water was double distilled with Millipore water purification system (Bedford, M A, USA).

Synthesis and characterised of AgNPs

AgNPs in this study were synthesised by Aspergillus terreusHA1N (KR364880) and Penicillium expansum HA2N (KR269857) which isolated and identified according to Ammar and El-Desouky [21]. Also, the characterisation of AgNPs has been done by UV-Visible Spectrophotometer, Dynamic Light Fourier Transform Scattering (DLS), Infrared Spectroscopy (FTIR), and Transmission Electron Microscope (TEM) in the previous study [21]. On the other hand, in the same study, we synthesised the AgNPs by Egyptian honey (EH) preparation and

production according to El-Desouky and Ammar [22].

Inhibition of A. flavus growth and aflatoxin B_1 production in the presence of silver nanoparticles

A hundred mI of YES medium were put in a 500 ml flasks and then autoclaved at 120°C for 15 min. Inoculation was carried out by adding 1 ml of a suspension of spores (10^5 spores) of a toxigenic A. flavus ATCC28542 strains without AgNPs (control) or with 0.5, 1.0 and 1.5 mg/100ml YES medium of one of the tested AgNPs (6a, 6b, 6c AgNps HA1N were synthesized by Aspergillus terreus (KR364880).3a, 3b, 3C AgNps EH were synthesized by Egyptian honey, and 1a, 1b, 1C AgNps HA2N were synthesized by Penicillium expansum (KR269857). The flasks were incubated in the dark for 14 days at 28°C. After the incubation period, extraction of AFB₁ from in the YES culture according to the method of Munimbazi and Bullerman [23]. Where, the mycelium of each flask contained YES medium was harvested by filtration through Whatman paper (No. 4), then extracted with 100 ml chloroform. The chloroform extract was dried by addition of anhydrous sodium sulfate. The residue was transferred to a vial and evaporated off using a stream of nitrogen at a temperature below 60°C. The dry film was used for the detection and determination of AFB₁ by (HPLC) according to (Deabes et al., [24,6] the retention time of AFB₁ standard separation is 4.061. The percentage of inhibition of AFB1 is calculated using equation: % inhibition = (control- treatment /control) X100

Effect of AgNPs on biosynthesis pathway genes of AFB₁ in Aspergillus flavus ATCC28542

DNA extraction

DNA was extracted from 25 mg of the harvested mycelia, which was frozen in liquid N2 and ground in a mortar, according to the protocol recommended for the DNA Tissue purification mini kit (Qiagen). The genomic DNA was checked by agarose gel electrophoresis, and the concentrations of the purified total genomic DNA were determined with a NanoDrop 1000 Spectrophotometer (Thermo Scientific, Wilmington, DE, USA) and stored at -20°C for further use.

Primer design

Primers presented in Table 1 were selected according to the sequence of the *omt-A* gene of *A. flavus* from GenBank database (http://www.ncbi.nlm.nih.gov/).

Table 1: Primer used in this study for amplification of $\ensuremath{\mathsf{AFB}}_1$ gene

Primer	5'-3' nucleotide sequence
omt-F	GACCAATACGCCCACACAG
omt-R	CTTTGGTAGCTGTTTCTCGC

Polymerase Chain Reaction amplification

PCR reactions were carried out in a total reaction volume of 20 µl, containing (10 µl of 2 X Go Tag master mix (Promega Corporation, Madison, WI) and 10 pmol of each primer of 50 ng template DNA. Amplification was performed in a T100-Bio-Rad Gradient Thermal cycler. The following programmer was used to amplify the DNA: 5 min at 94°C (1 cycle); 1 min at 94°C, 1 min at 59°C, and 1 min at 72°C (35 cycles); and 10 min at 72°C. A 10 µl aliquot of PCR products were separated on a 1.5% agarose gel stained with ethidium bromide (0.1 mg/l) and photographed under Gel Doc™ XR+ Gel Documentation System. Thermo Scientific GeneRuler 100 bp DNA Ladder was used as a size standard.

Gene Expression Analysis

Extraction of mRNA and cDNA synthesis

mRNA was extracted from selected *A. flavus* isolates treated with nanoparticles using mRNA Isolation Kit (Roche Applied Science).

Quantitative Real Time-PCR (qRT-PCR)

A Step One Real-Time PCR System (Applied Biosystem, USA) was used to assess the copy of the cDNA of *A.flavus* strain treated with AgNps HA1N, AgNps HA2N and AgNps EH, to detect the expression values of the tested genes according to El-Baz *et al.* [25].

Statistical analysis

All data were statistically analysed using the General Linear Model procedure of the SPSS ver. 18 (IBM Corp, NY). The significance of the differences among treatment groups was determined by Waller–Duncan k-ratio [26]. All statements of significance were based on the probability of P < 0.05.

Results

The present study was undertaken to investigate the antifungal activity of silver nanoparticles on *A. flavus* in vitro study. The addition of AgNPs including (AgNps HA1N, AgNps HA2 N and AgNps EH) individually to the (YES) growth medium at a level of 0.5, 1.0 and 1.5 (mg/100ml). The

percentages of AFB₁ reduction with AgNPs HA1N were 22.8, 50.7 and 88.2% after treating by 0.5, 1 and 1.5 mg AgNPs /100mL medium, respectively. On the other hand, in case of AgNps HA2N AFB₁ reduced to 13.3, 37.3 and 67.7%, while AgNps EH reduced AFB₁to 22.1, 42.9 and 83.5% as (Fig. 1).

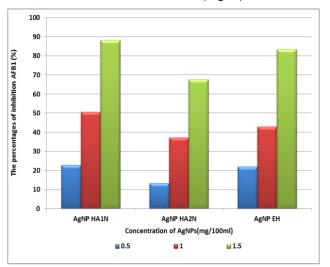


Figure 1: The percentages of inhibition of AFB1 production by Aspergillus flavus ATCC 28542 in YES medium

The results have also indicated that the AgNps HA1N at concentration 1.5 mg/100 mL medium gave the highest reduction of AFB₁ were determined by HPLC (Fig. 2).

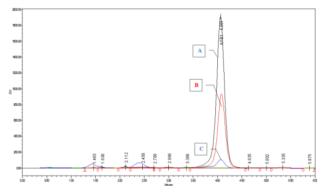


Figure 2: HPLC chromatogram of AFB1 with different concentration of AgNPs HA1N. A = AF; B1 treated by 0.5 mg AgNp HA1N/100 ml media; B = AFB1 treated by1 mg media AgNp HA1N/100 ml media; C = AFB1 treated by 1.5 mg AgNp HA1N/100 ml media

The obtained data in (Table 2) showed the AFB₁ concentrations in YES medium treated with three types of AgNPs, which showed the significant differences between the different concentrations as well as between the types of AgNPs (Table 3). On the other hand, data in (Table 4) display the effect of AgNPs on mycelial production from *A. flavus* ATCC 28542. The reduction on fungal growth and AFB₁ creation was dependent on the concentration of AgNPs. The antifungal activity of AgNPs was demonstrated by the diminution of AFB₁ production by *A. flavus*. This reduction is due to the interfering with

growth and fungal proliferation that AgNPs are altering protein activity and leads to cell death.

Table 2: Effect of AgNPs on AFB1 produced by Aspergillus flavus ATCC 28542

Concentration of		AFB₁ (µg/	100 ml media)*	
AgNPs (mg)/100ml	AgNP HA1N	AgNP HA2N	AgNP EH	Mean for
media				concentration
0.5	0.826 ± 0.051	0.928 ± 0.041	0.833 ± 0.044	0.863 ± 0.063 ^c
1.0	0.602 ± 0.010	0.746 ± 0.029	0.685 ± 0.018	0.677 ± 0.065 ^b
1.5		0.346 ± 0.036		0.216 ± 0.109 ^a
Mean for type AgNPs	0.518 ± 0.312^{a}	$0.673 \pm 0.259^{\circ}$	0.564 ± 0.301 ^b	

Control = 1.07 ± 0.11; *Mean ± SD.

This action may be attributed to the associated with the cation of silver, and it's the soluble complexes [27] [28] [29] [30]. With the result of Ionic silver (Ag+) binding to the thiol groups in NADPH enzyme, and hamper the bacterial respiratory chain creating interactive oxygen species that cause oxidative stress and cell damage [30].

Table 3: Analysis of variance of the effect of type and different concentration of AgNPs on AFB₁ produced by *Aspergillus flavus* ATCC 28542

Source	SS	df	MS	-	D
Source		ui		F	r
Intercept	9.258	1	9.258	5423.688	0.000
Type Nano	0.114	2	0.057	33.377	0.000
Con. Nan	1.996	2	0.998	584.762	0.000
Type Nano x Con. Nan	0.016	4	0.004	2.376	0.091
Error	0.031	18	0.002		
Total	11.415	27			

SS - the sum of squares; df - degree of freedom; MS - mean square; ${\it P}$ - probability at confidence 0.95.

Some previous research suggests that the metabolic activity of fungi is also overlapped with AgNPs by decreasing the mycotoxins production, cytotoxicity and organic acid production. Moreover, a change in the extracellular enzyme profile is observed [31]. The antimicrobial effects of AgNPs depend on their size and silver rate release ion, and also the decrease of the size of AgNPs increased the antimicrobial activity [32] [33] [34].

 Table 4: Effect of AgNPs on mycelial production from

 Aspergillus flavus ATCC 28542

Concentration of AgNPs	The weight	of mycelial production (mg/ml)*			
(mg)/100ml media	AgNP HA1N AgNP HA2N AgNP EH				
0.5	11.7±1.25	17.2±0.76	14.5±0.5		
1.0	8.5±1.32	13.2±0.77	10.6±1.27		
1.5	4.4±1.15	8.6±0.87	6.5±0.51		
*Control=25mg/ml; Mean ±SD					

The obtained data recorded in Fig. **3** showed that the bands of the *omt_A* gene fragments which could be visualised at 300 bp. The patterns showed in all treatments isolates indicating that the presence of this structure gene, *omt-A*, enclosed in aflatoxin biosynthetic pathway which regulates the production of aflatoxin *aflR* gene [16].The results of the gene expression analysis using quantitative real-time RT-PCR are summarised in (Fig. 4). The gene encoding AFB₁ was determined in all isolates of *A. flavus* (ATCC 28542) after treatment with three types of AgNPs at 1.5 mg/100 ml media 6a AgNps HA1N, 1C AgNps EH, and 3c AgNps HA2N.

Discussion

The results found that samples of *A. flavus* treated by AgNps HA2N (1C) were very lower expression levels of AFB₁ gene than those collected from AgNps HA1N (6A) treatment and AgNps HA2N (3C). In control sample (without treated) isolate increased significantly (P < 0.01) the expression levels of AFB₁ gene with highest up-regulation action of the gene compared with the other treatments.

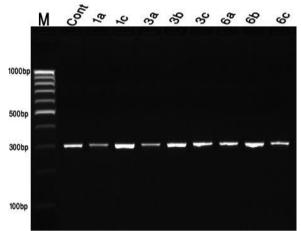


Figure 3: Sensitivity of PCR assay using an omt-A primer of A. flavus. DNA as template Lane1 M, Thermo Scientific GeneRuler 100bp DNA Ladder; lane 2 to lane8 isolates treated with nanoparticles

Moreover, samples 6a (AgNPs HA1N) aflatoxin gene compared with 1C (AgNps HA2N) and 3C (AgNps EH) samples. The RT-PCR experiment elucidated that the AgNpS consolidated expression of aflatoxins pathway *omt-A* gene [35]. On the other hand, Jing et al. [36] found that the treatment of AgNps significantly decreased the secretion of AF from *A. flavus*. Also, they explained the mechanisms of AgNps could cause the depression of AF production by *A. flavus*.

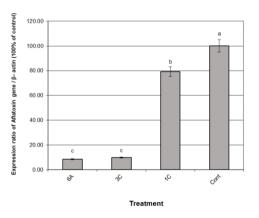


Figure 4: Expression levels of AFB1 gene in A. flavus isolates treated with 1.5 mg /100ml medium AgNPs of 6a AgNps HA1N, 3C AgNps EH and 1C AgNps HA2N. Data are presented as mean \pm SEM ^{a,b,c} followed by different superscripts are significantly different ($P \le 0.05$)

In conclusion, based on the results of the present study, AgNPs inhibit growth and AFB₁ produced by *Aspergillus flavus* ATCC28542. This was confirmed through RT-PCR approach showing the effect of AgNPs on *omt-A* gene involved in aflatoxin biosynthesis.

References

1. Diaz GJ, Murcia HW, Cepeda SM. Cytochrome P450 enzymes involved in the metabolism of aflatoxin B1 in chickens and quail. Poult Sci. 2010; 89:2461–2469. <u>https://doi.org/10.3382/ps.2010-00864</u> PMid:20952710

2. IARC. Some traditional herbal medicines, some mycotoxins, naphthalene and styrene. IARC Monographs Evaluation of Carcinogenic Risks to Humans. 2002; 82:1–556. PMid:12687954 PMCid:PMC4781602

3. Lu X, Hu B, Shao L, Tian Y, Jin T, Jin Y, Ji S, Fan X. Integrated analysis of transcriptomics and metabonomics profiles in aflatoxin B1-induced hepatotoxicity in rat. Food Chem Toxicol. 2013; 55:444–455. <u>https://doi.org/10.1016/j.fct.2013.01.020</u> PMid:23385219

4. Groopman JD, Kensler TW. Role of metabolism and viruses in aflatoxin-induced liver cancer. Toxicol Appl Pharmacol. 2005; 206:131–137. <u>https://doi.org/10.1016/j.taap.2004.09.020</u> PMid:15967201

5. Golli-Bennour EE, Kouidhi B, Bouslimi A, Abid-Essefi S, Hassen W, Bacha H..Cytotoxicity and genotoxicity induced by aflatoxin B1, ochratoxin A, and their combination in cultured Vero cells. J Biochem Mol Toxicol. 2010; 24:42–50. https://doi.org/10.1002/jbt.20310 PMid:20175139

6. Deabes MM, Darwish H R, Abdel-Aziz K B, Farag IM, Nada SA, Tewfik NS. Protective effects of Lactobacillus rhamnosus GG on Aflatoxins-induced Toxicities in male Albino Mice. J Environmental & Analytical Toxicology. 2012; 2: 2-9.

7. Corcuera L, Vettorazzi A, Arbillaga L, Pérez N, Gil A G, Azqueta A, et al. Genotoxicity of Aflatoxin B1 and Ochratoxin A after simultaneous application of the in vivo micronucleus and comet assay. Food and Chemical Toxicology. 2015; 76:116–12. https://doi.org/10.1016/j.fct.2014.12.003 PMid:25530104

8. Meissonnier GM, Pinton P, Laffitte J, Cossalter AM, Gong YY, Wild CP, et al. Immunotoxicity of aflatoxin B1: impairment of the cell-mediated response to vaccine antigen and modulation of cytokine expression. Toxicol Appl Pharmacol. 2008; 231:142–149. https://doi.org/10.1016/j.taap.2008.04.004 PMid:18501398

9. Quist CF, Bounous DI, Kilburn JV, Nettles VF, Wyatt RD. The effect of dietary aflatoxin on wild turkey poults. J Wildl Dis. 2000; 36:436–444. <u>https://doi.org/10.7589/0090-3558-36.3.436</u> PMid:10941727

10. Brown RL, Chen Z-Y, Cleveland TE, Russin JS. Advances in the development of host resistance in corn to aflatoxin contamination by Aspergillus flavus (A mini-review). Phytopathology. 1999; 89:113-117. https://doi.org/10.1094/PHYTO.1999.89.2.113 PMid:18944783

11. Woloshuk CP, Prieto R. Genetic organization and function of the aflatoxin B1 biosynthetic genes. FEMS Microbiol Lett. 1998;160:169-176. <u>https://doi.org/10.1111/j.1574-6968.1998.tb12907.x</u>

12. Yu J, Chang PK, Ehrlich KC, Cary JW, Bhatnagar D, Cleveland TE, Payne GA, Linz JE, Woloshuk CP, Bennett JW. Clustered pathway genes in aflatoxin biosynthesis. Appl Environ Microbiol. 2004; 70(3):1253–1262. <u>https://doi.org/10.1128/AEM.70.3.1253-1262.2004</u> PMid:15006741 PMCid:PMC368384

13. Ehrlich KC, Yu J, Cotty PJ. Aflatoxin biosynthesis gene clusters

and flanking regions. J Appl Microbiol. 2005; 99(3):518–527. https://doi.org/10.1111/j.1365-2672.2005.02637.x PMid:16108793

14. Ehrlich KC, Montalbano BG, Cotty PJ. Sequence comparison of afIR from different Aspergillus species provides evidence for variability in regulation of aflatoxin production. Fungal Genetics and Biology. 2003; 38:63–74. <u>https://doi.org/10.1016/S1087-1845(02)00509-1</u>

15. Yabe K, Nakajima H. Enzyme reactions and genes in aflatoxin biosynthesis. Appl Microbiol Biotechnol. 2004; 64:745–755. https://doi.org/10.1007/s00253-004-1566-x PMid:15022028

16. Woloshuk CP, Foutz KR, Brewer J, Bhatnagar D, Cleveland TE, Payne GA. Molecular characterization of aflR, a regulatory locus for aflatoxin biosynthesis. Appl Environ Microbiol. 1994; 60:2408–2414. PMid:8074521 PMCid:PMC201664

17. Holmes RA, Boston RS, Payne GA. Diverse inhibitors of aflatoxin biosynthesis. Appl Microbiol Biotechnol. 2008; 78:559–572. <u>https://doi.org/10.1007/s00253-008-1362-0</u> PMid:18246345

18. Otari SV, Patil RM, Ghosh SJ, Thorat ND, Pawar SH. Intracellular synthesis of silver nanoparticle by actinobacteria and its antimicrobial activity. Spectrochim Acta A Mol Biomol Spectrosc. 2015; 136:1175–1180. <u>https://doi.org/10.1016/j.saa.2014.10.003</u> PMid:25456659

19. Yassin MA, El-Samawaty AMA, Dawoud TM, Abd-Elkader OA, Al Maary KS, Hatamleh AA, Elgorban AM. Characterization and anti-Aspergillus flavus impact of nanoparticles synthesized by Penicillium citrinum. Journal of Biological Sciences. 2017; 24:1243-1248. <u>https://doi.org/10.1016/j.sjbs.2016.10.004</u>

20. AOAC Official methods of analysis of AOAC international. 19th ed. Washington: Association of Official Analytical Chemists. Published by AOAC International suite 500 481 North Fredrick Avenue Gaithersburg, MARYLAND 20877-2417, USA. Chapter 49. 2012:1-125.

21. Ammar HAM, El-Desouky TA. Green synthesis of nanosilver particles by Aspergillus terreus HA1N and Penicillium expansum HA2N and its antifungal activity against mycotoxigenic fungi. J Appl Microbiol. 2016; 121:89-100. <u>https://doi.org/10.1111/jam.13140</u> PMid:27002915

22. El-Desouky TA, Ammar HAM. Honey mediated silver nanoparticles and inhibitory effect on aflatoxins and ochratoxin A. Journal of Applied Pharmaceutical Science. 2016; 6(6):83-90. https://doi.org/10.7324/JAPS.2016.60615

23. Munimbazi C, Bullerman LB. Isolation and partial characterization of antifungal metabolites of Bacillus pumilus. J Appl Micro. 1998; 84:959-969. <u>https://doi.org/10.1046/j.1365-2672.1998.00431.x</u>

24. Deabes MM, Aboelsoud NH, Taha L. In vitro Inhibition of growth and aflatoxin B1 production of Aspergillus flavus strain (ATCC 16872) by various medicinal plant essential oils. Macedonian J Medical Sciences. 2011; 4 (4): 345-350. https://doi.org/10.3889/MJMS.1857-5773.2011.0190

25. El-Baz FK, Wagdy KB, Hanan FA, Hoda FB. Berry extracts improved inflammatory cytokines, antioxidant enzyme and suppressed the gene expression alterations in diabetic rats. Int J Pharm Sci Rev Res. 2016; 38(2):219-226. https://doi.org/10.22159/ijpps.2016v8i11.14480

26. Walter A, Duncan DB. Multiple range and multiple test. Biometries. 1969; 11:1-24.

27. Chen M, Yan L, He H, Chang Q, Yu Y, Qu J.Catalytic Sterilization of Escherichia coli K12 on Ag/Al2O3 Surface. J Inorg Biochem. 2007; 101:817–823. https://doi.org/10.1016/j.jinorgbio.2007.01.008 PMid:17350102

28. Carlson C, Hussain SM, Schrand AM, Braydich-Stolle LK, Hess KL, Jones RL, Schlafer JJ. Unique Cellular Interaction of Silver Nanoparticles: Size-Dependent Generation of Reactive Oxygen Species. J Phys Chem. B. 2008; 112:13608–13619. https://doi.org/10.1021/jp712087m PMid:18831567

29. Lubick N. Nanosilver Toxicity: Ions, Nanoparticles- or Both? Environ Sci Technol. 2008; 42:8617. https://doi.org/10.1021/es8026314 PMid:19192768 30. Asharani PV, Mun GLK, Hande MP, Valiyaveettil S. Cytotoxicity and Genotoxicity of Silver Nanoparticles in Human Cells. ACS Nano. 2009; 3:279–290. <u>https://doi.org/10.1021/nn800596w</u> PMid:19236062

31. Logeswari P, Silambarasan S, Abraham J. Synthesis of silver nanoparticles using plant extracts and analysis of their antimicrobial activity. J Saudi Chem Soc. 2015; 19:311–317. https://doi.org/10.1016/j.jscs.2012.04.007

32. Morones JR, Elechiguerra JL, Camacho A, Holt K, Kouri JB, Yacaman MJ. The bactericidal effect of silver nanoparticles. Nanotechnology. 2005; 16:2346–2353. https://doi.org/10.1088/0957-4484/16/10/059 PMid:20818017

33. Martinez-Castanon GA, Nino-Martinez N, Martinez- Gutierrez F, Martinez-Mendoza JR, Ruiz F. Synthesis and antibacterial activity of silver nanoparticles with different sizes. J Nanopart Res. 2008; 10:1343–1348. <u>https://doi.org/10.1007/s11051-008-9428-6</u>

34. Martinez-Gutierrez F, Olive PL, et al. Synthesis, characterization, and evaluation of antimicrobial and cytotoxic effect of silver and titanium nanoparticles. Nanomedicine. 2010; 6:681–688. 688.

35. Cuero R, Ouellet T, Yu J, Mogongwa N. Metal ion enhancement of fungal growth, gene expression and aflatoxin synthesis in Aspergillus flavus: RT-PCR characterization. J Appl Microbiol. 2003; 94: 953-961. <u>https://doi.org/10.1046/j.1365-</u> 2672.2003.01870.x PMid:12752802

36. Jing Z, Ling W, Dan X, Zhisong L. Involvement of ROS in nanosilver-caused suppression of aflatoxin production from Aspergillus flavus. RSC Adv. 2017; 7: 23021–23026. https://doi.org/10.1039/C7RA02312J



The Spectrum of Histopathological Changes in the Renal Allograft - a 12 Months Protocol Biopsy Study

Galina Severova-Andreevska^{1*}, Ladislava Grcevska¹, Gordana Petrushevska³, Koco Cakalaroski², Aleksandar Sikole¹, Olivera Stojceva-Taneva¹, Ilina Danilovska¹, Ninoslav Ivanovski²

¹University Clinic of Nephrology, Medical Faculty, University St Cyril and Methodius of Skopje, Skopje, Republic of Macedonia; ²Medical Faculty, University St Cyril and Methodius of Skopje, Skopje, Republic of Macedonia; ³Institute for Pathology, Medical Faculty, University St Cyril and Methodius of Skopje, Skopje, Republic of Macedonia

Abstract

Citation: Severova-Andreevska G, Grcevska L, Petrushevska G, Cakalaroski K, Sikole A, Stojceva-Taneva O, Danilovska I, Ivanovski N. The Spectrum of Histopathological Changes in the Renal Allograft - a 12 Months Protocol Biopsy Study. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):606-612. https://doi.org/10.3889/oamjms.2018.162

Keywords: Kidney transplantation; Protocol biopsy; Mixed rejection; ABMR

Mixed rejection; ABMIN "Correspondence: Galina Severova-Andreevska. University Clinic of Nephrology, Medical Faculty, University St Cyril and Methodius of Skopje, Skopje, Severblic of Macedonia. E-mail:

Received: 12-Feb-2017: Revised: 16-Mar-2017: Accented: 17-Mar-2018: Online first: 30-Mar-2018

Copyright: © 2018 Galina Severova-Andreevska, Ladislava Grcevska, Gordana Petrushevska, Koco Cakalaroski, Aleksandar Sikole, Olivera Stojceva–Taneva, Ilina Danilovska, Ninoslav Ivanovski. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

INTRODUCTION: Renal transplantation became a routine and successful medical treatment for Chronic Kidney Disease in the last 30 years all over the world. Introduction of Luminex based Single Antigen Beads (SAB) and recent BANFF consensus of histopathological phenotypes of different forms of rejection enables more precise diagnosis and changes the therapeutic approach. The graft biopsies, protocol or cause, indicated, remain a golden diagnostic tool for clinical follow up of kidney transplant recipients (KTR).

AIM: The study aimed to analyse the histopathological changes in renal grafts 12 months after the surgery in KTR with satisfactory kidney function.

MATERIAL AND METHODS: A 12-month protocol biopsy study was performed in a cohort of 50 Kidney transplant recipients (42 from living and 8 from deceased donors). Usual work-up for suitable donors and recipients, standard surgical procedure, basic principles of peri and postoperative care and follow up were done in all KTR. Sequential quadruple immunosuppression including induction with Anti-thymocyte globulin (ATG) or Interleukin-2R antagonist (IL-2R), and triple drug maintenance therapy with Calcineurin Inhibitors (CNI), Mycophenolate Mofetil (MMF) and Steroids were prescribed to all pts. Different forms of Glomerulonephritis (16), Hypertension (10), End Stage Renal Disease (13), Hereditary Nephropathies (6), Diabetes (3) and Vesicoureteral Reflux (2) were the underlying diseases. All biopsies were performed under ultrasound guidance. The 16 gauge needles with automated "gun" were used to take 2 cores of tissue. The samples were stained with HE, PAS, Trichrome Masson and Silver and reviewed by the same pathologist. A revised and uploaded BANFF 2013 classification in 6 categories (Cat) was used.

RESULTS: Out of 48 biopsies, 15 (31%) were considered as normal, 4 (8%), Borderline (BL-Cat 3), 5 (10%) as Interstitial Fibrosis/Tubular Atrophy (IF/TA-Cat 5), 5 (10%) were classified as non-immunological (Cat 6), 2 as a pure antibody-mediated rejection (ABMR-Cat 2) and T-cell Mediated Rejection (TCMR-Cat 4). The remaining 17 samples were classified as a "mixed" rejection: 7 (41%) ABMR + IF/TA, 5 (29%) ABMR + BL + IF/TA, 2 (11%) BL + IF/TA, 1 (5%) ABMR + BL, 1 (5%) ABMR + TCMR and 1 (5%) TCMR + IF/TA. The mean serum creatinine at the time of the biopsy was 126.7 ± 23.4 µmol/L, while GFR-MDRD 63.4 ± 20.7 ml/min, which means that the majority of the findings were subclinical. Among the non-immunological histological findings (Cat 6), 3 cases belonged to CNI toxicity, 1 to BK nephropathy and 1 to recurrence of the primary disease.

CONCLUSION: Our 12-month protocol biopsy study revealed the presence of different forms of mixed subclinical rejection. Use of recent BANFF classification and scoring system enables more precise diagnosis and subsequently different approach to the further treatment of the KTR. More correlative long-term studies including Anti HLA antibodies and Endothelial Cell Activation- Associated Transcripts (ENDAT) are needed.

Introduction

transplantation is an incredible Kidney of modern medicine. success The better understanding of the basic immunological mechanism and the introduction of some new molecules in everyday practice enables improved long-term graft and patient's survival, better quality of life and practically uneventful clinical course for many years

[1] [2] [3] [4]. According to the recent data, 20 years graft survival could be expected in 60% of kidney transplant recipients [5] [6]. However, there are still unsolved problems and questions that remain to be investigated from the scientific and practical point of view. One of the major causes of graft loss is still a rejection, either cellular or humoral. It has been accepted today that approximately 60% of the longterm graft loss belongs to the acute or chronic antibody-mediated rejection (ABMR) [7] [8] [9] [10]

The introduction of some new diagnostic tools such as Luminex based Single Antigen Beads (SAB), enables to detect a huge amount of anti HLA antibodies, non HLA antibodies and some fraction of activated complement system. The presence of anti HLA especially Donor Specific Antibodies antibodies. (DSA) in the patient's sera leads to chronic allograft nephropathy and long-term graft loss [11] [12] [13].

Thus, overcoming kidney allograft rejection could have a beneficial effect on long-term graft survival. However, other important pathological features rated as CNI toxicity, BK nephropathy, and recurrence of the primary kidney disease have also a substantial impact on the graft survival rate [14]. Despite modern diagnostic procedures implemented in everyday clinical practice, the kidney allograft biopsy remains a gold standard to determine the cause of graft dysfunction. Biopsy findings change the clinical diagnosis in an average of 36% of patients (range 24-76) and immunosuppressive therapy in 59% [15] [16]. But, the allograft biopsy does not contribute only to clinical diagnosis. It could also be used as a prognostic marker and guide to individual therapeutic approach to different patients [17] [18]. The development of so-called Banff scoring system in last 25 years enables a much better the understanding what is happening inside of the grafts [19] [20]. Introduction of the protocol biopsies gave verv useful information about relevant histopathological changes in patients without any clinical evidence of graft dysfunction. They created practically new pathological entity named "subclinical acute or chronic rejection" which was very important regarding possible treatment and further clinical course of transplant recipients [21] [22] [23]. It is true that in the new era of potent immunosuppression therapy, the frequency of acute cellular or antibodymediated rejection falls between 8-12 % and, therefore, the use protocol biopsies became a little bit controversial, but they are still very useful regarding treatment changes or individual approach to different patient circumstances. In any case, either protocol or clinically indicated, allograft biopsy is a condition sine qua non for modern clinical follow up of any organ transplant patient [24] [25] [26].

The study aimed to analyse the histopathological changes in renal grafts 12 months after the surgery in KTR with satisfactory kidney function.

Material and Methods

Forty-eight successful biopsied patients with haploidentical living (40) and 8 deceased donor transplantation were included in a 12-month prospective study. Renal transplantation was performed at the University Clinical Centre Mother

Teresa-Skopje, Republic of Macedonia, by the wellknown principles from the surgical and nephrological aspect. Hypertension, Glomerulonephritis, Hereditary nephropathies and End Stage Renal Disease (ESRD) were predominant underlying kidney diseases. Standard pre-transplant workup was done to all potential donors and recipients.

. Norr	nal
	y-mediated
	ctive ABMR; all three features must be present for a diagnosis
1.	Histologic evidence of acute tissue injury, including one or more of the
	following:
	 Microvascular inflammation (g > 0 and/or ptc > 0)
	Intimal or transmural arteritis (v > 0)
	 Acute thrombotic microangiopathy, in the absence of any other
	cause
2.	Evidence of current/recent antibody interaction with vascular
	endothelium, including at least one of the following:
	 Linear C4d staining in peritubular capillaries (C4d2 or C4d3 by IF
	on frozen sections, or C4d > 0 by IHC on paraffin sections)
	 At least moderate microvascular inflammation ([g +ptc] > 2)
	 Increased expression of gene transcripts in the biopsy tissue
	indicative of endothelial injury if thoroughly validated
3.	Serologic evidence of donor-specific antibodies (DSAs) (HLA or
0	other antigens)
Chronic 1.	c, active ABMR; all three features must be present for a diagnosis Morphologic evidence of chronic tissue injury, including one or more
1.	of the following:
	 Transplant glomerulopathy (TG) (e.g.> 0), if no evidence of chronic
	 Transplain giomerulopathy (19) (e.g. > 0), in the evidence of circlinate thrombotic microangiopathy Severe peritubular capillary basement
	membrane multilayering (requires EM)
	Arterial intimal fibrosis of new onset, excluding other causes
2.	Evidence of current/recent antibody interaction with vascular
	endothelium, including at least one of the following:
	 Linear C4d staining in peritubular capillaries (C4d2 or C4d3 by IF
	on frozen sections, or C4d > 0 by IHC on paraffin sections)
	 At least moderate microvascular inflammation ([g +ptc] > 2)
	 Increased expression of gene transcripts in the biopsy tissue
	indicative of endothelial injury, if thoroughly validated
3.	Serologic evidence of DSAs (HLA or other antigens)
C4d sta	ining without evidence of rejection; all three features must be present for
a diagno	
	1. Linear C4d staining in peritubular capillaries (C4d2 or C4d3 by
	IF on frozen sections, or C4d > 0 by IHC on paraffin sections)
	2. $g = 0$, ptc = 0, eg = 0 (by light microscopy and by EM if
	available), v = 0; no TMA, no peritubular capillary basement membrane
	multilayering, no acute tubular injury (in the absence of another apparent
	cause for this) 3. No acute cell-mediated rejection (Banff 97 type 1A or greater)
	or borderline changes
	or bordenine charlyes
	ne changes: 'Suspicious' for acute T-cell mediated rejection (may
oincide w	ith categories 2 and 5, and 6)
	egory is used when no intimal arteritis is present, but there are foci of tubulitis
	r t3) with minor interstitial infiltration (i0, or i1) or interstitial infiltration (i2, i3)
	I (t1) tubulitis
	ediated rejection (TCMR, may coincide with categories 2 and 5 and 6)
	ute T-cell mediated rejection (Type/Grade:)
	. Cases with significant interstitial infiltration (>25% of parenchyma affected, i2
	ci of moderate tubulitis (t2)
	. Cases with significant interstitial infiltration (>25% of parenchyma affected, i2
	ci of severe tubulitis (t3)
	A. Cases with mild to moderate intimal arteritis (v1)
	B. Cases with severe intimal arteritis comprising >25% of the luminal area (v2)
	Cases with 'transmural' arteritis and/or arterial fibrinoid change and necrosis o
	oth muscle cells with accompanying lymphocytic inflammation (v3)
	ronic active T-cell mediated rejection
	onic allograft arteriopathy' (arterial intimal fibrosis with mononuclear cell n fibrosis, the formation of neo-intima)
milation If	
Interetiti	al fibrosis and tubular atrophy, no evidence of any specific aetiology

tubulointerstitial features)

I. Mild interstitial fibrosis and tubular atrophy (>25% of cortical area) II. Moderate interstitial fibrosis and tubular atrophy (26-50% of cortical area) III. Severe interstitial fibrosis and tubular atrophy/loss (>50% of cortical area)

6. Other: Changes not considered to be due to rejection-acute and/or chronic Cg, Banff chronic glomerulopathy score; EM, electron microscopy; ENDAT, endothelial activation and injury transcript; g, Banff glomerulitis score; GBM, glomerular basement membrane; IF, immunofluorescence; IHc, immunohistochemistry; ptc, peritubular capillary; TCMR. T cell-mediated rejection; v. Banff arteritis score.

According to the centre policy, 50% was a minimum accepted HLA matching in both, living and deceased donor transplantation. A sequential quadruple Immunosuppression including ATG or IL-2R antagonist induction and Prednisolone, MMF and CNI as a triple-drug maintenance therapy was

introduced to all recipients. After the surgery, the patients were followed by the same team according to the KDIGO recommendations. Usual Lab analyses, proteinuria, GFR, trough immunosuppressant levels, graft ultrasound tomography including Doppler were done practically every month on the outpatient basis.

Feature	Banff		Banff S		
Feature	term	0	1	2	3
Interstitial inflammation (% of nonfibrotic cortex)	i	<10%	10–25%	26–50%	>50%
Total inflammation (% all cortex)	ti	<10%	10–25%	26-50%	>50%
Tubulitis (maximum mononuclear cells/tubule)	t	0	1–4	5–10	>10
Arterial inflammation (% lumen endarteritis)	V	None	<25%	>25%	Transmural or necrosis
Glomerulitis (% glomeruli involved)	g	None	<25%	26-50%	>50%
Capillaritis (cells per cortical PTC, requires >10% of PTC to be affected for scoring)	ptc	<10%	<5/PTC	5–10/PTC	>10/PTC
C4d deposition in PTC (% positive)	C4d	0%	I-9%	10-50%	>50%
Interstitial fibrosis (% of cortex)	ci	<5%	6–25%	26-50%	>50%
Tubular atrophy (% cortex)	ct	0%	<25%	26-50%	>50%
Arterial intimal thickening (% narrowing lumen of most severely affected glomerulus)	cv	0%	<25%	26–50%	>50%
Transplant glomerulopathy (% of capillaries with duplication in most severely affected glomerulus)	cg	0%	<25%	26–50%	>50%
Arteriolar hyalinosis (number with focal or circumferential hyaline)	ah	None	1 focal	>1 focal	1 circumferenti al >50%
Mesangial matrix increase (% affected glomeruli)	mm	0%	<25%	26–50%	>50%

A total of 48 biopsies (one for each patient included in the study) were done exactly on the 12^{th} month after the transplantation. All the biopsies were performed under ultrasound guidance 16 gauge needle was used with an automated "gun". Samples routinely comprised 2 cores to get a sufficient amount of glomeruli. The formalin-fixed biopsies were embedded in paraffin, serially sectioned at 3 and 5 µm thickness and stained with hematoxylin-eosin (HE), Periodic Acid-Schiff (PAS), Masson trichrome as well as methenamine silver. Biopsies were considered adequate when they contained \geq 7 glomeruli and at least one artery.

Renal lesions were reviewed for evidence of chronic and acute changes by the same pathologist using descriptive criteria according to the Banff 2013 scoring schema using a scale from 0-3. At the same time, a frozen section sample was used for Complement (C3) immunofluorescence microscopy. C4d immunohistochemistry was performed on 3 µm thick paraffin sections using "Novolink" Polymer detection system with rabbit anti-human C4d monoclonal antibody [27] [28].

Histological findings were classified into six categories according to BANFF 13 modified and uploaded system: Normal (category 1), Antibodymediated rejection (ABMR category 2), Borderline (BL -category 3), T – cell-mediated rejection (TCMR – category 4), Interstitial fibrosis and tubular atrophy (IF/TA-category 5) and other non-immunological changes (category 6). The BANFF scoring system (from 0-3) was used for the grading of acute and chronic changes occurring in the interstitium, tubules, glomeruli, arteries and arterioles. For diagnosis of ABMR, a revised BANFF 13 criteria which include "C4d negative ABMR" were used [29] [30], (Table 1 and 2). The research was performed following the tenets of the Declaration of Helsinki. Informed consent was obtained from the patients for the protocol biopsies. The research was approved by the Ethical Committee of the Medical Faculty Skopje.

Descriptive statistic was used, frequencies and percentages for categorical data; average values and standard deviation for continuous data.

Results

The demographic and clinical characteristics are present in Table 3.

Table 3: Clinical and demographic data

Data	Number
Age	34.5 ± 11.7
Gender W/M	14/37
Underlying disease	
Glomerulonephritis	16
Hereditary Nephropathy	6
Hypertension	10
Diabetes	3
VUR	2
ESRD	13
HLA Missmatch	3.1± 0.4
Living/deceased donors	40/8
CIT Living/ deceased donor	3.7 ± 0.3/ 10.4 ± 4.2
Induction therapy-Sim/ATG	19/31
Maintenance immunosuppression	
CNI-Cyclosporin/ Tacrolimus	19/31
MMF / Steroids	50/50
Rejection (clinical)	6 (11%)
Serum creatinine (12 month)	126.7 ± 23.4
GFR-MDRD (12 month)	63.4 ± 20.7

VUR – Vesico-ureteral Reflux, ESRD-End Stage Renal Disease, CIT-Cold ischemia time, CNI-Calcineurin Inhibitors, MMF-Mycophenolat Acid, Sim-Simulect, ATG – Anti -thimocyte Globulin.

A total of 50 biopsies in 50 patients were performed. Forty-eight were successful and available for analysis (> 8 glomeruli). The histopathological findings of the 12th month's protocol biopsies were categorised according to the updated Banff 13 scoring system. (Table 4). We noticed that only 15 (31%) cases belong to the category 1 which means normal biopsy. On the other hand, respecting the Banff 2013 criteria strictly, only 1 as sample could be determined as a pure ABMR and one pure TCMR which represents 4% of the cases. Five samples were classified as IF/TA and other 5 to "others", which non-immunological mean histological changes including CNI nephrotoxicity, BK nephropathy or recurrence of the primary disease.

 Table 4: Categorization of biopsies according to the updated

 Banff 2013 scoring system (n = 48)

Banff diagnostic category	Number of cases	Percentage
Normal (Category 1)	15	31
Pure ABMR (Category 2)	1	2
Borderline T-cell rejection (Category 3)	4	8
T-cell mediated rejection (Category 4)	1	2
IF/TA (Category 5)	5	10
Other (Category 6)	5	10
Mixed	17	35

The crucial point of every kidney allograft biopsy, protocol or indicated, is the issue of rejection, whether cellular or humoral. For TCMR (Cat 4) and TCMR borderline rejection (Cat 3) we used Banff scoring for interstitial infiltration (i), tubulitis (t) and arterial inflammation (v) whereas for ABMR glomerulitis (g), transplant glomerulopathy (cg), peritubular capillaritis (ptc), arterial inflammation (v), tubulitis (t) and positive C4d [31] [32] [33].

Table 5: Analysis of "mixed"	' category (n = 17)
------------------------------	---------------------

Category	Number of cases	Percentage %
ABMR (Cat 2) + IF/TA (Cat 5)	7	41
ABMR(Cat 2) + BL (Cat 3)	1	5
ABMR (Cat 2) + BL (Cat 3) + IF/TA (Cat 5)	5	29
BL (Cat 3) + IF/TA (Cat 5)	2	11
ABMR (Cat 2) + TCMR (Cat 4)	1	5
TCMR (Cat 4) + IF/TA	1	5

The rest of kidney biopsies belonged to the category of so-called "mixed" rejection which is presented in Table 5 and Figure 1.

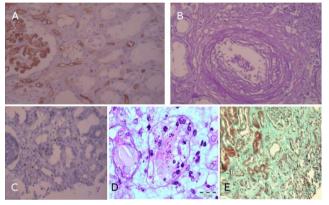


Figure 1: A: C4d positive immunostaining in peritubular capillaries in acute humoral rejection; B: Chronic allograft vasculopathy (arterial blood vessel with fibrointimal thickening); C: CD3 immunostaining for T cell in acute cellular mild rejection. (brown - T lymphocytes); D: Acute cellular rejection – tubulointerstitial – grade 1a: (mild interstitial infiltration and focuses of mild tubulitis > 4 cells cross tubular section/); E: Trichrome Masson histochemical staining: IF/TA (Interstitial fibrosis and tubular atrophy)

Discussion

ABMR

According to literature, the frequencies of ABMR in biopsies 12 months after transplantation differed between patients with/without HLA-DSA (0.2% vs 12%). Our results were closer to the protocol biopsies in patients without HLA-DSA which is 2.2%. Based on the recent BANFF data and a broad spectrum of different opinions about the humoral rejection, we used so-called "expanded criteria" for diagnosis or even "suspicious" for ABMR. It was the reason why we had too much of Category 2 (it means ABMR) in our analysis presented in Table 5. Certainly part of the criteria was also detectable DSA, non-DSA or Non -HLA antibodies. Regarding Cd4 and grading scores, we accept that presence of C4d was not anymore one of the criteria for ABMR. After many controversial findings regarding C4d, a revised Banff

2013 classification included the category of "C4d negative ABMR" [29] [30]. Theoretically, it means that there are alternative non-complement depending reactions of HLA antibodies and graft endothelium. The story of ABMR becomes complicated and this shown by the fact that some was tvpical histopathological ABMR changes may be seen even if HLA and non HLA antibodies exist in the examined serum samples. In the Banff 2013 scoring system the term borderline or "suspicious" was accepted in the criteria for ABMR. It means that suspicious ABMR may even exist if only C4d staining is present without positive anti HLA antibodies and any other typical changes for definitive ABMR [30]. Additionally, any simple presence of vascular inflammation could be sufficient for the diagnosis of ABMR. Despite the different phenotypes, we unified ABMR as one category including "suspicious", acute/active or chronic/active forms which facilitated further analysis and conclusions [26] [28].

TCMR and Borderline Rejection

The percentage of pure TCMR was less than those in the literature 2% vs 8.2% respectively, but if the number is corrected for the numbers of "borderline" changes and TCMR in a mixed group, the percentage was closer to previous reported. The finding of TCMR which was present in different forms and phenotypes in 13 of the biopsies was a certainly interesting issue: one as a pure TCMR, 4 as a Borderline TCMR, 5 as a Borderline together with ABMR and IF/TA, and 3 with IF/TA. According to the previous Banff session, any classified "borderline" changes" should be understood as a rejection and should be treated appropriately, especially if there is an increase of serum creatinine [29] [30] [42]. Moreover, there is evidence that TCMR or borderline TCMR can provoke real ABMR and shorten the graft survival rate. The presence of some ABMR pattern together with TCMR or 'Borderline" TCMR, also deserves attention. Is it evidence that ABMR is already activated by TCMR, or it is simply two separated parallel findings? We are more inclined to the conclusion that, if there is no anti HLA antibodies and any C4d activity, it could be evident that TCMR had already been initiated ABMR [15] [22] [28].

Mixed Rejection

While analysing the findings and classification presented in Table 5, we can conclude that in 35% of the biopsies there was a broad spectrum of histopathological changes which usually does not correspond with the clinical picture. Wehmeier et al., in their study, reported the percentage of mixed rejection in biopsies from 2.6% in patients without DSA and 14% in patients with DSA, up to 22% in biopsies by indication in DSA patients. Mixed rejection means that both TCMR and ABMR with their different

sub -phenotypes are equally present in the graft biopsy. Potentially it could be guite possible that some interactions among them are happening all the time. Anyway, we don't know who came first in the battle. but it is guite frequent especially in the protocol biopsies. According to the very dynamic issue of BANFF meetings and changes which usually come every other year, we strongly believe that the conclusions are still very far from the real clinical use. Bearing in mind that our study is protocol biopsy based, most of our cases belong to the entity of "subclinical" rejection, or simply, subclinical important histopathological changes [22] [28] [34] [35]. It is obvious that there are many different pathohistological patterns which belong to well-defined forms of rejection as ABMR and TCMR but also, at the same time, to TCMR-borderline or ABMR "suspicious" phenotypes. The recent Banff 2013 recognised socalled "Chronic active TCMR" which is different than the usual acute TCMR with features of chronic allograft arteriopathy [30]. Some of the histological changes are very similar to ABMR. Thus, it is not easy to define "mixed" rejection as an entity not only from diagnosis but much more from the point of further treatment. Hence, the clinical decision "what to do" if there are not sufficient data for definitive diagnosis, could be a very complicated issue. Whether these changes could have a negative impact on the longterm clinical outcome or not, it remains to be seen after several years.

IF/TA

In Table 4 and 5, we noted that interstitial fibrosis and tubular atrophy is more frequent finding compared with others studies. Regarding the severity of the IF/TA pathohistological changes in 4 biopsies, they were present in more than 50% of active cortical tissue, in 13 between 25-50% and only one less than 25%, which is close to normal. As a pure form, IFTA is confirmed in 5 cases, but it was present much more in so-called mixed rejection, usually with ABMR (7 cases), Borderline and ABMR (5 cases), Borderline and TCMR (2 cases). IF/TA, especially without histological signs of rejection is considered as a chronic process [18] [28] [36]. But, the fact that IF/TA is the most frequent finding in our 12 months protocol biopsy study means that probably it is part of a permanent process of rejection, either humoral or cellular. The recent gene expression studies confirmed that even without histological evidence of inflammation IF/TA showed a molecular profile of immune-mediated inflammation [37] [38]. In our study, we noticed that among the cases in group "mixed", 7 (41%) belong to ABMR (Cat 2) and IF/TA (Cat 5). Therefore, the finding of any signs of microvascular inflammation, and/or active glomerular lesions together with IF/TA mean that ABMR was directly involved in the interstitial fibrosis. Equally important was a possible role of TCMR and Borderline changes together with IF/TA [39] [40]. Therefore, interstitial

fibrosis may be part of broad immunological events, especially if DSA or Non-DSA are detectable in the patients' sera. However, the presence of inflammation in IF/TA became an unresolved issue even among the experts of the Banff group [15] [18] [41].

Others

In the final 6th group of biopsies, CNI toxicity was a predominant finding, usually as an arterial hvalinosis grade 2 or 3. According to the recent discussion about the nature of chronic CNI toxicity in the era of antibody-mediated rejection, it seems that we should strictly divide what CNI toxicity is and what ABMR is on the other hand [14]. Especially if the anti HLA antibodies are present in the patient's sera. Therefore, the chronic CNI toxicity could be simply replaced by some of the ABMR phenotypes shortly [14]. Until the consensus of that issue is reached, we remain on the actual CNI toxicity pattern among our biopsies despite the fact that we did not observe any potentially toxic CNI level during the 12 months follow up. In the last two biopsies of this group, a BK nephropathy and FSGS recurrence were diagnosed which was also clinically confirmed.

ENDAT

After all that was presented in our analysis, it is evident that additional tests are required to increase the prognostic power of pathohistological assessment in renal transplant patients. In the last two Banff reports, 2013 and 2015, the criteria of "increased expression of gene transcripts indicative of endothelial injury, if thoroughly validated" was included in the whole complicated picture of ABMR. "Thoroughly validated" practically means that it should be confirmed only in a single centre (University of Alberta). Searching for new molecular markers for active endothelial injuries the term "ENDAT" (Endothelial Cell Activation-Associated Transcripts) was broadly introduced [30]. Despite the primary confusion in the definition of rejection as a whole process, the recent data fully justified gene transcripts as a relevant diagnostic tool which should facilitate the diagnosis and clinical use of the biopsy as a golden standard for kidney graft recipients [37] [39].

In conclusion, histologic assessment of kidney transplant recipients by use of 12 months protocol biopsy revealed a huge amount of different histopathological phenotypes and sub-phenotypes of ABMR, TCMR and mixed rejection. Most of them were clinically silent which was very important for further treatment and follow up of kidney transplant recipients. Therefore, 12 months protocol biopsies together with a strict follow up of anti HLA antibodies and clinical picture of kidney transplant recipients is necessary for a successful and long-term graft and patients survival.

References

1. Opelz G, Dohler B, Ruhenstroth A, et al. The Collaborative Transplant Study Registry. Transplant Rev. 2013; 27: 43-45. https://doi.org/10.1016/j.trre.2013.01.004 PMid:23465693

2. Matas AJ, Gillingham KJ, Humar A, et al. 2,202 Kidney Transplant Recipients with 10 Years of Graft Function: What Happens Next? Am J Transplant. 2008; 8: 2410- 2419. <u>https://doi.org/10.1111/j.1600-6143.2008.02414.x</u> PMid:18925907 PMCid:PMC2766174

3. Wang HJ, Skeans MA, Israni AK. Current Status of Kidney Transplant Outcomes: Dying to Survive. Adv Chronic Kidney Dis. 2016; 23: 281-266. <u>https://doi.org/10.1053/j.ackd.2016.07.001</u> PMid:27742381

4. Traynor C, Jenkonson A, Williams Y, et al. Twenty-Year Survivors of Kidney Transplantation. Am J Transplant. 2012; 12: 3289-3295. <u>https://doi.org/10.1111/j.1600-6143.2012.04236.x</u> PMid:22947033

5. McCaughan JA, Courtney AE. The Clinical Cours of Kidney Transplant Recipients After 20 Years of Graft Function. Am J Transplant. 2015; 15: 734-740. <u>https://doi.org/10.1111/ajt.13041</u> PMid:25683898

6. Tasaki M, Saito K, Nagawa Y, et al. 20-Year Analysis of Kidney Transplantation: A single Center in Japan. Transplant Proc. 2014; 46: 437-441. <u>https://doi.org/10.1016/j.transproceed.2013.10.052</u> PMid:24655982

7. Archdecon P, Chan M, Neuland C, et al. Summary of FDA Antibody-Mediated Rejection Workshop. American Journal of Transplantation. 2011; 11: 896-906. <u>https://doi.org/10.1111/j.1600-6143.2011.03525.x</u> PMid:21521465

8. Puttarajappa C, Shapiro R, Tan H. Antibody – Mediated Rejection in Kidny Transplantation: A Review. Journal Of Transplantation. 2012; 2012.

9. Lefaucheur C, Koupya, Vernerey D, et al. Antibody-Mediated Vasular Rejection of Kidney Allografts: A Population-based Study. Lancet. 2013; 381: 313-319. <u>https://doi.org/10.1016/S0140-6736(12)61265-3</u>

10. Wiebe C, Gibson W, Blydt-Hansen, et al. Evolution and Clinical Pathologic Correlations of De Novo- Specific HLA Antibody Post Kidney Transplant. American Journal of Transplantation. 2012; 12:1157-1167. <u>https://doi.org/10.1111/j.1600-6143.2012.04013.x</u> PMid:22429309

11. Hill G, Nochy D, Bruneval P, et al. Donor-Specific Antibodies Accelerate Arteriosclerosis After Kiney Transplantation. J Am Soc Nephrol. 2011; 22: 975-983.

https://doi.org/10.1681/ASN.2010070777 PMid:21493773 PMCid:PMC3083319

12. Loupy A, Hill G, Jordan S. The Impact of Donor – Specific anti-HLA Antibodies on Late Kidney Allograft Failure. Nature. 2012; 8: 348-357. <u>https://doi.org/10.1038/nrneph.2012.81</u>

13. Süsal C, Wettsten BS, Döhler B, et al. Associated of Kidney Graft Loss With De Novo Produced Donor-Specific an Non-Donor-Specific Antibodies Deteted by Single Antigen Testing. Transplantation. 2015; 99: 1976-1980.

https://doi.org/10.1097/TP.000000000000672 PMid:25769065

14. Naesens M, Lerut E. Calcineurin Inhibitor Nephrotoxicity in the Era of Antibody-Mediated Rejection. Transplantation. 2016; 100:1599-1560. <u>https://doi.org/10.1097/TP.000000000001244</u> PMid:27306528

15. Broecker V, Mengel M. The significance of histological diagnosis in renal allograft biopsies in 2014. Transplant Int. 2015; 28:136-145. https://doi.org/10.1111/tri.12446 PMid:25205033

16. Devadass WC, Vanikar VA, Nigam KL, et al. Evaluation of Renal Allograft Biopsies for Graft Dysfunction and Relevance of C4d Staining in Antibody Mediated Rejection. Journal of Clinical and Diagnosis Research. 2016; 10:11-15. https://doi.org/10.7860/JCDR/2016/16339.7433 17. Galichon P, Xu-Dubois YC, Finianos S, et al. Clinical and histological predictors of long-term kidney graft survival. Nephrol Dial Transplant. 2013; 28:1362-1370. https://doi.org/10.1093/ndt/gfs606 PMid:23348884

18. Garcia-Carro C, Dorje C, Asberg A, et al. Inflammation in Early Kidney Allograft Surveillance Biopsies With and Without Associated Tubulointerstitial Chronic Damage as a Predictor of Fibrosis Progression and Development of De-Novo Donor Specific Antibodies. Transplantation. 2016; 100: 1-6.

19. Seron D et Moreso F. Protocol Biopsies in Renal Transplantation : Prognostic Value of Structural Monitoring. Kidney Int. 2007; 72: 690-697. <u>https://doi.org/10.1038/sj.ki.5002396</u> PMid:17597702

20. Henderson LK, Nankivell BJ, Chapman JR. Suveillance Protocol Kidney Transplant Biopsies: Their Evolving Role in Clinical Practice. Am J Transplant. 2011; 11:1570-1575. https://doi.org/10.1111/j.1600-6143.2011.03677.x PMid:21797971

21. Bachelet T, Couzi L, Lepreux S, et al. Kidney Intragraft Donor-Specific Antibodies as Determinant of Antibody-Mediated Lesions and Poor Graft Outcome. American Journal of Transplantation. 2013; 13:2855-2864. <u>https://doi.org/10.1111/ajt.12438</u> PMid:24102857

22. Arias M, Seron D, Herrero I, et al. Subclinical Antibody mediated rejection. Transplantation. 2017; 101:S1-S18. https://doi.org/10.1097/TP.000000000001735 PMid:28538291

23. El Ters M, Grande JL, Keddis MT, et al. Kidney Allograft Survival After Acute Rejection, the Value of Follow-Up Biopsies. Am J Transplant. 2013; 13:2334-2341. https://doi.org/10.1111/ajt.12370 PMid:23865852

24. Rush D. Protocol Transplant Biopsies: An Underutilized Tool in Kidney Transplantation. Clin J Am Nephrol. 2006; 1:138-143. https://doi.org/10.2215/CJN.00390705 PMid:17699200

25. Maluf DG, Mueller TF, Mas VR. Hidden Inflamatory molecular Signatures in Graft Kidney biopsies: Silent Markers of Graft Rate American Journal of Transplantation. 2016; 16:1947-1948. https://doi.org/10.1111/ajt.13754 PMid:26880183

26. Eskandary F, Bond G, Kozakowski N, et al. Diagnostic Contribution of Donor-Specific Antibody Characteristics to Uncover Late Silent Antibody-Mediated Rejection- Results of a Cross-Sectional Screening Study. Transplantation. 2016; 2016.

27. Masin Spasovska J, Spasovski G, Dzikova S, et al. PROTOCOL BIOPSIES IN Kidney Transplant findings as Prognostic Markers for Graft Function and Outcome. Transplant Proc. 2005; 37: 705-708.

https://doi.org/10.1016/j.transproceed.2004.11.032 PMid:15848508

28. Wehmeier C, Amico P, Hirt-Minkovski P, et al. Acute Rejection Phenotypes in the Current Era of Immunosuppression: A Single-Centre Analysis. Transplantation Direct. 2017; 3.

29. Loupy A, Haas M, Solez K, et al. The BANFF 2015 Kidney Meeting Report: Current challenges in Rejection Classification and Prospects fro Adopting Molecular Pathology. Am J Transplant. 2017; 17:28-41. <u>https://doi.org/10.1111/ajt.14107</u> PMid:27862883 PMCid:PMC5363228

30. Haas M, Sis B, Racusen L, et al. BANFF 2013 Meeting Report: Inclusion of C4d – negative Antibody-Mediated Rejection and Antibody-Associated Arterial Lesions. Am J Transplant. 2014; 14: 272-283. <u>https://doi.org/10.1111/ajt.12590</u> PMid:24472190

 Katsuma Ai, Yamakawa T, Yasuyuki N, et al. Histopathological findings in transplanted kidneys. Renal Replacement Therapy.
 2017; 3:6. <u>https://doi.org/10.1186/s41100-016-0089-0</u>

32. Halloran FP, Lopez M, Baretto Pereira A. Identifying Subphenotypes of Antibody-Medaited Rejection in Kidney Transplants. A J Transplant. 2016; 16:908-920. https://doi.org/10.1111/ajt.13551 PMid:26743766

33. Haas M, Mirocha J, Reinsmoen N, et al. Differences in pathologic features and graft outcomes in antibody-mediated rejection of renal allografts due to persistent /recurrent versus de novo donor specific antibodies. Kidney Int. 2017; 91:729-737. https://doi.org/10.1016/j.kint.2016.10.040 PMid:28104301 34. Loupy A, Vernerey D, Tinel C, et al. Subclinical Rejection Phenotypes at 1 year Post-Transplant and Outcome of Kidney Allografts. J Am Soc Nephrol. 2015; 26:1-11. https://doi.org/10.1681/ASN.2014040399 PMid:25556173 PMCid:PMC4483584

35. Mehta R, Sood P and Hariharan S. Subclinical Rejection in Renal Transplantation: Reappraised. Transplantation. 2016; 100:1610-1618. <u>https://doi.org/10.1097/TP.000000000001163</u> PMid:26985747

36. Farris AB, Chan S, Climenhaga B, et al. BANFF Fibrosis Study: Multicenter Visual Assessment and Computerized Analysis of Interstitial Fibrosis in Kidney Biopsies. American Journal of Transplantation. 2014; 14:897-907. https://doi.org/10.1111/ajt.12641 PMid:24712330

37. Mengel M, Gwinner W, Schwarz A, et al. Infiltrates in Protocol Biopsies from Renal Allografts. Am J Transplant. 2007; 7:356-365. https://doi.org/10.1111/j.1600-6143.2006.01635.x PMid:17283485

38. Halloran FP, Chang J, Famulski K, et al. Disappearance of T Cell-Mediated Rejection Despiote Continued Antibody-Mediated Rejection in Late Kidney Transplant Recipients. J Am Soc Nephrol. 2015; 26:1711-1720. <u>https://doi.org/10.1681/ASN.2014060588</u> PMid:25377077 PMCid:PMC4483591

39. O'Connell P, Zhang W, Menon M, et al. Biopsy transcriptome expression profiling to identify kidney transplants risk of chronic injury: a multicerntre, prospective study. Lancet. 2016; 388: 983-989. <u>https://doi.org/10.1016/S0140-6736(16)30826-1</u>

40. Lefaucheur C, Koupy a, Vernerey D et al. Antibody-Mediated Vasular Rejection of Kidney Allografts: A Population-based Study. Lncet. 2013; 381:313-319. <u>https://doi.org/10.1016/S0140-6736(12)61265-3</u>

41. Ishihara H, Ishida H, Unagami K, et al. Evaluation of Microvascular Inflammation in ABO- Incompatible Kidney Transplantation. Transplantation. 2017; 101:1423-1432. https://doi.org/10.1097/TP.000000000001403 PMid:27495756



Serum Apelin: A New Marker of Early Atherosclerosis in Children with Type 1 Diabetes Mellitus

Rania N. Sabry^{1*}, Maged A. El Wakeel¹, Ghada M. El-Kassas¹, Ahmed F. Amer¹, Wael H. El Batal¹, Salwa Refat El-Zayat², Mohamed Abou-El-Asrar³

¹Department of Child Health, National Research Centre, Cairo, Egypt; ²Department of Medical Physiology, National Research Centre, Cairo, Egypt; ³Department of Pediatrics, Faculty of Medicine, Ain Shams University, Egypt

Abstract

Citation: Sabry RN, El Wakeel MA, El-Kassas GM, Amer AF, El Batal WH, El-Zayat SR. Serum Apelin: A New Marker of Early Atherosciencosis in Children with Type 1 Diabetes Mellitus. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):613-617. https://doi.org/10.3889/oamjms.2018.144

Keywords: Type 1 diabetes mellitus; carotid intima-media thickness; Serum apelin; cholesterol; TG; LDL; casecontrol study

*Correspondence: Rania N. Sabry. Department of Child Health, National Research Centre, Cairo, Egypt. E-mail: rania.n.sabry@gmail.com

Received: 06-Dec-2017; Revised: 20-Feb-2018; Accepted: 28-Feb-2018; Online first: 04-Apr-2018

Copyright: © 2018 Rania N. Sabry, Maged A. El Wakeel, Ghada M. El-Kassas, Ahmed F Amer, Wael H. El Batal, Salwa Refat El-Zayat, Mohamed Abou-El-Asrar. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

INTRODUCTION: Type 1 diabetes mellitus (T1DM) is one of the most common chronic diseases in children that may be complicated by micro or macrovascular complications. Measurement of the carotid intima-media thickness (CIMT) allows the early detection of atherosclerotic alterations of blood vessels that may complicate T1DM.

SUBJECTS AND METHODS: This study is a case-control study. Participants were classified into two groups. The first group included 40 children with T1DM and the second group included 30 matched healthy controls. The studied cases were recruited from Endocrinology and Diabetology Unit, Pediatric Hospital, Ain Shams University. Serum apelin, cholesterol, TG, LDL were measured for every case. Also, albumin level was analyzed in urine. Measurement of the carotid intima-media thickness (CIMT) was done for all cases.

RESULTS: Comparison between T1DM patients and controls revealed that serum apelin, cholesterol, TG, LDL and albuminuria were significantly increased in cases compared to controls. Significant positive correlations were detected between HbA1C, albuminuria and lipid profile with apelin in the diabetic group (p < 0.05). CIMT has significant positive correlation with serum apelin levels (r = 0.36, p = 0.05). Also, this study found positive correlations between CIMT and some variables as LDL, SBP z-score and duration of the illness.

CONCLUSION: Increased levels of serum apelin in T1DM patients may be considered as predicting factor for the ongoing development of vascular sequels. This study highlighted the possible validity of apelin assay as an early predictor of atherosclerosis in T1DM children. Evaluating CIMT in these patients is of at most important for early detection of subclinical atherosclerosis.

Introduction

Type 1 diabetes mellitus (T1DM) is a multifactorial health problem worldwide. It is one of the most common chronic diseases in children that may be complicated by micro or macrovascular complications [1]. Endothelial dysfunction and subclinical organ damage indices, such as greater Carotid Intima-Media Thickness (CIMT) and arterial atherosclerotic changes, reflecting higher cardiovascular diseases (CVD) risk in adulthood, are increased with hyperglycemia, hypertension, high LDL and TG concentrations, insulin resistance, increased BMI, proinflammatory status and disturbances in adipocytokines [2]. The direct impedance of adipokines on the endothelium needs further

investigations. Endothelial dysfunction is considered the early stage of atherosclerotic changes that may affect blood vessels in T1DM [3]. It is characterised by abnormalities in the lumen and endothelium of the blood vessels, resulting in vasodilatation response. These changes in vascular endothelium result in increased secretion of inflammatory cytokines that augment adhesion of cellular molecules and other biologically active substances and finally lead to a proinflammatory and prothrombotic state [4]. These mechanisms represent an important step in the development of the initial atherosclerotic changes and subsequent complications in diabetic children [5]. Measurement of the carotid intima-media thickness (CIMT) allows the early detection of atherosclerotic alterations of blood vessels. It is considered a strong predictor of vascular abnormalities in high-risk individuals, such as those with T1DM [6]. Measurement of the intima-media thickness of the large arteries, especially the carotids, has considered as the method of choice for detecting the anatomical extent of arterial wall deterioration and for assessing cardiovascular risk [7]. Several investigators have recommended the clinical use of this technique for detecting subclinical (asymptomatic) atherosclerosis and for identifying subjects at high-risk.

Apelin is one of the adipokines that is secreted from white adipose tissue and has various functions as insulin sensitivity. It has an important role in diabetes mellitus. Furthermore, its concentration is changed according to insulin resistance. It has been shown that apelin has a crucial role in energy metabolism and pathogenesis of diabetes mellitus because white adipose tissue that secretes apelin acts as an endocrine organ [8].

Apelin had been discovered by Tatemoto et al., in 1998 [9]. They suggested that it is the endogenous ligand for angiotensin II protein J (APJ) receptor [10]. Apelin and its receptor APJ are expressed in several tissues like heart, lung, stomach and skeletal muscles. In the past few years, it has been found the possible roles played by apelin in human physiology, It is considered as regulating peptide of cardiovascular, hypothalamus-hypophysis, gastrointestinal and immune systems [11]. Scientists stated that apelin might be a risk indicator in young children prone to atherosclerosis and type 2 diabetes [12]. Moreover, some previous studies found apelin as a novel biomarker for predicting diabetes especially T1DM [13]. In healthy individuals, the level of apelin depends on the nutritional state. It was reduced in fasting and increased by feeding, while in diabetic patients and impaired glucose tolerance it may increase due to insulin resistance [14]. Insulin increased the expression of apelin in adipocytes [15].

This study aims to analyse the role of the adipokine apelin in the pathogenesis and complications of type I diabetes mellitus in children. Also, we aimed to clarify the relation between serum apelin and lipid concentrations and to verify the presence of CIMT and initial structural atherosclerotic changes in children with Type 1 diabetes mellitus (T1DM).

Subjects and Methods

This study is a case-control study. Participants were classified into two groups. The first group included 40 children with T1DM, their mean age was 9.15 ± 3.64 years, and the mean duration of disease was 4.5 years. The second group included 30 matched healthy controls, of same age group. Cases with T1DM were classified into two subgroups

according to HbA1c, group I with HbA1C of 8% or less (well-controlled T1DM) and group II with HbA1c greater than 8% (poorly controlled T1DM).

The studied cases were recruited from Endocrinology and Diabetology Unit, Pediatric Hospital, Ain Shams University. Healthy children were peers of diabetic patients and from local schools. Cases with the chronic or inflammatory disease, cases which were on medications or hormones (other than insulin), cases with known renal disease and systemic disease and acute infection at the time of testing were excluded from the study. The study was approved by the local ethics committee of National Research centre, and written informed consent was obtained from both parents of every participant.

All participants were subjected to:

- Full history with special emphasis on the onset of diabetes.

- Clinical examination and anthropometric measurements including Height (in centimetres) using Harpenden stadiometer and Weight (in kilograms) using an electronic weight scale.

- calculation of BMI: weight in kg/(height in meters) 2 .

- Weight for age, height for age and BMI Z - score were determined using the new WHO reference [16].

- Measuring blood pressure (BP) by a sphygmomanometer.

Venous blood samples (3 ml) were taken from each child participating in the study and divided into two parts: the first part was added to a tube containing EDTA for glycosylated haemoglobin determination by cation-exchange resin and the second part was put in a serum separator tube. The separated serum was stored at -20° C for determination of Apelin, fasting blood sugar, total cholesterol, and triglyceride (TG), HDL and LDL. Fasting blood glucose level was performed on automated clinical chemistry analyser (Olympus AU400). Total cholesterol, triglyceride and HDL were determined using colourimetric techniques on Synchron Cx7 (Beckman Instruments Inc., California, USA). LDL cholesterol was measured by Friedwald formula [17]. For random urinary albumin measurement, an early morning mid-stream specimen was used. The cloudy samples were centrifuged before use, and the clear supernatant was stored at -20°C until analysis. Albumin concentrations were measured in urine using a Minineph microalbumin kit based nephelometry method on on Mininephnephlometer (AD200) (The Binding Site, Birmingham, UK) [18]. We compared albumin in the sample against its creatinine concentration (measured by Jaffe reaction) on a Synchron Cx7 autoanalyser, and the albumin/creatinine ratio was calculated [19]. Serum Apelin was measured by quantitative commercial enzyme-linked immunosorbent assay

ELISA kit supplied from Elabscience Biotechnology Co., Ltd, Wu Han, China-Catalog No: E – EL-H0456 (www.elabscience.com), detection range was between 62.5-400 pg/ml.

Measurement of carotid intima-media thickness (CIMT) was performed using high-resolution B mode ultrasound to detect the thickness of Common Carotid Arteries. All of the carotid scans were done using carotid Doppler ultrasound scanner (Toshiba Ultrasonography machine [Xario], Tokyo, Japan) with a 10.0-MHz linear array transducer following a predetermined standardised scanning protocol [20].

The data were coded, entered and processed by computer using Statistical Program for Social Science version 22 (SPSS Inc., Chicago, IL, USA). Quantitative variables were described in the form of mean and SD concerning age, BMI Z-score, systolic and diastolic BP Z-score, level of HbA1C, cholesterol, TG, and level of Apelin and qualitative variables were described as number and percent concerning sex distribution and presence of albuminuria. To compare quantitative parametric variables between two groups, Student t-test was used. Pearson correlation coefficient was employed to measure the strength and direction of the linear relationship between two variables. A p-value < 0.05 was considered the cut-off value for significance in all analyses.

Results

Subjects in this study were classified into two groups. The first group is cases which involve 40 children with type I diabetes mellitus, of them 17 were males and 23 were females. The second group controls which involve 30 healthy children, of them 11 were males, and 19 were females. No significant difference was found between cases and controls in relation to age.

Table 1 shows a comparison of anthropometric and clinical parameters between cases and controls; there was highly significant difference between the two groups regarding SBP and DBP z-score (p < 0.01). No significant difference was found between the two groups as regards weight, height and BMI z-score.

 Table 1: Comparison of anthropometric and clinical parameters

 between cases and controls

Variable	Cases (n = 40)	Control (n = 30)	Р
	Mean ± sd	Mean ± sd	
Age (years)	9.15 ± 3.64	9.70 ± 4.38	0.63
Weight z-score	0.61 ± 0.47	-0.42 ± 0.67	0.12
Height z-score	-0.48 ± 1.21	-0.27 ± 1.14	0.77
Bmi z- score	0.67 ± 1.28	0.41 ± 0.83	0.52
Sbp z-score	0.76 ± 0.44	0.16 ± 0.36	0.001*
Dbp z-score	1.1 ± 0.49	0.41 ± 0.38	0.000*

Table 2 shows a comparison of laboratory markers between cases and controls. Serum apelin, cholesterol, TG, LDL and albuminuria were significantly increased while HDL was significantly decreased in cases compared to controls.

 Table 2: Comparison of laboratory markers between cases and controls

Variable	Cases (n = 40) Mean ± sd	Control (n = 30) Mean ± sd	Р
Apelin (pg/ml)	1040 ± 576	741.8 ± 231.6	0.015*
Albuminuria (mg/gm creatinine)	46.46 ± 32.08	9.83 ± 5.07	0.02*
Cholesterol (mg/dl)	217.6 ± 44.30	139.27 ± 19.3	0.000*
TG (mg/dl)	156.8 ± 18.94	76.53 ± 7.12	0.000*
LDL (mg/dl)	183.32 ± 46.71	58.16 ± 13.45	0.000*
HDL (mg/dl)	23.27 ± 5.43	46.14 ± 10.2	0.000*

Table 3 shows correlations between Apelin and anthropometric, clinical and laboratory data in the Diabetic group. DBP z-score has significant positive correlation with apelin in diabetic group (p < 0.05). HbA1C, albuminuria and lipid profile of diabetic children had a highly significant positive correlation with apelin (p < 0.001). Mean CIMT in diabetic children was 0.5 ± 0.1 mm.

 Table 3: Correlation between Apelin and anthropometric,

 clinical and laboratory data in the Diabetic group

variable	Diat (N =	petic 40)
	r	p
DBP z-score	0.292	0.02*
HbA1C	0.441	0.001*
Cholesterol	0.404	0.001*
TG	0.401	0.001*
LDL	0.402	0.001*
CIMT	0.362	0.05*
Albuminuria	0.887	0.000*

CIMT had significant positive correlation with serum apelin levels as shown in figure 1 (r = 0.36, p = 0.05). Also, this study found a positive correlation between CIMT and some variables as LDL, SBP z score and duration of the illness (p < 0.05). Also, Duration of diabetes was positively correlated with LDL (p = 0.03). HbA1C was positively correlated with DBP z-score and albuminuria (p < 0.05).

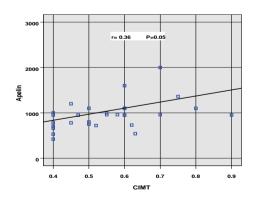


Figure 1: Correlation between serum apelin levels and CIMT

We also investigated the influence of different clinical and laboratory variables on diabetic

nephropathy occurrence. It revealed that serum apelin and Hb1C had a significant influence on the appearance of diabetic nephropathy. This is discovered by detecting a positive correlation between albuminuria and serum apelin, HbAc (p < 0.05).

Discussion

Apelin is an adipokine that is secreted from adipocytes in different organs. It has been shown that serum apelin was involved in glucose homeostasis and also it regulates insulin secretion. In this study, we found that serum apelin levels are significantly increased in children with T1DM in comparison to healthy subjects, this is in agreement with previous studies [21] [22]. This is maybe explained by that apelin action on glucose metabolism is additive to insulin as it increases glucose uptake and transport in tissues [15], also it increases intestinal absorption of glucose [23]. All reported previous actions of apelin make it an anti-diabetic agent. Thus, our study hypothesised that increased levels of apelin in T1DM is a result of compensatory mechanism devoted to decreased insulin levels and to overcome insulin resistance in these patients. This study also found a highly significant positive correlation between serum apelin and HbA1c in diabetic subjects; this is in contrary with a previous study [22], who stated that serum apelin levels were negatively correlated to HbA1c in type 2 diabetic patients, suggesting that circulating apelin is associated with the better glycemic control. This difference may be explained by the fact that patients with T1DM in our study were treated with insulin which plays an important role in apelin secretion and expression.

In this study, we found a significant influence of serum apelin on diastolic blood pressure, it increases with increased serum apelin levels, but it does not affect systolic blood pressure. This is in disagreement with a previous study [12], which discovered that there was not a significant influence of serum apelin on SBP, but there was a tendency to lower DBP with higher levels of serum apelin, this discrepancy is due to that they studied elderly subjects with an increased risk to develop T2DM. To check the further influence of serum apelin on vascular integrity, we studied the CIMT as a parameter for early atherosclerotic changes in blood vessels in T1DM patients. CIMT has been described as a mirror of the atherosclerotic burden and a predictor for the subsequent sequel as myocardial infarction and stroke [24]. From the previous advantages of this technique, we decided to use it as a gold standard for early detection of subclinical atherosclerosis in T1DM patients. We discovered positive correlations between CIMT and LDL: this ensures our recommendation that CIMT should be done for every T1DM patient for early detection of atherosclerosis. We found that serum apelin levels have a significant positive correlation with CIMT in T1DM. Thus, we can consider increased levels of serum apelin in T1DM as predicting factor for the further development of vascular complications of DM in these patients. In our study, HbA1C was positively correlated with DBP z-score. This may be explained by that increased glycemic state is associated with increased vasoconstriction and hypertension due to inflammatory state that induces oxidative stress that alters nitric oxide secretion and degradation and finally has a deleterious effect on vascular endothelial cells. Our results concerning hyperglycemia and increased blood pressure are in agreement with a previous study [25]. Furthermore, this study also clarifies the role of apelin in the occurrence of diabetic nephropathy. We found that serum apelin and Hb1C have a significant influence on the appearance of these complications. We discovered that serum apelin was associated with increased diabetic nephropathy which correlated with microalbuminuria. This is ensured by another study [26], which stated that apelin induce glomerular endothelial cells proliferation and then nephropathy so we can state that increased serum apelin may worsen the condition in T1DM through its influence on diabetic nephropathy.

In our study, we reported that serum cholesterol; TG and LDL were significantly increased in T1DM patients than healthy subjects, but serum HDL was significantly decreased in T1DM patients than healthy subjects. From the previous results, we can consider children with T1DM at higher risk of developing premature atherosclerosis because of hyperlipidemia and thus, should be screened well for this serious complication. Also, we found significant positive correlations between serum apelin and serum cholesterol, LDL and TG in diabetic patients. In support of these results, other study found statistical differences between diabetic and non-diabetic groups as regards serum levels of TG and cholesterol [25]. Also, other study reported the same results as regards serum TG [13]. Few studies describe the effects of apelin on lipid metabolism; one of them stated that apelin was shown to inhibit lipolysis [27]. This was ensured by another study [28] that found that apelin increases the stability of lipid vacuoles making them more resistant to lipases. All these findings support our results that apelin is associated with increased serum lipids and thus can be used as a predictor of premature atherosclerosis in T1DM patients.

In conclusion, increased levels of serum apelin in T1DM patients may be considered as predicting factor for the ongoing development of vascular sequels so measuring serum apelin in these patients is of benefit for early detection of disease complications. Premature subclinical atherosclerosis was documented among T1DM patients due to hyperlipidemia detected in these patients, so we recommend evaluating their CIMT for early detection of subclinical atherosclerosis. CIMT was correlated well with dyslipidemia and serum apelin, a finding that highlighted the possible validity of apelin assay as an early predictor of atherosclerosis in T1DM children.

Acknowlegement

Authors are thankful to the Department of Pediatrics and Radiology Department, Faculty of Medicine, Ain Shams University, Egypt.

References

1. Giannini C, Mohn A, Chiarelli F, Kelnar CJ. Macrovascular angiopathy in children and adolescents with type 1 diabetes. Diabetes Metab Res Rev. 2011; 27(5):436-60. <u>https://doi.org/10.1002/dmrr.1195</u> PMid:21433262

2. Cote AT, Harris KC, Panagiotopoulos C, Sandor GG, Devlin AM. Childhood obesity and cardiovascular dysfunction. J Am CollCardiol. 2013; 62(15):1309-19. <u>https://doi.org/10.1016/j.jacc.2013.07.042</u> PMid:23954339

3. El Wakeel MA, El-Kassas GM, Amer AF, Elbatal WH, Sabry RN, EL-Ghaffar Mohammed NA. E-selectin and vascular complications in children with Type 1 diabetes mellitus. Med Res J. 2014; 13(1):27-32. https://doi.org/10.1097/01.MJX.0000446937.40653.3d

4. El Wakeel MA, Abou-el-asrar M, El-kassas GM, Elabd MA, Zeid DA, Sabry RN, Awadallah E. Urinary Markers of Oxidative DNA Damage in Type 1 Diabetic Children: Relation to Microvascular Complications. Asian J Pharm Clin Res 2017; 10(10):318-22. https://doi.org/10.22159/ajpcr.2017.v10i10.18930

 Sheetz MJ, King GI. Molecular understanding of hyperglycemia's adverse effects for diabetic complications. JAMA. 2002; 288(20):2579-88. https://doi.org/10.1001/jama.288.20.2579

6. O'Leary DH, Polak JF, Kronmal RA, Manolio TA, Burke GL, Wolfson SK Jr. Cardiovascular health study collaborative research group. Carotid artery intima and media thickness as a risk factor for myocardial infarction and stroke in older adults. N Engl J Med.1999; 340(1):14-22. https://doi.org/10.1056/NEJM199901073400103 PMid:9878640

7. Manios E, Tsivgoulis G, Koroboki E, et al. Impact of prehypertension oncommon carotid artery intima-media thickness and left ventricular mass. Stroke. 2009; 40:1515–8.

https://doi.org/10.1161/STROKEAHA.108.528174 PMid:19164793

8. Fairbridge N.A, Southall T.M, Ayre D.C, Komatsu Y, Raquet P.I, Brown R.J, Randell E, Kovacs C.S, Christian S.L. Loss of CD24 in Mice Leads to Metabolic Dysfunctions and a Reduction in White Adipocyte Tissue. PLoS One. 2015; 10. https://doi.org/10.1371/journal.pope.0141966

https://doi.org/10.1371/journal.pone.0141966

9. Tatemoto K, Hosoya M,habata Y et al. Isolation and characterization of a novel endogenous peptide ligand for the human APJ receptor. Biochem Biophys Res commun.1998; 251:471-6. https://doi.org/10.1006/bbrc.1998.9489 PMid:9792798

10. Habata Y, Fujii R, HosoyaM, Fukusumi S, Kawamata Y, Hinuma S, Kitada C, Nishizawa N, Murosaki S, Kurokawa T, Onda H, Tatemoto K, FujinoM.Apelin, the natural ligand of the orphan receptor APJ, is abundantly secreted in the colostrum, Biochim Biophys Acta. 1999; 1452, 25-35. https://doi.org/10.1016/S0167-4889(99)00114-7

11. Ladeiras-Lopes R, Ferreira-Martins J, Leite-Moreira AF. The apelinergic system: the role played in human physiology and pathology and potential therapeutic applications. Arq Bras Cardiol. 2008; 90(5):343-9. PMid:18516406

12. Rittig K, Hildebrandt U, Thamer C, Staiger H, Peter A, Stefan N, Fritsche A, Häring HU, Balletshofer BM, Siegel-Axel D. Apelin serum levels are not associated with early atherosclerosis or fat distribution in young subjects with increased risk for type 2 diabetes. Exp Clin

Endocrinol Diabetes. 2011; 119(6):358-61. <u>https://doi.org/10.1055/s-0030-1268466</u> PMid:21264801

13. Ma WY, Yu TY, Wei JN, Hung CS, Lin MS, Liao YJ, et al. Plasma apelin: a novel biomarker for predicting diabetes. Clin Chim Acta. 2014; 435: 18–23. <u>https://doi.org/10.1016/j.cca.2014.03.030</u> PMid:24721640

14. Yu S, Zhang Y, Li M.Z, Xu H, Wang Q, Song J, Lin P, Zhang L, Liu Q, Huang Q. X, Wang K, Hou W.K. Chemerin and apelin are positively correlated with inflammation in obese type 2 diabetic patients. Chin Med J (Engl). 2012; 125, 3440-3444.

15. Attane C, Daviaud D, Dray C, et al. Apelin stimulates glucose uptake but not lipolysis in human adipose tissue ex vivo. J Mol Endocrinol. 2011; 46:21–8. <u>https://doi.org/10.1677/JME-10-0105</u> PMid:21062936

16. Members of the WHO Multicenter Growth Reference Study Group (WMGRS). WHO child growth standards: length/height-forage, weight-for-age, weight-for-length, weight-for-height and body mass index for age: methods and development. In: WHO Press, editors. WHO Child Growth Standards. Available in

 $http://www.who.int/childgrowth/standards/Technical_report.pdf.$

17. Friedwald WT, Levy RI, Fredrickson DS. Estimation of the concentration of low density lipoprotein cholesterol in plasma without use of the preparative ultracentrifuge. Clin Chem. 1972; 18:499-502.

18. Showell PJ, Matters DJ, Long JM, Carr Smith HD, Bradwell AR. Evaluation of latex-enhanced nephelometric reagents for measuring free immunoglobulin light-chains on a modified MININEPHTM. Clin Chem 2002; 48(Suppl):A22, E.

19. Comper WD, Osicka TM, Jerums G. High prevalence of immunounreactive intact albumin in urine of diabetic patients. Am J Kidney Dis. 2003; 41: 336–342. <u>https://doi.org/10.1053/ajkd.2003.50041</u> PMid:12552494

20. DallaPozza R, Ehringer-Schetitska D, Fritsch P, Jokinen E, Petropoulos A, Oberhoffer R; Association for European Paediatric Cardiology Working Group Cardiovascular Prevention. Intima media thickness measurement in children: A statement from the Association for European Paediatric Cardiology (AEPC) Working Group on Cardiovascular Prevention endorsed by the Association for European Paediatric Cardiology. Atherosclerosis. 2015; 238(2):380-7. https://doi.org/10.1016/j.atherosclerosis.2014.12.029 PMid:25555270

21. Alexiadou K, Kokkinos A, Liatis S, Perrea D, Katsilambros N, and Tentolouris N. Differences in plasma apelin and visfatin levels between patients with type 1 diabetes mellitus and healthy subjects and response after acute hyperglycemia and insulin administration. Hormones (Athens). 2012; 11: 444–450. https://doi.org/10.14310/horm.2002.1376

22. Habchi M, Duvillard L, Cottet V, Brindisi M C, Bouillet B, Beacco M, et al. Circulating apelin is increased in patients with type 1 or type 2 diabetes and is associated with better glycaemic control. Clin Endocrinol (Oxf). 2014; 81: 696–701. <u>https://doi.org/10.1111/cen.12404</u> PMid:24417455

23. Dray C, Sakar Y, Vinel C, Daviaud D, Masri B, Garrigues L, et al. The intestinal glucose-apelin cycle controls carbohydrate absorption in mice. Gastroenterology. 2013; 144: 771–780. https://doi.org/10.1053/j.gastro.2013.01.004 PMid:23313268

24. Fin AV, Kolodgie VR. Correlation between carotid intima-media thickness and atherosclerosis: a point of view from pathology. Atheriscler Throm Vasc Biol. 2010; 30:177–81. https://doi.org/10.1161/ATVBAHA.108.173609 PMid:19679833

25. Nascimento A, Sequeira I, Vasconcelos D, Gandolfi L, Pratesi R, Nóbrega Y. Endothelial dysfunction in children with type 1 diabetesmellitus. Arch Endocrinol Metab. 2017; 26:0.

26. Guo C, Liu Y, Zhao W, Wei S, Zhang X, Wang W, Zeng X. Apelin promotes diabetic nephropathy by inducing podocyte dysfunction via inhibiting proteasome activities. J Cell Mol Med. 2015; 19: 2273-2285. PMid:26103809 PMCid:PMC4568931

27. Yue P, Jin H, Xu S, Aillaud M, Deng AC, Azuma J, et al. Apelin decreases lipolysis via Gq, Gi, and AMPK-Dependent mechanisms. Endocrinology. 2011; 152:59–68. <u>https://doi.org/10.1210/en.2010-0576</u> PMid:21047945 PMCid:PMC3033059

28. Than A, Cheng Y, Foh LC, Leow MK, Lim SC, Chuah YJ, et al. Apelin inhibits adipogenesis and lipolysis through distinct molecular pathways. Mol. Cell. Endocrinol. 2012; 362: 227–241. https://doi.org/10.1016/j.mce.2012.07.002 PMid:22842084



Practical Approach to Lung Health – Experience from the Republic of Macedonia

Biljana Ilievska-Poposka^{*}, Maja Zakoska, Stefan Talevski

Institute for Lung Diseases and Tuberculosis, Skopje, Republic of Macedonia

Abstract

Citation: Ilievska-Poposka B, Zakoska M, Talevski S. Practical Approach to Lung Health – Experience from the Republic of Macedonia. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):618-623. https://doi.org/10.38890/amjms.2018.157

Keywords: Practical Approach to Lung Health; Respiratory Diseases; PHC settings

*Correspondence: Biljana Ilievska-Poposka. Institute for Lung Diseases and Tuberculosis, Skopje, Republic of Macedonia. E-mail: biljana.ilievska@yahoo.com

Received: 24-Feb-2018; Revised: 09-Mar-2018; Accepted: 10-Mar-2018; Online first: 31-Mar-2018

Copyright: © 2018 Biljana Ilievska-Poposka, Maja Zakoska, Stefan Talevski. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: Among the adults and children aged 5 yrs who attend PHC settings, 20-30% seeks to care for respiratory symptoms. Over 80-90% of the respiratory patients suffer from acute respiratory infections (ARI), followed by chronic obstructive pulmonary diseases (COPD), asthma, and less frequently with pneumonia and tuberculosis (TB). To improve the quality of care in patients who seek assistance for respiratory symptoms in PHC settings and the efficiency of respiratory service delivery within healthcare systems, WHO has designated several initiatives among which one is PAL (Practical Approach to Lung Health). PAL is an integrated and symptom-based approach focused on all priority respiratory illnesses encountered in PHC, including TB. Its patient-centred syndromic approach aims to improve the quality of diagnosis and treatment of respiratory illnesses in a PHC setting.

AIM: To evaluate the short-term impact of PAL approach in improving the management of patients with the most frequent respiratory diseases by the GPs from PHC settings in the Republic of Macedonia.

MATERIAL AND METHODS: A total of 588 GPs were educated for the most frequent respiratory diseases during the PAL training from 2013-2016. To evaluate the efficiency of GPs education from PHC settings, GPs fill in a form out of 69 questions for the patients enrolled before (baseline survey) and after PAL training (impact survey), and the results of the two surveys were compared. This analysis aimed to assess if the theoretical and practical skills obtained during the PAL training have been used in the routine practice of the GPs who attended the training and to what degree.

RESULTS: Our results showed that in the impact study more patients with ARI (P < 0.000001) and more patients with COPD exacerbations were treated in the PHC settings (P < 0.00008). More patients suspected of asthma were referred to upper health level for diagnosis (P < 0.037). The comparison of the findings between the baseline and impact surveys suggest that training on PAL had an impact in decreasing drug prescription through a reduction in a prescription for antibiotics for ARI, COPD and asthma. Our study indicates that training on PAL is likely to increase the prescription of inhaled corticosteroids and tends to decrease the prescription of other formulation of these drugs (P < 0.0000001). The impact surveys showed that the patients with COPD and pneumonia in the PHC settings were better managed due to the more frequent use of CAT questionnaires and CURB test. And regarding TB cases, our study indicates that the GPs were more aware of this disease and were more willing to take part in the patient treatment follow-up (P < 0.000001).

CONCLUSION: The results from this study showed that implementation of PAL approach for GPs from the PHC setting in our country have positive results (effect) in the management of patients with respiratory symptoms: it is likely to reduce prescribing for antibiotics, to increase the use of inhalation medication which is highly recommended in the management of asthma and COPD, and to decrease the referral of patients with chronic diseases to the upper health level. However, more experience is needed for long-term influence on the effects over the cost-effectiveness of respiratory care services and on strengthening the health care system.

Introduction

Among the adults and children aged 5 yrs who attend PHC settings, 20-30% seeks to care for respiratory symptoms [1]. Underlying causes are wide-ranging, spanning from the common cold to pulmonary tumours and their frequencies of occurrence differ widely [2]. Over 80-90% of respiratory patients suffer from acute respiratory infections (ARI), with a majority suffering from upper respiratory infections [3]. Among these respiratory infections, pneumonia and tuberculosis (TB) are usually infrequent (1-2%). Chronic respiratory diseases account chronic obstructive pulmonary disease (COPD), and asthma is encountered more often than TB and less frequently than ARI. However, worldwide asthma prevalence has been on the increase in several settings during the past few decades [4]. The common point is that all respiratory diseases, if not diagnosed, treated and managed timely and correctly, are problematic for individuals and public health alike. In PHC settings, clinical symptoms presented by pulmonary TB patients are, in general, very similar to those symptoms displayed by non-tuberculous respiratory patients, particularly in those with persistent symptoms. Clinicians in primary health care (PHC) facilities, who are at the centre of all this, often feel challenged, as finding the right path in a vast jungle of similar respiratory symptoms can be a tedious and misleading endeavour. The complex path constitutes making the correct diagnostic, treatment and management choices for all respiratory patients [5].

The World Health Organization (WHO) estimates that approximately 50% of people with TB are never diagnosed as having the disease and so cannot benefit from treatment, leaving the epidemic unchecked despite increasing global coverage by treatment programs [6]. Improved passive case detection is fundamental for the control of the TB epidemic and depends on alert clinicians identifying TB in patients seeking primary care for respiratory symptoms. To remedy this challenging situation, the Stop TB Department of WHO has designated several initiatives to improve global TB control, among which one is PAL (Practical Approach to Lung Health), which was initiated in 1998. PAL is an integrated and symptom-based approach focusing on all priority respiratory illnesses encountered in PHC, including TB. PAL tries to shine a guiding light in the vast respiratory jungle. Its patient-centered syndromic approach aims to improve the quality of diagnosis and treatment of respiratory illnesses in a PHC setting. It to standardise service delivery through seeks development and implementation of clinical guidelines and managerial support within district health system. It is intended to coordinate among different levels of health care and between tuberculosis (TB) control and general health service [5].

PAL has the following specific goals: 1) to improve the quality of management of patients with respiratory symptoms in the setting of PHC. 2) To improve the efficiency of the delivery of respiratory services within the overall health care system, with a focus on coordination and integration of respiratory case management within the district health care system of low-and-middle-income countries, particularly those with already successful TB control programs or a high prevalence of HIV infection [5]. PAL is aimed at improving the management of major respiratory disorders, and in the process increases the identification of TB patients among all those with compatible symptoms who seek care in PHC settings [6] [7] [8].

In October 2013, the national tuberculosis program (NTP) of the Republic of Macedonia initiated the process of PAL approach for PHC settings as part of the activities from the Global Fund Project for HIV/AIDS, malaria and TB. PAL project was conducted with the aim to educate the general practitioners (GPs) from PHC settings how to improve the quality of care in patients who seek assistance for respiratory symptoms in PHC settings and the efficiency of respiratory service delivery within health care systems, focusing on the district health. PAL concentrates on the most prevalent respiratory diseases at first-level health facilities-pneumonia, acute bronchitis and other acute respiratory infections, TB, chronic respiratory conditions including chronic bronchitis, asthma and COPD.

It aims at improving the identification and management of TB concerning the other respiratory illnesses. as well as the identification and management of non-tuberculous respiratory conditions concerning TB. It also aims to improve coordination between the different components and programs of the health care system, including the National TB Program, as many components are involved in the management of patients with respiratory symptoms [6].

The study aimed to evaluate the short-term impact of PAL approach in improving the management of patients with the most frequent respiratory diseases by the GPs from PHC settings in the Republic of Macedonia.

Material and Methods

PAL implementation followed 9 standardized steps: 1) enlist the national working group (NWG) who will support the PAL strategy; 2) estimate the burden of respiratory diseases; 3) assess the capabilities of the health infrastructure in implementing the PAL strategy; 4) develop clinical guidelines; 5) formulate an information system to monitor and evaluate the implementation; 6) develop training materials; 7) test the implementation of the clinical guidelines and the information system in a pilot area; 8) develop a national implementation plan; and 9) organize systematic supervision and evaluation of the PAL strategy.

The NWG for PAL implementation has within the National TB Program worked in collaboration with the Ministry of Health. Training material was developed by the NWG, and the implementation was targeted with appropriate utilisation of PAL guidelines by the health care workers in their daily tasks. At the PHC level, respiratory patients have been managed by their symptoms, but this is not carried out in a systematic and standardised manner [11]. So, it was very important to prepare guidelines adapted for primary care. The guidelines were designed to improve the

case management of respiratory diseases at PHC outpatient services and first referral hospitals. Guidelines took into account the context of the country, the health policy and the existing national guidelines. They have also to be evidence-based. Healthcare providers use the guidelines to perform step-by-step patient evaluations, to determine the specification of the most suitable form for disease management. It was decided to provide all GPs from PHC settings with peak flow meters.

The first phase of PAL education started at the end of 2013 and engaged 84 GPs from PHC settings. In the second phase, PAL education was enhanced to nearly the whole territory of the country, and the total number of 588 GPs was engaged until the end of 2016. PAL educations were organised as workshops within two days. The maximum number of the participants was 30 divided into two working groups.

Any patients aged 5+ years that sought care for at least 1 respiratory symptom in any of the selected PHC settings during the study period were eligible to be enrolled in the surveys. The GPs enrolled in the study registered specific information on every enrolled patient in a registry form. To evaluate the efficiency of GPs education from PHC settings, GPs filled in a form out of 69 questions for the patients enrolled before (baseline survey) and after PAL training (impact survey), and the results of the two surveys were compared. Both surveys were carried out in the same season and the same PHC settings and involved the same GPs. This analysis included the number of examined patients, especially those with respiratory symptoms as well as the manner the GPs treated them and how many patients were suspected for TB and were admitted to the higher level, then the subscribed therapy for the patients, particularly the number of antibiotics and inhalation therapy. The analyzed period in the baseline survey was one month before the educational training and the period in the impact survey was one month after the educational training. This analysis aimed to assess if the theoretical and practical skills obtained during the PAL training have been applied in the routine practice of the GPs who attended the trainings and to what degree.

Chi-squared test was used to for comparison of the proportions between the data in the baseline and impact study. A statistical difference was considered significant when the p-value was < 0.05.

Results

Among the total number of 80746 patients who admitted to the PHC settings due to different symptoms before the PAL education, 21 762 or 26.9%

were patients who sought care for respiratory symptoms. One month after the PAL education, 84 449 patients visited PHC settings, and 24 152 or 28.6 % sought care for respiratory symptoms. So the baseline survey registered 21 762 patients and the impact survey 24 152 patients with respiratory symptoms (Table 1).

 Table1: Total number and % of patients admitted to the PHC settings and patients with respiratory symptoms

Patients admitted to PHC settings	Baseline survey		Impact survey	
	Amount	%	Amount	%
Total patients Total patients with	80 746	100	84 449	100
respiratory symptoms	21 762	26.9	24 152	28.6

Among the patients with respiratory symptoms in the PHC settings, the most frequent were patients with ARI-72%, then the patients with COPD, asthma, pneumonia and TB. The patients with different respiratory diseases had very similar distribution before and after PAL education (Table 2).

Table 2: Distribution of patients with different respiratory diseases

Respiratory diseases	Baseline survey		Impact survey	
	Amount	%	Amount	%
ARI	15647	72,0	17075	70,6
COPD	3916	17,9	4257	17,6
Asthma	1721	7,9	2077	8,6
Pneumonia	435	2,0	531	2,2
ТВ	43	0,2	212	0,9
Total	21762	100	24152	100

In Table 3 were showed that more patients with ARI were treated in the PHC setting in the impact than in the baseline survey and it was statistically significant (P < 0.000001). Regarding the prescribed antibiotics, in the impact survey, a smaller number of patients (46%) received antibiotics in comparison with the baseline survey.

Table 3: Management of patients with ARI

	Baseline survey		Impact s	survey
_	Amount	%	Amount	%
Treated in PHC				
settings	12703	81.2	16682	97.7
Referral to the				
upper health level	2944	18.8	393	2.3
Total	15647	100	17075	100
	Statistical s	significant P < 0.	000001	
Prescribed		-		
antibiotics	8590	54.9	7854	46.0

The results showed that statistically smaller number of patients with significantly exacerbation of COPD was referred to the upper health level in the impact survey (P < 0.00008) (Table 4). There was a decrease in the number of prescribed antibiotics for COPD patients in the impact survey. Another positive result was that the CAT questionnaire (for the assessment of the patient's symptoms) was used more frequently (18.1%) from the GPs in the PHC settings in the impact survey than in the baseline (13.2%).

Table 4: Management of patients with COPD

	Baseline survey		Impact survey		
-	Amount	%	Amount	%	
Treated in PHC settings	2910	74.3	3342	78.5	
Referral to the upper health level because of exacerbation	1006	25.7	915	21.5	
Total	3916	100	4257	100	
Statistical significant	P<0.00008				
Prescribed antibiotics	2102	53.7	1230	28.9	
Referral to the upper	3739	95.5	2132	50.1	
health level for diagnosis					

Regarding the patients with asthma, there were statistically significant differences in the number of patients treated in the PHC settings and the referral to the upper health level (Table 5) in the baseline and impact surveys. It was obvious that more patients for diagnosis were referred to the upper health level after the PAL training.

Table 5: Management of patients with asthma

	Baseline survey		Impact survey	
	Amount	%	Amount	%
Referral to the upper	235	13.7	334	16.1
health level for treatment				
Treated in PHC settings	1486	86.3	1743	83.8
Total	1721	100	2077	100
Statistical significant	P<0.037			
Referral to the upper	270	15.7	615	29.7
health level for diagnosis				

Regarding the prescribed therapy for the patients with asthma, in the baseline survey inhaled corticosteroids (CS), oral CS and antibiotics were prescribed in 70.9%, 11.6% and 20.5% of patients, and in the impact study in 75.8%, 9.1% and 17.4% separately. It was statistically significant that the GPs prescribed more inhaled that oral CS, and fewer antibiotics in the impact survey (Table 6). Also, more clinicians use to measured peak expiratory flow (PEF) for monitoring the patients with asthma after PAL education (47.2%) in comparison with the period before PAL education (21.6%).

Table 6: Prescribed therapy for the patients with asthma

	Baseline survey		Impact survey	
	Amount	%	Amount	%
Prescribed inhaled CS	1220	70.9	1574	75.8
Prescribed oral CS	199	11.6	189	9.1
Prescribed antibiotics	352	20.5	361	17.4
Pef	371	21.6	980	47.2
Total	1721	100	2077	100
Statistical significant P < 0	.0000001			

There were no statistically significant differences in the treatment of patients with pneumonia before and after PAL education. But it is obvious that GPs used the CURB test most frequently for assessment of the degree of severity of pneumonia and the appropriate management of patients (Table 7).

Table7: Management of patients with pneumonia

	Baseline survey		Impact survey			
	Amount	%	Amount	%		
Referral to the upper	61	13.8	72	13.6		
health level for treatment						
Treated in PHC settings	374	86.2	459	86.4		
Total	435	100	531	100		
Statistical significant	P = 0.83					
CURB test	97	22.4	199	37.6		

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):618-623.

The number of TB cases in the PHC setting was very low: among the total number of patients with respiratory diseases, there were only 21 and 24 suspect cases for TB (0.1%), in the baseline and the impact survey. It is important to notice that in Macedonia, diagnosis, treatment and follow-up of TB cases are in the responsibility of the clinicians from dispensaries and hospitals for lung diseases and TB and the GPs from the PHC settings are in very small proportion included in the management of these patients. But with the PAL approach, there were a statistically significantly bigger number of TB cases for whom GPs from PHC settings were aware and had followed their treatment during the continuous phase of the treatment regime. In the impact survey, there were 43 patients with diagnosing for TB and in the baseline survey 212. GPs in the PHC setting were aware for the adverse reactions due to the TB drugs in 7.1 before and 12.5 % out of the TB cases after the PAL education (Table 8) (P < 0.00001).

Table 8: Management of patients with tuberculosis

	Baseline survey		Impact survey		
-	Amount	%	Amount	%	
Suspect for TB	21	0.1	24	0.1	
TB cases	43	0.2	212	0.9	
Adverse reaction of TB drugs	3	7.1	26	12.5	
-		P	< 0.000001		

Discussion

The Practical Approach to Lung Health (PAL) is one of the strategies intended to overcome the challenge posed by weak health systems. This initiative is aimed at managing respiratory patients in primary health care settings while expanding TB detection and good-quality of TB services. Thus, it provides clear orientation on the coordination of healthcare between different levels and within relevant structures of general health services, incorporating well-defined country adapted criteria for patient referral.

To meet the requirements established by WHO for PAL testing in this work the same study protocol was used both, in the baseline and impact surveys [7]. Both surveys took place in the same seasons, in the same PHC settings and with the same GPs to ensure comparability between the data sets of the 2 surveys. As the 588 GPs were the same in both surveys, it is expected that the changes in their work with respiratory patients are due to the PAL education.

Our results showed that in the impact study more patients with ARI (P < 0.000001) and more patients with COPD exacerbations were treated in the PHC settings (P < 0.000008). More patients suspected of asthma were referred to upper health level for diagnosis (P < 0.037). The comparison of the findings between the baseline and impact surveys suggest that training on PAL had an impact in decreasing drug prescription through a reduction in a prescription for antibiotics for ARI, COPD and asthma. Our study indicates that training on PAL is likely to increase the prescription of inhaled corticosteroids and tends to decrease the prescription of other formulation of these drugs (P < 0.0000001). The impact surveys showed that the patients with COPD and pneumonia in the PHC settings were better managed due to the more frequent use of CAT questionnaires and the CURB test. And regarding TB cases, our study indicates that the GPs were more aware of this disease and were more willing to take part in the patient treatment follow-up (P < 0.000001).

Similar results were reported in other countries where PAL methods have been initiated. The proportion of diagnosed cases with chronic respiratory diseases increased in the Jordan impact study [9]. In the same way, asthma diagnosis increased in Algeria after the PAL training (15.3% versus 10.3%) [10], whereas in Syria, the proportion of patients with asthma remained at 4.5% in both surveys, contrasting with the increase in COPD diagnosis (1.5% versus 0.7%) [11]. In Kyrgyzstan, significant achievements were reported within the PAL implementation period as mortality rates from respiratory diseases were reduced by 23% [12].

The implementation of PAL guidelines promotes rational use of drugs for respiratory diseases [13]. Inappropriate reliance upon antibiotics and underuse of inhaled corticosteroids in asthma are very frequent in PHC facilities [14]. Overuse of antibiotics due to underdiagnoses and misdiagnosis of respiratory conditions is a major concern [14]. Preventing and managing antimicrobial resistance is imperative as the presence of multidrug-resistant organisms has generated substantial apprehension among clinicians and public health experts [15]. In Nepal. the implementation of PAL guidelines implementation resulted in a reduction in multiple drug prescription and increased the prescription of generic drugs, as well as prescriptions from the essential drug list [16]. Use of PAL guidelines increased TB suspicion and TB detection in the majority of the countries [10] [11] [14]. Detection was improved whatever the country prevalence of TB was. The advantages were not only increased in the sputum smear-positive case TB detection, but also in increased detection of extrapulmonary TB [10]. Results from some study showed improvement in the quality of care for TB patients, and improvement of the successful treatment completion rates among TB retreatment cases [17]. In Kyrgyzstan, however, no improvement was observed concerning TB [3].

GPs in the PHC setting have the following advantages from the PAL implementation: to correctly interpret the key signs and symptoms; assess diagnosis; determine the degree of severity (e.g.in asthma patients); suggest adequate treatment; and, if necessary, identify referral options. It is expected that long-term application of PAL will further underscore possible PAL impacts. The improved integration of respiratory care is expected to increase the proportion of respiratory patients managed in PHC and to decrease the proportion of hospitalised cases. Improved diagnostic quality of pulmonary TB among respiratory patients and reduced cost of respiratory case management are also among the long-term advantages that PAL will ensure.

The results from this study showed that the implementation of the PAL approach for GPs from the PHC setting in our country had had a positive effect in the management of a patient with respiratory symptoms. The findings suggest that implementation of PAL approach in the PAL settings is likely to reduce the prescribing of antibiotics, to increase the use of inhalation medication which is highly recommended in the management of asthma and COPD, and to decrease the referral of patients with chronic diseases to the upper health level. The PAL approach identified syndromes using symptoms and signs that best predict each disease. These results are in line with the findings of studies on PAL carried out in other country settings. However, more experience is needed for long-term influence on the effects on costeffectiveness over the respiratory care services and over strengthening the healthcare system.

References

1. Brimkulov N, Ottmani S, Pio A, et al. Feasibility test results of the Practical Approach to Lung Health in Bishkek, Kyrgyzstan. Int J Tuberc Lung Dis. 2009; 13: 533–539. PMid:19335962

2. Ottmani S-E, Scherpbier R, Chaulet P, et al., eds. Respiratory care in primary care services: a survey in 9 countries. WHO/HTM/ TB/2004.333. Geneva, World Health Organization, 2004. PMid:14974754

3. Brimkulov N, Ottmani S, Pio A, et al. Feasibility test results of the Practical Approach to Lung Health in Bishkek, Kyrgyzstan. Int J Tuberc Lung Dis. 2009; 13: 533–539. PMid:19335962

4. Global Initiative for Asthma. Pocket guide for Asthma Management and Prevention (for adults and children older than 5 years). Global Initiative for Asthma, 2016.

5. M. van den Boom, A. Seita, S. Ottmani and G.B. Migliori. Finding the way through the respiratory symptoms jungle: PAL can help. Eur Respir J. 2010; 36: 979–982.

https://doi.org/10.1183/09031936.00116810 PMid:21037364

6. STOP TB Partnership. Stop TB Planning Tools for Global Fund Round 10 TB proposal preparation. Geneva, World Health Organization, 2010.

7. Practical Approach to Lung Health, Manual on initiating PAL implementation. WHO/HTM/TB/2008.410, WHO/NMH/CHP/CPM/08.02. Geneva, WHO, 2008.

8. Ottmani S, Mahjour J. Thepractical approach to lung health strategy for integrated respiratory care. In: Raviglione MC, ed. Reichman and Hershfield's Tuberculosis: a Comprehensive, International Approach. 3rd Edn. New York, Informa Healthcare USA, Inc., 2006: 1059–1081.

9. Abu Rumman K, Ottmani S, Abu Sabra N, et al. Training on the

practical approach to lung health: effect on drug prescribing in PHC settings in Jordan. East Mediterr Health J. 2009; 15:111–121. PMid:19469433

10. Zidouni N, Baough L, Laid Y, et al. Practical approachtolunghealth strategy in Algeria. Int J Tuberc Lung Dis. 2009; 13:1029–1037. PMid:19723385

11. Me'emary F, Ottmani S, Pio A, et al. Results of the feasibility test of the Practical Approach to Lung Health in the Syrian Arab Republic. East Mediterr Health J. 2009; 15:504–515. PMid:19731766

12. Sydykova S, Brimkulov N. Kyrgyzstan Experience: Practical Approach to Lung Health Strategy: Solution for Controlling Common Respiratory Diseases. Geneva Health Forum, 18–20 April, 2012.

13. Shrestha N, Samir KC, Baltussen R, et al. Practical Approach to Lung Health in Nepal: better prescribing and reduction of cost. Trop Med Int Health. 2006; 11:765–772.

https://doi.org/10.1111/j.1365-3156.2006.01599.x PMid 16640631

14. English RG, Fairall LR, Bateman ED. Keeping allergy on the agenda: integrated guidelines for respiratory disease in developing countries. Allergy. 2007; 62:224–229. https://doi.org/10.1111/j.1398-9995.2007.01321.x PMid:17298338

15. Raviglione MC, Lange C, Migliori GB. Preventing and managing antimicrobial resistance: imperative for chest physicians. Eur Respir J. 2011; 37:978–981. https://doi.org/10.1183/09031936.00003111 PMid:21532012

16. Samir KC. Lung Health in Rural Nepal. Multi-State Modeling of

Health Status and Economic Evaluation of Integrated Respiratory Care Guidelines. Laxenburg, International Institute for Applied Systems Analysis, 2009. PMCid:PMC4304644

17. Bachmann MO, Fairall LR, Lombard C, et al. Effect on tuberculosis outcomes of educational outreach to South African clinics during two randomised trials. Int J Tuberc Lung Dis. 2010; 14:311–317. PMid:20132622



The Alteration of Plasma Matrix Metalloproteinase-9 Level after the Addition of Bromelin 500 mg to Standard Therapy of Acute Ischemic Stroke and Its Correlation with Outcome

Puji Pinta Sinurat^{1*}, Hasan Sjahrir¹, Aldy S. Rambe¹, Ratna Akbari Ganie²

¹Department of Neurology, Faculty of Medicine, Universitas Sumatera Utara, Medan, Indonesia; ²Department of Clinical Pathology, Faculty of Medicine, Universitas Sumatera Utara, Medan, Indonesia

Abstract

Citation: Sinurat PP, Sjahrir H, Rambe AS, Ganie RA, The Alteration of Plasma Matrix Metalloproteinase-9 Level after the Addition of Bromelin 500 mg to Standard Therapy of Acute Ischemic Stroke and Its Correlation with Outcome. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):624-628. https://doi.org/10.3889/loamjims.2018.151

Keywords: Acute Ischemic Stroke; Matrix Metalloproteinase-9; Bromelin; Outcome

*Correspondence: Puji Pinta Sinurat. Department of Neurology, Faculty of Medicine, Universitas Sumatera Utara, Medan, Indonesia. E-mail: pujipintasinurat@gmail.com

Received: 06-Jan-2018; Revised: 01-Mar-2018; Accepted: 03-Mar-2018; Online first: 06-Apr-2018

Copyright: © 2018 Puji Pinta Sinurat, Hasan Sjahrir, Aldy S. Rambe, Ratna Akbari Ganie. This is an openaccess article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: Matrix metalloproteinase-9 (MMP9) expression due to ischemic cause spreading of brain damage. Previous studies have reported that Bromelin was beneficial as anti-inflammation and prevent brain tissue damage.

AIM: This study aimed to determine the alteration of plasma MMP9 level after addition of Bromelin 500 mg to Standard therapy and its correlation with outcome in acute ischemic stroke.

METHODS: This was a preliminary report of a prospective randomised, double-blind study with pre and post-test design, forty-six acute ischemic stroke patients were randomly allocated with Bromelin and Standard groups. Measurement of MMP9 and outcome were performed before and after 14-days treatment.

RESULT: The Bromelin group showed a significant decrement of MMP9 level, from 6.02 ± 0.32 ng/ml before treatment to 5.50 ± 0.94 ng/ml after treatment (p = 0.028). There was a negative correlation between MMP9 level and mRS (r= -0.03; p = 0.905) and a positive correlation toward BI (r = 0.039; p = 0.859), while the Standard group showed increased MMP9 level from 5.82 ± 0.71 ng/ml to 5.91 ± 0.83 ng/ml (p = 0.616) which was correlated insignificantly to outcome.

CONCLUSION: We concluded that the addition of 500 mg Bromelin to standard ischemic stroke therapy reduced MMP9 level significantly and correlated to outcome improvement. However, there is a tight statistical correlation.

Introduction

Ischemic cascade is a complex event and not yet understood entirely, but it can be concluded as bioenergy failure due to focal brain hypoperfusion followed by excitotoxicity, oxidative stress, disruption of blood-brain barrier, microvascular injury, hemostatic activation, post-ischemic inflammation results in cell death, and irreversible dysfunction of neuron cells, glial cells, and endothelial cells [1] [2] [3].

Up until now, the only FDA (The Food and Drug Administration) approved treatment for acute ischemic stroke is a thrombolytic therapy using rt-PA for reperfusion and save the brain tissue from ischemia. This treatment is effective if performed within 3 hours after stroke onset (in the USA), or in Europe within 4.5 hours. Data from the National Institute of Neurological Disorders and Stroke (NINDS) rt-PA Stroke Study revealed that rt-PA treatment given 3 hours after onset could improve clinical outcome and quality of life after 3 months of treatment. But until now, the number of patients who arrived at the hospital under 3 hour after onset is still very low. Also, there are many requirements for this therapy can be performed. In the USA, only 10 % of the patients receive this facility. In the other hand, reperfusion using rt-PA can sometimes cause hemorrhagic transformation which can be dangerous [4] [5] [6] [7].

Inflammation is an important aspect of the pathophysiology of stroke. Recent studies have proved that inflammation and immune response play an important role in a person's vulnerability of having stroke and degree of prognosis, this is due to the extent of brain tissue damage caused by them. The ischemic condition will trigger activation of microglia, acting as a sensor and is a resident immune cell in the central nervous system. But over activation of microglial can be neurotoxic by the release of Reactive Oxygen Species (ROS), Nicotinamide Dinucleotide Phosphate (NaDPH) oxidase. proinflammatory cytokine and induction also activation neurovascular proteinase such as matrix metalloproteinase (MMP), particularly MMP9. After stroke onset, MMP expression becomes uncontrolled, as proteolysis that disrupts the integrity of blood-brain barrier, causing increased permeability of blood-brain barrier leading to brain oedema, neuronal injury, apoptosis/cell death. Other than that, the MMP9 increment will trigger inflammation response through resident cells activation and greater leucocyte infiltration that can cause oedema to worsen. The extent of blood-brain barrier disruption is correlated to type, severity and duration of ischemia [2] [5] [8] [9] [10] [11] [12] [13] [14] [15] [16] [17].

Matrix Metalloproteinase-9 is the most common MMP related to stroke event, and many interventional studies have been performed with the objective to inhibit MMP9 showed better clinical outcome. In normal condition, MMP9 expression in brain tissue is minimal to undetected [5] [7] [12] [14].

Naturally, there is inhibition of MMPs by TIMPs. The work of MMPs and their inhibitors are the backgrounds of developing a new therapy for acute ischemic stroke to suppress the ischemic cascade that can spread brain damage so that morbidity and mortality from a stroke can be reduced [18].

A study by Zhao et al. 2006 (cit. Zlokovic 2006) on rats reported that rats that had increased MMP9 showed worse neurological clinical outcome with hemorrhagic complication [18]. The study by Yamashita and Abe, 2011 revealed that edaravone suppress MMP9 expression which protects brain microvascular integrity showed survival improvement and neurological clinical outcome [19]. Lo et al. (cit. Cui et al. 2012) reported that minocycline, a broad spectrum MMP inhibitor can reduce neuronal cell death after ischemia and adding time window for thrombolytic therapy [14].

Bromelin is a proteolytic enzyme (protease) fall under hydrolase, which can break peptide bond, separating proteins and amino acids. In 2006, FDA included Bromelin as a food additive and was a safe substance. Its proteolytic activity makes Bromelin widely used, for example in the food industry, as a supplement, and the substance that prevents browning in apple juice, meat tenderiser, an additive in the cosmetic industry for peeling effect, leather industry for smoothing and washing, and in textile industries [22]. It is accepted as phytotherapy agent and has been accepted as a therapeutic drug for its safety and efficacy. It was first introduced in 1957 (Kelly 1996) for acute inflammation and sports injury. This enzyme has broad-spectrum therapeutic efficacy proven in vitro and in vivo [20] [21] [23] [24] [25] [26] [27].

This enzyme has broad-spectrum therapeutic efficacy, proved in vitro and in vivo, has anti-oedema property, anti-inflammation, antithrombotic, fibrinolytic and malignancy. It's very low toxicity makes this drug save to be used in controlling chronic inflammation diseases [20] [21] [22] [23] [24] [25] [27] [28] [29] [30].

The objective of this study was to determine alteration of plasma MMP9 level in acute ischemic stroke patients after addition of Bromelin 500 mg administered twice a day to standard therapy (aspirin 300 mg once a day) for 14 days, and its correlation to stroke clinical outcome evaluated with modified Rankin Scale (mRS) and Barthel Index (BI).

Material and Methods

This was a double-blind clinical trial with pre and post-test design, performed at Adam Malik General Hospital in Medan, Indonesia, within April 2016 to April 2017, toward acute ischemic stroke patients, diagnosed by Head CT-scan. Inclusion criteria were acute ischemic stroke patients above 18 years old, the first attack and giving consent to be enrolled in this trial. Exclusion criteria were a cardioembolic stroke, brain stem lesion, not having upper gastrointestinal bleeding, and not having history on using anticoagulant drugs, antiplatelet aggregation drugs or anti-inflammation drugs. There were 46 participants who met the criteria, randomised into 2 treatment groups: Standard and Bromelin group, with 23 subjects in each group. All subjects were given acetylsalicylic acid (Aptor) 300 mg once a day as standard therapy for ischemic stroke. In Bromelin group, subjects were given capsule containing Bromelin 500 mg by a dose of 2 times daily for 14 days, while in standard group were given capsule containing dextrin 500 mg (Placebo), also twice daily for 14 days. Dextrin was chosen as placebo due to not having active substance. To meet double-blind criteria, Bromelin and placebo were packaged in the same colour and weight capsules and administered in the same manner also.

All subjects agreed to participate and signed informed consent voluntarily after receiving a detailed description of the study procedures and purposes. The study was approved by the Health Research Ethical Committee of North Sumatera/Adam Malik General Hospital, Medan, c/o Medical School, University of Sumatera Utara.

Blood samples were collected in vacutainer tubes. Serum separation was isolated by centrifugation at 1000 g over 15 minutes. The serum samples should always be pre-diluted 1:5 (100 μ l serum + 400 μ l water) and stored at 2-8°C until the time of analysis.

Matrix metalloproteinase-9 concentrations in plasma samples were analysed using MMP9 human ELISA Assay Kit (EA 100106-Origene) according to manufacturers' instructions and Chemwell 2910 analyser. Limit of detection of MMP9 human ELISA Assay Kit (EA 100106-Origene) is 31 pg/ml or 0.3 µg/ml.

The plasma MMP9 level and outcome measurement were performed twice, before and after treatment. The outcome was determined using modified Rankin Scale (mRS) and Barthel Index (BI). To evaluate alteration of the MMP9 level before and after treatment, paired t-test was used and to determine the correlation between plasma MMP9 level and mRS and BI score, Pearson correlation test was used. All data were expressed as mean ± S.D. P < 0.05 was considered to be significant.

Results

The total subject was 46 participants. Bromelin group was consisted of 23 subjects, with 17 male (73.9 %), while the standard group was also comprised of 23 subjects, with 14 male (60.9 %). Mean of age in the Bromelin group was 56.04 ± 10.13 year, while in standard was 60 ± 12.6 year, and there was no significant difference between group (p = 0.583). Subject's demographic characteristics are presented in Table 1.

Table 1: Demographic Characteristics of Studied Groups

Characteristics	Total	Bromelin 500 mg	Standard Drug	
N (%)	46 (100%)	23 (50%)	23 (50%)	
Mean Age + SD	58.20 + 11.48	56.04 + 10.13	60 + 12.60	
Gender	_	_	=	
Male (%)	31 (67.4%)	17 (73.91%)	14 (60.87%)	
Female (%)	15 (32.6%)	6 (26.09%)	9(39.13%)	
Marital Status				
Married (%)	46 (100%)	23 (50%)	23 (50%)	
Single (%)	0 (0%)	0 (0%)	0(%)	
Ethnicity	. ,		. ,	
Bataknese	21 (45.7%)	9 (39.13%)	12 (52.17%)	
Karonese	5 (10.9%)	4 (17.39%)	1 (4.35%)	
Javanese	15 (32.6%)	8 (34.78%)	7 (30.43%)	
Melayunese	2 (4.3%)	0 (0.00%)	2 (8.70%)	
Acehnese	1 (2.2%)	1 (4.35%)	0 (0.00%)	
Padangnese	2 (4.3%)	1 (4.35%)	1 (4.35%)	
Hypertension			. ,	
Yes	37 (80.4%)	18 (78.26%)	19 (82.61%)	
No	9 (19.6%)	5 (21.74%)	4 (17.39%)	
Diabetes Mellitus			(,	
Yes	8 (17.4%)	4 (17.39%)	4 (17.39%)	
No	38 (82.6%)	19 (82.61%)	19 (82.61%)	
Hypercholesterolemia	(*****)			
Yes	37 (80.4%)	21 (91.30%)	16 (69.57%)	
No	9 (19.6%)	2 (8.70%)	7 (30.43%)	
Smoking	(,			
Yes	19 (41.3%)	10 (43.48%)	9 (39.13%)	
No	27 (58.7%)	13 (56.52%)	14 (60.87%)	

N= number of patients; S.D= Standard Deviation.

There were 23 subjects on the Bromelin 500 mg group, measured their MMP9 level, mRS score and BI score, before and after treatment. Since the test of normality showed normal distribution data, paired t-test was performed to determine the difference of MMP9 level, mRS, and BI score before and after treatment, with the level of significance p < 0.05.

The result of this study on MMP9 after Bromelin 500 mg administration showed significant decrement of the MMP9 level. The mean of MMP9 before treatment was 6.02 ± 0.32 ng/ml and after treatment was 5.50 ± 0.4 ng/ml, where the decrement was statistically significant (p = 0.028) (Table 2).

Table 2: Plasma MMP9 Level (ng/ml) and Outcome

	MN	/IP9	Р	m	RS	Р	E	31	р
Drugs Bromelin 500mg	Pre 6.02 <u>+</u> 0.32	Post 5.50 <u>+</u> 0.94	0.028*	Pre 3 <u>+</u> 1.107	Post 2 <u>+</u> 1.340	0.604*	Pre 50 <u>+</u> 27.83	Post 60 <u>+</u> 30.60	0.002*
Standard Drugs	5.82 <u>+</u> 0.71	5.91 <u>+</u> 0.83	0.616*	3 <u>+</u> 0.949	3 <u>+</u> 1.033	0.002*	60 <u>+</u> 23.45	75 <u>+</u> 22.55	0.001*

* = Paired T-test

The result of mRS after 500 mg Bromelin administration showed unsignificant decrement, where mRS score before treatment was 3 ± 1.107 and after treatment was 2 ± 1.34 (p = 0.604) (Table 2).

The study result on BI score after Bromelin 500 mg administration showed a significant increment, where before administration the score was 50 ± 27.83 and after administration was 60 ± 30.6 with p = 0.002 (Table 2).

The result on the MMP9 level in the standard group showed unsignificant increment. The mean of MMP9 before treatment was 5.82 ± 0.71 ng/ml and after treatment was 5.91 ± 0.83 ng/ml, this was not statistically significant (p = 0.616) (Table 2).

This study result on mRS score in standard group revealed changes, where before treatment, the mRS score was 3 ± 0.949 and after treatment was 3 ± 1.033 (p = 0.002) (Table 2).

The result of this study on BI score after standard therapy showed significant increment, where BI score before treatment was 60 ± 23.45 and after treatment was 75 ± 22.55 , with p = 0.001 (Table 2).

Using Pearson correlation test between decrement of MMP9 and mRS after Bromelin 500 mg administration, there was a negative correlation but not statistically significant (r =-0.03; p = 0.905) (Table 3)

Table 3: Correlation of MMP9 Decrement and Outcome

	mRS		BI		
	r p		r	P	
MMP9					
Decrement Level	-0.03	0.905**	0.039	0.859**	

Pearson correlation test between decrement of MMP9 level and increment of BI score showed positive unsignificant correlation (r = 0.039; p = 0.859) (Table 3)

Discussion

Matrix metalloproteinase-9 (MMP9) is a family of proteolytic enzyme, involved in the breakdown of extracellular matrix during tissue remodelling [7]. The action of the MMPs on the basal lamina and tight junction proteins (TJPs) in endothelial cells is the final common pathway for the opening of the BBB, which allows cells to enter the central nervous system and attack invading organisms [31]. During a stroke, it attacks the extracellular matrix around the blood vessels and neurons, facilitating neural cell death. MMP-9 disrupts the blood-brain barrier in the early phase following cerebral ischemia, leading to leakage, leukocyte infiltration, brain oedema, and haemorrhage [7].

This study found that plasma MMP-9 level to be high in the two groups of patients. In Bromelin groups mean of the MMP-9 level were 6.02 ± 0.32 and the Standard group were 5.82 ± 0.71 . Expression of MMPs in the adult brain is very low to undetectable [32]. This study proved that expression of MMP-9 is upregulated in the brain in response to ischemic stroke. This is by the study by Heo *et al.* 2003 (*cit.* Abdelnaseera *et al.* 2015), found that MMP -9 serum level on admission was significantly higher in stroke patients compared with the control group [33].

In this study, the addition of Bromelin 500 mg to standard therapy showed significant decrement of plasma MMP9 level (p 0.028), the increment of mean BI score (p = 0.002) and unsignificant decrement of mRS score (p = 0.604), proved that clinically there was outcome improvement. This concluded that MMP9 decrement could improve clinical outcome. Study of Lindsell et al. 2005 (cit. Abdelnaseera et al. 2015) showed there was an association between higher serum levels of MMP-9 and more severe stroke, supported the role of MMP-9 as an independent predictor of clinical severity of ischemic stroke in the acute stage [33]. Previous several studies reported that treatment with MMP inhibitors or MMP neutralising antibodies decreases infarct size and prevents BBB breakdown after focal ischemic stroke [31].

We concluded that the addition of 500 mg Bromelin to standard ischemic stroke therapy reduced MMP9 level significantly and correlated to outcome improvement. However, there is a tight statistical correlation.

References

1. Brouns R, De Deyn PP. The complexity of neurobiological processes in acute ischemic stroke. Clinical Neurology and Neurosurgery. 2009; 111:483-95. https://doi.org/10.1016/j.clineuro.2009.04.001 PMid:19446389

2. Deb P, Sharma S, Hassan KM. Pathophysiologic mechanisms of acute ischemic stroke: An overview with emphasis on therapeutic significance beyond thrombolysis. Pathophysiology. 2010; 17(3):197-218. <u>https://doi.org/10.1016/j.pathophys.2009.12.001</u> PMid:20074922

3. Jang JW, Lee JK, Hur H, Kim TW, Joo SP, Piao MS. Rutin improves functional outcome via reducing the elevated matrix metalloproteinase-9 level in a photothrombotic focal ischemic model of rats. Journal of the neurological sciences. 2014; 339(1):75-80. https://doi.org/10.1016/j.jns.2014.01.024 PMid:24507948

4. Yamashita T, Abe K. Therapeutic Approaches to Vascular Protection in Ischemic Stroke. Acta Medica Okayama. 2011; 4(65):219-23.

5. Morancho A, Roselli A, Garcia-Bonilla L,Montaner J. Metalloproteinase and Stroke Infarct Size: Role for Antiinflammatory Treatment? Ann NY Acad Sci. 2010; 1207:123-33. https://doi.org/10.1111/j.1749-6632.2010.05734.x PMid:20955435

6. Nakase T, Yoshioka S, Suzuki A. Free radical scavenger, edaravone, reduces the lesion size of lacunar infarction in human brain ischemic stroke. BMC Neurology. 2011; 39:1-8. https://doi.org/10.1186/1471-2377-11-39

7. Lakhan SE, Kirchgessner A, Tepper D, Leonard A. Matrix Metalloproteinases and Blood-brain Barrier Disruption in Acute Ischemic Stroke. Stroke. 2013; 4:32. https://doi.org/10.3389/fneur.2013.00032

8. Reynolds MA, Kirchick HJ, Dahlen JR, Anderberg JM, McPherson PH, Nakamura KK, et al. Early Biomarkers of Stroke. Clinical Chemistry. 2003; 49:1733-9. https://doi.org/10.1373/49.10.1733 PMid:14500614

9. Worp HB, Gijn JV. Acute Ischemic Stroke. The New England Journal of Medicine. 2007; 357: 572-9. https://doi.org/10.1056/NEJMcp072057 PMid:17687132

10. Jordán J, Segura T, Brea D, Galindo MF, Castillo J. Inflammation as Therapeutic Objective in Stroke. Current

Inflammation as Therapeutic Objective in Stroke. Current Pharmaceutical Design. 2008; 14(33):3549-64. https://doi.org/10.2174/138161208786848766 PMid:19075732

11. Jin R, Yang G, Li G. Inflammatory Mechanisms in Ischemic Stroke: Role of Inflammatory Cells. J Leukoc Biol. 2010; 87(5):779-89. <u>https://doi.org/10.1189/jlb.1109766</u> PMid:20130219 PMCid:PMC2858674

12. Saenger AK, Christenson RH. Stroke Biomarkers: Progress and Challenges for Diagnostic, Prognosis, Differentiation, and Treatment. Clinical Chemistry. 2010; 56(1):21-33. https://doi.org/10.1373/clinchem.2009.133801 PMid:19926776

13. Purba JS, Misbach J. Biomolekuler stroke', in Soertidewi L, Jannis J, editors. Stroke aspek diagnostik, patofisiologi, manajemen. Kelompok Studi Stroke Perhimpunan Dokter Spesialis Saraf Indonesia. Jakarta: Badan Penerbit FKUI, 2011: 41-52.

14. Cui J, Chen S, Zhang C, Meng F, Wu W, Hu R, et al. Inhibition of MMP-9 by a Selective Gelatinase Inhibitor Protects Neurovasculature from Embolic Focal Cerebral Ischemia. Mol Neurodegener. 2012; 15 (7):21. <u>https://doi.org/10.1186/1750-1326-7-21</u> PMid:22587708 PMCid:PMC3500265

15. Xing C, Arai A, Lo EH, Hommer M. Pathophysiologic Cascades in Ischemic Stroke. Stroke. 2012; 7(5):378-85. <u>https://doi.org/10.1111/j.1747-4949.2012.00839.x</u> PMid:22712739 PMCid:PMC3985770

16. Chamorro A, Meisel A, Planas AM, Urra X, van de Beek D, Veltkamp R. The Immunology of Acute Stroke. Neurol. 2012; 8: 401-10. <u>https://doi.org/10.1038/nrneurol.2012.98</u>

17. Cojocaru IM, Cojocaru M, Sapira V, Socoliuc G, Hertea C, Paveliu S. Changes in Plasma Matrix Metalloproteinase-9 levels in Patients with Acute Ischemic Stroke. Rom J Intern Med. 2012; 50(2):155-8. PMid:23326959

18. Zlokovic BV. Remodeling after Stroke. a Promising Approach to Treating Ischemic Stroke, Inhibition of Matrix Metalloproteinases (MMPs), may Need to be Rethought. Nat Med. 2006; 12:390-1. https://doi.org/10.1038/nm0406-390 PMid:16598283

19. Yamashita T, Abe K. Therapeutic Approaches to Vascular Protection in Ischemic Stroke. Acta Medica Okayama. 2011; 4(65):219-23.

20. Pavan R, Jain S, Sharaddha, Kumar A. Properties and Therapeutic Application of Bromelain: a Review. Biotechnology Research International. 2012:1-6. <u>https://doi.org/10.1155/2012/976203</u> PMid:23304525 PMCid:PMC3529416

21. Martins BC, Rescolino R, Coelcho DF, Zanchetta B, Tambourgi, EB, Silveira, E. Characterization of Bromelain from Ananas Comosus Agroindustrial Residues Purified by Ethanol Fractional Precipitation. Chemical Engineering Transactions. 2014; 37:781-6.

22. Bala M, Ismail, NA, Mel M, Jami MS, Salleh M, Amid A. Production: Current Trends and Perspective. Archives Des Sciences. 2012; 65(11).

23. Bhattacharyya BK. Bromelain: an Overview. Natural Product Radiance. 2007; 7(4):359-63.

24. Maurer HR. Bromelin: Biochemistry, Pharmacology and Medical Use. Cell Mol Life Sci. 2001; 58:1234-45. https://doi.org/10.1007/PL00000936 PMid:11577981

25. Tochi BN, Wang Z, Xu S-Y, Zhang W. Therapeutic Application of Pineaplle Protease (Bromelain): a Review.Pakistan Journal of Nutrition. 2008; 7(4):513-20.

https://doi.org/10.3923/pjn.2008.513.520

26. Fileti AMF, Fischer GA, Tambourgi EB. Neural Modelling of Bromelain Extraction by Reversed Micelles. Brazilian Archives of Biology and Technology an International Journal. 2010; 53(2):455-63. <u>https://doi.org/10.1590/S1516-89132010000200026</u>

27. Ketnawa S, Sai-Ut S, Theppakorn T, Chaiwut P, Rawdkuen S. Partitioning of Bromelain from Pineapple Peel (nang lae cultv.) by Aquaeus Two Phase System. J Food Ag-Ind. 2012; 2:457-68.

28. Ferreira JF, Bresolin IRP, Silveira E, Tambourgi EB. Purification of Bromelain from Ananas Comosus by PEG/Phosphate ATPS, 2010.

29. Shiew PS, Fang YL, Abdul Majid FA. In Vitro Studyof Bromelain Activity in Artificial Stomach Juice and Blood Overview. Available from:www.cepp.utm.my/icbwi2010/pdf/

30. Wu SY, Hu W, Zhang B, Liu S, Wang JM, Wang AM. Bromelain Ameliorates the Wound Microenvironment and Improves the Healing of Firearm Wounds.Journal of Surgical Research. 2012; 176:503–9. <u>https://doi.org/10.1016/j.jss.2011.11.1027</u> PMid:22341346

31. Candelario-Jalila E, Yanga Y, Rosenberg A. Diverse Roles Of Matrix Metalloproteinases and Tissue Inhibitors Of Metalloproteinases in Neuroinflammation and Cerebral Ischemia. Neuroscience. 2009; 158(3): 983–94. <u>https://doi.org/10.1016/j.neuroscience.2008.06.025</u> PMid:18621108 PMCid:PMC3584171

32. Montaner J, Alvarez-Sabin J, Molina C, Angles A, Abilleira S, Arenillas J, et al. Matrix Metalloproteinase Expression After Human Cardioembolic Stroke: Temporal Profile and Relation to Neurological Impairment. Stroke. 2001; 32:1759-66. https://doi.org/10.1161/01.STR.32.8.1759 PMid:11486102

33. Abdelnaseera M, Elfayomia N, Hassana E, Kamalb M, Hamdyc A, Elsawya E. Serum Matrix Metalloproteinase-9 in Acute Ischemic Stroke and Its Relation to Stroke Severity. Egypt J Neurol Psychiat Neurosurg. 2015; 52:274–8. <u>https://doi.org/10.4103/1110-1083.170661</u>



Association between Myeloperoxidase Levels and Risk of Insulin Resistance in Egyptian Obese Women

Moushira Zaki^{1*}, Walaa Basha¹, Hanaa Reyad¹, Ramy Mohamed¹, Naglaa Hassan¹, Shams Kholousi²

¹Biological Anthropology Department, Medical Research Division, National Research Centre, Giza, Egypt; ²Immunogenetics Department, Human Genetics and Genome Research Division, National Research Centre, Giza, Egypt

Abstract

BACKGROUND: Myeloperoxidase (MPO) is an enzyme involved in the pathogenesis of several diseases.

AIM: The current study aimed to investigate serum MPO levels in obese Egyptian women and assess its relation with insulin resistance (IR) and other biochemical risk parameters.

METHODS: The study included 80 obese women and 50 age-and-sex-matched healthy controls. Insulin resistance (IR) was evaluated by the Homeostasis Model Assessment-Insulin Resistance (HOMA-IR). Serum MPO, fasting glucose, insulin and blood lipids and anthropometry were measured. Obese cases were divided into three groups based on MPO tertiles. ROC analysis was performed to obtain the optimal cut-off values of MPO to predicate IR in obese women.

RESULTS: The mean serum MPO was significantly higher in obese cases than controls. Cases in the highest MPO tertile had higher HOMA-IR, blood lipids and pressure levels compared with those in the lower tertile. The cutoff point of MPO was > 87.8 (ng/mL) and area under curves was 0.82 (p < 0.01) for diagnosis of IR. MPO levels were higher in obese Egyptian women than healthy controls.

CONCLUSION: Elevation of MPO was associated with abnormal metabolic parameters. MPO might be used as an earlier biomarker for IR and metabolic disturbance in obese women.

Citation: Zaki M, Basha W, Reyad H, Mohamed R, Hassan N. Association between Myeloperoxidase Levels and Risk of Insulin Resistance in Egyptian Obese Wormen. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):629-633. https://doi.org/10.3889/oamjms.2018.164

Keywords: Myeloperoxidase; Insulin resistance; Blood lipids; Obesity; Women

*Correspondence: Moushira Zaki. Biological Anthropology Department, Medical Research Division, National Research Centre, Giza, Egypt. E-mail: moushiraz@yahoo.com

Received: 01-Feb-2018; Revised: 18-Mar-2018; Accepted: 19-Mar-2018; Online first: 06-Apr-2018

Copyright: © 2018 Moushira Zaki, Walaa Basha, Hanaa Reyad, Ramy Mohamed, Naglaa Hassan, Shams Kholousib. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This study was supported by a grant from National Research Centre, Egypt.

Competing Interests: The authors have declared that no competing interests exist

Introduction

MPO is a heme peroxidase that is highly expressed in leukocytes and considered as a principal enzyme in the innate immune response. It is substantially stored in cytoplasmic granules and might be discharged into the extracellular compartment following phagocyte activation [1]. As MPO can commence lipid peroxidation process, it acts as an earlier marker of oxidative damage [2]. In this regard, lots of evidence indicate the association between the oxidative stress and metabolic syndrome (MS) parameters [3] [4], where oxidative stress affects the pathophysiology of MS and its components [5]. Although MPO plays an important role in the innate immune system, it has a very deleterious effect on a large number of inflammatory-mediated diseases. It has been found in cases with an acute coronary syndrome that the serum level of MPO is highly correlated with elevated risk of subsequent cardiovascular diseases.

Central obesity. dyslipidemia, insulin resistance, and hypertension constitute the main components of the metabolic syndrome and metabolically linked to cardiovascular risk factors [6]. Levels of antioxidants in metabolic syndrome cases varied in different races [7]. Plasma levels of MPO are found to be high in patients with stable coronary artery disease. Furthermore, it has been demonstrated that MPO is a powerful prognosticator of the undesirable clinical outcomes in cases with chronic heart failure, acute coronary syndrome, and of future coronary artery disease in a healthy population. Moreover, the main risk factor for cardiovascular diseases and mortality, type 2 diabetes, is positively correlated with MPO levels [8]. It seems that the MPO plays a role as a mediator in the vascular inflammation and in the production of oxidant species that are important in the pathophysiology of the inflammatory diseases. Therefore, this study aimed to evaluate the association between serum levels of MPO with metabolic and biochemical parameters in obese women.

Methods

The study sample included 80 obese women and 50 age-and-sex-matched healthy controls. Obese cases were divided into three groups according to MPO tertiles. This research has been approved by the Ethical Committee of National Research Centre, Egypt (number = 16361), by the World Medical Association's Declaration of Helsinki.

All patients and controls were subjected to full history and clinical examination. All medical anthropometric measurements were taken 3 times on the left side of the body, and the mean of the 3 values was used. Body weight was measured to the nearest 0.1 kg and height was measured to the nearest 0.1 cm. Height was measured with the patients standing with their backs leaning against a stadiometer scale. BMI was calculated as weight in kilograms divided by height in meters squared (kg/m²). Waist circumference (WC) and hip circumference (HC) were measured in cm using a plastic, non-stretchable tailor's tape. WC was measured with light clothing at a level midway between the lower rib margin and the iliac crest standing and breathing normally. HC was measured at the level at the widest circumference over the buttocks (at the greater trochanter). Subsequently, the waist-hip ratio (WHR) was calculated as WC divided by HC. Skin-fold thickness was measured to the nearest mm, except for low values (usually 5 mm or less) where it was taken to the nearest 0.5 mm. These were done at the biceps, readings triceps. subscapular, supra-iliac and abdominal areas using Holtain calliper. The biceps skin-fold thickness was measured at the level of the mid-point between the acromion (lateral edge of the acromion process) and the radius (proximal and lateral border of the radius bone) on the mid-line of the anterior surface of the arm. Triceps skin-fold thickness was measured vertically at the midway between acromion and olecranon processes on the posterior surface of the arm. The subscapular skin-fold was measured below the lower angle of the left scapula at a diagonal in the natural cleavage of the skin. The position of the suprailiac skinfold was the diagonal fold just above the iliac crest even with the anterior axillary line. Abdominal skin-fold was at 5 cm adjacent to the

umbilicus to the right side. Subsequently, the sum of skinfolds was calculated. Anthropometric measurements were obtained according to standardised equipment followina and the recommendations of the International Biological Program [9]. Body fat % was assessed by Tanita Body Composition Analyzer (SC -330).

Presence of IR was defined by HOMA-IR > 2.47 [10].

Systolic and diastolic blood pressures (SBP and DBP) have been measured twice in the right arm in a sitting position after a 10-min rest period, and the average of the two measurements was used for analysis. Blood pressure was measured according to a standardised operating procedure using a calibrated sphygmomanometer and brachial inflation cuff (HEM -7200 M3, Omron Healthcare, Kyoto, Japan).

Venous blood samples were collected by direct venipuncture after an overnight fast (minimum 12 h). Fasting plasma glucose and serum lipids (total cholesterol, high-density lipoprotein cholesterol (HDL-C) triglycerides (TG) were measured by enzymatic colourimetric methods using a Hitachi autoanalyser 704 (Roche Diagnostics. Switzerland). Low-density lipoprotein cholesterol (LDL-C) was calculated according to certain equation (LDL - C = Total cholesterol-Triglycerides/5 + HDL-C). Serum insulin concentration was analysed by chemiluminescent immunoassay (Immulite2000, Siemens, Germany, Insulin resistance was determined bv the Homeostasis Model Insulin Resistance (HOMA-IR) is calculated as the product of the fasting plasma insulin level (IU/mL) and the fasting plasma glucose level (mmol/L), divided by 22.5 [11]. Clinical history and physical examination were performed for each subject.

Serum myeloperoxidase (MPO) was estimated using Quantikine ELIZA kit of R&D systems catalogue number DMYE00B for the quantitative determination of human MPO concentration in serum according to the manufacturer's instructions.

All statistical analyses were performed using SPSS16.0 for Windows (SPSS Inc). The Kolmogorov-Smirnov test of normality was used to verify whether the distribution of variables followed a Gaussian pattern. Normally distributed data in groups were expressed as means \pm SDs. A receiver operating characteristic (ROC) curve analysis was performed to obtain the optimal cutoff values of MPO to diagnosis IR. The optimal cutoff values were obtained both from the point on the ROC curve. The area under the curve (AUC) and the 95% confidence interval (CI) were used for diagnostic validity. The Youden index, calculated as (sensitivity + specificity-1) was estimated to determine optimal cut-off.

Differences in clinical and biochemical characteristics between groups were tested using

one-way analysis of variance (ANOVA) and post hoc tests for differences between groups.

Results

Table 1 shows the clinical and biochemical characteristics of obese cases and controls. Mean age of obese women participated in the study was 31.5 ± 4.8 years and was 32.7 ± 4.7 in controls. Obese women showed significantly higher values of BMI, MPO, waist circumference, SBP, DBP, FBG, total cholesterol, TG, LDL-C and lower HDL-C than controls.

 Table 1: Clinical and biochemical characteristics of obese cases and controls

Characteristics	Ohaaa	Controlo
Characteristics	Obese	Controls
Age (years)	31.5 ± 4.8	32.7 ± 4.7
BMI (kg/m ²)	32.21 ± 5.4*	22.24 ± 4.2
WC (cm)	96.2 ± 6.12*	81.6 ± 4.3
WHR	0.82 ± 0.8	0.79 ± 0.6
Sum SF	149. 9 ± 23. 9	143.9 ± 21.9
Body fat%	29.9 ± 9.9	27.5 ± 8.4
SBP (mmHg)	135.55 ± 9.8*	100. 3 ± 9.4
DBP (mmHg)	88.8 ± 6.9*	65.24 ± 8.8
HOMA-IR	6.8 ± 1.2*	2.2 ± 1.2
FBG (mg/dL)	111.4 ± 24.7*	81.0±21.1
TC (mg/dL)	189.9 ± 36.2*	118.9 ± 22.2
TG (mg/dL)	146.8 (120-148.8) *	47.55 ± 10.45
HDL-C (mg/dL)	35.6 ± 4.9*	47.77 ± 10.8
LDL-C (mg/dL)	163 (88-162)*	165 (88-164)*
MPO (ng/mL)	86 (66 - 92)**	22 ± 12 (20-30)
PMI: body moon index: MC	waist size weferen en W/LID, waist te	his notion Cruss CE, and al

BMI: body mass index; WC: waist circumference; WHR: waist to hip ratio; Sum SF: sum of skin folds; SBP: systolic blood pressure; DBP: diastolic blood pressure; HOMA-IR: homeostasis model assessment-insulin resistance; FBG: fasting glucose; TC: total cholesterol; TG: triglycerides; HDL -C: high density lipoprotein cholesterol; LDL-C: low density lipoprotein cholesterol; MPO: myeloperoxidase; *p < 0.05, ** p < 0.001.

Biochemical and metabolic characteristics in obese women according to the different levels of MPO tertiles are summarised in Table 2. Compared with participants in the first tertile of MPO, those in the third tertile had higher levels of HOMA-IR, total cholesterol, TG, LDL-C, BP and lower levels of HDL-C. Fig 1 shows ROC curves for MPO to identify IR in obese women, the cutoff points for MPO was > 87.8 (ng/mL) and area under curves was 0.82 (sensitivity 93.7%, specificity 71.4%) as a most sensitive/specific cut off.

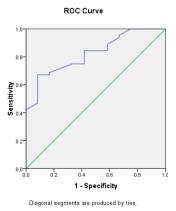


Figure 1: the Receiver-operating characteristic curve of sensitivity plotted against1-specificity of serum MPO to identify IR among obese women

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):629-633.

 Table 2: Biochemical and metabolic characteristics in obese

 women according to the Myeloperoxidase (MPO) tertiles

Characteristic	I	11	III
	Lower tertile	Intermediate tertile	Higher tertile
	≤ 61.7	61.8-82.6	> 82.7
Age (years)	31.2±4.1	33.5±3.5	31.1 ±4.8
BMI(kg/m2)	31.8 ± 5.4	33.4 ± 6.6	34.9 ± 6.23
WC (cm)	86.0 ± 10.9	87.9 ± 11.1	96.9 ± 10.9*
WHR	0.7 ± .8	0.8 ± .7	0.9 ± .9
Sum SF	131.6 ±10.5	134.2 ± 11.0	138.4 ± 14.9
Body fat%	29.9 ± 9.8	32.6 ± 10.9	33.5 ± 12.8
SBP (mmHg)	111.1±10.5	125.4 ± 9.4	151.3 ± 11.4*
DBP (mmHg)	71.5 ± 8.6	76.3±6.8	89.9±8.5*
HOMA-IR	3.5 ± 1.1	3.7 ± .7	5.6 ± 1.2*
FBG (mg/dL)	83.1 ± 20.8	86.3 ± 25.7	121. 8 ± 22.9*
TC (mg/dL)	136.7 ± 20.7	149.9 ± 21.9	193 ± 23.5*
TG (mg/dL)	139.9 ± 20.2	149.8 ± 22.5	195.8 ± 21.5*
HDL-C (mg/dL)	46.4 ± 10.5	41.6±11.4	35.4±12.2*
LDL-C (mg/dL)	124.7 ± 21.3	129.3 ± 20.9	156.6 ± 21.9*
MPO (µg/L)	54.1 (33.4-57.9)	69 (60.7-66.9)	91.3 (83.4- 96.9) *
PMI: body mooo index: WC		o: WUR: woist to hip	ration Crum CE, and of

 $\begin{array}{l} \text{MPO}\left(\text{Ig}(L) = 34.1(33.43).5\right) & \text{G}\left(10.7\cdot0(3)\right) & \text{FIS}\left(30.44\cdot96.3\right)\\ \text{BMI: body mass index; WC: waist circumference; WHR: waist to hip ratio; Sum SF: sum of skin folds; SBP: systolic blood pressure; DBP: diastolic blood pressure; HOMA-IR: homeostasis model assessment-insulin resistance; FBG: fasting glucose; TC: total cholesterol; TG: triglycerides; HDL -C: high-density lipoprotein cholesterol; LDL-C: low-density lipoprotein cholesterol; MPC: myeloperoxidase; Data are mean ± SD, median (intertertile range); Tertile I vs Tertile III *p < 0.05.\\ \end{array}$

Discussion

This study showed that MPO is positively associated with IR in the obese women. As it is linked to obesity, IR is kept rising and consequently Type 2 Diabetes affects a large number of young individuals It has been demonstrated that elevated [8]. concentrations of glucose and free fatty acids lead to start IR in genetically oxidative stress and predisposed individuals with diabetes [12]. Previous studies have found that MPO might be used as a predictor of both early [13] [14] and late unfavourable cardiac events in cases suffered from chest pain and other clinical features of the acute coronary syndrome. MPO plays an important role in the oxidation of HDL in vivo [14] which result in functional inactivation. The subsequent decrease in plasma HDL causes a reduction in the reverse cholesterol transport which stimulates atherosclerosis [15]. Moreover, HDL is a very important anti-inflammatory, antioxidant, and antithrombotic factor. In patients with metabolic syndrome, the activated leukocytes might be responsible for the increased activity of MPO. Furthermore, insulin resistance causes higher concentrations of proinflammatory mediators [16]. Also, the inflammatory cytokines are produced by adipocytes, especially in obese individuals, to compromise the insulin signalling [17]. In MS patients, both insulin resistance and central obesity are demonstrated, however, the association between the augmented MPO expression and chronic inflammation is also evident [18]. The connection between proinflammatory regulators and pathogenesis of the MS has been previously demonstrated, where the impairment in the inflammatory response could be noticed during the disease [19]. MPO acts as a physiological mechanism that connects the matrix proteins degradation by metalloproteinases.

Our results found a higher concentration of MPO in obese group than controls. Also, we found

that cases in the higher tertile of MPO had higher levels of HOMA-IR, total cholesterol, TG, LDL-C, SBP, DBP and lower levels of HDL cholesterol than those in the lower tertile. The present analysis showed that the cutoff point of MPO was > 87.8 (ng/mL) for diagnosis of IR in obese Egyptian women (p < 0.01). In agreement with our findings, it has been previously reported that MPO is associated with IR [20]. It is evident that MPO is an effective mediator of endothelial dysfunction where a strong connection has been observed between serum MPO levels and endothelial dysfunction in overweight subjects [21]. Obesity is the principal cause for low HDL, and in order to enhance the HDL level, an optimizing healthy lifestyle is required including moderate weight loss along with exercise and smoking cessation. High oxidative stress and systemic inflammation are correlated with increased plasma triglyceride-rich lipoproteins and oxidized lipoprotein (a) phospholipids which lead to cardiovascular risks [22] [23]. Losing of the anti-oxidative, anti-inflammatory and atheroprotective properties of HDL and its apolipoproteins could even increase those biochemical disturbances. Metabolic disorders such as insulin resistance, oxidative stress, inflammation, and hyperlipidemia represent connected disturbances in women with Obesity metabolic syndrome [24]. has certain anthropometric. biochemical and physiological anomalies that lead to IR and cardiovascular disease (CVD). Therefore, numerous studies have indicated the associations between obesity, inflammation, CVD and IR in both adults and children [25]. MPO activity was used as an adjuvant marker for the inflammatory and oxidative status in obese women [26] [27] [28]. Also, there is increasing evidence that MPO contributes to cardiovascular disease. It has been reported that the presence of obesity in childhood stage in association with the risk factors hurt the vascular health status in adulthood [29]. Furthermore, in apparently healthy adults, augmented serum MPO levels were found to be associated with higher CVD risk [30].

In conclusion, MPO might be used as an earlier biomarker for IR in obese women and might be useful in determining patients who are at high risk for a biochemical disturbance.

References

1. von Leitner E-C, Klinke A, Atzler D, Slocum JL, Lund N, Kielstein JT, et al. Pathogenic cycle between the endogenous nitric oxide synthase inhibitor asymmetrical dimethylarginine and the leukocyte-derived hemoprotein myeloperoxidase. Circulation. 2011; 124(24):2735–45.

https://doi.org/10.1161/CIRCULATIONAHA.111.060541 PMid:22082678

2. Zhang R, Brennan M-L, Shen Z, MacPherson JC, Schmitt D, Molenda CE, et al. Myeloperoxidase functions as a major

enzymatic catalyst for initiation of lipid peroxidation at sites of inflammation. J Biol Chem. 2002; 277(48):46116–22. https://doi.org/10.1074/jbc.M209124200 PMid:12359714

3. Kleinbongard P, Dejam A, Lauer T, Jax T, Kerber S, Gharini P, et al. Plasma nitrite concentrations reflect the degree of endothelial dysfunction in humans. Free Radic Biol Med. 2006; 40(2):295–302. https://doi.org/10.1016/j.freeradbiomed.2005.08.025 PMid:16413411

4. Yubero-Serrano EM, Delgado-Lista J, Pena-Orihuela P, Perez-Martinez P, Fuentes F, Marin C, et al. Oxidative stress is associated with the number of components of metabolic syndrome: LIPGENE study. Exp Mol Med. 2013; 45(6):e28. https://doi.org/10.1038/emm.2013.53 PMid:23788131 PMCid:PMC3701288

5. Ford ES, Mokdad AH, Giles WH, Brown DW. The metabolic syndrome and antioxidant concentrations. Diabetes. 2003; 52(9):2346–52. <u>https://doi.org/10.2337/diabetes.52.9.2346</u> PMid:12941775

6. Grundy SM, Brewer HB, Cleeman JI, Smith SC, Lenfant C. Definition of metabolic syndrome. Circulation. 2004; 109(3):433–8. https://doi.org/10.1161/01.CIR.0000111245.75752.C6 PMid:14744958

7. Morris AA, Zhao L, Patel RS, Jones DP, Ahmed Y, Stoyanova N, et al. Differences in systemic oxidative stress based on race and the metabolic syndrome: the Morehouse and Emory Team up to Eliminate Health Disparities (META-Health) study. Metab Syndr Relat Disord. 2012; 10(4):252–9. https://doi.org/10.1089/met.2011.0117_PMid:22385338

https://doi.org/10.1089/met.2011.0117 PMid:22385338 PMCid:PMC3449394

8. Wiersma JJ, Meuwese MC, van Miert JNI, Kastelein A, Tijssen JGP, Piek JJ, et al. Diabetes mellitus type 2 is associated with higher levels of myeloperoxidase. Med Sci Monit. 2008; 14(8):CR406–10. PMid:18667997

9. Hiernaux J, Tanner JM, Jarman S. Growth and physical studies. Hum Biol A Guid to F methods London IBP, 1969.

10. Gayoso-Diz P, Otero-González A, Rodriguez-Alvarez MX, Gude F, García F, De Francisco A, et al. Insulin resistance (HOMA-IR) cut-off values and the metabolic syndrome in a general adult population: effect of gender and age: EPIRCE cross-sectional study. BMC Endocr Disord. 2013; 13(1):47. https://doi.org/10.1186/1472-6823-13-47 PMid:24131857 PMCid:PMC4016563

11. Matthews DR, Hosker JP, Rudenski AS, Naylor BA, Treacher DF, Turner RC. Homeostasis model assessment: insulin resistance and β -cell function from fasting plasma glucose and insulin concentrations in man. Diabetologia. 1985; 28(7):412–9. https://doi.org/10.1007/BF00280883 PMid:3899825

12. Evans JL, Goldfine ID, Maddux BA, Grodsky GM. Oxidative stress and stress-activated signaling pathways: a unifying hypothesis of type 2 diabetes. Endocr Rev. 2002; 23(5):599–622. https://doi.org/10.1210/er.2001-0039 PMid:12372842

13. Brennan M-L, Penn MS, Van Lente F, Nambi V, Shishehbor MH, Aviles RJ, et al. Prognostic value of myeloperoxidase in patients with chest pain. N Engl J Med. 2003; 349(17):1595–604. https://doi.org/10.1056/NEJMoa035003 PMid:14573731

14. Cavusoglu E, Ruwende C, Eng C, Chopra V, Yanamadala S, Clark LT, et al. Usefulness of baseline plasma myeloperoxidase levels as an independent predictor of myocardial infarction at two years in patients presenting with acute coronary syndrome. Am J Cardiol. 2007; 99(10):1364–8.

https://doi.org/10.1016/j.amjcard.2006.12.060 PMid:17493461

15. Marsche G, Hammer A, Oskolkova O, Kozarsky KF, Sattler W, Malle E. Hypochlorite-modified high density lipoprotein, a high affinity ligand to scavenger receptor class B, type I, impairs high density lipoprotein-dependent selective lipid uptake and reverse cholesterol transport. J Biol Chem. 2002; 277(35):32172–9. https://doi.org/10.1074/jbc.M200503200 PMid:12070141

16. Kim JA, Choi YS, Hong JI, Kim SH, Jung HH, Kim SM. Association of metabolic syndrome with white blood cell subtype and red blood cells. Endocr J. 2006; 53(1):133–9.

https://doi.org/10.1507/endocrj.53.133 PMid:16543683

17. Babio N, Ibarrola-Jurado N, Bulló M, Martínez-González MÁ, Wärnberg J, Salaverría I, et al. White blood cell counts as risk markers of developing metabolic syndrome and its components in the PREDIMED study. PLoS One. 2013; 8(3):e58354. <u>https://doi.org/10.1371/journal.pone.0058354</u> PMid:23526980 PMCid:PMC3602299

18. Galijasevic S, Saed GM, Diamond MP, Abu-Soud HM. Myeloperoxidase up-regulates the catalytic activity of inducible nitric oxide synthase by preventing nitric oxide feedback inhibition. Proc Natl Acad Sci. 2003; 100(25):14766–71. <u>https://doi.org/10.1073/pnas.2435008100</u> PMid:14657339 PMCid:PMC299800

19. Liu Y, Wang D, Li D, Sun R, Xia M. Associations of retinolbinding protein 4 with oxidative stress, inflammatory markers, and metabolic syndrome in a middle-aged and elderly Chinese population. Diabetol Metab Syndr. 2014; 6(1):25. https://doi.org/10.1186/1758-5996-6-25 PMid:24559154 PMCid:PMC3938900

20. Pignatelli P, Loffredo L, Martino F, Catasca E, Carnevale R, Zanoni C, et al. Myeloperoxidase overexpression in children with hypercholesterolemia. Atherosclerosis. 2009; 205(1):239–43. https://doi.org/10.1016/j.atherosclerosis.2008.10.025 PMid:19081093

21. Vita JA, Brennan M-L, Gokce N, Mann SA, Goormastic M, Shishehbor MH, et al. Serum myeloperoxidase levels independently predict endothelial dysfunction in humans. Circulation. 2004; 110(9):1134–9. <u>https://doi.org/10.1161/01.CIR.0000140262.20831.8F</u> PMid:15326065 PMCid:PMC2718053

22. Al-Rasadi K, Al-Zakwani I, Zubaid M, Ali A, Bahnacy Y, Sulaiman K, et al. Prevalence, predictors, and impact of low highdensity lipoprotein cholesterol on in-hospital outcomes among acute coronary syndrome patients in the Middle East. Open Cardiovasc Med J. 2011; 5(1).

https://doi.org/10.2174/1874192401105010203 PMid:21966331 PMCid:PMC3178900

23. Onat A, Can G, Yüksel H. Dysfunction of high-density lipoprotein and its apolipoproteins: new mechanisms underlying cardiometabolic risk in the population at large. Turk Kardiyol Dern

Ars. 2012; 40(4):368–85. <u>https://doi.org/10.5543/tkda.2012.55490</u> PMid:22951857

24. Feng RN, Niu YC, Sun XW, Li Q, Zhao C, Wang C, et al. Histidine supplementation improves insulin resistance through suppressed inflammation in obese women with the metabolic syndrome: a randomised controlled trial. Diabetologia. 2013; 56(5):985–94. <u>https://doi.org/10.1007/s00125-013-2839-7</u> PMid:23361591

25. Daniels SR. Complications of obesity in children and adolescents. Int J Obes. 2009; 33(S1):S60. https://doi.org/10.1038/ijo.2009.20 PMid:19363511

26. Koh Y, Park J, Carter R. Oxidized Low-Density Lipoprotein and Cell Adhesion Molecules Following Exercise Training. Int J Sports Med. 2017; 2017. PMid:29190851

27. Rocha-Penha L, Bettiol H, Barbieri MA, Cardoso VC, Cavalli RC, Sandrim VC. Myeloperoxidase is not a good biomarker for preeclampsia prediction. Sci Rep. 2017; 7(1):10257. https://doi.org/10.1038/s41598-017-09272-4 PMid:28860607 PMCid:PMC5579011

28. Gariballa S, Alkaabi J, Yasin J, Al Essa A. Oxidative damage and associated inflammatory risk factors in obese Emirati women: Body mass index versus waist circumference. Saudi Med J. 2017; 38(9):960. <u>https://doi.org/10.15537/smj.2017.9.19629</u> PMid:28889156 PMCid:PMC5654032

29. Freedman DS, Dietz WH, Tang R, Mensah GA, Bond MG, Urbina EM, et al. The relation of obesity throughout life to carotid intima-media thickness in adulthood: the Bogalusa Heart Study. Int J Obes. 2004; 28(1):159. <u>https://doi.org/10.1038/sj.ijo.0802515</u> PMid:14581934

30. Meuwese MC, Stroes ESG, Hazen SL, van Miert JN, Kuivenhoven JA, Schaub RG, et al. Serum myeloperoxidase levels are associated with the future risk of coronary artery disease in apparently healthy individuals: the EPIC-Norfolk Prospective Population Study. J Am Coll Cardiol. 2007; 50(2):159–65. https://doi.org/10.1016/j.jacc.2007.03.033 PMid:17616301



Cigarette Smoking and Hyperglycaemia in Diabetic Patients

Mutiara Indah Sari^{1*}, Nisrina Sari², Dewi Masyithah Darlan³, Raka Jati Prasetya⁴

¹Department of Biochemistry, Faculty of Medicine, Universitas of Sumatera Utara, Medan, Indonesia; ²Medical Education Study Program, Faculty of Medicine, Sumatera Utara Universitas, Medan, Indonesia; ³Department of Parasitology, Faculty of Medicine, University of Sumateras Utara, Medan, Indonesia; ⁴Department of Anaesthesiology and Intensive Care, Faculty of Medicine, University of Sumateras Utara, Medan, Indonesia; ⁴Department of Anaesthesiology and Intensive Care, Faculty of Medicine, University of Sumateras Utara, Medan, Indonesia

Abstract

Citation: Sari MI, Sari N, Darlan DM, Prasetya RJ. Cigarette Smoking and Hyperglycaemia in Diabetic Patients. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):634-637. https://doi.org/10.388/oamjms.2018.140

Keywords: Smoking; Nicotine; Diabetes mellitus; Blood glucose; HbA1c

*Correspondence: Mutiara Indah Sari. Department of Biochemistry, Faculty of Medicine, University of Sumateras Utara, Medan, Indonesia. E-mail: mutiara@usu.ac.id

Received: 05-Nov-2017; Revised: 20-Feb-2018; Accepted: 28-Feb-2018; Online first: 05-Apr-2018

Copyright: © 2018 Mutiara Indah Sari, Nisrina Sari, Dewi Masyithah Darlan, Raka Jati Prasetya. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research is supported by Institutions of Research, Universitas of Sumatera Utara (USU), as Talenta Research USU of the Year 2017 with contract no. 533/UN5.1.R/RPM/2017, May 22nd, 2017

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: The incidence rate of diabetes mellitus has increased throughout the year. Various studies indicate that smoking may affect glucose metabolism and cause hyperglycemia in diabetes mellitus. This study aimed to compare the blood glucose and HbA1c level in diabetic smoking patients and non-smoking diabetic patients.

METHODS: This study used the cross-sectional approach. The study population consisted of 30 diabetic smoking patients and 30 non-smoking diabetic patients. The diabetes history and the smoking status of the study population obtained by questionnaire-based interview, the blood glucose and HbA1c level were measured by hexokinase and immunoturbidimetry method using cobas 6000 analyser module c501 (Roche Diagnostics, Switzerland).

RESULTS: The result in this study showed the fasting blood glucose, postprandial blood glucose, and HbA1c were higher by 23.64 mg/dl (p = 0.325), 58.00 mg/dl (p = 0.016), 0.39% (p = 0.412) in smoking diabetic patients compared to non-smoking diabetic patients. After statistical analysis, there was a significant difference (p < 0.05) of postprandial glucose level between smokers group and non-smokers group, but the non-significant difference of fasting blood glucose and HbA1c

CONCLUSIONS: This study concluded that there was a significant difference in postprandial glucose level between smokers group and non-smokers group but the non-significant difference of fasting blood glucose and HbA1c.

Introduction

The incidence rate of Diabetes Mellitus (DM) has been increasing in every year in the worldwide. International Diabetes Federation estimates in 2015 that 8.5% (equivalent to 78.3 million people) of the adult population in South-East Asia suffers from diabetes. In Indonesia, an increase in diabetes incidence has been noted, from 1.1% in 2007 to 2.1% in 2013 [1] [2]. Diabetes is a metabolic disorder characterised by the presence of chronic hyperglycemia. Hyperglycemia may be caused by insulin resistance syndrome, insulin deficiency, or both [3]. Diabetes can be diagnosed by biomarker of hyperglycemia, i.e. the random blood glucose test,

fasting blood glucose test, or postprandial glucose test. Many factors are known to affect blood glucose in the diabetic patient, including lifestyle factors such as smoking [4].

Cigarette smoking is independently associated with the incidence of diabetes mellitus [5][6]. Smoking-induced oxidative stress might have some effect on blood glucose as well as directly alter blood glucose homeostasis and cause insulin resistance. The exact biological pathway of this theory has not been fully elucidated, but it is suspected that high concentration of circulating epinephrine and norepinephrine due to smoking may contribute to hyperglycemia by increasing the rate of hepatic gluconeogenesis and glycogenolysis [5] [6] [7].

Another method used to assess blood

glucose over a longer period is by analysing the concentration of glycated haemoglobin (HbA1c). HbA1c is a result of non-enzymatic attachment of a hexose molecule to the haemoglobin molecule. This process occurs continually over the entire lifespan of the erythrocyte and is dependent on blood glucose concentration and the duration of erythrocyte exposure to blood glucose [8]. Therefore, the HbA1c reflects the mean glucose concentration over the previous period. The international expert committee stated that HbA1c could be used as a diagnostic test for diabetes [9]. Smoking might alter glucose homeostasis; it might be as well affecting HbA1c concentration [10].

This experiment was conducted to assess the difference of blood glucose concentration and HbA1c among diabetic smoking patient and non-smoking diabetic patients.

Methods

The protocol of the study was approved by the Research Ethics Committee of Faculty of Medicine of University of Sumatera Utara (NO: 198/TGL/KEPK FK USU-RSUP HAM/2017). This study used the cross-sectional approach. The patients population diabetic study included attending Endocrine Clinic University of Sumatera Utara Hospital from June to September 2017. The study population consisted of thirty diabetic smoking patients and thirty non-smoking diabetic patients. To avoid confounding factors, the diabetic patients included in this study was in 50-60 years age range and has been diagnosed with diabetes for the past 5 to 10 years. The exclusion criteria were: (1) history of using any antioxidant supplement; (2) history of current acute or chronic infection; (3) history of malignancy; (3) History of red blood cell membrane disorder or anaemia.

To obtain data on age, duration of diabetes, and history of smoking (duration of smoking and per questionnairecigarette day), a used. based interview was The biomarker of blood hyperglycemia is, i.e. fasting alucose, postprandial blood glucose, and the HbA1c level was measured by hexokinase and immunoturbidimetry met hod using Cobas 6000 analyser module c501 (Roche Diagnostics, Switzerland). Diabetes patients with HbA1c higher than 6.5% were categorised as uncontrolled diabetes. All data were processed using the statistical package for social science (SPSS). The differences among groups were tested by using Mann-Whitney, and p-values of < 0.05 were considered significant.

Results

This study was carried out among 60 diabetic patients attending the endocrine clinic in North Sumatera University Hospital from June to September 2017. The characteristic of the study population is shown in Table 1 below.

	Smoking Status				
	Non-Smokers	Smokers	Total		
Sex					
Male	13 (43.33%)	26 (86.67%)	39 (65%)		
Female	17 (56.67%)	4 (13.33%	21 (35%)		
Age	57.7 (± 6)	57 (± 9.9)	-		
Duration of Diabetes	7.76 (± 9.26)	7.03(± 6.76)	-		
Diabetes Criteria					
Controlled Diabetes	6 (20%)	2 (6.67%)	8 (13.33%)		
Uncontrolled	24 (± 80%)	28 (93.33%)	52 (86.67%)		
Diabetes	. ,	. ,	, ,		
Duration of Smoking	-	29.7(± 10.92)			
Cigarettes Per Day	-	15.93 (± 10.24)			

Table 1 shows that the study population consists of 39 male diabetic patients and 21 female diabetic patients. Among 39 male diabetic patients, 26 were smokers while the other 13 were not. As for female patients, 4 were smokers, and 17 were nonsmokers. The mean age of the participant in this study is 57.7 for the control group and 57 for the study group. Among 60 diabetic patients in this study, 36 of them were diagnosed with diabetes during the past 5 years. Diabetic patients with HbA1c higher than 6.5% were categorised as uncontrolled diabetes. Table 1 showed 52 diabetic patients have uncontrolled diabetes. Among the 52 diabetic patients, 24 were a non-smoker, and the other 28 were smokers. For the smokers group, the average duration of smoking was 29.7 (± 10.92) years, with average cigarettes per day were 15.93 or equivalent to almost 1 pack of cigarettes per day.

The comparison between fasting blood glucose, postprandial blood glucose, and HbA1c in each study group is shown in Table 2.

		Non-smokers	Smokers	р
FBG	Min.	94	93	
	Max.	307	486	
	Median	155	177	
	Mean ± SD	170.36 (± 54.74)	194 (± 83.95)	0.325
PBG	Min.	117	130	
	Max.	407	611	
	Median	242	294	
	Mean ± SD	249.67 (± 76.07)	307.67 (± 97.22)	0.016
HbA1c	Min.	5	7	
	Max.	13	12	
	Median	9	9	
	Mean ± SD	8.65 (± 1.91)	9.04 (± 1.53)	0.412

A Higher level of fasting blood glucose was observed in the study group compared to the control group. The mean difference between groups was 23.64 mg/dl. The Mann-Whitney test showed *p*-value 0.325. There was 58.0 mg/dl difference in postprandial glucose between study and control group. The Mann-Whitney test showed *p*-value 0.016. HbA1c was 0.39 mmol/mol higher in the study group compared to the control group with *p*-value 0.412.

Discussion

All three chemical biomarkers were higher in the smokers group compared to the non-smokers group after adjustment for a possible confounding variables such as age, diet, physical activity, and types of medication used. There was no significant difference in fasting blood glucose levels between study and control groups. There was also no significant difference of HbA1c among the group as the independent t-test shows the p-value > 0.05. For postprandial glucose, the independent t-test showed p-value < 0.05 which means there was a significant difference in postprandial glucose between both groups.

The postprandial blood glucose test is used to evaluate the ability to regulate glucose metabolism. The postprandial glucose test also provides an insight on insulin sensitivity. Compared with fasting blood glucose and HbA1c cut points, the postprandial glucose test value diagnoses more people with diabetes [9]. Various studies have demonstrated that insulin resistance is dose-dependently related to smoking. The level of basal insulin secretion and insulin resistance (evaluated by HOMA-IR protocol) were higher in smoking compared to non-smoking patients [5] [6] [11] [12].

The prevalence of type 2 diabetes increases with age, and it is also well documented that ageing is associated with a decline in insulin action as well as pancreas function. Normally, pancreatic beta cells have a long lifespan with low proliferation rate; however, during increased metabolic demand or after injury, adult pancreas could be able to produce new cells. As we age, this proliferative capability of pancreas declines [13] [14]. Smoking causes oxidative stress due to an increase in ROS that found in smokers body12] [15]. This condition causes oxidative damage such as lipid peroxidation, protein oxidation, and DNA damage. The island of the pancreas is particularly vulnerable to damage caused by ROS accompanied by a lack of antioxidant enzymes in the cells. ROS will induce the activation of Poly-ADP-Ribose-Polymerase (PARP) that causes NAD depletion. This will result in the apoptosis of insulinproducing cells [12].

There is a clear, dose-dependent relation between diabetes or glucose intolerance and both active and passive cigarette exposure. Adiponectin concentration seems to partially mediate the effect of smoking on glucose homeostasis [5] [17] [18].

High level of ROS generated by smoking will inhibit phosphatidylinositol–3-kinase activity, thus

decreasing the secretion of adiponectin from adipose tissue. This lower concentration of adiponectin is a common finding in obese or diabetic patients [18]. Adiponectin stimulates the phosphorylation and activation of 5'-adenosine monophosphateactivated protein kinase in the liver and skeletal muscles, thereby directly affecting glucose homeostasis and insulin sensitivity [5].

Another experiment showed how nicotine alters glucose metabolism in animal models. The dose of nicotine used in this experiment was chosen to mirror the average cigarette smokers peak blood level of cotinine. Acute nicotine treatment for 30 minutes, caused hyperglycemia and glucose intolerance. The hyperglycemic effect of acute nicotine treatment was mediated by the activation of certain nAchR subunit because the abolished by hyperglycemia was CSM (nAchR inhibitor). This acute nicotine treatment also increases both basal insulin secretion, glucosestimulated insulin secretion, and decreases insulin sensitivity [7]. Furthermore, smokers have been shown to have higher fasting plasma cortisol concentrations than non-smokers. Higher cortisol concentrations may be a consequence of the stimulation of sympathetic nervous system activity that is induced by smoking, and higher cortisol may lead to hyperinsulinemia [11] [17].

For HbA1c variable, the result in this study was in contrast with a previous study that shows HbA1c was higher by 0.08% in smokers compared to non-smokers [19]. Glycated haemoglobin provides a better indication of long-term glycemic control than blood glucose levels. During the lifespan of erythrocyte, they are constantly exposed to glucose and result in non-enzymatic attachment of glucose to the haemoglobin molecule within the erythrocyte. Due to the longer lifespan of erythrocyte, haemoglobin reflects the mean blood alucose over previous periods (approximately 3) months, as the average lifespan of the erythrocyte is 120 days). The rate of HbA1c formation is directly proportional to the mean of blood alucose during the lifespan of the erythrocyte. Due to its properties, HbA1c is often used to monitor blood glucose in the diabetic patient and also used to monitor patient response toward diabetes therapy [9] [10]. Many studies have reported the unfavorable effects of smoking for diabetes mellitus. Smoking increases the risk of developing diabetes, and aggravates the micro-and macro-vascular complications of diabetes mellitus [6] [9]. Diabetic patients are also more likely to develop various oral health problems that may be aggravated by smoking [20].

The result in this study did not represent the entire smoking and non-smoking diabetic patients due to the cross-sectional design and relatively very small study population. Future studies are needed to analyse the exact biological effect of various factors such as types of medication used, physical activity and lifestyle that can affect blood glucose and HbA1c, since a substantial portion of HbA1c and blood glucose concentration may be determined by these non-glycemic factors [10] [15] [21].

This study concluded that postprandial glucose levels were different in smoking compared to non-smoking diabetic patients. Smoking may contribute to the development of insulin resistance as there were higher postprandial glucose levels in smoking diabetic patients compared to the non-smokina diabetic patients. Although further studies are needed for this specific population regarding the impact of smoking on glucose metabolism and insulin resistance, smoking cessation programs should be offered to the diabetic population.

Acknowledgement

We gratefully acknowledge that this research is supported by Institutions of Research, Universitas Sumatera Utara (USU), as Talenta Research USU of Year 2017 with contract no. 5338/UN5.1.R/RPM/2017, May 22nd 2017. We also would like to show our gratitude to the Dean of Medical Faculty of UNiversitas Sumatera Utara and the Head Director of Universitas Sumatera University Hospital for the general administrative support provided.

References

1. Basic Health Research. Jakarta: Ministry of health Indonesia, 2013. [cited 2017 Oct 25] Available from: http://depkes.go.id/.

2. Cavan D, Fernandes JR, Makaroff L, Ogurtsova K, Webber S. Diabetes Atlas. International Diabetes Federation, 2015, 2013. [cited 2017 Oct 25]. Available from: http://diabetesatlas.org/.

3. Zaccardi F, Webb DR, Yates T, Davies MJ. Pathophysiology of type 1 and type 2 diabetes mellitus: a 90-year perspective. Postgrad Med J. 2015; (1776): 1–7.

4. Korat AV, Willett WC, and Hu FB. Diet, lifestyle, and genetic risk factors for type 2 diabetes: a review from the Nurses Health Study, Nurses Health Study 2, and Health Professionals Follow-up Study. Curr Nutr Rep. 2014; 3(4): 345–54. <u>https://doi.org/10.1007/s13668-014-0103-5</u> PMid:25599007 PMCid:PMC4295827

5. Hilawe EH, Yatsuya H, Li Y, Uemura M, Wang C, Chiang C, et al. Smoking and diabetes: is the association mediated by adiponectin, leptin, or C-reactive protein? J Epidemiol. 2015; 25(2): 99–109. <u>https://doi.org/10.2188/jea.JE20140055</u> PMid:25400076 PMCid:PMC4310870

6. Chang SA. Smoking and type 2 diabetes mellitus. Diabetes Metab J. 2012; 36(6): 399–403. <u>https://doi.org/10.4093/dmj.2012.36.6.399</u> PMid:23275932 PMCid:PMC3530709

7. Vu CU, Siddiqui JA, Wadensweiler P, Gayen JR, Avolio E, Bandyopadhyay GK, et al. Nicotinic acetylcholine receptors in glucose homeostasis: The acute hyperglycemic and chronic insulin-sensitive effects of nicotine suggest dual opposing roles of the receptors in male mice. Endocrinology. 2014; 155(10): 3793– 805. https://doi.org/10.1210/en.2014-1320 PMid:25051446

8. Schteingart DE. Pancreas: Metabolism of Glucose and Diabetes Mellitus. In: Price SA, Wilson LM. Pathophysiology: Clinical Concepts Of Disease Processes. Elsevier Science. (60): 345-6.2002.

9. Cefalu WT. Standarts of medical care in diabetes. American Diabetes Association. Diabetes care. 2017; 37(1):14-5.

10. Jansen H, Stolk RP, Nolte IM, Kema IP, Wolffenbuttel BHR, Snieder H. Determinants of HbA1c in nondiabetic Dutch adults: Genetic loci and clinical and lifestyle parameters, and their interactions in the lifelines cohort study. J Intern Med. 2013; 273(3):283–93. https://doi.org/10.1111/joim.12010 PMid:23121487

11. Szulinska M, Piorunek T, Suliburska J, Pupek-Musialik D, Kupsz J, Drzymała-Czyz S, et al. Evaluation of insulin resistance, tumor necrosis factor alpha, and total antioxidant status in obese patient smoking cigarette. Eur Rev Med Pharmacol sci. 2013; 17: 1916–21. PMid:23877857

12. Bhattacharjee A, Prasad SK, Pal S, Maji B, Syamal AK, Mukherjee S. Synergistic protective effect of folic acid and vitamin B 12 against nicotine-induced oxidative stress and apoptosis in pancreatic islets of the rat. Pharm Biol. 2016; 54(3): 433-444. https://doi.org/10.3109/13880209.2015.1043561 PMid:25973643

13. Tata VD. Age-related impairment of pancreatic beta-cell function: pathophysiological and cellular mechanisms. Frontiers in Endocrinology. 2014; 5(138):1–8.

14. Gong Z, Muzumdar RH. Pancreatic Function, Type 2 Diabetes, and Metabolism in Aging. International Journal of Endocrinology. 2012; 2012(320482):1-13. <u>https://doi.org/10.1155/2012/320482</u> PMid:22675349 PMCid:PMC3362843

15. Stojkovikj J, Zafirova-Ivanovska B, Kaeva B, Anastasova S, Angelovska I, Jovanovski S, Stojkovikj D. The Prevalence of Diabetes Mellitus in COPD Patients with Severe and Very Severe Stage of the Disease. Open Access Maced J Med Sci. 2016; 4(2):253-8. <u>https://doi.org/10.3889/oamjms.2016.060</u> PMid:27335596 PMCid:PMC4908741

16. Fantuzzi G. Adipose tissue, adipokines, and inflammation. J Allergy Clin Immunol. 2005; 115(5): 911–20. https://doi.org/10.1016/j.jaci.2005.02.023 PMid:15867843

17. Tweed JO, Hsia SH, Lutfy K, Friedman TC. The endocrine effects of nicotine and cigarette smoke. Trends Endocrinol Metab. 2012; 23(7):334–42. <u>https://doi.org/10.1016/j.tem.2012.03.006</u> PMid:22561025 PMCid:PMC3389568

18. Aleidi S, Issa A, Bustanji H, Khalil M, B ustanji Adiponectin serum levels correlate with insulin resistance in type 2 diabetic patients. Saudi Pharm J. 2015; 23(3): 250–6. https://doi.org/10.1016/j.jsps.2014.11.011 PMid:26106273 PMCid:PMC4475813

19. Vlassopoulos A, Lean M and Combet E. 2013. Influence of smoking and diet on glycated haemoglobin and 'pre-diabetes' categorisation: a cross-sectional analysis. BMC Public Health. 2013; 13(1):1013-21. <u>https://doi.org/10.1186/1471-2458-13-1013</u> PMid:24499114 PMCid:PMC4029457

20. Chako KZ, Phillipo H, Mafuratidze E, Zhou DT. Significant differences in the prevalence of elevated HbA1c levels for type I and type II diabetics attending the Parirenyatwa Diabetic Clinic in Harare, Zimbabwe. Chinese Journal of Biology. 2014; 2014: 1-5. https://doi.org/10.1155/2014/672980

21. Peters AL, Davidson MB, Schriger DL, Hasselblad V. A clinical approach for the diagnosis of diabetes mellitus. JAMA. 1996; 276(15): 1246-52.

https://doi.org/10.1001/jama.1996.03540150048030 PMid:8849753



The Comparison of Olanzapine and Risperidone Treatment in Male Schizophrenic Patients using Positive and Negative Syndromes Scale (PANSS)

Endah Tri Lestari*, Elmeida Effendy, Mustafa Mahmud Amin, Bahagia Loebis

Universitas Sumatera Utara, Fakultas Kedokteran, Psychiatry, Medan, Sumatera Utara, Indonesia

Abstract

Citation: Lestari ET, Effendy, E Amin MM, Loebis B, The Comparison of Olanzapine and Risperidone Treatment in Male Schizophrenic Patients using Positive and Negative Syndromes Scale (PANSS). Open Access Maced J Med Sci. 2018 Apr 15; 6(4):638-642. https://doi.org/10.3889/camjms.2018.153

Keywords: Risperidone; Olanzapine; PANSS; Male schizophrenic patients; Acute phase of treatment

*Correspondence: Endah Tri Lestari. Universitas Sumatera Utara, Fakultas Kedokteran, Psychiatry, Medan, Sumatera Utara, Indonesia. E-mail: endahmaniez28@gmail.com

Received: 18-Jan-2018; Revised: 23-Feb-2018; Accepted: 28-Feb-2018; Online first: 12-Apr-2018

Copyright: © 2018 Endah Tri Lestari, Elmeida Effendy, Mustafa Mahmud Amin, Bahagia Loebis. This is an openaccess article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

INTRODUCTION: The most common method to compare olanzapine and risperidone is by calculating the score of positive and negative syndromes scale (PANSS). However, there were some conflicting results mentioned from previous studies.

AIM: This study aimed to compare the treatment of olanzapine and risperidone using PANSS, limited to only male patients receiving inpatient treatment to obtain more significant results.

METHODS: The subjects of this study were male schizophrenic patients in the acute phase of treatment (N = 68) who were hospitalised at the Mental Hospital Prof. Dr M. Ildrem, Indonesia. These participants were divided into two groups and treated with different atypical antipsychotics (olanzapine, 20 mg/day [n = 34]; risperidone, 6 mg/day [n = 34]). The scores of PANSS from both groups were collected from pre-test and post-test after 6 -week treatment.

RESULTS: The improvement of the schizophrenia symptoms measured by PANSS after 6 weeks showed significant differences in the scores of PANSS between the male patient groups treated with olanzapine and risperidone (p-value < 0.001).

CONCLUSION: The group of olanzapine shows a higher improvement of the scores of PANSS than that the group of risperidone.

Introduction

Schizophrenia is a psychotic disorder characterised by a disorder of mind, mood, and behaviour. Schizophrenia accounts for around 1% of the population. This disorder usually starts before the age of 25, survives throughout life, and may affect people from all social classes. Schizophrenia consists of positive symptoms, negative symptoms, cognitive symptoms, aggressive symptoms, and affective symptoms. The positive symptoms include delusions, hallucinations, disorganised speech or behaviour, catatonic behaviour, and agitation. The negative symptoms include blunted or flat affect, emotional withdrawal, poor rapport, indifference, withdrawal from social life, abstract-thought disorder, alogia, avolition, anhedonia, and concentration disorder [1].

Among atypical antipsychotics, risperidone and olanzapine have been shown to reduce both the positive and the negative symptoms significantly of schizophrenia [2], and are also more effective than conventional antipsychotic drugs [3]. Risperidone and olanzapine exploit multiple actions on serotonin and dopamine which resulted in improved adherence by minimising the side effects of extrapyramidal [4] [5].

One way of measuring the positive symptoms, the negative symptoms, and the general symptoms in schizophrenic patients is by using Positive and Negative Syndrome Scale (PANSS). The measurement of the scores of PANSS has been proven to be sensitive and specific about the use of pharmacology from the assessment of the positive symptoms and the negative symptoms in schizophrenic patients [6]. Several studies have been conducted to compare the effectiveness of olanzapine and risperidone using the scores of PANSS. Conley et al. (2001) found that olanzapine leads to better improvement in both positive and negative symptoms, better overall response rates, and less severe side effects than risperidone. In addition, patients treated with olanzapine appear to maintain a better response than patients treated with risperidone [7]. This contrasts with previous findings by Tran et al. (1997) which showed differences only in the negative scale, in which the group treated with olanzapine had a higher score than the group treated with risperidone. On the other hand, the positive scale and the total score between the two groups were found to be comparable [8]. Similarly, Shah et al. (2011) and Kumar et al. (2016) reported that although the improvement in the negative scale was significantly higher in the group treated with olanzapine, there were no significant differences for the positive scale and the total score of PANSS in both groups [9] [10].

Some factors which might influence different results in previous studies mentioned above are the methods of the patient's treatment (inpatient or outpatient care), the dose of drugs used by the patient during the assessment (flexible dose or fixed dose), and gender of the patient (male or female). To our best knowledge, there is no previous study which limits participants only to patients with a specific gender. Therefore, this study aimed to measure differences in the scores of PANSS on the use of olanzapine and risperidone limited to male with schizophrenia who were hospitalised with a fixed dose. The inpatient method was chosen because this method could easily control the physical activity and lifestyle of the participants so that the results would have a more valid value. The reason for selecting male participants was because male schizophrenic patients tend to have negative symptoms, a worse pre-morbid history, and a greater likelihood of having deficit syndromes and worse prognosis than female schizophrenic patients [11].

Methods

The research design was a quasi-experiment with pre-test and post-test, between the olanzapine group and the risperidone group in the period of June-September 2017. The drop-out criteria used was an intention to treat analysis in which if there was a drop out subject, the subject would still be included for the analysis in the last week with the data used was the data recorded in the last week before the subject dropped-out [12].

The subject used was determined by non probability sampling known as the consecutive sampling [13]. Subjects who met the criteria were required to sign an informed consent after being briefed about the study. According to that, this study recruited subjects of 68 male patients with schizophrenia who were hospitalised in the acute phase of treatment at the Mental Hospital Prof. dr. M. Ildrem, North Sumatra, Indonesia. These participants were divided into two groups based on simple randomisation consisting of 34 patients treated with olanzapine treatment and 34 patients treated with risperidone treatment. If the subject encountered the side effects of extrapyramidal symptoms during the treatment, the subject would be given anticholinergic (i.e. triheksilpenidil) with a dose range of 2-5 mg for 2-4 times of administration during 1-2 weeks [14] [15].

The inclusion criteria were including male patients with schizophrenia which corresponded to the Guidelines of Diagnostic Classification for Mental Disorders Illprevailed in Indonesia with semi-structured interviews mini ICD - 10 [11], in the acute phase of treatment (total score of PANSS 80-150) [16], between the age of 20 and 45 years, duration of illness ≤ 5 years, normal body mass index (BMI = 18.5-24.99), PANSS excited component (PANSS-EC) < 20. The PANSS-EC consisted of 5 items: excitement, tension, hostility, uncooperativeness, and poor impulse control. It was rated from 1 (not present) to 7 (extremely severe) with the score ranged from 5 to 35 [17]. The exclusion criteria consisted of having general medical disorders or other comorbidities, history of substance use (except caffeine and nicotine), and hypersensitivity or unresponsive to olanzapine dan risperidone.

The administration of olanzapine was carried out with an initial dose of 10 mg/day. Then, this dose was increased to 15 mg/day on the 7th day while the dose at night was increased to 20 mg/day on the 14th day. The last dose was used for 6 weeks. The initial dose of risperidone was 2 mg/day; then this dose was increased to 4 mg/day on the 4th day. Finally, the dose was increased to 6 mg/day at the 8th day. The last dose was used in the morning and at night for 6 weeks. The measurement of PANSS began in the first week with the fixed dose. At the end of the research or the 6th week, the total score of PANSS was measured.

The results measured in each symptom were the change in the mean value from the baseline to the end-point in the score of PANSS. Before the intervention, the baseline was assessed through interviews using a questionnaire of PANSS which consisted of 30 items with 3 subscales: 7 items were the positive symptoms scale (P1-P7), 7 items were the negative symptoms scale (N1-N7), and 16 items were general psychopathology symptoms scale (G1-G16). Each item was scored on a 7-point Likert scale ranging from 1 to 7. Thus, the range of the positive and the negative sub-scales were 7 to 49 while the range of the general psychopathology scale was 16 to 112 [18]. The agreement test was obtained with a numerical agreement comparative test (Bland Altman) performed by an independent, professional, and trained psychiatrist. Furthermore, the scores of

PANSS of subjects were evaluated on a weekly basis using the same questionnaire with the previous questionnaire until the endpoint, the 6th week.

The data processing and the statistical analysis were performed with the use of IBM SPSS statistical software version 22. The baseline comparison for the demographic characteristics of the subjects was performed using an independent t-test (normal distribution) or Mann-Whitney U test (non - normal distribution), and Chi-Square test. The mean score in each group before and after the intervention was obtained using paired t-test (normal distribution) or Wilcoxon test (non-normal distribution). The mean score at the end-point of the 6th week was obtained using an independent t-test or Mann-Whitney U test [19].

Results

Table 1 shows the demographic characteristics of the olanzapine group (n = 34) and the risperidone group (n = 34). Most participants were from the primary education level (64.7% for both groups) and unmarried status (76.5% for the olanzapine group and 85.3% for the risperidone group). The mean age of the olanzapine group was 30.29 years (SD = 6.89), and the mean age of the risperidone group was 30.24 years (SD = 6.40).

Table 1: Demographic characteristics of research subjects

	Group of Olanzapine Treatment (n = 34)		Group of Risperidone Treatment (n = 34)			
Variable	Mean	SD	Mean	SD	p	
Age (years)	30.29	6.89	30.24	6.40	0.971*	
Body mass index	22.08	1.45	21.78	1.31	0.386*	
Duration of illness (years)	2.67	1.09	2.50	1.08	0.397**	
Education level	N	%	N	%		
Primary	22	64.7	22	64.7	4 000**	
Middle	12	35.3	12	35.3	1.000**	
Marriage status						
Married	8	23.5	5	14.7	0.537**	
Unmarried	26	76.5	29	85.3		

*Independent T-test, **Chi-square Test.

The mean duration of illness experienced by the subjects was 2.67 years (SD = 1.09) for the olanzapine group and 2.50 years (SD = 1.08) for the risperidone group. As shown in Table 1, the two groups had insignificant differences for all variables indicated by the *p*-value > 0.05. These results indicate that the overall results of this study are unbiased.

Table 2: Baseline of the scores of PANSS (0-week)

	Group of Ol Treatment		Group of Ris Treatment (
PANSS	Mean	SD	Mean	SD	P*
Positive scale	32.26	2.16	32.62	2.23	0.510
Negative scale	24.41	2.45	24.35	2.42	0.892
General psychopathology scale	38.65	3.60	37.91	3.46	0.394
Total Score	95.26	4.57	94.94	4.33	0.765

Table 2 shows the baseline for the scores of PANSS at the 0-week. According to the table, there was no significant difference between the two groups.

Table 3: The differences in the PANSS scores before and after	
6 weeks of treatment with olanzapine	

	Before tr	reatment	After 6 w treatr		
PANSS	Mean	SD	Mean	SD	P*
Positive scale	32.62	2.23	12.00	1.02	<0.001
Negative scale	24.41	2.45	9.03	1.34	<0.001
General psychopathology scale	37.91	3.46	14.00	1.39	<0.001
Total Score	94.94	4.33	34.97	2.98	<0.001
*Paired T-test.					

Table 3 and Table 4 show the mean score of PANSS in the olanzapine group and the risperidone group before and after the treatment. As shown in the table, there was a significant change in the positive scale, the negative scale, the general psychopathology scale, and the total score of PANSS of the subjects before and after the treatment in both groups.

Table 4: The differences in the PANSS scores before and after	
6 weeks of treatment with risperidone	

	Before tr	eatment	After 6 week treatment	s of	
PANSS	Mean	SD	Mean	SD	P *
Positive scale	32.26	2.16	16.18	1.40	<0.001
Negative scale	24.35	2.42	12.38	1.30	<0.001
General psychopathology scale	38.65	3.60	19.59	1.39	< 0.001
Total Score	95.26	4.57	47.71	2.69	<0.001
*Paired T-test.					

Table 5 shows the differences in the score of PANSS after 6 weeks of treatment between the olanzapine group and the risperidone group. According to the table, the mean of the PANSS score in a male with schizophrenia at the end-point of the 6th week for the positive scale in the olanzapine group was 12.00 (SD = 1.01) whereas the risperidone group showed the mean score of 16.18 (SD = 1.40). The mean of the negative scale for the olanzapine group was 9.03 (SD = 1.34) while the mean of the negative scale for the olanzapine group was 9.03 (SD = 1.34) while the mean of the negative scale for the olanzapine group was 12.38 (SD = 1.30). The mean of the general psychopathology scale for the olanzapine group was 14.1 (SD = 1.39) while the mean of the general psychopathology scale for the risperidone group was 19.59 (SD = 2.19).

Table 5: The differences in the PANSS scores after 6 weeks of treatment between the olanzapine group and the risperidone group

	Group of Olanzapine Treatment (n = 34)		Group of Risperidone Treatment (n = 34)		
PANSS	Mean	SD	Mean	SD	P
Positive scale	12.00	1.01	16.18	1.40	<0.001
Negative scale	9.03	1.34	12.38	1.30	<0.001
General psychopathology scale	14.00	1.39	19.59	2.19	<0.001
Total Score	34.97	2.98	47.71	2.69	<0.001

*Independent T-test.

Thus, the mean of the total score of PANSS obtained was 34.97 (SD = 2.98) for the olanzapine group and 47.71 (SD = 2.69) for the risperidone group. Based on these results, there was a very

significant difference between the two groups at the end-point of the 6^{th} week (*p*-value < 0.001). The scores of PANSS obtained from olanzapine group showed a higher improvement than that of risperidone group.

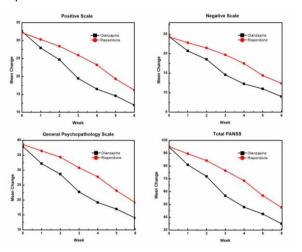


Figure 1: Mean change from baseline in weekly for positive scale, negative scale, general psychopathology scale, and a total score of PANSS of male schizophrenic patients treated with olanzapine or risperidone (p-value < 0.001)

Figure 1 shows the mean change from baseline in weekly scores of PANSS. The decreases in the scores of PANSS from baseline to each visit were significantly greater in the olanzapine group than that in the risperidone group from week 1 through week 6.

Discussion

This study has provided very clear boundaries in recruiting participants as the research subjects. The selected participants were male patients aged 20-45 vears. As previously mentioned, the male participants were recruited because male patients tend to have worse symptoms than female patients. The age selection is also because the most frequent onset of schizophrenia is the age of 15-30 years whereas the peak of the attack in men is between the ages of 10-25 90% vears. Also. of patients receiving schizophrenic treatment are between the ages of 15-55 years [1]. Patients under the age of 18 were excluded from this study as a consideration of their long-term safety, including cardiometabolic effects and effects on their growth, maturity, and behavioural development. In addition, geriatric patients were also excluded because these patients experience the decreased function of kidney, liver, and heart, and increased tendency of postural hypotension [20].

As previously explained, the use of risperidone and olanzapine in this study was because

both have been shown to significantly reduce the positive symptoms and the negative symptoms of schizophrenia [21]. The determination of dose for both was based on several studies on olanzapine and risperidone in people with schizophrenia. Based on monograph products, the daily dose target for people with schizophrenia is 10 mg for olanzapine and 6 mg for risperidone. However, in clinical practice, the average dose of olanzapine and risperidone is recommended to be higher than the target dose recommended in the monograph [22]. Marder et al. (2017) [23] found that olanzapine was effective if given in the dose of 10-20 mg/day in the acute phase of schizophrenia [23] although a higher dose up to 60 mg/day was reportedly used for people with schizophrenia resistant to treatment. Also, the administration of olanzapine at night can improve general sedation tolerance in early treatment [24]. On the other hand, risperidone has a target dose of 3-6 mg in a day. Risperidone at a dose of 6 mg is as effective as the higher dose, but risperidone at a medium dose (4-6 mg/day) suggests a lower risk of extrapyramidal symptoms (EPS) than the first generation of antipsychotics [21]. Based on the above description, it has been determined that the dose of olanzapine administered was 20 mg/day and the dose of risperidone administered was 6 mg/day because this dose would be well tolerated without adverse events.

The calculation results of the scores of PANSS in this study showed significant differences in the scores of PANSS for the positive scale, the negative scale, general psychopathology scale, and the total score of PANSS in male schizophrenic patients. This is similar to a study conducted by Conley et al. (2001) in Los Angeles which compared the treatments of olanzapine and risperidone to 134 patients who were hospitalised. The patients were treated with risperidone and olanzapine with flexible dose for 8 weeks. In both groups, there was also a significant difference in the scores of PANSS for the positive scale, the negative scale, general psychopathology scale, and the total score of PANSS at the end of the 6^{th} week (p < 0.01) [7]. In contrast, a study by Shah et al. (2011) [11] in Nepal found that both groups showed significant improvement at the end of the 6th week. More specifically, the negative scale was higher in the group treated with olanzapine than risperidone while the positive scale and the total score of PANSS had improvement, but there was no significant difference in the two groups (p = 0.498) [8]. This difference was because Shah et al. (2011) used participants with the outpatient method, so the physical activity and the dose of drugs could not be fully controlled while the study by Conley et al. (2001) hospitalised. used participants who were Nevertheless, Tran et al. (1997) [9] and Kumar et al. (2016) [10] who recruited participants who were hospitalised also found similar results to the results of Shah et al. (2011) [8].

The results of this study were not biased because the comparative test results between the variables on the demographic characteristics indicated that there was no significant difference in terms of age, education level, marital status, duration of illness, body mass index, positive scale, negative scale, general psychopathology scale, and total score of PANSS in both groups.

The limitations of this study were that this study did not analyse changes in people's lifestyle and other behaviour patterns, such as smoking.

In conclusion, the results of this study showed that there were significant differences in the scores of PANSS including the positive scale, the negative scale, general psychopathology scale, and the total score of PANSS in male schizophrenic patients between the group of olanzapine treatment with the fixed dose of 20 mg/day and the group of risperidone treatment with the fixed dose of 6 mg/day at the end of the 6th week with the *p*-value < 0.001. This is in accordance with the results obtained by Conley et al. (2001) who studied men and women as subjects using a flexible dose. Based on these different scores, it can also be concluded that olanzapine provides a higher improvement in the positive symptoms and the negative symptoms than risperidone.

References

1. Tamminga CA. Schizophrenia and Other Psychotic Disorders :Introduction and Overview. In: Sadock BJ, Sadock VA, Ruiz P, Editor. Kaplan & Sadock's Comprehensive Textbook of Psychiatry. 10th ed. Philadelphia: Lippincott Williams & Wilkins, 2017:1433.

2. Kane JM, Leucht S, Carpenter D, Docherty JP. Expert Consensus Guideline Series. Optimizing pharmacologic treatment of psychotic disorders. Medication Selection, Dosing, And Dose Equivalence. J Clin Psychiatry. 2003; 64(Suppl12):21-51.

3. Canive JM, Miller GA, Irwin JG, et al. Efficacy of Olanzapine and Risperidone in Schizophrenia: A Randomized Double-Blind Crossover Design. Psychopharmacology Bulletin. 2006; 39(1):105-16. PMid:17065975

4. Ingole S, Belorkar NR, Waradkar P, Shrivastava M. Comparison of effects of olanzapine and risperidone on body mass index and blood sugar level in schizophrenic patients. Indian J Physiol Pharmacol. 2009; 53 (1):47–54. PMid:19810576

5. Rahiminejad F, Akhondzadeh S. Pharmacotreatment of Schizophrenia: Ploypharmacy Approaches. Acta Medica Iranica. 2010; 48(4): 203-8. PMid:21279929

6. Gottlieb J, Fan X, Goff DC. Rating scales in schizophrenia. In: Baer L, Blais MA, Editor. Handbook of clinical rating scales and assessment inpsychiatry and mental health. New York: Humana Press, 2010: 209-19.

7. Conley RR, Mahmoud R. A Randomized Double-Blind Study of Risperidone and Olanzapine in the Treatment of Schizophrenia or Schizoaffective Disorder. Am J Psychiatry. 2001; 158:765–74. https://doi.org/10.1176/appi.ajp.158.5.765 PMid:11329400

8. Shah SK, Ojha SP, Koirala NR, Sharma VD, Yengkokpam B. A comparison of efficacy of risperidone and olanzapine in

schizophrenia patients. Journal of College of Medical Sciences-Nepal. 2011; (3):29-35.

9. Tran PV, Hamilton SH, Kuntz AJ, et al. Double-blind comparison of olanzapine versus risperidone in the treatment of schizophrenia and other psychotic disorders. J Clin Psychopharmacol. 1997; 17:407–18. <u>https://doi.org/10.1097/00004714-199710000-00010</u> PMid:9315992

10. Kumar PN, Anish PK, Rajmohan V. Olanzapine has better efficacy compared to risperidone for treatment of negative symptoms in schizophrenia. Indian Journal of Psychiatry. 2016; 58(3):311-6. <u>https://doi.org/10.4103/0019-5545.192016</u> PMid:28066010 PMCid:PMC5100124

11. Departemen Kesehatan Republik Indonesia. Pedoman Penggolongan dan Diagnosis Gangguan Jiwa di Indonesia III (PPDGJ-III). Jakarta, 1993.

12. Dahlan MS. Membaca dan Menelaah Jurnal Uji Klinis. Jakarta: Salemba Medika, 2010: 65-74

13. Sastroasmoro S, Ismail S. Dasar-Dasar Metodologi Penelitian Klinis. Edisi Ketiga. Jakarta: Sagung Seto. 2008: 202-19.

14. Stahl SM. Essential Psychopharmacology Prescriber's guide. Risperidone. 5th ed. New York: Cambridge University Press, 2015: 593-602

15. Sadock BJ, Sadock VA, Sussman N. Anticholinergics and Amantadine. In: Kaplan&Sadock's Pocket Handbook Of Psychiatric Drug Treatment. Lippincott Williams & Wilkins, 2006: 46-52

16. Opler LA, Opler MG, Malaspina D. Reducing guesswork in schizophrenia treatment: PANSS can target and gauge treatment, predict outcomes. Current Psychiatry. 2006; 5 (9):76-84.

17. Montoya A, Valladares A, Lizán L, San L, Escobar R, Paz S. Validation of the Excited Component of the Positive and Negative Syndrome Scale (PANSS-EC) in a naturalistic sample of 278 patients with acute psychosis and agitation in a psychiatric emergency room. Health and Quality of Life Outcomes. 2011; 9:18. https://doi.org/10.1186/1477-7525-9-18 PMid:21447155 PMCid:PMC3078838

18. Amir N. Pedoman Definisi PANSS (Positive and Negative Syndromes Scale). Jakarta: Fakultas Kedokteran Universitas Indonesia, 2008: 4-24. PMid:18387033

19. Dahlan MS. Statistik untuk kedokteran dan kesehatan: deskriptif, bivariat dan multivariat, dilengkapi dengan menggunakan SPSS. Edisi kelima. Jakarta: Salemba Medika, 2014: 91-136.

20. Lehman AF, Lieberman JA, Dixon LB, McGlashan TH, Miller AL. Practice Guideline for the Treatment of Patients with Schizophrenia. 2nd ed.US: American Psychiatry Association, 2010: 52-53.

21. Kane JM, Stroup TS, Marder SR. Schizophrenia: Psychopharmacological Treatment. In: Sadock BJ, Sadock VA, Ruiz P, Editor. Kaplan & Sadock's Comprehensive Textbook of Psychiatry. 10th ed. Philadelphia: Lippincott Williams & Wilkins, 2017: 1548-56.

22. Moisan J, Grégoire JP, Chabot I. Risperidone and Olanzapine Use at a Psychiatric Hospital: Comparison of Clinical Use and Acquisition Costs. Can J Hosp Pharm. 2001; 54:278-83.

23. Marder SR, Davis MC. Second-Generation Antipsychotics. In: Sadock BJ, Sadock VA, Ruiz P, Eds. Kaplan & Sadock comprehensive textbook of psychiatry. Vol I. 10th ed. Philadelphia: Lippincott Williams & Wilkins, 2017:8104-229.

24. Miyamoto S, Merrill DB, Jarskog LF, Fleishhacker WW, Marder SR, Lieberman JA. Antipsychotic Drugs. In Tasman A, Kay J, Liebermen JA, First MB, Riba MB, editors. Psychiatry. 4th ed. John Wiley & Sons, Ltd, 2015:2088-119. https://doi.org/10.1002/9781118753378.ch104



Correlation between Lymphocyte CD4 Count, Treatment Duration, Opportunistic Infection and Cognitive Function in Human Immunodeficiency Virus-Acquired Immunodeficiency Syndrome (HIV-AIDS) Patients

Fasihah Irfani Fitri*, Aldy Safruddin Rambe, Aida Fitri

Department of Neurology, Faculty of Medicine, Universitas Sumatera Utara, Medan, Indonesia

Abstract

Citation: Fitri FI, Rambe AS, Fitri A. Correlation between Lymphocyte CD4 Count, Treatment Duration, Opportunistic Infection and Cognitive Function in Human Immunodeficiency Virus-Acquired Immunodeficiency Syndrome (HIV-AIDS) Patients. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):643-647. https://doi.org/10.3889/oamjms.2018.152

Keywords: CD4; HIV – AIDS; Cognitive function

*Correspondence: Fasihah Irfani Fitri. Department of Neurology, Faculty of Medicine, Universitas Sumatera Utara, Medan, Indonesia. E-mail: fasihah.irfani@usu.ac.id Received: 15. lan.2018: Revised: 05.Mar.2018:

Received: 15-Jan-2018; Revised: 05-Mar-2018; Accepted: 06-Mar-2018; Online first: 13-Apr-2018

Copyright: © 2018 Fasihah Irfani Fitri, 13-APF-2018 Copyright: © 2018 Fasihah Irfani Fitri, Aldy Safruddin Rambe, Alda Fitri. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research is funded by Lembaga Penelitian Universitas Sumatera Utara according to Kontrak Penelitian TALENTA Universitas Sumatera Utara Tahun Anggaran 2017. Nomor: 5338/UN5.1.R/PPM/2017 tanggal, 22 Mei 2017

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: Human immunodeficiency virus (HIV) infection is an epidemic worldwide, despite the marked benefits of antiretroviral therapy (ARV) in reducing severe HIV-associated dementia. A milder form of neurocognitive disorders are still prevalent and remain a challenge.

AIM: This study aimed to determine the correlation between plasma cluster of differentiation 4 (CD4) lymphocyte, duration of ARV treatment, opportunistic infections, and cognitive function in HIV-AIDS patients.

METHODS: A cross-sectional study involving 85 HIV-AIDS patients was conducted at Adam Malik General Hospital Medan, Indonesia. All subjects were subjected to physical, neurologic examination and Montreal Cognitive Assessment-Indonesian Version (MoCA-INA) to assess cognitive function and measurement of lymphocyte CD4 counts.

RESULTS: Out of the 85 subjects evaluated, the proportion concerning sexes include 52 males (61.2 %) and 33 females (38.8%). The mean age was 38.53 ± 9.77 years old. There was a significant correlation between CD4 lymphocyte counts and MoCA-INA score (r = 0.271, p = 0.012), but there was no significant correlation between duration of ARV treatment and MoCA-INA score. There was also no difference in MoCA-INA score based on the presence of opportunistic infection.

Introduction

immunodeficiency virus (HIV) Human infection is a global epidemic. According to estimates from the 2017 UNAIDS report on the global Acquired Immunodeficiency Syndrome (AIDS) epidemic, there were approximately 36.7 million people living with HIV worldwide [1]. There were 15,534 people with HIV infection and 1700 AIDS cases at the end of 2014 in Indonesia, with a cumulative number from April 1987 to 2014 stands at 142,950 HIV cases and 55,623 AIDS cases. The cumulative number of HIV-AIDS cases in Sumatera Utara province ranked tenth in Indonesia, with 8,794 HIV cases and 1,573 AIDS cases at the end of 2014, with a prevalence of 12.12 per 100.000 population [2].

Neurologic disorders in HIV infection can be divided into primary and secondary disorders. The

secondary disorders are caused by opportunistic infections resulting from immunosuppression and have become less frequently found since the establishment of antiretroviral (ARV) treatment. The disorders. includina HIV-associated primary neurocognitive disorders (HAND) representing the deleterious effects of HIV on brain [3] [4] [5] There are three severity categories for HAND: HIV-associated asymptomatic neurocognitive impairment (ANI), HIV associated mild neurocognitive disorder (MND), and HIV-associated dementia (HAD). Although the use of ARV has made severe forms of cognitive impairment less common, mild to moderate degrees of cognitive disturbances have become more prevalent and remain a challenge [6] [7] [8]. ARV has improved survival substantially and may also reverse neurocognitive deficits in many cases. The impact of recognising ANI in clinical practice and research would be encourage more to frequent neuropsychologic assessment for individuals with ANI,

to detect early cognitive changes, functional impairments and potential transition to MND or HAD. It might also promote the initiation of ARV independent of CD4 count or plasma HIV RNA levels, especially in the developing countries where measurement of plasma viral load are not readily available, or in a limited resource setting [8].

This study aimed to determine the correlation between plasma CD4 lymphocyte count, ARV duration, opportunistic infection and cognitive function in HIV-AIDS patients.

Methods

This was a cross-sectional study conducted in Adam Malik General Hospital Medan North Sumatera Indonesia, between June and November 2017. HIV -AIDS patients 18 yrs and above were included in this study, with the following profiles: compos mentis, speak Bahasa Indonesia fluently, ability to read and write, and gave written consent. We excluded patients who had psychiatric disorders, aphasia, dementia, traumatic brain injury and central nervous system opportunistic infection. The evaluation encompassed standard physical and neurologic examination, Montreal Cognitive Assessment-Indonesian Version (MoCA-INA) and CD4 lymphocyte measurement.

All statistical procedures were performed with SPSS version 20. We used Pearson's or Spearman correlation test to evaluate the correlation between CD4 count, age and cognitive function (MoCA-INA score), ANOVA or Kruskal Wallis to evaluate the difference on MoCA INA score based on level of education and t-test or Mann Whitney to evaluate the differences of CD4 count and ARV duration based on MoCA-INA score.

The study was performed with approval obtained from the Health Research Ethical Committee Medical Faculty of Universitas Sumatera Utara/H. Adam Malik General Hospital with number 325/TGL/KEPK FK USU-RSUP HAM/2017.

Results

Out of the 85 subjects evaluated, the proportion concerning sexes includes 52 males (61.2 %) and 33 females (38.8%). The mean age was 38.53 \pm 9.77 years old, ranging from 19 to 65 years old. The mean MoCA INA score was 22.94 \pm 3.38, ranging from 15 to 28. The mean CD4 counts were 432.58 \pm 332.24 cells/µL. Table 1 shows characteristics of subjects.

Table 1: Characteristics of Subjects

Characteristic	Number (n = 85)	Percentage
Age (years), mean ± SD	38.53 ± 9.77	
Sex		
Male	52	61.2
Female	33	38.8
Level of education		
Elementary	7	8.2
Junior High School	43	50.6
High School	25	29.4
College/University	10	11.8
Occupation		
Entrepreneur	37	43.5
Employee	5	5.9
Housewife	23	27.1
Farmer	9	10.6
Student	4	4.7
Unemployed	7	8.2
Ethnic		
Batak	38	44.7
Melayu	6	7.1
Javanese	18	21.2
Tionghoa	6	7.1
Karo	17	20.0
MoCA-INA Score		
≥ 26	21	24.7
< 26	64	75.3
Opportunistic Infection		
Yes	60	70.6
No	25	29.4
ARV duration (months), mean±SD,	37.5 ± 25.91	
MoCA-INA Score, mean± SD	22.94 ± 3.38	
Absolute CD4 count (cell/µL), mean ± SD	432.58 ± 332.24	
CD4 percentage (%), mean±SD	18.80 ± 9.93	

There was no significant difference on MoCA-INA score based on the level of education (Table 2). There was also no significant correlation between age and MoCA-INA score (r = 0.034, p = 0.76).

 Table 2: Comparison of MoCA-INA Scores based on the level of education

Level of education	Mean ± SD	р
Elementary school	23.14 ± 3.80	0.849*
Junior high school	22.74 ± 3.37	
High school	23.40 ± 3.58	
College	22 50 + 2 95	

SD = standar deviation; *Kruskal-Wallis Test.

The absolute lymphocyte CD4 count and lymphocyte CD4 percentage were significantly different based on MoCA INA score, but there was no significant difference in ARV duration based on MoCA-INA score.

 Table 3: Differences in the CD4 absolute count, CD 4

 percentage and ARV duration based on MoCA-INA Score

MoCA-INA Score	Lymphocyte CD4		Lymphocyte		ARV Duration (n	nonths)
	Mean ± SD	p	Mean ± SD	р	Mean ± SD	p
Normal $(n = 21)$ Abnormal $(n = 64)$	591.35 ± 369.33 283.00 ± 258.18	0.003	22.94 ± 9.35 14.83 ± 9.29	0.010	45.12 ± 38.54 38.39 ± 24.3	0.914
Mann Whitney.						

There was a significant correlation between absolute CD4 lymphocyte count and MoCA-INA score (r = 0.271, p = 0.015) and between percentage CD4 count and MoCA-INA Score (r = 0.227, p = 0.037). Figure 1 shows the MoCA-INA Score plotted against absolute CD4 lymphocyte counts. The normal MoCA INA score should be above or equal to 26. In this study, most of the subjects had scored lower than 26 (n = 64), and from that 64 subjects, most of them had scored between 21-25, but the overall mean score was 22.94 ± 3.38.

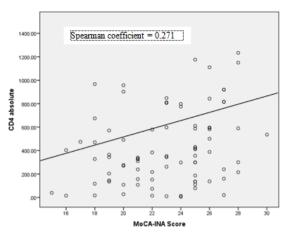


Figure 1: MoCA-INA Score plotted against CD4 lymphocyte counts

The duration of ARV treatment was 37.5 ± 25.91 months. There was no significant correlation between duration of ARV treatment with MoCA-INA score (r = 0.091, p = 0.407). Most of the subjects (60 patients, 70.6%) had an opportunistic infection which was lung tuberculosis. There was no significant difference on MoCA-INA score based on the presence of opportunistic infection (Table 4).

 Table 4: Comparison of MoCA-INA Scores based on the presence of opportunistic infection

Mean ± SD	р
23.02 ± 3.29	0.625
22.76 ± 3.64	
	23.02 ± 3.29

Discussion

Our data show that there was a significant correlation between CD4 lymphocyte count with cognitive function in HIV-AIDS patients as measured by MoCA-INA score. This correlation was independent of age and level of education. This is in line with several previous studies [9] [10] [11] [12]. Valcour et al. (2006) [9] found that after adjusting for age and duration of HIV, lowest historical CD4 was related to a current diagnosis of HAD (odds ratio 1.395 (1.106-1.761) Patients with CD4 lymphocyte below 200 cells/mm³ were considered highly vulnerable to neurological complications associated with infection, including cognitive impairment and the risk increased with further reductions in CD4 lymphocyte count [9]. Ellis et al. (2011) [10] found that lowest historical CD4 was a predictor of HIV neurocognitive impairment in the era of a combination of antiretroviral therapy [10]. A study by Childs et al. (1999) [11] found that levels of plasma CD4 counts and HIV RNA were predictive of HAD and it develops in parallel with immunodeficiency and with more advanced stages of HIV [11]. This

finding could partially reflect the effect of marked immunodeficiency in HIV patients to cognitive function. The correlation between CD4 count and cognitive function was also independent of the duration of ARV treatment and the presence of opportunistic infection. A study by Reger et al. (2005) [12]. Suggested that plasma HIV RNA levels may not predict the degree of neurobehavioral disturbances in HIV infection among patients receiving ARV treatment [12]. HIV causes damage in the central nervous system through two separate but linked processes: the first is a host-derived cascade of inflammatory molecules released by activated or infected microglia, macrophages and astrocytes and the second process involves the direct neurotoxic effects of HIV-encoded proteins such as gp120, Vpr, and Tat, which also modulate transcription of HIV-1 long terminal repeat (LTR) promoter activity and affects several cellular functions [5]. The neuronal damage that occurs is likely caused by shedding viral proteins such as gp120 and Tat or indirectly through the elevated production of neurotoxic molecules released by activated astrocytes macrophages and microglia [6]. Although neurons are not directly infected by HIV, secondary neuronal damage caused by other infected cells is probably required to cause cognitive impairment [4]. HIV infection causes damage to the elaborate network of connections between neurons that take place at dendrites and synapses. This synaptodendritic injury disrupts the highly integrated functioning of neural systems that are required to process information, leading to HIV -associated neurocognitive disorders (HAND), which consist of asymptomatic neurocognitive impairment (ANI), HIVassociated mild neurocognitive disorder (MND), and HIV-associated dementia (HAD) [8]. ANI describes individuals with usually mild impairment in two or more cognitive areas, demonstrated by neuropsychological testing, without a clear effect on everyday functioning. MND refers to the presence of mild to moderate deficits in two or more cognitive areas which create at least mild interference in everyday functioning. Finally, HAD describes individuals with documented moderate to severe deficits in two or more cognitive areas, with substantial impairment in everyday functioning making the person incapable of employment and often unable to live independently [6] [8].

Our study did not classify the cognitive impairment further based on the cognitive domains. MoCA-INA was only used to assess cognitive function. It can assess several domains, including executive function, visuospatial function, attention and concentration, memory, language, calculation and orientation [13]. The Indonesian version of MoCA, namely MoCA-INA has been developed and validated in Indonesia, and so it can be used as a cognitive tool [14]. HIV infection characteristically generates a "subcortical" pattern of neurocognitive dysfunction with deficits predominantly affecting executive functions, speed of information processing, attention/working memory, motor speed, new learning and retrieval of new information, while long-term (semantic) memory, many language skills, and visuo -spatial abilities may remain intact [6]. The pattern of neurocognitive dysfunction, however, is not consistent across individuals and may be even less consistent across individuals from markedly different backgrounds [5]. MND is often an antecedent syndrome that can precede the onset of frank dementia but presents with the clinical hallmarks of HAD albeit with less-severe signs and symptoms and at higher CD4b T-cell levels. Symptoms of HAD typically begin once an individual's lymphocyte CD4 count drops below 200 cells/mL. Clinical risk factors for HAD include low CD4 T-cell levels, high viral load in cerebrospinal fluid (CSF) or plasma, anaemia, extremes of age, injection drug use, and several host genetic polymorphisms [6]. This study did not analyse the factors that could associate with CD4 counts. A study by Li et al (20110 [15] found several important modifiable risk factors were associated with lower CD4 counts, including older age (> 50 years), lower CD4 counts at the time of HAART initiation, the number of switches in HAART regimen in the first 5 years and hepatitis B and C virus infection [15].

An interesting finding from this study was the fact that the number of patients with abnormal cognitive function (MoCA-INA score < 26) was higher than the number of patients with normal cognitive function (MoCA-INA score \geq 26), although most of the patients did not have any subjective cognitive complaint. Although this finding could be attributed to the fact that most of the patients had a level of education lower than 12 years, there were no significant differences in MoCA-INA score based on the level of education, also there was no significant correlation between age and cognitive function. This emphasise the importance of cognitive could assessment in HIV-AIDS patients to evaluate HIV effects on the CNS, to detect early cognitive changes, functional impairments and potential transition to MND or HAD.

This study concludes that CD4 lymphocyte count correlates with cognitive function in HIV-AIDS patients. This finding could reflect that immune status as marked partly by CD4 count might contribute to the development of CNS complications, including cognitive dysfunction.

Acknowledgements

We thank all the staff of Division of Tropical Infectious Diseases, Department of Internal Medicine of Faculty of Medicine Universitas Sumatera Utara/Adam Malik General Hospital and staff of Care, Support and Treatment (CST) of Adam Malik General Hospital. This research is funded by Lembaga Penelitian Universitas Sumatera Utara according to Kontrak Penelitian TALENTA Universitas Sumatera Utara, Tahun Anggaran 2017. Nomor: 5338/UN5.1.R/PPM/2017 tanggal, 22 Mei 2017.

References

1. UNAIDS. Joint United Nations Programme on HIV/AIDS. 2017. Report on the global AIDS epidemic. Geneva, Switzerland: Joint United Nations Programme on HIV/AIDS, 2017. Available from www.unaids.org/sites/default/files/media_asset/20170720_Data_b ook_2017_en.pdf

2. Departemen Kesehatan RI. 2014. Statistik Kasus HIV/AIDS di Indonesia sampai dengan 30 Juni 2014. Direktorat Jendral Pengendalian Penyakit dan Penyehatan Lingkungan Kemenkes RI. Jakarta, 2014.

3. Ellis RJ, Calero P, Stockin MD. HIV infection and the central nervous system: a primer. Neuropsychol Rev. 2009; 19:144-51. https://doi.org/10.1007/s11065-009-9094-1 PMid:19415500 PMCid:PMC2690832

4. Gonzales-Duarte A, Cikurel K, Simpson DM. Selected neurologic complication of HIV and antiretroviral therapy. The PRN Notebook. 2006; 11(2):24-29.

5. Boissé L, Gill J, Power C. HIV infection of the central nervous system: clinical features and neuropathogenesis. Neurol Clin. 2008; 7:799-819. <u>https://doi.org/10.1016/j.ncl.2008.04.002</u> PMid:18657727

6. Robertson K, Liner J, Heaton R. Neuropsycological Assessment of HIV-Infected Populations in International Settings. Neuropsychol Rev. 2009; 19:232-49. <u>https://doi.org/10.1007/s11065-009-9096-z</u> PMid:19455425 PMCid:PMC2690834

7. Cysique LA, Bain MP, A Lane T, Brew BJ. Management issues in HIV-associated neurocognitive disorders. Neurobehavioral HIV Medicine. 2012; 4:63–73. https://doi.org/10.2147/NBHIV.S30466

8. Antinori A, Arendt G, Becker JT, Brew BJ, Byrd DA, Cherner M. 2007. Updated research nosology for HIV-associated neurocognitive disorders. Neurology. 2007; 69(18):1789-99. <u>https://doi.org/10.1212/01.WNL.0000287431.88658.8b</u> PMid:17914061 PMCid:PMC4472366

9. Valcour V, Yee P, Williams AE, Shiramizu B, Watters M, Selnes O, Paul R, Shikuma C, Sacktor N. Lowest ever CD4 lymphocyte count (CD4 nadir) as a predictor of current cognitive and neurological status in HIV- 1 infection—The Hawaii Aging with HIV Cohort. J Neurovirol. 2006; 12(5):387-91. https://doi.org/10.1080/13550280600915339 PMid:17065131

10. Ellis RJ, Badiee J, Vaida F, Letendr S,Heatona RK, Clifford D, Collier AC, Gelmand B, McArthure J, Morgellof S, McCutchana JA, Granta I. CD4 nadir is a predictor of HIV neurocognitive impairment in the era of combination antiretroviral therapy. AIDS. 2011; 25:1747–51. <u>https://doi.org/10.1097/QAD.0b013e32834a40cd</u> PMid:21750419 PMCid:PMC3867631

11. Childs EA, Lyles RH, Selnes OA, Chen B, Miller EN, Cohen BA, Becker JT, Mellors J, McArthur JC. Plasma viral load and CD4 lymphocytes predict HIV-associated dementia and sensory neuropathy. Neurology. 1999; 52:607–13. https://doi.org/10.1212/WNL.52.3.607 PMid:10025796

12. Reger MA, Martin DJ, Cole SL, Strauss G. The relationship between plasma viral load and neuropsychological functioning in HIV-1 infection. Archives of clinical neuropsychology. 2005; 20(2):137-43. <u>https://doi.org/10.1016/j.acn.2004.03.009</u> PMid:15708723

13. Nasreddine Z.S, Phillips N.A, Bédirian V, Charbonneau S, Whitehead V, Collin I, et al. The Montreal Cognitive Assessment,

MoCA: a brief screening tool for mild cognitive impairment. J Am Geriatr Soc. 2005; 53(4):695-9. <u>https://doi.org/10.1111/j.1532-5415.2005.53221.x</u> PMid:15817019

14. Husein N, Lumempouw S, Ramli Y, Herqutanto. Uji validitas dan reliabilitas montreal cognitive assessment versi Indonesia (MoCA-Ina) untuk skrining gangguan fungsi kognitif. Neurona. 2010; 27(4):15-22.

15. Li X, Margolick J, Jamieson B, Rinaldo C, Phair J, Jacobson L.

CD4 T-cell counts and plasma HIV-1 RNA levels beyond 5 years of highly antiretroviral therapy (HAART). J Acquir Immune Defic Syndr. 2011; 57(5):421-8. https://doi.org/10.1097/QAI.0b013e31821e9f21 PMid:21602699 PMCid:PMC3293185



"Bladder Effect" - An Urodynamic Parameter to Distinguish Subtypes of Urinary Incontinence in Women

Sasho Stojchevski^{1*}, Viktorija Jovanovska¹, Igor Aluloski¹, Mile Tanturoski¹, Aleksandar Sikole²

¹University Clinic of Gynaecology and Obstetrics, Faculty of Medicine, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia; ²University Clinic of Nephrology, Faculty of Medicine, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia

Abstract

Citation: Stojchevski S, Jovanovska V, Aluloski I, Tanturoski M, Sikole A. "Bladder Effect" - An Urodynamic Parameter to Distinguish Subtypes of Urinary Incontinence in Women. Open Access Maced J Med Sci. 2018 Apr 15: 6(4):648-650. https://doi.org/10.3889/oamjms.2018.199

Keywords: urinary incontinence; urinary stress incontinence; detrusor instability; urodynamics

*Correspondence: Sasho Stojchevski, MD, PhD candidate. University Clinic of Gynaecology and Obstetrics, Faculty of Medicine, Ss Cyril and Methodius University of Skopie, Rkopublic of Macedonia. Email: stojcevskis@yahoo.com

Received: 17-Mar-2018; Revised: 12-Apr-2018; Accepted: 13-Apr-2018; Online first: 14-Apr-2018

Copyright: ◎ 2018 Sasho Stojchevski, Viktorija Jovanovska, Igor Aluloski, Mile Tanturoski, Aleksandar Sikole. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0) Funding: This research did not receive any financial

support

Competing Interests: The authors have declared that no competing interests exist **BACKGROUND:** Urinary incontinence (UI) is defined by the International Continence Society (ICS) as the involuntary loss of urine that represents a hygienic or social problem to the individual. The aetiology is multifactorial. The diagnosis of UI is important because it can result in the application of appropriate therapy. Urodynamics is a golden standard, without which every UI diagnosis is insufficient.

AIM: The goal of this study was, based on urodynamic results, to prove the existence of evident differences between the subtypes of UI.

METHODS: Eighty patients with UI were evaluated (50 with urinary stress incontinence-USI and 30 with detrusor instability-DI) according to a standard evaluation protocol. Exclusion criteria were: mixed UI and diseases that simulated UI. All patients were 36-65 years of age (mean 56). The following parameters were measured: maximal and average flow, maximal and average voiding pressure. These parameters were compared between both groups, to determine the diagnostic significance of the parameter "Bladder Effect" (BE). It is a product of the urine flow and the pressure during voiding.

RESULTS: The results showed a significant difference with a high confidence interval. Mean BEmax was 577 units in the patient group with USI, and 1014 in the DI group. Similarly, BEav was 313 units in the USI group, and 499 units in the DI group, with a significant difference and a high interval of confidence.

CONCLUSION: In conclusion, the results of the study suggested that BE could be a useful diagnostic parameter to distinguish between USI and DI.

Introduction

Urinary incontinence (UI) is defined by the International Continence Society (ICS) as the involuntary loss of urine that represents a hygienic or social problem to the individual [1]. Urinary incontinence can be checked as one of the patient's symptoms, a sign which can objectively be demonstrated as a disorder. There is no specific aetiology for the appearance of this phenomenon. Most of the individual cases verify multifactorial nature of this entity. The aetiology of UI is still not completely understood. Each patient suffering from urinary incontinence must go through basic clinical evaluation which includes: anamnesis, gynaecological status and urine analysis. Additional investigations such as

648

voiding chart, pad-test, Marshall stress test, and measurement of residual urine, cystoscopy, urodynamics and methods of visualisation (ultrasonic or radiologic) can be performed to help define the type of UI. Video urodynamic studies are reserved for complex cases of urinary stress incontinence (USI). They combine radiologic results and multichannel urodynamics.

Of great interest are the two entities of transurethral urinary incontinence: Urinary stress incontinence (USI) associated with involuntary leakage of urine when the intra-abdominal pressure is suddenly increased, and Urgent (imperative) urinary incontinence (UUI) associated with the detrusor instability (DI). Some authors mention mixed urinary incontinence as a separate entity, but it is the same combination of USI and UUI. The success of the

applied therapy practically depends on the kind of therapeutic approach, which needs to be adequate for each UI, since different type of UI requires a different type of therapeutic approach.

Urinary incontinence, or involuntary micturition, is another disorder or deviation of the physiology of voiding [2]. The art of diagnosing a UI is to determine at what level a disorder of the physiology of voiding has occurred. This leads to proper therapy, and if adequate, it is indeed successful. The prognosis of UI is quite good in more advanced healthcare systems.

The term urodynamics dates from 1954, when David Davis used it while presenting his work in measuring the pressure of the upper urinary tract and renal injuries [3]. Not long after, Hodgkinson [4] [5] declared the urodynamic standards and differentiated USI from an unstable detrusor. Since then, our understanding of the urinary tract, the equipment used, even the width and definition of urodynamics has greatly been expanded. Today, the term "urodynamics" refers to a group of tests used to gather information about the function of the lower urinary tract, as well as the time needed for the bladder to be filled and emptied [6]. Urodynamics is used as a golden standard in the detection of a precise cause for occurrence of UI, in the absence of which, each diagnosis would be insufficient. It has been discovered that the symptoms of USI tend to have a weak correlation with specific urodynamic findings and a strong correlation with the unstable detrusor, which makes the urodynamic evaluation a superb diagnostic tool for identification of the signs of UI. Urodynamic studies in patients suffering from UI enable acquisition of a large amount of data of the lower urinary tract function. They can guide us toward determination of the adequate treatment. They could also point to the possible reasons of the previous treatment failure or help in foretelling the outcome of the recommended treatment [7].

The ICS recommends the urodynamic studies to be carried out in the investigation of UI in women. The urodynamic evaluation, as a necessary step, should include bladder pressure tests (cvstometrv). flow measurement of the urine (flowmetry), urethral pressure profile, and pressure measurement during micturition (voidina cystometry). The part of urodynamics that analyses the evacuation of the urine from the bladder is known as voiding cystometry. Voiding cystometry can objectively be achieved when the measurements of voiding parameters are taken with the bladder at its full capacity. Western authors interpret this analysis as pressure/flow studies.

During this examination, pressures are measured during voiding. Micturition is examined with the same catheters used to fill the bladder. This phase helps in grading two critical parameters related to bladder voiding: detrusor contractility (normal versus damaged) and urinary flow (uninterrupted versus interrupted) [8].

The most widespread use of voiding cystometry is determining the presence of a urethral obstruction, commonly found in men. With the beginning of 1960 [9], nomograms were developed to standardise the definitions of obstruction and contractility of the bladder [2] [10] [11]. These nomograms are well established and widely accepted obstruction in in diagnosing urinarv benian hyperplasia of the prostate in men. However, the nomograms did not achieve the expected results in women, and as such, never gained widespread use in clinical practice [8] [12] [13] [14] [15].

The goal of this study was to identify, based on urodynamic measurements, differences between subtypes of UI. The fact that part of the UI results (i.e. voiding cystometry) provide an abundance of unclassified information was a motive to find a correlation between them. It was perceived that particular segments of the findings, which were routinely done in UI patient investigation, could increase their capacity and their usage, especially the parameter Pressure x Flow = BE (bladder effect) and to assess its diagnostic value.

Material and Methods

This study included a total of 80 female patients, divided into two groups: a group of 50 patients with USI and a group of 30 patients with DI.

Inclusion criteria of the study were: female individuals with diagnosed USI (according to the UI investigation protocol); female individuals with diagnosed DI (according to the UI investigation protocol).

Exclusion criteria were the presence of urinary tract infection; diabetes; conditions that lead to polyuria; neurologic disease (cerebrovascular insult, spinal cord injury, multiple sclerosis, peripheral neuropathy); urogenital fistula; and other states that could influence voiding and its parameters (i.e. urolithiasis).

All patients were between 36-65 years of age, with an average age of 56 years for both groups. All of them underwent the standard diagnostic procedure during their examination, according to the UI investigation protocol. Each patient went through: an interview, examination of the urine sediment and urine culture, urogynecology exam, USI verifying tests and urodynamic tests which included: urethral pressure profile (UPP), cystometry, flowmetry and voiding cystometry.

In order to execute voiding cystometry (after measuring the UPP, flowmetry and cystometry) the patients were placed on a voiding collector in a sitting

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):648-650.

position, with a pressure measuring catheter in the bladder. Then the patient was asked to void the previously filled fluid in the bladder to its maximum capacity. During the act of voiding, urine flow and pressure parameters were noted. From the flow curve, using interpolation, the pressure was plotted on the pressure curve at any moment of interest during the voiding. All patients signed informed consent, and the study was approved by the Ethics committee.

The average and maximum flows were measured (which the urodynamic system presented automatically) and according to them, the pressure maximal voidina flow (calculated durina bv interpolating it at the moment of maximal flow), as well as the average voiding pressure (the average of maximal and minimal voiding pressures). These parameters were compared between both groups, to determine the diagnostic significance of their nominal values. Special attention was given to the BE parameter, which was a product of urine flow and pressure during voiding. The data were processed statistical methods and procedures usina for comparing numeric values. (The SPSS Statistics package version 23).

Results

The obtained results of each patient for the maximal and average "bladder effect" (BEmax and BEav) were the product of maximal urine flow (Fmax) and the pressure at that time point (Ppf) and the average urine flow (Fav) with the average voiding pressure (Pav) respectively, according to the formula BEmax=Ppf x Fmax and BEav=Pav x Fav.

Table 1: BEmax values in USI and DI

	Ν	Mean ± Std. Deviation	p-value
BEmax DI	30	1014.04 ± 673.34	P < 0.0001
BEmax USI	50	576.80 ± 174.73	P < 0.0001

BEmax-maximal bladder effect; USI – urinary stress incontinence; DI-detrusor instability.

The mean values of the parameters above, for the two different patient groups, were presented in Table 1 and Table 2.

Table 2 – BEav values in USI and DI

	Ν	Mean ± Std. Deviation	p-value
BEav DI	30	498.94 ± 333.47	P < 0.0001
BEav USI	50	313.27 ± 118.16	P < 0.0001

BEav- average bladder effect; USI - urinary stress incontinence; DI-detrusor instability.

The results presented in Table 1 and Table 2 indicated a significant difference between the USI and DI groups. The mean value for the BEmax parameter in the USI group was 577 units, and for the DI group,

it was 1014 units. Statistical analysis showed that the difference was significant, with a high interval of confidence. The values of the BE parameter (also in a variant of maximal values and average values), were lower in the USI group compared to the DI group.

Both groups showed a statistically significant difference of the average values of both parameters, with p < 0.001 and a high 95% Confidence interval.

Discussion

Voiding cystometry is a rich source of bladder function data, which is processed by a wide group of medical and scientific staff, but until today without visible, tangible evidence that can be transformed into parameters that could distinguish UI subtypes or indicate prognosis or success in therapeutical procedures. Given how patients are closed about their difficulties, urodynamics check-ups are valuable during female patient screening, especially in patients that hide their symptoms, have masked UI or simulate certain symptoms in order to receive some formerly suggested treatment, which they perceive as the solution to their problem.

Several pressures and flows exist in voiding cystometry, and statistical analysis by many authors regarding these values was performed in the past. Several authors found significant differences between certain pressures or flows or both, while others disputed those findings. The situation is similar in estimating the correlation between pressures and flows at a certain time during voiding. Due to the diversity that emerged near the end of the 20th century, a fundamental analysis of all the data was made, which showed that the significant parts of the studies were mostly based on a subjective estimate of the results. Because of that, in 1998 the International Continence Society (ICS) recommended ending the pressure-flow studies with the goal of receiving a diagnostic or scientific interpretation of incontinence. About 20 years later, the observations that DI has a larger flow compared to USI and voiding pressures are larger in DI compared to USI, still, pertain. Although this cannot be correlated to something of significance, the opinion that voiding cystometry can be a good diagnostic tool persists. The analysis of pressure and urine flow at the same time, as their mathematical product, leads to a mathematical result which shows the effect of voiding urine, out of the bladder, in the physical sense. Hence, the bladder effect parameter imposed itself as the imperative in studying and its possible use in diagnosing UI subtypes.

The study showed a difference in the values of the given parameters in each group. The statistical sample was small, and it could be the reason for the high standard deviation. It deviated by 30.3 % from the mean value in the USI group, and 62.8 % in the DI group for the BEmax values, and 37.7 % from the mean in the USI group and 66.8 % in the DI group for the BEav values. Despite this, the unpaired t-test analysis showed a significant difference. This is of great importance due to the partial overlapping of the curves of distribution between the two groups, thanks to the standard deviation, especially in the DI examined group. It is unclear how the BE values would change in patients with mixed UI (USI + DI), i.e. whether they would incline towards a certain group, or remain somewhere in between, and given that, become unable to be classified in either group. The lack of research by which this parameter could be compared complicates analysis further. Most of the published papers covering this area included men and the closest parameter to the BE would be the contraction index parameter, which was based on a formula for voiding flow [10].

Based on the results of this study, we believe that the BE parameter is a new promising tool for differentiation of the subtypes of UI, not previously described by other authors. Statistical analysis showed that this parameter is worth exploring further, on a larger female patient population.

In conclusion: 1) the BEmax parameter in USI patients was significantly lower about DI patients; 2) the BEav parameter in USI patients was significantly lower when compared to DI patients, and the results of our study suggested that BE could become a valuable diagnostic parameter to distinguish USI from DI.

References

1. Abrams P, Cardozo L, Fall M, Griffiths D, Rosier P, Ulmsten U, et al. The standardisation of terminology of lower urinary tract function: report from the Standardisation Sub-committee of the International Continence Society. NeurourolUrodyn. 2002; 21(2):167-78. https://doi.org/10.1002/nau.10052 PMid:11857671

2. Gleason DM, Lattimer JK. The pressure-flow study: a method for measuring bladder neckresistance. J Urol. 1962; 87:844-52.

https://doi.org/10.1016/S0022-5347(17)65057-2

3. Davis DM. The hydrodynamics of the upper urinary tract (urodynamics). Annals of surgery. 1954; 140(6):839. https://doi.org/10.1097/00000658-195412000-00008 PMid:13208138 PMCid:PMC1609699

4. Hodgkinson CP. Direct urethrocystometry. Am J Obstet Gynecol. 1960; 79:648-672. <u>https://doi.org/10.1016/0002-9378(60)90622-0</u>

5. Hodgkinson CP. Direct urethrocystometry. Am J Obstet Gynecol. 1960; 87:717-734. <u>https://doi.org/10.1016/0002-9378(60)90622-0</u>

6. Brown ET, Krlin RM, Winters JC. Urodynamics: examining the current role of UDS testing. What is the role of urodynamic testing in light of recent AUA urodynamics and overactivebladder guidelines and the VALUE study? Curr Urol Rep. 2013; 14:403–8. https://doi.org/10.1007/s11934-013-0361-6 PMid:23904217

7. Abrams PH, Griffiths DJ. The assessment of prostatic obstruction from urodynamic measurements and from residual urine. BJU International. 1979; 51(2):129-34. https://doi.org/10.1111/j.1464-410X.1979.tb02846.x

8. Nitti VW, Tu LM, Gitlin J. Diagnosing bladder outlet obstruction in women. J Urol.1999;161(5):1535–40. https://doi.org/10.1016/S0022-5347(05)68947-1

9. van Waalwijk van Doorn ES, Remmers A, Janknegt RA. Conventional and extramural ambulatoryurodynamic testing of the lower urinary tract in female volunteers. J Urol. 1992; 147:1319–26. https://doi.org/10.1016/S0022-5347(17)37553-5

10. Abrams P. Bladder outlet obstruction index, bladder contractility index and bladder voidingefficiency: three simple indices to define bladder voiding function. BJU Int. 1999; 84(1):14–5. https://doi.org/10.1046/j.1464-410x.1999.00121.x PMid:10444116

11. Abrams PH, Griffiths DJ. The assessment of prostatic obstruction from urodynamic measurements and from residual urine. BJU International. 1979; 51(2):129-34. https://doi.org/10.1111/j.1464-410X.1979.tb02846.x

12. Akikwala TV, Fleischman N, Nitti VW. Comparison of diagnostic criteria for female bladderoutlet obstruction. J Urol. 2006; 176:2093–7. <u>https://doi.org/10.1016/j.juro.2006.07.031</u> PMid:17070266

13. Blaivas JG, Groutz A. Bladder outlet obstruction nomogram for women with lower urinarytract symptomatology. Neurourol Urodyn. 2000;19:553–64. <u>https://doi.org/10.1002/1520-</u>6777(2000)19:5<553::AID-NAU2>3.0.CO;2-B

14. Chassagne S, Bernier PA, Haab F, et al. Proposed cutoff values to define bladder outlet obstructionin women. Urology. 1998;51:408–11. <u>https://doi.org/10.1016/S0090-4295(97)00634-1</u>

 Defreitas GA, Zimmern PE, Lemack GE, Shariat SF. Refining diagnosis of anatomic femalebladder outlet obstruction: comparison of pressure-flow study parameters in clinicallyobstructed women with those of normal controls. Urology. 2004; 64:675–9. <u>https://doi.org/10.1016/j.urology.2004.04.089</u> PMid:15491697



Latent Tuberculosis Infection - Diagnosis and Treatment

Biljana Ilievska-Poposka^{1*}, Marija Metodieva¹, Maja Zakoska¹, Cveta Vragoterova¹, Dejan Trajkov²

¹Institute for Lung Diseases and Tuberculosis, Skopje, Republic of Macedonia; ²Institute for Immunobiology and Human Genetics, Faculty of Medicine, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia

Abstract

Citation: Ilievska-Poposka B, Metodieva M, Zakoska M, Vragoterova C, Trajkov D. Latent Tuberculosis Infection -Diagnosis and Treatment. Open Access Maced J Med Sci. 2018 Apr 15; 6 (4):651-655. https://doi.org/10.3889/oamjms.2018.161

Keywords: Latent tuberculosis infection; Tuberculin skin test; Interferon-gamma release assay

*Correspondence: Biljana Ilievska-Poposka. Institute for Lung Diseases and Tuberculosis, Skopje, Republic of Macedonia. E-mail: biljana.ilievska@yahoo.com

Received: 05-Mar-2018; Revised: 16-Mar-2018; Accepted: 17-Mar-2018; Online first: 14-Apr-2018

Copyright: © 2018 Biljana Ilievska, Adrija Metodieva, Maja Zakoska, Cveta Vragoterova, Dejan Trajkov. This is an open-access article distributed under the terms of the Creative Commons. Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

INTRODUCTION: Latent tuberculosis infection (LTBI) is defined as a state of persistent immune response to stimulation by Mycobacterium tuberculosis antigens without evidence of clinically manifested active tuberculosis (TB). Diagnosis and treatment for LTBI are important for TB, especially in high-risk populations. Tuberculin skin test (TST) and interferon-gamma release assays (IGRAs) are used to diagnose LTBI.

AIM: The study aims to present the first results with IGRA test compared with TST in the screening of LTBI and the treatment results in the cases with LTBI in Macedonia.

MATERIAL AND METHODS: In this study 73 cases diagnosed and treated with LTBI in 2016 were included. For diagnosis of LTBI, we used TST RT -23 5T.U. and commercial IGRA test such as QuantiFERON-TB Gold In-Tube (QFT-IT).

RESULTS: Out of 73 cases with LTBI, 61.64% were men, and 38.36% were women. Among all age groups, the most frequent were cases between 5 and 14 years old (54.79%). Among the evaluated risk groups for LTBI, the most frequent were children household contacts with pulmonary TB cases (61-83.65%), followed by people living with HIV (9-12.33%) and only 3 cases with other medical reasons. Positive TST had 34 cases (46.57%) and positive IGRA test 25 cases (34.25%). Regarding the treatment regimes, we use two regimes: 50 cases (68.44%) received 6 months daily regime with Isoniazid, and 23 cases (31.51%) received 3 months daily regime with Isoniazid and Rifampicin. Treatment outcomes showed that the most patients completed treatment regimes: 55 (75.34%) and only 10 (13.09%) interrupted the treatment.

CONCLUSION: Despite the progress made in the last few years, several challenges remain to be addressed for better management of LTBI which will contribute to strength TB control in the country.

Introduction

Tuberculosis is one of the most prevalent infections of human beings and a formidable public health challenge that shows little sign of abating [1]. Primary infection with *M. tuberculosis* leads to clinical disease in only ~ 10% of individuals. In the remaining cases, the ensuing immune response arrests the further growth of *M. tuberculosis*. However, the pathogen is completely eradicated in only ~ 10% people, while the immune response in the remaining ~ 90% individuals only succeeds in the containment of infection as some bacilli escape killing by blunting the microbicide mechanisms of immune cells and remain in no replicating (dormant or latent) state in old lesions. The process is termed as latent tuberculosis infection (LTBI) and is defined as a state of persistent immune response to stimulation by Mycobacterium tuberculosis antigens without evidence of clinically manifested active TB [2].

Persons with LTBI do not have active tuberculosis and do not feel sick but may develop it in the near or remote future, a process called TB reactivation [3]. The lifetime risk of reactivation TB for a person with documented LTBI is estimated to be 5 - 10%, with the majority developing TB disease within the first five years after initial infection and lifetime risk, is ~ 50% in HIV coinfected individuals [4][5]. However, the risk of developing TB disease following infection depends on several factors, the most important one being the immunological status of the

host. Finding LTBI provides an opportunity to treat and prevent reactivation of the latent infection that leads to active disease, especially in people with compromised immune systems.

Systematic diagnosis and treatment of LTBI is part of the new End TB strategy by World Health Organization (WHO) and achieving \geq 90% LTBI treatment coverage among people living with HIV (PLHIV), and child contacts of TB cases are one of the global priority targets [6].

Available tests to demonstrate prior tuberculosis infection include the tuberculin skin test (TST) and interferon-gamma release assays (IGRAs) [7].

In the Republic of Macedonia, the systematic screening for LTBI and treating people who have risk factors for developing active TB is part of the National tuberculosis program (NTP). The Macedonian national guideline for LTBI updated in 2016, recommends three main groups with risk factors for management of LTBI: children as household contacts of pulmonary TB cases, PLHIV and patients initiating anti-TNF treatment or receiving immunosuppressive therapy.

The study aims to present our first results with IGRA test compared with TST in the screening of LTBI and the treatment results in the cases with LTBI.

Material and Methods

A retrospective cohort study was undertaken, based on data systematically collected on all persons undergoing the TST-based and the IGRA-based LTBI screening programme and treatment programme during 2016. All data were obtained from the Central unit for registration of TB and LTBI in the Republic of Macedonia, at the Institute for Lung Diseases and TB. In this study 73 cases diagnosed and treated with LTBI were included. For diagnosis of LTBI, we used TST RT-23 5T.U. and induration \geq 5 mm was considered as positive results. The other test was commercial IGRA such as QuantiFERON-TB Gold In-Tube (QFT-IT), and it was performed by measuring interferon-y (IFN-y) with Enzyme-Linked Immunosorbent Assay (ELISA). In the most cases, diagnosis of LTBI was obtained with both tests: with the exception that TST and IGRA test was not performed in 11 (15.07%) and 36 (49.1%) cases respectively. According to our national guidelines for treatment of LTBI, there are two used therapeutic regimes: the daily regime of Isoniazid (H) for 6 months or daily regime of Isoniazid and Rifampicin (R) for 3 months. All cases with LTBI were followed during the treatment period, and the treatment outcomes were presented.

The differences of category variables were present with the distribution of the frequencies. For comparison of the differences of the data Fischer-exact test was used. A statistical difference was considered to be significant when the p-value was < 0.05.

Results

Of 73 cases with LTBI, 61.64% were men, and 38.36% were women. According to the age, 61 (83.56%) were children, and 12 (16.43) were adults. Among all age groups, the most frequent were cases between 5and 14 years old (54.79%), Table 1.

 Table 1: Distribution of cases with LTBI according to the gender and age groups

Gender	n (%)
Men	45 (61.64)
Women	28 (38.36)
Total	73 (100.00)
Age groups	n (%)
0-4	21 (28.77)
5 – 14	40 (54.79)
15 – 24	2 (2.74)
25 – 34	4 (5.48)
35 – 44	0 (0,%)
45 – 54	5 (6.85)
55 – 64	1 (1.37)
Total	73 (100.00)

In this study three groups of cases with risk factors were screened for LTBI and the most frequent were children household contacts with pulmonary TB cases (61-83.65%), followed by PLHIV (9-12.33%) and only 3 cases with other medical reasons: 1 case that initiated anti TNF therapy and 2 that received immunosuppressive therapy, named as others (Table 2). According to the data from our NTP, in 2016 among 360 TB contacts examined, 15 were TB cases (4.16%), and 61 were with LTBI (16.9%).

Table 2: Different groups with risk factors for the screening of LTBI

Risk groups	n (%)
Contact with TB cases	61 (83.56)
PLHIV	9 (12.33)
Others	3 (4.11)
Total	73 (100.00)

In Table 3 and Table 4 the results obtain with TST, and IGRA test was separately presented. In Table 5 the combination of both tests was presented, and it is obvious that in all cases included in the study one or both tests were performed.

Table 3: Results of TST at the time of screening for LTBI

TST test	n (%)
Negative	28 (38.36)
Positive :5 – 14mm	11 (15.07)
Positive :15 – 20mm	18 (24.66)
Positive : 20 – 34mm	5 (6.85)
Not done	11 (15.07)
Total	73 (100.00)

Even we used to perform both tests in each case; there were 11 cases without TST and 36 without IGRA test. TST confirmed 34 positive cases (46.57%), IGRA test 25 positive cases (34.25%) and both tests were positive in 11 cases (15.06%) and both negative in 6 cases (8.21%).

Table 4: Results of IGRA test at the time of screening of LTBI

IGRA test	n (%)
Negative	12 (16.44)
Positive	25 (34.25)
Not done	36 (49.31)
Total	73 (100.00)

There were 17 cases in which only TST was performed and 1case in which only IGRA was performed, and the results were negative. Those 18 and those 6 cases were both tests confirmed to be negative, were without laboratory confirmation for LTBI (Total 24).

Table 5: Combination of TST and IGRA

Test	IGRA pos	IGRA neg	IGRA not done	Total
TST pos	10	5	19	34
TST neg	5	6	17	28
TST not done	10	1	/	11
Total	25	12	36	73

In Macedonia, there is obligatory Bacillus Calmette–Guerin (BCG) vaccine for all newborn. Besides this, the results showed that nearly one-third of evaluated cases were with the negative status of BCG vaccination (Table 6).

Table 6: BCG status of cases with LTBI

BCG status	n (%)
Positive	51 (69.86)
Negative	21 (28.77)
Unknown	1 (1.37)
Total	73 (100.00)

Regarding different treatment regime for LTBI, 50 cases received daily regime with H for 6 months and 23 cases received daily regime of H/R for 3 months (Table 7).

Table 7: Different treatment regime for LTBI

Treatment regimes	n (%)
6 months Isoniazid	50 (68.49)
3 months Isoniazid and Rifampicin	23 (31.51)
Total	73 (100.00)

Treatment outcomes showed that 75.34% of treated cases with LTBI completed treatment, and only 13.69% did not finish the whole regime (table 8).

Table 8: Treatment outcomes in 73 cases with LTBI

Treatment outcomes	n (%)
Completed treatment	55 (75.34)
Interrupted treatment	10 (13.69)
Interrupted treatment due to negative control TST	8 (10.96)
Total	73 (100.00)

In an aim to evaluate if there were some factors that influenced the treatment outcome, the correlation test was performed between the gender, age groups, different risk groups for detecting LTBI, different therapeutic regimes and the treatment outcomes, and we did not find any statistically significant correlation (Table 9).

Table 9: Correlation	of gender, age	groups, risk groups and
treatment regimes of	cases with LTBI	and treatment outcomes

	Treatment outcome				
	Ν	Interrupted	Completed	Interrupted due to negative control TST	
Gender					
Men	45 (61.64)	7 (70)	33 (60)	5 (62.5)	
Women	28 (38.36)	3 (30)	22 (40)	3 (37.5)	
	Fis	her exact, two-tai	led p = 0.9		
Age groups					
0-4	21 (28.77)	2 (20)	16 (29.09)	3 (37.5)	
5 – 14	40 (54.79)	6 (60)	29 (52.73)	5 (62.5)	
15 – 24	2 (2.74)	1 (10)	1 (1.82)	0	
25 – 34	4 (5.48)	0	4 (7.27)	0	
45 – 54	5 (6.85)	1 (10)	4 (7.27)	0	
55 - 64	1 (1.37)	0	1 (1.82)	0	
	Fish	er exact, two-taile	ed p = 0.875		
Risk groups					
Contact with TE	3 61 (83.56)	8 (80)	45 (81.81)	8 (100.0)	
PLHIV	9 (12.33	2 (20)	7 (12.73)	0	
Others	3 (4.11)	ò	3 (5.45)	0	
	Fish	er exact, two-tail	ed p = 0.54		
Treatment regime		,	•		
6 months H	50 (68.49)	7 (70)	38 (69.09)	5 (62.5)	
3 months	23 (31.51)	3 (30)	17 (30.91)	3 (37.5)	
H/R	- : .				
	Fish	er exact, two-tail	ea p = 0.54		

Discussion

The presented study shows our results of using two tests (TST and IGRA) for detecting the LTBI in the Republic of Macedonia and treatment outcomes: in 2016 there were 73 cases diagnosed with LTBI that received preventive therapy. In Macedonia, for many years ago, only TST was used for screening the LTBI mostly among children household contacts of pulmonary TB cases; we did not use this test for screening LTBI among the adults even they were contacts with TB cases. All these adult contacts according to our NTP were screened only for active TB. Because BCG vaccination is obligatory for all newborns in Macedonia, it was difficult to interpret TST positive results: whether they are due to the cross-reaction with BCG vaccination, or to the infection with Mycobacterium tuberculosis. In 2013, IGRA test with high specificity was introduced for screening LTBI in our NTP which meant to reduce due to BCG vaccination or false positivity nontuberculous mycobacteria (NTM) infection. In the beginning its indication was limited only to children contacts with TB cases and PLHIV, and after this first period we expanded the indication according to the recommendation from Guidelines on the management of latent tuberculosis infection [5] to the patients initiating anti-tumor necrosis factor (TNF) treatment, patients receiving dialysis, patients preparing for organ or hematologic transplantation, and patients with silicosis, but its implementation was going very slowly. There is a modification in the Macedonian Guidelines for LTBI in 2016, from the 2008 Guidelines. In 2016 there were only 9 cases with PLHIV and 3 cases with other medical risk factors screened and treated of LTBI.

All cases with LTBI in this study were not screened with both tests: according to our practice for many years ago, TST was performed in all children - 61 and only in1 adults (62-84.93%). IGRA was performed in half of the cases (37-50.68%): 12 adults and 25 children.

According to the data from the literature, there is no ideal test for detecting Mycobacterium tuberculosis infection even both tests are moderately sensitive and highly specific [7]. The disadvantages of TST include the need for two visits (to place the TST and to read it 48-72 hours later), inter-reader variability in measuring millimetres of induration, diminished response caused by immunosuppression, boosting on repeat testing, and potential crossreaction with nontuberculous mycobacteria and M. bovis BCG vaccine [8]. The introduction of the IGRA was an advance in diagnostic technology. IGRA detect LTBI by measuring IFN-gamma release in response to antigens present in Mycobacterium tuberculosis, but not BCG vaccine and most nontuberculous mycobacteria.

The evidence base for these tests has expanded rapidly and now, the result of some systematic reviews indicate that in comparison to TST, IGRAs can detect LTBI with a higher specificity, negative (NPV) and positive (PPV) predictive values, as they are not confounded by previous BCG vaccination [9] [10] [11].

Regarding the treatment regimes we use two regimes: 50 cases (68.44%) received 6months daily regime with H, and 23 cases (31.51%) received 3months daily regime with HR. We have to comment that according to our results there were 24 cases without laboratory confirmation of LTBI, but besides that, they were treated with preventive therapy for LTBI. This was because they were children at 5 or under the age of 5 with very close household contact with pulmonary TB. It is according recommendations by WHO and other association that people living with HIV and children under the age of 5 years who are close household or close contact with people with TB and who have negative TST or IGRA results (with normal chest radiograph) should be treated for LTBI and another TST or IGRA performed 8-10 weeks after contact has ended. If a repeat TST or IGRA result is positive, treatment should be continued. If it is negative, treatment can usually be discontinued [5] [12] [13].

According to the Guidelines from WHO and the Centers for Disease Control and Prevention (CDC) there are four treatment regimes for LTBI: 9 or 6 months of daily self-administered H, 4 months of daily self-administered R, 3 months of daily self administered H/R, and the newest 3 months of onceweekly directly observed isoniazid-rifapentine [5][14]. WHO recommends either 6 or 9 months of daily 9H as the standard for treatment of LTBI [5]. For people living with HIV infection, 9 months of therapy is recommended. The benefits of preventive therapy to individuals with LTBI have been demonstrated in some randomised clinical trials [15] [16].

The results from this study showed that most patients completed treatment regimes: 55 (75.34%) and only 10 (13.09%) interrupted the treatment. 8 (10.96%) of them interrupted treatment because of negative control TST after 8 weeks of the window period. We did not find that some factors such as gender, age groups, different risk groups or treatment regimes correlated with the treatment outcomes. In our study cases, we did not register some serious adverse effects from therapy. In the study of Denholm et al. even adverse effects were frequently identified among the cases with LTBI, there were also, high levels of treatment adherence and completion [17]. The analysis from some studies identified the following determinants as detrimental to treatment completion: adverse drug reactions, longer duration of treatment, immigrant status, long distance from a health facility, the presence of stigma, alcohol and drug use, unemployment and time lag between diagnosis and treatment [5]. One randomised trial showed a significant increase in completion rate in the 3-month weekly rifapentine plus isoniazid regimen compared to the 9-month isoniazid regimen [18].

Analysis of this study presented the program for diagnosis and treatment of LTBI in Macedonia and compared the findings with the actual When the IGRA's has been recommendations. introduced in our program, big progress was made in the widening the indication for detecting LTBI. Detecting and treating cases who are at risk to develop active TB, will contribute to better control of TB in Macedonia. Using IGRA tests among household contacts with pulmonary TB will help for better selection of cases that need preventive therapy. Despite the progress, several challenges remain to be addressed: further strengthening in the optimum use of both tests, to assess the ability of these tests to predict tuberculosis disease, their reproducibility over serial tests, and discordance between tests. Regarding the treatment as soon as possible we should provide the use of 3 months of once-weekly directly observed isoniazid-rifapentine regime.

References

1. Global TB report. World Health Organization. Geneva, Switzerland: WHO, 2015.

2. Mack U, Migliori GB, Sester M, Rieder HL, Ehlers S, Goletti D, et

al and TBNET. LTBI: latent tuberculosis infection or lasting immune responses to M. tuberculosis? A TBNET consensus statement. Eur Respir J. 2009; 33:956–73.

https://doi.org/10.1183/09031936.00120908 PMid:19407047

3. Comstock GW, Livesay VT, Woolpert SF. The prognosis of a positive tuberculin reaction in childhood and adolescence. Am J Epidemiol. 1974; 99:131–8.

https://doi.org/10.1093/oxfordjournals.aje.a121593 PMid:4810628

4. Wells CD, Cegielski JP, Nelson LJ, et al. HIV infection and multidrug-resistant tuberculosis—the perfect storm. Journal of Infectious Diseases. 2007; 196(1):S86–S107. https://doi.org/10.1086/518665 PMid:17624830

5. Guidelines on the management of latent tuberculosis infection. Geneva, Switzerland: WHO, 2015.

6. End TB Strategy, WHO, 2015.

7. Person AK, Pettit AC, and Sterling AT. Diagnosis and treatment of latent tuberculosis infection: an update. Curr Respir Care Rep. 2013; 2(4):199-207. <u>https://doi.org/10.1007/s13665-013-0064-y</u> PMid:25298921 PMCid:PMC4185413

8. Mazurek GH, Jereb J, Vernon A, et al. Updated guidelines for using Interferon Gamma Release Assays to detect Mycobacterium tuberculosis infection-United States, 2010. MMWR Recomm Rep. 2010:1–25. PMid:20577159

9. Lalvani A, Pareek M. Interferon gamma release assays: principles and practice. Enferm Infecc Microbiol Clin. 2010 Apr; 28(4):245-52. <u>https://doi.org/10.1016/j.eimc.2009.05.012</u> PMid:19783328

10. Diel R, Goletti D, Ferrara G, Bothamley G, Cirillo D, Kampmann B, et al. Interferon-γ release assays for the diagnosis of latent Mycobacterium tuberculosis infection: a systematic review and meta-analysis. European Respiratory Journal. 2011; 37(1):88–99. https://doi.org/10.1183/09031936.00115110 PMid:21030451

11. Menzies D, Pai M, Comstock G. Meta-analysis: new tests for the diagnosis of latent tuberculosis infection: areas of uncertainty and recommendations for research. Annals of internal medicine. 2007; 146 (5):340–54. <u>https://doi.org/10.7326/0003-4819-146-5-200703060-00006</u> PMid:17339619

12. American Thoracic Society, Centers for Disease Control and Prevention. Targeted tuberculin testing and treatment of latent tuberculosis infection. Am J Respir Crit Care Med. 2000; 161 (4 pt 2):S221-S247. PMid:10764341

13. Centers for Disease Control and Prevention CDC. Latent tuberculosis infection: A guide for primary health care providers. MMWR, 2013.

14. Centers for Disease Control and Prevention CDC. Recommendations for use of an isoniazid-rifapentine regimen with direct observation to treat latent mycobacterium tuberculosis infection. MMWR Morb Mortal Wkly Rep. 2011; 60:1650–3. PMid:22157884

15. Villarino ME, Scott NA, Weis SE, Weiner M, Conde MB, Jones B, Nachman S, Oliveira R, Moro RN, Shang N, Goldberg SV. Treatment for preventing tuberculosis in children and adolescents: a randomized clinical trial of a 3-month, 12-dose regimen of a combination of rifapentine and isoniazid. JAMA pediatrics. 2015; 169(3):247-55. https://doi.org/10.1001/jamapediatrics.2014.3158 PMid:25580725

16. Menzies D, Long R, Trajman A, Dion MJ, Yang J, Al Jahdali H, Memish Z, Khan K, Gardam M, Hoeppner V, Benedetti A. Adverse events with 4 months of rifampin therapy or 9 months of isoniazid therapy for latent tuberculosis infection: a randomized trial. Annals of internal medicine. 2008; 149(10):689-97.

https://doi.org/10.7326/0003-4819-149-10-200811180-00003 PMid:19017587

17. Denholm JT, McBryde ES, Eisen DP, Penington JS, Chen C and Street AC. Adverse effects of isoniazid preventative therapy for latent tuberculosis infection: a prospective cohort study. Drug Healthc Patient Saf. 2014; 6:145–149.

https://doi.org/10.2147/DHPS.S68837 PMid:25364275 PMCid:PMC4211866

18. Sterling TR, Villarino ME, Borisov AS, Shang N, Gordin F, Bliven-Sizemore E, et al. Three months of rifapentine and isoniazid for latent tuberculosis infection. N Engl J Med. 2011; 365:2155–66. https://doi.org/10.1056/NEJMoa1104875 PMid:22150035



Computed Tomography Scan Findings in Children from a Tropical Region

Grace B. Inah^{1, 2*}, Gbenga Kajogbola³, Nchiewe Ani^{1,2}

¹Teaching Hospital – Radiology, University of Calabar, Calabar, Nigeria; ²Calabar - Radiology Department of Paediatrics, University of Calabar, Calabar, Nigeria; ³Asi Ukpo Radio - Diagnostic Centre, Calabar, Nigeria

Abstract

Citation: Inah GB, Kajogbola G, Ani N. Computed Tomography Scan Findings in Children from a Tropical Region. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):656-658. https://doi.org/10.3889/oamjms.2018.154

Keywords: Computed Tomography; Children; Brain; Tropical Region; Cerebral Atrophy

*Correspondence: Grace B. Inah. Teaching Hospital – Radiology, University of Calabar, Calabar, Nigeria. E-mail: graceinah@yahoo.com

Received: 21-Feb-2018; Revised: 03-Mar-2018; Accepted: 04-Mar-2018; Online first: 14-Apr-2018

Copyright: © 2018 Grace B. Inah, Gbenga Kajogbola, Nchiewe Ani. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0) Funding: This research did not receive any financial

support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: Computed Tomography in the diagnosis of pathologies in children is becoming increasingly popular.

AIMS: To document indications and findings of referrals for CT scan in children in a private Radio - diagnostic Center in a developing environment.

METHOD: Children aged 6 months to 13 years referred to a private Radio - diagnostic Center, between June 2015 to June 2016 were studied. Patients were examined using GE CT Brivo 385 machine.

RESULT: Forty - seven children were referred for CT scan during the period. Of these 45 (95.47%) were referred from the Teaching Hospital located in the same city. A brain scan was most commonly performed (93.6%) while the frequency of abdominal CT was (6.4%). The main indications for the referrals were delayed milestones (61.7%) and seizures (17.0%). The major findings were cerebral atrophy 26 (55.32%), and cerebral infarction 3 (6.38%).

CONCLUSION: This study shows a predominance of brain CT scan request in children, delayed milestones and seizures being the most common indications, while cerebral atrophy and cerebral infarction were the common brain findings. In the absence of MRI, CT scan provides a suitable alternative for imaging of the brain and abdominal pathologies in a developing environment like ours.

Introduction

Methods

Neurological and abdominal disorders in children are usually sources of great apprehension in both parents and pediatric surgeons. The use of Computed Tomography (CT) scan in the diagnosis of these disorders has increased rapidly [1]. CT involves much higher radiation than plain radiographs and in developing countries is further limited by availability and cost [2].

Consequently, there is need to ensure that appropriate protocols are employed to avoid misuse [3] [4]. This study was aimed at documenting the sources, indications and findings of referrals for CT scans in children in a Radio - diagnostic Center in Calabar, Nigeria.

This study was aimed at documenting the number of referrals, sources, indications and findings of such referrals for CT scan in children in a private Radio - diagnostic Center.

This was a retrospective descriptive study involving children referred to Asi Ukpo Radio diagnostic Centre, Calabar, for CT scan. The Centre is a private diagnostic facility situated in Calabar Municipality. The study was carried out between June 2015 and June 2016. Information concerning the age, gender, indication and type of CT scan conducted and findings were documented. The patients' request cards, stored images and radiology reports were reviewed by the authors. The indications for the scan were documented. The protocol for paediatric CT scan was used in all the patients recruited for this study in keeping with the ALARA (As Low As Reasonably Achievable) principle. Pre and post contrast images were acquired using GE CT Brivo 385 machine and assessed by the Radiologist. Lead covers were used to shield parts of the body that was not under examination to reduce the radiation risk.

All data were entered into the Microsoft word excel spreadsheet and analysed by the use of simple proportions and percentages. Frequency tables were used to demonstrate the results.

Results

Forty-seven children were seen during the study period giving a rate of 3.92 children per month. Twenty-six (55.31%) of these were males while 21 (44.68%) were females. The ages ranged from 6 months to 13 years with a median of 2.0 years. The majority of children (36.2%) were aged one year and below. Forty - five (95.74%) of the children were referred from the Teaching Hospital located in the same city while 2 (4.26%) were from the private clinics.

A brain scan was the most commonly performed (93.6%) while the frequency of abdominal CT was 6.4%. The main indications for the CT examination were delayed milestones and seizures as shown in table 1. Brain CT scan was the most commonly performed investigation 44 (93.6%) while abdominal Ct was done in three (6.4%).

Table 1: Indications for Referral for CT scan (n = 47)

	Frequency	Percent	Valid percent	Cumulative percent
Delayed milestones	29	61.7	61.7	61.7
Seizures	8	17.0	17.0	78.7
Hemiparesis	1	2.1	2.1	80.9
Hydrocephalus	2	4.3	4.3	85.1
Headache	2	4.3	4.3	89.4
Congenital	2	4.3	4.3	93.6
Head trauma	2	4.3	4.3	97.9
Intra-abdominal	1	2.1	2.1	100.0
malignancy				
Total	47	100.0	100.0	

The major brain CT findings were cerebral atrophy 26 (55.32%), normal scan 8 (17.0%) and cerebral infarction 3 (6.38%) see Figure 1 to 3.

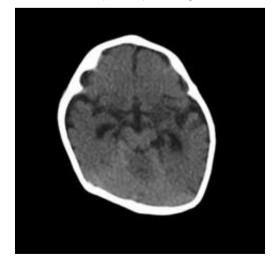


Figure 1: Cerebral atrophy with craniosynostosis

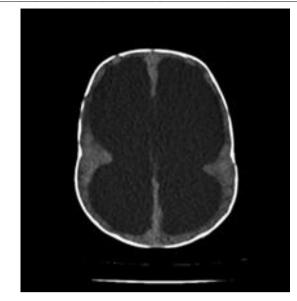


Figure 2: Communicating hydrocephalus with cortical thinning

Abdominal CT findings included renal trauma and nephroblastoma (Figure 4) in equal proportions (2.1%). Table 2 shows the CT findings in the study population.

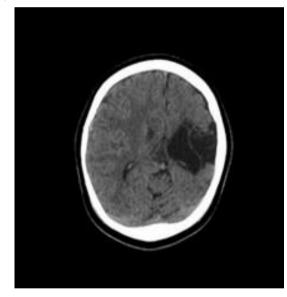


Figure 3: Chronic left temporal lobe infarction

Discussion

After sonography which is the preferred imaging method for screening the central nervous system (CNS) during infancy, CT scan is the next preferred method for all other ages [4]. However, the risk of this method should be considered alongside its advantages and radiation dose reduction should be employed where necessary [3] [4]. However, the small proportion of children with normal scan indicates good selection by referring clinicians.

Table 2: Findings for children referred for CT scan (n = 47)

	Frequency	Percent	Valid percent	Cumulative percent
Normal	8	17.0	17.0	17.0
Cerebral atrophy	26	55.3	55.3	72.3
Cerebral infarction	1	2.1	2.1	74.5
Meningial enhancement	1	2.1	2.1	76.6
Arnold chiari	1	2.1	2.1	78.7
Communicating hydrocephalus	1	2.1	2.1	80.9
Choroid plexus papilloma	2	4.3	4.3	85.1
Cerebral atrophy and infarction	2	4.3	4.3	89.4
Sturge-weber syn	1	2.1	2.1	91.5
Abscess(s)	1	2.1	2.1	93.6
Encephalocele	1	2.1	2.1	95.7
Renal trauma	1	2.1	2.1	97.9
Nephroblastoma	1	2.1	2.1	100.0
Total	47	100.0	100.0	

The study revealed that the most frequent age of those referred for Paediatric CT scan was one year.

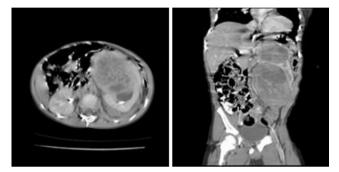


Figure 4: Left nephroblastoma

The most frequent referrals were for a brain scan. This agrees with a study done by Anas et al., [5] in Kano and may be related to the use of cheaper options like plain radiography, contrast studies and ultrasonography in examination involving other parts of the body. A study was done by Nzeh et al., [6] revealed that most prevalent suspected abnormalities of the paediatric brain could be evaluated by ultrasound in much younger children before the fusion of the fontanelles. The use of ultrasonography in the evaluation of pathologies in younger children is very important as children are known to be more radiosensitive than adults as seen in studies by Brenner et al., [3] and Donnelly et al., [4].

Delayed milestones 29 (61.7%), seizures 8 (17.0%), hydrocephalus 2 (4.3%) and trauma 2 (4.3%) were the predominant indications for CT examination in this study. This is similar to the study done by Anas et al., [5] where the most common indications were convulsions (21.43%) followed by trauma (15.71%) and progressive head enlargement (11.43%). The high incidence of birth asphyxia, meningitis and neonatal jaundice as reported by Eyong et al., [7] in our environment may be responsible for this pattern. About 17% of the CT scans in this study showed normal findings. This is less than that reported by Anas et al., [5] (30%), Islam et al., [8] (45%) and Fenton et al., [9] (54%). This work reflects cerebral atrophy as the major CT finding probably due to the high incidence of birth asphyxia from obstructed and prolonged labour followed by choroid plexus papilloma

and cerebral infarction. Anas et al., [5] however reported obstructive hydrocephalus as the most common finding which may be due to the high prevalence of meningitis in the northern part of the country [5].

In conclusion, the high yield of diversity of CT scan findings in the children in our study justifies the appropriate use of CT scan in the diagnosis and management of suspected brain pathologies in areas where magnetic resonance imaging scan is unavailable or where ultrasonography cannot be done following the fusion of the fontanelles in younger children. Although cost should be considered, the patient should be given the chance for an appropriate work up to avoid delay in diagnosis. The cost problem can be solved by universal insurance scheme that is inclusive of both the public and private work force. Paediatric CT scan is an important diagnostics tool with lots of potentials and flexibility [6]. It should, however, be used with great caution as stipulated by the "as low as reasonably achievable" (ALARA) principle to reduce radiation dose to the patient [4]. It is obvious from this study that the environment is underserved and therefore Public Private Partnership (PPP) is recommended to expand CT facilities in this environment.

References

1. United Nations Scientific Committee on Effects of Atomic Radiation. Sources and effects of ionizing radiation. UNSCEAR 2000, Report to the General Assembly. Vol.1. New York, 2000.

2. Ohaegbulam SC, Mezue WC, Ani C. Cranial computed tomography scan findings in head trauma patients in Enugu, Nigeria. Surg Neurol Int. 2011; 2:182. <u>https://doi.org/10.4103/2152-7806.91137</u> PMid:22276236 PMCid:PMC3263000

3. Brenner DJ, Hall EJ. Computed tomography – an increasing source of radiation exposure. N Engl J Med. 2007; 357: 2277-84. https://doi.org/10.1056/NEJMra072149 PMid:18046031

4. Donnelly LF. Reducing radiation dose associated with Paediatric CT by decreasing unnecessary examinations. Am J Roentgenol. 2005; 184:655-57. <u>https://doi.org/10.2214/ajr.184.2.01840655</u> PMid:15671393

5. Anas I, Muhammed SA. Audit of pediatric computed tomography at Aminu Kano teaching hospital, Kano, Nigeria. West Afr J Radiol. 2012; 19: 11-3.

6. Nzeh D, Oyinloye OI, Odebode OT, Akande H, Braimoh K. Ultrasound evaluation of brain infections and its complications in Nigeria infants. Trop Doct. 2010; 40:178-80. https://doi.org/10.1258/td.2010.090384 PMid:20555051

7. Eyong KI, Asindi AA. Cerebral palsy in Calabar, Nigeria a preliminary study. Nig Med Pract. 2010; 58:5-6.

8. Islam MN, Rasul CN, Sarder AH, Hossain SA. Computed tomographic evaluation of Paediatric brain in a teaching hospital. Bang Med J (Khulna). 2011;44: 3-6.

9. Fenton SJ, Hansen KW, Meyers RL, Vargo DJ, White KS, Firth SD, et al. CT scan and the Paediatric trauma patient- are we overdoing it? J Pediatr Surg. 2004; 39:1877-81. https://doi.org/10.1016/j.jpedsurg.2004.08.007 PMid:15616956



Technical Case Report of Deep Brain Stimulation: Is it Possible Single Electrode Reach to Both of Subthalamic Nucleus and Ventral Intermediate Nucleus in One Stage?

Hülagu Kaptan^{1*}, Raif Çakmur²

¹Dokuz Eylül University, Medical Faculty, Izmir, Turkey; ²Dokuz Eylül University, Medical School, Department of Neurology, İzmir, Turkey

Abstract

Citation: Kaptan H, Çakmur R. Technical Case Report of Deep Brain Stimulation: Is it Possible Single Electrode Reach to Both of Subthalamic Nucleus and Ventral Intermediate Nucleus in One Stage? Open Access Maced J Med Sci. 2018 Apr 15; 6(4):659-662. https://doi.org/10.3889/oamjms.2018.137

Keywords: STN; DBS; VIM; Technical report; Tremor

*Correspondence: Hülagu Kaptan. Dokuz Eylül University, Medical Faculty, Izmir, Turkey. E-mail: hulagukaptan@yahoo.com

Received: 12-Oct-2017; Revised: 20-Feb-2018; Accepted: 28-Feb-2018; Online first: 02-Apr-2018

 $\begin{array}{l} \textbf{Copyright:} @ 2018 \ Hülagu Kaptan, Raif Çakmur. This is an open-access article distributed under the terms of the Creative Commons Artificution. NonCommercial 4.0 International License (CC BY-NC 4.0) \end{array}$

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: The primary target of this operation is Ventral Intermediate Nucleus (VIM); however VIM - Subthalamic Nucleus (STN) were tried to be reached with one electrode, adjusting the angle well, the coronal section; medial of VIM can partially reach the STN. Using the properties of the electrode; we believe we could act on a wide area.

METHODS: An analysis was performed on one patient who underwent VIM Deep Brain Stimulation (DBS) in 3 periods (pre – peri - post-operation).

RESULTS: A 53 – year - old woman diagnosed with Parkinson's disease 8 years earlier including symptoms of severe tremor on the right than left underwent bilateral DBS VIM. Obtaining a satisfactory improvement of tremor, the patient did well, and postoperative complications were not observed. The patient was discharged from hospital on postoperative thirty day

CONCLUSIONS: It is certain that more research and experience are needed. However, we believe that the two targets can reach the same point and the second operations for another target can be avoided.We believe that this initiative is advantageous and promising regarding patient and cost.

Introduction

Surgery treatment is lesioning (Thalamotomy) or DBS (Deep Brain Stimulation) for PD and ET (Parkinson's disease and essential tremor). In this particular case, DBS has been preferred over lesioning because it is safer: for two reasons; no permanent brain tissue damage and controllable side effects from stimulation [1] [2].

In recent years; DBS has had an important place in the treatment of Parkinson's disease (PD), tremor, dystonia and some psychiatric diseases. Subthalamic nucleus (STN) is one of the main targets for DBS in PD. Clinical signs are improved by STN stimulation; thus reducing dopaminergic drug requirements by about 50% [1] [3] [4] [5]. DBS of the ventral intermediate nucleus (VIM) of the thalamus is highly effective for the treatment of tremor. Patients with tremor associated with Parkinson's disease and essential tremor appear to respond best but without benefit on other the cardinal PD symptoms and motor complications [1] [5].

We aim to determine the single electrode reach to both of STN and VIM in one stage. All aspects of the subject have been demonstrated in this article. The closeness of STN and VIM has led us to evaluate the possibility of paving a path to the development of new technologies.

Case Report

A 53 – year - old woman followed with PD for 8 years had tremor mainly over the right side & slightly dyskinesia. Trials of more than four medications and other therapies failed to relieve the tremor.

The medical history of the patient was unremarkable. Preoperative laboratory values were within normal limits. Standard oral medication failed to prove significant tremor control.

The patient was evaluated by a multidisciplinary team of neurosurgeons, neurologists and psychiatrists; she underwent surgery for implantation of DBS - VIM.

Antiparkinsonian drugs were withdrawn 24 hours before the surgery. The patient has fitted a stereotactic frame (RadionicsTM CRW PrecisionTM Arc Stereotactic System, Integra Radionics Burlington - USA) with local anaesthesia. A one – mm - thick section of CT image and a two – mm - thick section of MR Image (1, 5 Tesla) was used to identify the coordinates of the target. The target was determined from fused images. MR imaging (T1 - weighted Gd-enhanced) data was used to avoid the puncture of vascular structures and the lateral ventriculus. Brain mapping, direct method and indirect method (anterior-posterior commissure) were used to determined target.

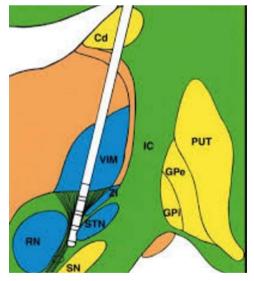


Figure 1: Schematic view of DBS targets (Especially STN and VIM)

A burr hole was made on the frontal skull the singe-track microelectro-recording, macrostimulation were carried out to confirm the effect of stimulation. Boston Scientific DBS 8 contact Lead implantation was decided to adjust each contact from all total 8 contacts, different amplitude and frequency. X-ray system was also used to identify the actual electrode malposition. The electrode has multiple Independent Current Control and Interleaving feature.

During macro stimulation tremor decreased was observed and VIM - STN were detected during MER.

Following implantation of the DBS; generator (The Vercise, Boston Scientific) was placed in a subcutaneous pocket in the infraclavicular region under general anaesthesia.



Figure 2: The sagittal an axial sectional view of the target in postoperative CT $\,$

Thanks to Postoperative CT images showing any intracranial haemorrhage and we believed that the DBS lead was implanted at the appropriate position. There was no complication in our case. Thus, the patient was discharged from hospital on the postoperative third day. The battery was activated 15 days after the surgery; we received a response at all the contacts.

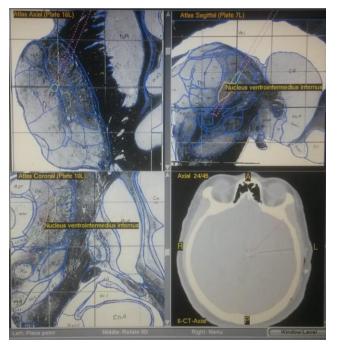


Figure 3: Anatomical relationship of STN and VIM according to Postoperative Brain Mapping

VIM and STN have been reached with one electrode. The primary target was VIM. Adjusting the angle well in Neurosighr Arc with Atlas Plan Module (Integra); the STN from the medial of the VIM could be partially reached. The properties of the electrode made it possible to affect a wide area. We aimed to place the Lead's Caudal contact points into the STN. We worked in the coronal and axial slices on MRI. The side was found on the brain map; we believed the targets were reachable, STN&VIM were observed with MER during the operation. Clinical response in all our target point was achieved with Macrostimulation. We checked the situation with postop CT that it could affect a wide area. Although the main target VIM, We tried to place. We've worked in. We found our site on the brain map. We thought that the targets were reachable. We observed STN and VIM waves during operation with MER We have a clinical response in all our target point with macro stimulation. We checked the situation with postop CT.

The condition was repeatedly checked with MER, macro stimulation postoperative brain mapping and CT (Figure 1, 2, 3 and 4).

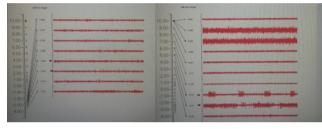


Figure 4: Perioperative MER mapping of the STN and VIM

Stimulation Parameters

During the post-operative process, the stimulation was optimised by utilising the various combinations of frequency, voltage and pulse width. Intraoperatively, system parameters consisted of a frequency of 60 to 130 Hz, the pulse width of 60 ls, and amplitude of 1.0 - 4.0 mA. Optimal tremor suppression was seen with a voltage of 4 - 5.2 mA, a pulse width of 80 - 150 is and a frequency of 100 - 130 Hz.

Discussion

During target determination process electrophysiological and clinical evaluation have been observed as the most reliable methods. VIM - DBS of the thalamus is highly effective for the treatment of tremor. In addition to VIM - DBS patient suffering from tremor associated with PD appear to respond best but without benefit on other the cardinal PD symptoms and motor complications [6].

VIM can be recognised either by MRI (3 Tesla) or the indirect method.In our case, the letter one was chosen [7] [8].

Two electrodes with different angles have been placed for STN and VIM. Arranging this, not only anatomy but also preoperative clinical and electrophysiological assessment has been precedence in our case. We believe that the two main targets have been affected by one single electrode. In consequence, not only the primary tremor but also the possible other PD symptoms may benefit from STN.

Clinical trials studies Show that STN is a good target for ET and Parkinsonian tremor. STN can be accessed directly or indirectly via AC - PC. The relationship between RN (Red Nucleus) and STN has also been a guide for us [1] [9] [10].

RN is easier to recognise in MR than STN. The STN is recognised as a hypointense structure located lateral to the anterior portion of the RN on a coronal section MRI [9].

In Parkinson tremors, STN can be selected because it will contribute to the treatment of other motor symptoms [1] [11]. In our case, the tremor was dominant. VIM - DBS was chosen for the tremor. However, we believed that other cardinal findings of PD would develop over time. At this point, we believed that STN - DBS would increase the clinical utility.

In Literature we have seen that two targets have been reached by two electrodes [1][11][12].

Clinical studies in literature were taken into consideration. The features of new lead were reviewed with 8 contacts. The target has not been evaluated radiological electrophysiological even clinical assessment are of at most importance. The angle was arranged with one electrode in VIM and STN. STN was placed in medial; not only were side effects recognised; which is believed to be the outcome of the effect of the lead. It is possible to through activate various contacts different parameters. For instance, contact point 1, 2, 3, 4 can be activated through different frequencies and voltage. It was observed that this was an advantage. The possibility of stimulation to STN and VIM (together or separately) with this lead, which may be important for the future progress.

It is certain that more studies and clinical trials are needed. However, we belive that reaching the same point with two targets can prevent succeeding operations for another target. We believe that this initiative is advantageous and promising in term of cost and patient benefit.

References

1. Benabid AL, Koudsie A, Benazzouz A, et al. Deep brain stimulation for Parkinson's disease. Adv Neurol. 2001; 86:405-412. PMid:11554003

2. Kumar K, Kelly M, Toth C. Deep brain stimulation of the ventral intermediate nucleus of the thalamus for control of tremors in Parkinson's disease and essential tremor. Stereotact Funct Neurosurg. 1999; 72(1):47-61. <u>https://doi.org/10.1159/000029671</u>

PMid:10640920

3. Ekmekçi H, Kaptan H. Camptocormia and deep brain stimulation: The interesting overlapping etiologies and the therapeutic role of subthalamic nucleus deep brain stimulation in Parkinson disease with camptocormia. Surg Neurol Int. 2016; 7(Suppl 4):S103-7. <u>https://doi.org/10.4103/2152-7806.176130</u> PMid:26958425 PMCid:PMC4765245

4. Kaptan H, Ayaz M, Ekmekçi H. Effect Transformation of the Micro Electrode Recording (MER) Data to Fast Fourier Transform (FFT) for the Main Target Nucleus Determination for STN-DBS. Acta Inform Med. 2014; 22(6):411-412. https://doi.org/10.5455/aim.2014.22.411-412 PMid:25684852

nttps://doi.org/10.5455/aim.2014.22.411-412 PMId:25684852 PMCid:PMC4315636

5. Kaptan H, Ekmekci H, Ayaz M. Deep Brain Stimulation In Parkinson Disease. Niger J Health Sci. 2015; 15:109-15. https://doi.org/10.4103/1596-4078.182325

6. Lozano AM. Vim thalamic stimulation for tremor. Arch Med Res. 2000; 31(3):266-269. <u>https://doi.org/10.1016/S0188-</u>4409(00)00081-3

7. Benabid AL, Koudsie A, Benazzouz A, Le Bas JF, Pollak P. Imaging of subthalamic nucleus and ventralis intermedius of the thalamus. Mov Disord. 2002; 17(Suppl 3):S123–S129. https://doi.org/10.1002/mds.10153 PMid:11948766

8. Spiegelmann R, Nissim O, Daniels D, Ocherashvilli A, Mardor Y.

Stereotactic targeting of the ventrointermediate nucleus of the thalamus by direct visualization with high-field MRI. Stereotactic and functional neurosurgery. 2006; 84(1):19-23. https://doi.org/10.1159/000092683 PMid:16636642

9. Andrade-Souza YM, Schwalb JM, Hamani C. Et al. Comparison of three methods of targeting the subthalamic nucleus for chronic stimulation in Parkinson's disease. Neurosurgery. 2008; 62(Suppl 2):875-83. <u>https://doi.org/10.1227/01.neu.0000316289.75736.55</u> PMid:18596420

10. Stover NP, Okun MS, Evatt ML, Raju DV, Bakay RA, Vitek JL. Stimulation of the subthalamic nucleus in a patient with Parkinson disease and essential tremor. Arch Neurol. 2005; 62(1):141-3. https://doi.org/10.1001/archneur.62.1.141 PMid:15642861

11. Novak KE, Dalvi A, Nenonene EK, Bernstein LP. Surgical treatment of tremor. Disease-a-Month. 2011; 57(3):142-59. https://doi.org/10.1016/j.disamonth.2011.02.007 PMid:21447423

12. Romanelli P, Brontë-Stewart H, Courtney T, Heit G. Possible necessity for deep brain stimulation of both the ventralis intermedius and subthalamic nuclei to resolve Holmes tremor - Case report. J Neurosurg. 2003; 99(3):566-71. https://doi.org/10.3171/jns.2003.99.3.0566 PMid:12959446



Pretibial Located Stewart-Treves Syndrome: Uncommon Presentation in a Bulgarian Patient!

Georgi Tchernev^{1,2*}, Irina Yungareva³, Hristo Mangarov⁴, Konstantin Stavrov⁵, Ilia Lozev⁶, Ivanka Temelkova⁷, Svetoslav Chernin⁸, Ivan Pidakev⁹, Michael Tronnier¹⁰

¹Medical Institute of Ministry of Interior (MVR), Department of Dermatology, Venereology and Dermatologic Surgery, General Skobelev Nr 79, Sofia 1606, Bulgaria; ²Onkoderma - Policlinic for Dermatology and Dermatologic Surgery, General Skobelev 26, Sofia 1407, Bulgaria; ³Medical Institute of the Ministry of Interior, Department of Dermatology and Venereology, Skobelev bul. 79, Sofia 1606, Bulgaria; ⁴Medical Institute of the Ministry of Interior, Department of Dermatology, Venereology and Dermatologic Surgery, Lozenets Plachkovica number 5, Sofia 1164, Bulgaria; ⁵Medical Institute of the Ministry of Interior, Dermatology and Dermatologic Surgery, Sofia, Bulgaria; ⁶Medical Institute of the Ministry of Interior, Surgery, Sofia, Bulgaria; ⁷Medical Institute of the Ministry of Interior, Surgery, Sofia, Bulgaria; ⁸Medical Institute of the Ministry of Interior, Surgery, Sofia, Bulgaria; ⁸Medical Institute of the Ministry of Interior, Surgery, Sofia, Bulgaria; ⁸Medical Institute of the Ministry of Interior, Dermatology and Dermatologic Surgery Sofia, Sofia, Bulgaria; ⁸Medical Institute of the Ministry of Interior, Common, vascular and abdominal Surgery Stoletov #2, Sofia, Sofia 1612, Bulgaria; ⁹Medical Institute of Ministry of Interior, Department of Common, Vascular and Abdominal Surgery, General Skobelev 79, 1606 Sofia, Bulgaria; ¹⁰Helios Klinikum GMBH - Dermatology, Venereology and Allergology, Hildesheim, Germany

Abstract

Citation: Tchernev G, Yungareva I, Mangarov H, Stavrov K, Lozev I, Temelkova I, Chernin S, Pidakev I, Tronnier M. Pretibial Located Stewart-Treves Syndrome: Uncommon Presentation in a Bulgarian Patientl. Open Access Maced J Med Sci. 2018 Apr 15, 6(4):663-665. https://doi.org/10.3889/oamjms.2018.191

Keywords: (HHV-8); Angiosarcoma; Epithelioid variant; Stewart Treves syndrome; Surgery; Amputation

*Correspondence: Georgi Tchernev. Medical Institute of Ministry of Interior (MVR-Sofia). Department of Dermatology. Venereology and Dermatologic Surgery General Skobelev Nr 79, Sofia 1606 Bulgaria, Onkoderma - Policinic for Dermatology and Dermatologic Surgery General Skobelev 26, Sofia 1606, Bulgaria. E-mail: georgi_tchernev@yahoo.de

Received: 06-Mar-2018; Revised: 02-Apr-2018; Accepted: 03-Apr-2018; Online first: 14-Apr-2018

Copyright: © 2018 Georgi Tchernev, Irina Yungareva, Hristo Mangarov, Konstantin Stavrov, Ilia Lozev, Ivanka Femelkova, Svetoslav Chernin, Ivan Pidakev, Michael Tronnier. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0) Funding: This research did not receive any financial

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: The Stewart-Treves syndrome with localisation in the region of the lower extremities is not something unusual as clinical pathology, but the clinical diagnostics is rather difficult, and it can be further complicated maximally because of: the similar locoregional findings in patients with other cutaneous malignancies.

CASE REPORT: Presented is a rare form of an epithelioid variant of the Stewart Treves syndrome in a woman, aged 81, localised in the region of the lower leg and significantly advanced only for 2 months. The diagnosis was confirmed histologically and immunohistochemically. Amputation of the affected extremity was planned. Discussed are important etiopathogenetic aspects regarding the approach in patients with lymphedema and possibility for development of the Stewart Treves syndrome.

CONCLUSION: Analyzing the evidence from the literature worldwide, we concluded that perhaps the only reliable (to some extent) therapeutic option in patients with Stewart Treves Syndrome is 1) the early diagnostics and 2) the following inevitable radical excision or amputation with the maximal field of surgical security in the proximal direction.

Introduction

Sarcomas are connective tissue tumours, comprising approximately 1% of all malignant tumours [1]. Stewart Treves syndrome is a skin variant of angiosarcoma which has developed based on chronic lymphedema [1].

The disease affects women predominantly, with a higher incidence in the fifth and seventh decades as in the patient described by us [1].

Interestingly, the syndrome could also develop by congenital lymphedema, which implies the need for a serious reflection on the "active" or more aggressive approach in affected groups of patients (at an early age) [2].

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):663-665.

Case Report

An 81-year old female was admitted in the Dermatology and Dermatological Surgery department because of pain, edema and burning sensation of 2 months duration in the left lower limb (Fig. 1a). She is under treatment for hypertension and cardiac ischemia and there is a past history of hysterectomy due to multiple myomatous nodules (Fig. 1a).

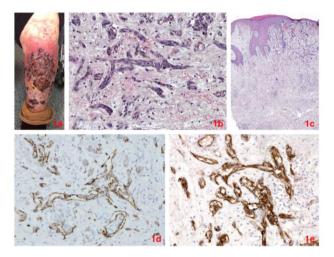


Figure 1: 1a) multiple solitary nodules, 2-3.8 cm in diameter and tendency for confluence and endophyte growth resulting in the formation of a bigger endophyte tumour growth and forming a single tumour lesion with dimensions of about 22 to 16 cm. Perilesional area of erythema around the tumours. Presence of multiple satellite lesions in all directions around the tumour plaque; 1b) vessels with irregular branching consisting of large atypical endothelial cells. Mitotic figures and extravascular red blood cells, H&E x200; 1c) irregular acanthosis. In the upper dermis increased number of vessels. Extravasated red blood cells and oedema. H&E x25; 1d) atypical vessels expressing D2-40. Promontory sign, IHC x200; 1e) 3b CD31 in the atypical cells, IHC x200

General examination revealed marked pallor of the mucous membranes and skin, no other findings could detect. On the other hand, local examination of the lower limbs revealed. Bilateral progressive lymphedema on the lower legs, more pronounced on the left. Edematous left lower leg and foot. Pretibially located tumour conglomerates with dimensions 22/11 cm and with black to dark blue colour, partially confluent with one another. Presence of multiple satellite tumour lesions on an erythemic base near the main tumour formation (Fig. 1a). HIV-1 P24 antigen and HIV-1, 2 antibodies: negative. TPHA test negative, Hb S-Ag, Anti HCV autoantibodies: negative. From the paraclinical and microbiological tests were established: the microbiological smear from lesional smear showed significant bacterial growth of Acinetobacter Spp., E faecalis. LDH: 269 IU/I, CKMB: 30 IU/I, Granulocytes 72.5%, blood sugar 6.4 mmol/I, sediment urine-massively leukocytes and bacteria. Urine culture: significant concentration of Klebsiella pneumoniae. X-ray of the lung is with evidence of thickening of the pleura with unclear genesis, on the

left, axillary. X-ray of left lower leg and ankle joint: osteoporosis of the visible bones, inhomogeneous structures with areas of thickening and increase in the transparency of the soft tissues of the examined extremity. Doppler of arterial and venous vessels was without evidence of thrombosis or chronic arterial insufficiency as a result of compression by the tumour masses. Histopathological assessment of a biopsy of the lesional tissue: large lobes, dermally based, a malignant vessel-forming tumour with prevailing epitheloid, pleomorphic cells with prominent nucleoli (Figures 1b and 1c). Immunohistochemistry: CD34 positive expression in the malignant population, CD 31 positive (Fig. 1e), D2-40 positive (Fig. 1d), HHV8 negative, S-100 negative, Anti CKA-1, -3 negative. Based on the anamnestic evidence of hysterectomy in the past, the histological and immunohistochemical assessments, the patient was diagnosed with an epithelioid variant of angiosarcoma with lymphedema or Stewart Treves syndrome. Amputation of the lower leg was also planned at a later stage. Due to the patient's refusal to undergo surgical treatment, she referred to the Oncology for planned was chemotherapy and/or eventually radiotherapy.

Discussion

The pathogenesis concerning the origin of cells in angio or lymphangiosarcoma is controversial [3] [4]. Lymphangiosarcoma is a controversial term because a tumour itself originates from the vessels and hence, according to some authors, the definition hemangiosarcoma should be more accurate [1] [2]. Other studies have suggested that a tumour expresses markers characteristic of both lymphatic and vascular capillaries [3] [4].

Stewart-Treves Syndrome is characterised by the presence of lymphangiosarcoma on limb extremities [1] [2]. Rare, it occurs in 0.5% of patients who have undergone radical mastectomy with axillary node dissection [1].

The main cause of the disease seems to be the chronic lymphedema with the subsequent endothelial and lymphatic differentiation, with no direct relationship to other types of cancer [4]. The main etiopathogenesis is chronic lymphedema, and it is believed to occur a neoplastic proliferation with blood and lymphatic endothelial differentiation, but it's not possible to determine definitely which predominates [1] [3].

The extent to which a lymphostasis is capable of leading to a reduced local immunity, followed by degeneration of the cell matrix, and hence to the uncontrollable production of growth/angiogenic factors, is unclear. But at least the hypothesis seems reasonable, though it is speculative. The chronic lymphostasis is likely to lead to cellular changes and possibly to an early onset of malignant transformation [5] [6]. It is because of this fact that many authors advise that the radiotherapy in the early stages of the illness should be rather avoided hence it can 1) potentiate the DNA changes in the cells and 2) even worsen the lymph flow. These two factors could further trigger the onset of the Stewart Treves syndrome [5] [6]. No racial preponderance has been observed in this syndrome [7]. It has not been shown to be more prevalent in patients with AIDS or any other immunodeficiency disorders, as compared with Kaposi's sarcoma (KS) [7].

Interestingly, some authors postulate that mutations in the DNA repair genes BRCA1 and BRCA2 predispose to angiosarcomas after treatment for breast cancer [8]. Three phases in the development of STS have been reported in the (1) literature: prolonged lymphedema, (2)angiomatosis, and subsequently (3) angiosarcoma [8]. Prolonged lymphedema (lymphostasis) is observed in KS as well as angiosarcoma, resulting in localised immunosuppression and vascular oncogenesis in the presence of human herpesvirus 8 (HHV-8) infections and immunosuppression [9].

Interestingly, lymphedema has also been reported in other cutaneous malignancies including cutaneous melanoma, basal cell carcinoma, squamous cell carcinoma, Merkel cell carcinoma, and cutaneous lymphomas [9] [10].

The most important differential diagnosis of Stewart Treves Syndrome is Kaposi sarcoma (KS). (KS) Differs from (STS), because it does not require the presence of lymphedema for its development [7]. Immunohistochemical testing for the presence of HHV-8 is the primary way to distinguish STS from KS (7). KS is associated with this virus, while STS is not [8].

In conclusion, unclear as a whole is the contribution of the different histological, immunohistological and molecular-biological characteristics (as markers) in this tumour regarding its pathogenesis and its course. This is what makes the rapid diagnosis as well as the choice of treatment regimen rather problematic than standard and easy to apply.

Perhaps the only method to guarantee better survival or a chance for survival of the affected patients is radical surgery or amputation of the affected limb with the maximal field of surgical security in the proximal direction. The aim is to avoid creating new lymphedema, which will prove to be a beneficial basis for reactivation or new Stewart Treves

Syndrome (STS).

Chemotherapy is reserved for patients with unresectable disease or refusal of amputation (as in our patient). Radiotherapy could be used as adjuvant therapy in selected advanced cases with Stewart Treves syndrome [7]. The general prognosis of patients with Stewart-Treves syndrome is poor. Local recurrence rate is relatively high, with frequent need for amputation of the limbs [7].

References

1. Pereira ES, Moraes ET, Siqueira DM, Santos MA. Stewart Treves Syndrome. An Bras Dermatol. 2015; 90(3 Suppl 1):229-31. https://doi.org/10.1590/abd1806-4841.20153685 PMid:26312725 PMCid:PMC4540559

2. Sharma A, Schwartz RA. Stewart Treves syndrome: pathogenesis and management. J Am Acad Dermatol. 2012; 67:1342–1348. <u>https://doi.org/10.1016/j.jaad.2012.04.028</u> PMid:22682884

3. Stanczyk M, Gewartowska M, Swierkowski M, Grala B, Maruszynski M. Stewart Treves syndrome angiosarcoma express phenotypes of both blood and lymphatic capillaries. Chin Med J (Engl). 2013; 126:231–237.

4. Aguiar Bujanda D, Camacho Galán R, Bastida I-area J, Aguiar Morales J, Conde Martel A, Rivero Suárez P, et al. Angiosarcoma of the abdominal wall after dermolipectomy in a morbidly obese man. A rare form of presentation of Stewart Treves syndrome. Eur J Dermatol. 2006; 16:290–292. PMid:16709497

5. Iga N, Endo Y, Fujisawa A, Matsumura Y, Kabashima K, Tanioka M, et al. Two cases of cutaneous angiosarcoma developed after breast cancer surgery. Case Rep Dermatol. 2012; 4:247–249. <u>https://doi.org/10.1159/000345559</u> PMid:23275768 PMCid:PMC3531937

6. Kunkel T, Mylonas I, Mayr D, Friese K, Sommer HL. Recurrence of secondary in a patient with postradiated breast for breast cancer. Arch Gynecol Obstet. 2008; 278:497–501. https://doi.org/10.1007/s00404-008-0605-8 PMid:18305948

7. Berebichez-Fridman R, Deutsch YE, Joyal TM, Olvera PM, Benedetto PW, Rosenberg AE, Kett DH. Stewart-Treves Syndrome: A Case Report and Review of the Literature. Case Rep Oncol. 2016; 9(1): 205–211. <u>https://doi.org/10.1159/000445427</u> PMid:27099606 PMCid:PMC4836142

8. Young RJ, Brown JN, Reed MW, Hughes D, Woll PJ. Angiosarcoma. Lancet Oncol. 2010; 11:983–991. https://doi.org/10.1016/S1470-2045(10)70023-1

9. Tabareau F, de Muret A, Miquelestorena E, Decouvelaere AV, de Pinieux G. Cutaneous epithelioid clear cells angiosarcoma in a aoung woman with congenital lymphedema. Case Rep Pathol. 2013; 1:1–6. <u>https://doi.org/10.1155/2013/931973</u> PMid:24078891 PMCid:PMC3776547

10. Shon W, Ida CM, Boland-Froemming JM, Rose PS, Folpe A. Cutaneous angiosarcoma arising in massive localized lymphedema of the morbidly obese: a report of five cases and review of the literature. J Cutan Pathol. 2011; 38:560–564. https://doi.org/10.1111/j.1600.0560.2011.01703 x PMid:21518378



Carnitine Palmitoyltransferase II Deficiency (CPT II) Followed By Rhabdomyolysis and Acute Kidney Injury

Nikola Gjorgjievski^{*}, Pavlina Dzekova-Vidimliski, Zvezdana Petronijevic, Gjulsen Selim, Petar Dejanov, Liljana Tozija, Aleksandar Sikole

University Clinic of Nephrology Skopje, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia

Abstract

Citation: Gjorgjievski N, Dzekova-Vidimliski P, Petronijevic Z, Selim G, Dejanov P, Tozija L, Sikole A. Camitine Palmitoyitransferase II Deficiency (CPT II) Followed By Rhabdomyolysis and Acute Kidney Injury. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):666-668. https://doi.org/10.3889/camjms.2018.158

Keywords: Carnitine palmitoyltransferase II deficiency; Rhabdomyolysis; Metabolic disorder; Creatine kinase; Myoglobin; Acute kidney injury; Hemodialysis

*Correspondence: Nikola Gjorgjievski. University Clinic of Nephrology Skopje, Ss Cyril and Methodius University of Skopje, Republic of Macedonia. E-mail: nikola_gjorgjievski@yahoo.com

Received: 03-Feb-2018; Revised: 15-Feb-2018; Accepted: 07-Mar-2018; Online first: 12-Apr-2018

Copyright: © 2018 Nikola Gjorgjievski, Pavlina Dzekova-Vidimliski, Zvezdana Petronijevic, Gjulsen Selim, Petar Dejanov, Liljana Tozija, Aleksandar Sikole. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BV-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: Carnitine palmitoyltransferase II deficiency (CPT II) is an autosomal recessive disorder and the most common inherited disorder of mitochondrial long-chain fatty acid oxidation, characterised by attacks of myalgia and myoglobinuria. The most common "classic" myopathic form occurs in young adults and is characterised by recurrent episodes of rhabdomyolysis triggered by prolonged exercise, fasting or febrile illness.

CASE PRESENTATION: We present a case of a 22-year-old Caucasian male admitted to our hospital with fever, dyspnea, fatigue, myalgia and dark urine (brown-coloured). The symptoms appeared after viral infection followed by fever. Acute kidney injury (AKI) developed as a complication, and there was a need for treatment with hemodialysis. At the clinical presentation, the patient had plasma creatine kinase (pCK) level of 130.383 U/L and plasma myoglobin level over 5000 µg/L. Genetic testing (molecular analysis) confirmed the diagnosis of inherited rhabdomyolysis, a metabolic disorder of carnitine palmitoyltransferase II deficiency. A previous episode with the same symptoms, the patient had four years ago but did not ask for medical treatment. The patient was discontinued from hemodialysis because of the resolution of acute kidney injury. The patient was discharged from the hospital in good condition, with a recommendation about his future lifestyle in order to prevent similar episodes.

CONCLUSION: Every patient presenting with myalgia, dark urine (brown-coloured), high level of pCK and development of AKI requiring hemodialysis, should be explored for inherited rhabdomyolysis induced by CPT II deficiency.

Introduction

Carnitine palmitoyltransferase II (CPT II) deficiency is a genetic disorder of mitochondrial fatty acid oxidation. Long-chain fatty acids are required for fueling the skeletal muscles, and they are only able to cross mitochondrial membrane after esterification with carnitine in a reaction with the enzyme CPT II [1] [2]. The damages are the result of an increased intracellular free ionised cytoplasm and mitochondrial calcium. This might be caused by depletion of adenosine triphosphate (ATP), and/or by direct injury and rupture of the plasma membrane. ATP depletion leads to myocyte injury and the release of intracellular muscle constituents, including creatine kinase (CK) and other muscle enzymes, myoglobin, and various electrolytes [3]. Three types of CPT II deficiency are recognised: a lethal neonatal form, a severe infantile hepatocardiomuscular form and myopathic form in which the onset ranges from infancy to adulthood [1] [2] [4]. The third one is the most common disorder of lipid metabolism affecting skeletal muscle and is the most frequent cause of hereditary myoglobinuria.

In vivo investigation of fatty acid oxidation in CPT II deficiency individuals, by indirect calorimetry and stable isotope methodology, shows impaired oxidation of long chain fatty acids during low-intensity exercise, with normal oxidation at rest. In addition, this method can only highlight low-fat oxidation but not diagnose CPT II deficiency. Almost all individuals with the myopathic form suffer from myalgia. Approximately 60% of them have muscle weakness during the attacks. The muscle cramps also occur during the attacks, although they are not typical of this disease [5]. Myoglobinuria with brown-coloured urine occurs during the attacks in approximately 75% of the patients [6]. The first description of this disease in adults with exercise-induced rhabdomyolysis was made by Di Mauro in 1973 [7]. The symptoms start in childhood, whereas the attacks with myoglobinuria mostly emerge in adolescence or early adulthood. The severe complications most are massive rhabdomyolysis followed by acute kidney injury (AKI) hemodialysis, acute hepatic lesion. requiring respiratory insufficiency and paroxysmal heart arrhythmias.

Case presentation

We present a case of a 22-year-old Caucasian male, who until this hospital admission, had never asked for medical treatment. From the family medical history, the father of the patient died 10 years ago from cancer. The patient presented with fever, dyspnea, myalgia, fatigue, and dark urine (brown-coloured), followed by the development of acute kidney injury with oliguria. The laboratory analyses showed: plasma creatine kinase (pCK) level of 130.383 U/L, plasma myoglobin level over 5000 µg/L, plasma lactate dehydrogenase (pLDH) level of 2607 U/L, plasma alanine aminotransferase (pALT) level of 1104 U/L, plasma aspartate aminotransferase (pAST) level of 2920 U/L, plasma creatinine level of 574 µmol/L, and plasma blood urea nitrogen level of 20.6 mmol/L. The patient was initiated with hemodialysis treatment via a temporary vascular access-venous femoral catheter. The hemodialysis sessions were performed without complications.

Genetic testing (molecular analysis) for an inherited metabolic disorder was done, and there was a confirmation of the diagnosis CPT II deficiency. Deoxyribonucleic acid (DNA) sequencing analysis of exons 3 of the CPT II gene revealed that the patient had homozygote active mutation TCG>TTG for Ser 113 Leu and it was associated with family myoglobinuria.

During the hospitalisation, six hemodialysis treatments were performed. At day 12 of the hospitalisation, the patient was discontinued from the hemodialysis because of recurrence of diuresis with declining plasma levels of creatinine and blood urea nitrogen (Table 1). Ultrasonography examination of the kidneys showed normal kidney size and echogenic structure. Urine culture was sterile. The immunological testing (ANA, anti-dsDNA, cANCA) were negative. Continuous abdominal pain in the epigastric region with vomitus was also present. With upper digestive endoscopy, chronic gastritis with superficial ulcers was diagnosed. During the whole period, the plasma levels of calcium and potassium were in the normal range (Table 1). At the hospital admission the level of myoglobin was over 5000 μ g/L, but at the end of the hospitalisation, it was 258.6 μ g/L. Also, the level of pCK was 130.383 U/L, but at the end, it was 329 U/L (Table 1).

 Table 1: Presentation of the laboratory findings during the patient's hospitalisation

	14	15	16	17	18	19	24	26	27	30
	march	march	march	march	march	march	march	march	march	march
							Day	Day	Day	Day
	Day (1)	Day (2)	Day (3)		Day (5)	Day (6)	(11)	(13)	(14)	(17)
P CK U/L		130.383	38.251	9.865		1.306	155			329
P CK-MB U/L		1626	473			30				
P Myoglobin										
µg/L		>500	>5000	>3000		1297	258.6			
P LDH U/L		2607	1554			471	460			
P AST U/L		2920	1590	869		139	46			
P ALT U/L		1104	955	697		357	134			
P Creatinine										
µmol/L	574	555	601					641	671	480
P Urea mmol/L		20.6	21.7					11.5		
P Potassium										
mmol/L	4.7	5		4.2		4.1		3.8	3.9	4
P Calcium										
mmol/L		2.08		2.32		2.3	2.33	2.34		2.35
P Phosohat		~ ~								
mmol/L White blood		2.2								
cells count										
10*9/L		25.2	16.1	13.4	15.9		18.1			10.1
Platelet count		25.2	16.1	13.4	15.9		10.1			10.1
10*9/L		214	198	194	246		341			412
P Total proteins		214	190	194	240		341			412
g/L		58	62	61		59	67			
P Albumins g/L		38	37	35		35	38			
P Globulins g/L		20	25	26		24	29			
C3 g/L		20	20	20		1.27	29			
C4 g/L						0.204				
Diuresis ml		100		100		200	500	1900	2800	4800
Diarcoio III		100		100		200	500	1300	2000	-000

The patient was discharged from the hospital in good condition, with recommendations about his future lifestyle in order to prevent similar episodes. The advice was given to his family members about the genetic metabolic disorder, CPT II deficiency.

Discussion

We presented a patient with fever, dyspnea, myalgia, fatigue, and dark urine (brown-coloured), with the acute hepatic lesion, without respiratory or heart failure. The symptoms occurred after a viral infection followed by fever. The patient also developed acute kidney injury requiring hemodialysis. Laboratory data suggested that it was due to massive rhabdomyolysis. The diagnosis was established by genetic testing (molecular analysis) for an inherited metabolic disorder which confirmed the diagnosis CPT II deficiency.

A few years ago, at the Hospital of Cardiology in Skopje-Macedonia, another patient (20 years old) with the same genetic inherited metabolic disorder (CPT II deficiency) was treated. The patient developed acute kidney injury, acute hepatic lesion, respiratory insufficiency and cardiomyopathy with volume overload. He was treated with plasmapheresis, hemodialysis and supportive therapy. The patient was discharged from the hospital in good condition with advice for a lifestyle modification [8]. One clinical study summarizes the clinical features of this disease, analysing data of 28 patients with

biochemically and genetically confirmed CPT II deficiency. It is noticeable that exercise was the most important trigger factor for attacks. The authors also noted that infections were more frequent trigger than fasting. CPT II deficiency was factors characterised with a male predominance of 86%, due to the X-chromosomal modifier genes or hormonal factors such as estrogen that might be a regulator of CPT [9]. Another clinical study presented that this enzymatic defect was detected in 47% of 77 patients, who underwent biopsy for idiopathic myoglobinuria. The carnitine palmitoyltransferase II deficiency was the most common disorder in the group of the biopsied patients [10].

It is necessary to detect the aetiology of rhabdomyolysis and start with the medical treatment. Although some genetic mutations have already been defined, the prevalence of these mutations is still unknown. It might be that the mutant enzyme is thermolabile in the adult form, causing the episodes of rhabdomyolysis during the acute febrile illness [11].

Diagnosing CPT II deficiency can be done by acvlcarnitine analysis usina tandem mass spectrometry (peak at C16 is indicative of the condition). Measurement of the CPT II activity can be performed as well as many laboratory findings, such as low carnitine levels, increased serum plasma creatine kinase and transaminase, which can be associated with the disease. For a definitive diagnosis, sequencing of the CPT II gene for mutation analysis is recommended [8]. Prenatal diagnosis may be offered for pregnancies at a 1/4 risk of infantile/severe-type CPT II deficiency [2].

Prevention includes protection from infections, avoidance of some medications (ibuprofen, diazepam, valproic acid) and general anaesthesia, toxins, heat and stress. Treatment is based on avoidance of fasting (more frequent meals) and exercise. A low-fat diet enriched with medium chain triglycerides and carnitine is recommended [12]. During acute infections, an infusion of glucose can be administered. Oral carnitine supplementation can be considered as an adequate therapy. The medium-chain fatty acid triheptanoin may be effective in the adult-onset CPT II deficiency [13].

In conclusion, inherited genetic metabolic disorder (CPT II deficiency) followed by massive rhabdomyolysis with acute kidney injury requiring hemodialysis might be a life-threatening condition. It could cause severe organ damage with a need for intensive medical treatment. The definitive diagnosis of this condition is achieved by genetic testing. Whenever a patient suffers from recurrent episodes of mvalgia. followed by myoglobinuria due to rhabdomyolysis, the possibility of the presence of this rare condition should be considered.

References

1. Sigauke E, Rakheja D, Kitson K, Bennett MJ. Carnitine palmitoyltransferase II deficiency: A clinical, biochemical, and molecular review. Lab Invest. 2000; 383:1543–1554.

2.Bonnefont JP, Demaugre F, Prip-Buus C, Saudubray JM, Brivet M, Abadi N, Laure Thuillier L. Carnitine Palmitoyltransferase Deficience. Mol Genet Metab. 1999; 68(4):424-40. https://doi.org/10.1006/mgme.1999.2938 PMid:10607472

3. Giannoglou GD, Chatzizisis YS, Misirli G. The syndrome of rhabdomyolysis: Pathophysiology and diagnosis. Eur J Intern Med.2007; 18:90. <u>https://doi.org/10.1016/j.ejim.2006.09.020</u> PMid:17338959

4. Vladutiu GD, Quackenbush EJ, Hainline BE, Albers S, Smail DS, Bennett MJ. Lethal neonatal and severe late infantile forms of carnitine palmitoyltransferase II deficiency associated with compound heterozygosity for different protein truncation mutations. J Pediatr. 2002; 141:734–6.

https://doi.org/10.1067/mpd.2002.128545 PMid:12410208

5. Anichini A, Fanin M, Vianey-Saban C, Cassandrini D, Fiorillo C, Bruno C, Angelini C. Genotype-phenotype correlations in a large series of patients with muscle type CPT II deficiency. Neurol Res. 2011; 33(1):24-32.

https://doi.org/10.1179/016164110X12767786356390 PMid:20810031

6. Orngreen MC, Duno M, Ejstrup R, Christensen E, Schwartz M, Sacchetti M, Vissing J. Fuel utilisation in subjects with carnitine palmitoyltransferase 2 gene mutations. Ann Neurol. 2005; 57(1):60–6. <u>https://doi.org/10.1002/ana.20320</u> PMid:15622536

7. DiMauro S, DiMauro PMM. Muscle carnitine palmityl transferase deficiency and myoglobinuria. Science. 1973; 182: 929-931, https://doi.org/10.1126/science.182.4115.929 PMid:4745596

8. Valvukis M, Eftimov A, Zafirovska P, Caparovska E, Pocesta B, Kedev S, Dimovski AJ. Rhabdomyolysis and Cardiomyopathy in a 20-Year-Old Patient with CPT II Deficiency. Case Reports genetics. 2014; Article ID 496410.

9. Deschauer M, Wieser T, Zierz S. Muscle Carnitine Palmitoyltransferase II DeficiencyClinical and Molecular Genetic Features and Diagnostic. Arch Neurol. 2005; 62(1):37-41. https://doi.org/10.1001/archneur.62.1.37 PMid:15642848

10. Tonin P, Lewis P, Servidei S, DiMauro S. Metabolic causes of myoglobinuria. Ann Neurol. 1990; 27:181. https://doi.org/10.1002/ana.410270214 PMid:2156480

11. Olpin SE, Murphy E, Kirk RJ, Taylor RW, Quinlivan R. The Investigation & Treatment of Metabolic Myopathies. J Clin Pathol. 2015; 68(6):410-7. <u>https://doi.org/10.1136/jclinpath-2014-202808</u> PMid:25878327

12. Lamhonwah AM, Olpin SE, Pollitt RJ, et al. Novel OCTN2 mutations: no genotype-phenotype correlations: early carnitine therapy prevents cardiomyopathy," 2002 American Journal of Medical Genetics. 2002; 111(3):271–284. https://doi.org/10.1002/ajmg.10585 PMid:12210323

13. Roe Ch, Sweetman L, Roe D, David F, Brunengraber H. Treatment of cardiomyopathy and rhabdomyolysis in long-chain fat oxidation disorders using an anaplerotic odd-chain triglyceride. J Clin Invest. 2002; 110(2):259-269. https://doi.org/10.1172/JCI0215311



Treatment of a Patient with Merkel Cell Skin Carcinoma Using Radiation Therapy - A Case Report

Andrej Petrov^{1, 2}*, Slavica Kraleva¹, Katerina Kubelka-Sabit¹, Deva Petrova¹

¹Acibadem Sistina Hospital, Skopje, Republic of Macedonia; ²Faculty of Medical Sciences, University Goce Delchev, Shtip, Republic of Macedonia

Abstract

Citation: Petrov A, Kraleva S, Kubelka-Sabit K, Petrova D. Treatment of a Patient with Merkel Cell Skin Carcinoma Using Radiation Therapy - A Case Report. Open Access Maced J Med Sci. 2018 Apr 15, 6(4):669-672. https://doi.org/10.3889/oamjms.2018.120

Keywords: Carcinoma; Merkel cell; Radiotherapy

*Correspondence: Andrej Petrov. Acibadem Sistina Hospital, Skopje, Republic of Macedonia. E-mail: petrovandrej555@gmail.com

Received: 01-Feb-2018; Revised: 30-Mar-2018; Accepted: 04-Apr-2018; Online first: 14-Apr-2018

Copyright: © 2018 Andrej Petrov, Slavica Kraleva, Katerina Kubelka-Sabit, Deva Petrova. This is an openaccess article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: Merkel cell carcinoma (MCC) is a rare, very aggressive tumour. The pathogenesis remains unclear, but UV radiation, immunosuppression, and the presence of Merkel cell polyomavirus in the tumour genome appear to have a key role. Merkel cell carcinoma is a highly aggressive tumour that often has a lethal end.

CAS REPORT: A patient at 93 years of age comes for an examination by a dermatologist due to a rapidly growing nodular tumour growth in the forehead area. A tumour was about 3 cm in size. It had no signs of basalcell carcinoma, no arborising vascularisation, no pigmentations on dermoscopy. Clinically, an eventual Merkel cell carcinoma was considered for the patient, but other primary skin tumours had to be excluded, as well as the possibility that regarding the patient's age, it may be a metastatic deposit. A skin biopsy was performed, as well as H-E examination and immunohistochemical analyses (positive CD56, positivity of neuroendocrine markers synaptophysin, chromogranin) which were in favour of Merkel cell carcinoma of the skin. After setting the diagnosis, our patient was treated with therapy which led to a complete withdrawal of a tumour. However, after 3 months the patient had repeated relapse of a tumour at the same site on the forehead and metastases in the retroauricular lymph nodes bilaterally. It shows that the radiotherapy as monotherapy has a great effect on the removal of the tumour formation, but unfortunately, it has no impact on lesion recurrence. It is also compatible with the literature data.

CONCLUSION: In many adult patients, as our case suggests, radiotherapy could be a good palliative treatment opportunity that should be considered, as well as a combination of radiation therapy with other oncologic therapeutic options.

Introduction

Merkel cell carcinoma (MCC) is a rare, very aggressive tumour, with quite common local or regional recurrences and with high metastatic potential. MCC usually develops in areas of the skin exposed to sunlight, in patients of advanced age. Its incidence has grown four times over the past decades the ageing of the population due to and immunohistochemical techniques leading to the diagnosis. The pathogenesis remains unclear, but UV radiation, immunosuppression, and the presence of Merkel cell polyomavirus in the tumour genome appear to have a key role. Toker was the author who first described a tumour in 1972. He used the term trabecular carcinoma of the skin, suggesting a possibility of glandular origin. Ultrastructural studies made 6 years later by Tang and Toker indicated a presence of electron-dense granules in the cytoplasm of the tumour cells. They suggested a neuroendocrine

origin, similar to Merkel cells in the epidermis. The term cutaneous neuroendocrine carcinoma may be the one that best describes the immunohistochemical and ultrastructural phenomena of these tumours, but the most widely used and ultrastructurally accepted name in the literature is MCC [1].

Merkel cell carcinoma (MCC) is typically presented as a painless, rapidly growing, cubist red or purple nodule of sun-exposed areas of the skin, such as the head and the neck, or the upper limbs. Aetiology is multifactorial, with immunosuppression, UV-induced skin damage and viral factors [2].

According to the NCCN, resection of a tumour in healthy tissue is the basic therapy. Patients at high risk may also undergo adjuvant radiotherapy. The role of chemotherapy is unclear. The incidence of Merkel cell carcinoma in the United States is estimated to be 0.32/100.000 [3].

Merkel cell polyomavirus (MCPyV) was discovered in 2008 and still is the only human

polyomavirus that can be causally associated with human malignancy, i.e. Merkel cell carcinoma [4].

Merkel cell carcinoma is a highly aggressive tumour that often has a lethal end. Clonal colonisation with Merkel cell polyomavirus in the host genome may have a role in the carcinogenesis or the UV-induced carcinogenesis. Viral-encoded oncoproteins and UVinduced mutations affect the related signalling pathway such as RB restriction of cell cycle progression or p53 inactivation. Although its relatively low incidence Merkel cell carcinoma has drawn much attention recently due to immunogenetics and immunomodulatory treatments [5].

MCC is characterised by the acronym (from English words) AEIOU.

Most cases of MCC occur in a population at obvious high risk of developing this tumour. AEIOU features can be useful in identifying a suspected lesion [6] [7].

"A" is for asymptomatic. MCC is typically asymptomatic compared to an inflamed cyst, which it may sometimes resemble.

"E" is for expanding rapidly; a node that increases in 1-2 months.

"I" is for immunocompromised. 92% of patients with MCC are not immunocompromised, but those with long-standing T cell dysfunction (HIV, leukaemia, chronic immunosuppression) are at a much greater risk to develop MCC although they represent less than 10% of the cases.

"O" is for patients older than 50 years. The risk of MCC increases with age due to the immunosenescence (the immune system is less capable of detecting immunogenic cancer).

"U" is for the ultraviolet radiation-exposed fair skin.

Ninety of the patients have 3 or more of the AEIOU features. But this is not specific since some lipomas or cysts can meet 2 or 3 criteria.

Treatment is based on multidisciplinary management, although the optimal therapy is still controversial due to lack of data. Aggressive surgery, which is often associated with adjuvant radiotherapy, improves the locoregional recurrence and overall survival [8]. According to some authors, surgery and radiotherapy achieve excellent locoregional control. However, a certain percentage of patients develop a disseminated disease that is incurable. Chemotherapy has a great response in metastatic disease, but the response is short-lived, and the survival impact has not been established [9].

There are rare cases of metastatic disease. The disease usually metastasises in the local lymph nodes, but there are also cases of pleural metastases. [10] Immunohistochemical analysis of a section stained with hematoxylin and eosin indicates tumour cells with infiltrative growth and hyperchromatic nuclei that have been positive for CK20, CD56, chromogranin and synaptophysin [11]. Survival at 5 years is 51% for local disease and is low as 14% for distant disease, which underscores the aggressive nature of this tumour and challenges in management [12].

Case Report

The clinical examination is the first step in MCC diagnosis. The nonspecific and varied clinical features of this tumour pose certain diagnostic challenges even for an experienced dermatologist. Unlike basal cell carcinoma or melanoma, which may be clinically or dermatoscopically apparent, the "classic" MCC lesion does not exist [12].

A patient at 93 years of age comes for an examination by a dermatologist due to a rapidly growing erythematous nodule growth in the forehead area. A tumour was about 3 cm in size. It had no signs of basal-cell carcinoma, no arborising vascularisation, no pigmentations on dermatoscopy. The polymorphous vascular pattern has been observed.

Clinically, an eventual Merkel cell carcinoma was considered for the patient, but other primary skin tumours had to be excluded, as well as the possibility that regarding the patient's age, it may be a metastatic deposit [13].

A skin biopsy was performed, as well as H-E examination and immunohistochemical analyses (positive CD56, positivity of neuroendocrine markers synaptophysin, chromogranin) which were in favour of Merkel cell carcinoma of the skin.

The biopsy specimen was formalin fixed and paraffin moulded. Besides the routine hematoxylin and eosin stained tissue sections, additional immunohistochemical analyses were performed on DAKO Autostainer link 48, an automatic immunohistochemical stainer, using monoclonal ready to use antibodies from Agilent Technologies.

Histopathological analysis of the biopsy specimen detected a presence of neoplastic cells in the dermis, arranged in solid sheets with areas of "crush effect", composed of relatively uniform cells. The neoplastic cells had small basophilic nuclei and very scant cytoplasm consistent with the histopathological finding of small cell variant of MCC (Figure 1A). Numerous mitotic figures were evident. To confirm the neuroendocrine nature of the tumour cell, additional immunohistochemical analyses were performed. The immunohistochemical analysis indicated that tumour cells were positive for neuroendocrine markers chromogranin (Figure 1B) and synaptophysin (Figure 1C), whereas they were

negative for cytokeratins 5/6 (Figure 1D), cytokeratin 7, CD45, TTF1, as well as for cytokeratin 20 (Figure 1E). Ki-67 proliferative index was more than 90% (Figure 1F).

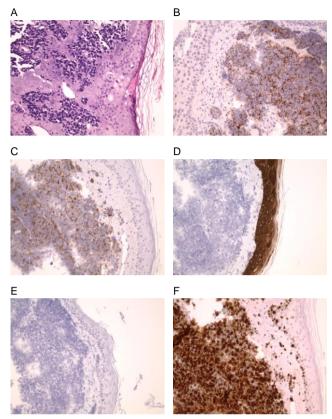


Figure 1: A) Histological appearance of the tumor (hematoxilin and eosin, x200); B) Neoplastic cells show positivity for Chromogranin (x200); C) Neoplastic cells show positivity for Synaptophysin (x200); D) Neoplastic cells are negative for cytokeratins 5/6 (x200); E) Neoplastic cells are negative for cytokeratin 20 (x200); F) Ki-67 proliferative index is >90% (x200)

The performed CT examination indicated no presence of other tumour formations in the deep tissues of the head and the neck. The finding was normal.



Figure 2: Patient before treatment

After CT simulation in the patient, a plan for radiation of the skin efflorescence in the frontal area was made. The treatment used X-rays with an energy of 6MV and a total dose of 40 Gy during 10 fractions. The patient achieved a full-scale remission of the lesions. There was no tumour recurrence in the monitoring period of 3 months.



Figure 3: Patient after radiation treatment

Discussion

Merkel cell carcinoma (MCC) usually has a clinical presentation in the form of a solitary, solid, well-defined nodule which is erythematous or purple, and mobile about the subcutaneous tissue.

Staging classification of the disease is done according to the American Joint Committee on Cancer.

Table 1: TNM Classification	of MCC	according	to the	American
Joint Committee on Cancer		-		

Tumour	Node	Metastasis
Tx, the tumour cannot be assessed	Nx, lymph node involvement cannot be assessed	Mx, metastasis cannot be assessed
T0, no evidence of a primary tumour	N0, no lymph node involvement	M0, no metastasis
This, primary tumour in situ	-cN0, no clinical signs of lymph node involvement (on inspection, palpation, and radiograph)	M1, distant metastasis
T1, primary tumour ≤ 2 cm	-pN0, no lymph node involvement detected by a pathologist	-M1a, metastasis to the skin, subcutaneous cellular tissue, or distant lymph nodes
T2, primary tumour>2 cm and ≤ 5 cm	-pNx, no histology of lymph nodes	-M1b, metastasis to the lung
T3, primary tumour>5 cm	N1a, micrometastasis ^b	-M1c, metastasis to other visceral organs
T4, a primary tumour affecting bone, muscle, fascia, or cartilage	N1b, macrometastasis ^c	
	N2, in-transit metastasis ^d	

Abbreviations: MCC, Merkel cell carcinoma; a - Adapted by [1]; b - Micrometastases refer to clinically undetectable lymph nodes that are affected by the disease found in a sentinel lymph node or by elective dissection; c - Macrometastases refer to clinically evident and pathologically proven regional lymph nodes that are affected by the disease, using dissection or punch biopsy; d - In-transit metastases refer to metastases that are found between the primary tumor and the regional lymph nodes or distally of the primary tumor.

After setting the diagnosis, our patient was treated with therapy which led to a complete withdrawal of a tumour.

However, after 3 months the patient had repeated relapse of a tumour at the same site on the forehead and metastases in the retroauricular lymph nodes bilaterally. It shows that the radiotherapy as monotherapy has a great effect on the removal of the tumour formation, but unfortunately, it has no impact on lesion recurrence.

Table 2: Staging of MCC according to the American Joint Committee on Cancer

Stage	Tumour	Lymph Nodes	Metastasis	5-Year Survival, % ^a
0	This	N0	MO	100
IA	T1	pN0	MO	79
IB	T1	cN0	MO	60
IIA	T2/T3	pN0	MO	58
IIB	T2/T3	cN0	MO	49
IIC	T4	NO	MO	47
IIIA	Any T	N1a	MO	42
IIIB	Any T	N1b/N2	MO	26
IV	Any T	Any N	M1	18

Abbreviations: MCC, Merkel cell carcinoma.

It is also compatible with the literature data. A study of 1.227 patients has proven that survival of 5 years is greater in patients with performed resection of the tumour formation in healthy tissue, compared to the definite radiotherapy of the disease [11].

In conclusion, an increase of MCC incidence in recent years has drawn attention to this malignant disease that attacks older people. [14] This is especially relevant to the increase in patient's lifespan. Treatment of Merkel cell carcinoma on the head and the neck requires an early and adequate diagnosis so that proper treatment can start. AEIOU (acronym) rule can be of great help in raising suspicion to this tumour. It includes surgery, radiotherapy and/or combined chemotherapy. adequate An stage assessment of the cervical lymph node is of supreme importance prior starting the definitive plan for treatment of the disease [15].

Partial or complete regression of the tumour is also observed but is a rare phenomenon. Regression is accompanied by dense lymphocytic infiltrate predominantly from CD8 phenotype and apoptosis [16].

Definitive radiation monotherapy is an alternative to surgery, for patients who are poor surgical candidates, or those in whom surgery would result in significant functional compromise. The outcomes of radiation monotherapy may be inferior compared to complete surgical resection. Overall survival is decreased (37-39%, 5 years survival with radiation monotherapy) compared to complete surgical resection [17]. In many adult patients, as our case suggests (93 years old patient, 3 cm tumour size), radiotherapy could be considered as treatment opportunity, but is not preferable, since tumour relapse is seen soon afterwards. However, only if we can - not perform a wide surgical resection, because of functional disability and/or other co-morbidities, we could consider it as the first option, because Markel cell carcinoma is a radiosensitive tumour.

References

1. Llombart B, Requena C, Cruz J. Update on Merkel Cell Carcinoma: Epidemiology, Etiopathogenesis, Clinical Features, Diagnosis, and Staging. Actas Dermosifiliogr. 2017; 108:108-19. https://doi.org/10.1016/j.ad.2016.07.022 PMid:27770997

2. Saini AT, Miles BA. Merkel cell carcinoma of the head and neck: pathogenesis, current and emerging treatment options. Onco Targets Ther. 2015; 8:2157-67. PMid:26316785 PMCid:PMC4548751

3. Miles BA, Goldenberg D. Education Committee of the American Head and Neck Society (AHNS). Merkel cell carcinoma: Do you know your guidelines? Rev Endocr Metab Disord. 2017; 2017.

4. Zur Hausen A. Book of abstracts. 47.VI Congress of dermatovenereologists of Macedonia with international participation. ISBN 978-9989-37-031-1

5. Becker JC, Stang A, Hausen AZ, Fischer N, DeCaprio JA, Tothill RW, Lyngaa R, Hansen UK, Ritter C, Nghiem P, Bichakjian CK, Ugurel S, Schrama D. Epidemiology, biology and therapy of Merkel cell carcinoma: conclusions from the EU project IMMOMEC. Cancer Immunol Immunother. 2017. PMid:29188306

6. Heath M, James N, Lemos B, et al. Clinical characteristics of Merkel cell carcinoma at diagnosis in 195 patients:the AEIOU features. J Am Acad Dermatol. 2008; 58:375-381. https://doi.org/10.1016/j.jaad.2007.11.020 PMid:18280333

PMCid:PMC2335370

7. Heath M, Jaimes N, Lemos B, et al. Clinical characteristics of Merkel cell carcinoma at diagnosis in 195 patients: the AEIOU features. J Am Acad Dermatol. 2008; 58:375-381. https://doi.org/10.1016/j.jaad.2007.11.020 PMid:18280333 PMCid:PMC2335370

8. Verzì AE, Amin SM, Guitart J, Micali G. Merkel cell carcinoma: a review. G Ital Dermatol Venereol. 2015; 150(4):419-28. PMid:26224231

9. Banks PD, Sandhu S Gyorki DE, Johnston ML, Rischin D. Recent Insights and Advances in the Management of Merkel Cell Carcinoma. J Oncol Pract. 2016; 12(7):637-46. <u>https://doi.org/10.1200/JOP.2016.013367</u> PMid:27407160

10. Ye-Young Rhee, Soo Hee Kim, Eun Kyung Kim, Se Hoon Kim. Merkel Cell Carcinoma Metastatic to Pleural Fluid: A Case Report. J Pathol Transl Med. 2017. <u>https://doi.org/10.4132/jptm.2017.11.10</u>

11. Wick MR.Primary lesions that may imitate metastatic tumors histologically: A selective review. Semin Diagn Pathol. 2017. pii: S0740-2570(17)30137-5.

12. Coggshall K, et al. Merkel cell carcinoma: An update and review. Pathogenesis, diagnosis, and staging. J Am Acad Dermatol. 2018; 78(3):433-442. <u>https://doi.org/10.1016/j.jaad.2017.12.001</u> PMid:29229574

13. Wright GP, Holtzman MP. Surgical resection improves median overall survival with marginal improvement in long-term survival when compared with definitive radiotherapy in Merkel cell carcinoma: A propensity score matched analysis of the National Cancer Database. Am J Surg. 2017. pii: S0002-9610(17)31072-3.

14. Fitzgerald TL, Dennis S, Kachare SD, Vohra NA, Wong JH, Zervos EE. Dramatic increase in the incidence and mortality from Merkel cell carcinoma in the United States. Am Surg. 2015; 81:802-806. PMid:26215243

15. Papadiochos I, Patrikidou A, Patsatsi A, Mangoudi D, Thuau H, Vahtsevanos K. Head and neck Merkel cell carcinoma: a retrospective case series and critical literature review with emphasis on treatment and prognosis. Oral Surg Oral Med Oral Pathol Oral Radiol. 2017. pii: S2212-4403(17)31105-7.

16. Takenaka H, Kishimoto S, Shibaki R, Nagata M, Yasuho H. Merkel cell carcinoma with partial spontaneous regression: an immunohistochemical, ultrastructural. Amd TUNEL labeling study. Am J Dermatopathol. 1997; 19(6): 614-8. <u>https://doi.org/10.1097/00000372-199712000-00012</u> PMid:9415621

17. Tello et al. Merkel cell carcinoma: An update and review. Current and future therapy. J Am Acad Dermatol. 2018; 78(3):445:454.



Innovative One Step Melanoma Surgical Approach (OSMS): Not a Myth-It's a Reality! Case Related Analysis of a Patient with a Perfect Clinical Outcome Reported from the Bulgarian Society for Dermatologic Surgery (BULSDS)!

Georgi Tchernev^{1,2*}, Svetoslav Chernin³, Ilia Lozev³, Torello Lotti⁴, Konstantin Stavrov³, Ivanka Temelkova³, Ivan Pidakev⁵

¹Medical Institute of Ministry of Interior (MVR-Sofia), Department of Dermatology, Venereology and Dermatologic Surgery, General Skobelev Nr 79, Sofia 1606 Bulgaria; ²Onkoderma - Policlinic for Dermatology and Dermatologic Surgery, General Skobelev 26, Sofia 1407, Bulgaria; ³Medical Institute of Ministry of Interior, Department of Common, Vascular and Abdominal Surgery, General Skobelev 79, 1606 Sofia, Bulgaria; ⁴University of Rome G. Marconi, Institute of Dermatology, Rome 00186, Italy; ⁵Medical Institute of Ministry of Interior, Department of Common, Vascular and Abdominal Surgery, General Skobelev 79, 1606 Sofia, Bulgaria

Abstract

Citation: Tchernev G, Chernin S, Mangarov H, Maximov G, Pidakev I. Innovative One Step Melanoma Surgical Approach (OSMS): Not a Myth-H's Realityl Case Related Analysis of a Patient with a Perfect Clinical Outcome Reported from the Bulgarian Society for Dermatologic Surgeri Open Access Maced J Med Sci. 2018 Apr 15; 6(4):673-674. https://doi.org/10.3889/3eamjms.2018.194

Keywords: melanoma surgery; innovations; treatment options; survival; surgical approach

Correspondence: Georgi Tchernev. Medical Institute of Ministry of Interior (MVR-Sofia), Department of Dermatology, Venereology and Dermatologic Surgery General Skobelev Nr 79, Sofia 1606 Bulgaria; Onkoderma - Policinic for Dermatology and Dermatologic Surgery General Skobelev 26, Sofia 1606, Bulgaria. E-mail: georgi_tchernev@yahoo.de

Received: 09-Mar-2018; Revised: 06-Apr-2018; Accepted: 08-Apr-2018; Online first: 14-Apr-2018

Copyright: @ 2018 Georgi Tcherney, Svetoslav Chemin, lia Lozev, Torello Lotti, Konstantin Stavrov, Ivanka Ternelkova, Ivan Pidakev. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: With the newly described one step melanoma surgical approach, some patient groups could be successfully treated within one surgical session. Depending on the tumour thickness (measured preoperatively) at a later stage (also depending on the ultrasound findings of the locoregional lymph nodes) the respective surgical intervention is planned with the respective field of surgical safety (one-stage melanoma surgery with or without removal of lymph nodes). The innovations could make to some extent some of the already existing algorithms more difficult (due to the introduction of a high-frequency ultrasound to determine the tumor thickness preoperatively as an absolute prerequisite for dermosurgical centres), but it would also lead with absolute certainty to better or least optimal results regarding the prognosis, the side effects and the financial factor also.

CASE REPORT: We present a patient from the Department of Dermatology, Venereology and Dermatologic Surgery at the Medical Institute-Ministry of Interior (MVR-Sofia), treated with the one-step melanoma surgery method with perfect final results. The preoperative tumour thickness determined via ultrasound and the postoperatively measured histological tumour thickness was identical: between 0.98 and 1 mm, which allowed removal of the melanoma lesion with a field of surgical security of 1 cm in all directions and did not require additional removal of a draining lymph node or excisions.

CONCLUSION: Thanks to this new approach, some patients could avoid one surgical intervention, which could be interpreted as a significant advantage or probably also survival benefit. This methodology and its successful application were first officialised by the representatives of the Bulgarian Society for Dermatologic Surgery-(BULSDS), and the purpose of this action, in general, is to fully improve clinical management of patients suffering from cutaneous melanoma in terms of compactness by 1) reducing the number of unnecessary surgeries or the number of surgical interventions in general; 2) reducing side effects occurring in surgeries and 3) introducing a serious optimization in terms of financial resources needed or used in the second hospitalization of patients. The question remains open whether the accepted or the current recommendations for surgical treatment of melanoma will be transformed or adapted for the matching patient groups.

Introduction

We are informing the dermatosurgical society about newly introduced therapeutic approaches that are different from the standard guidelines for treatment of melanoma in the international society. With the new described approach, the patients are successfully treated within one surgical session and are not subjected to the recommendations of the European and American guidelines for treatment of cutaneous melanoma. This is made possible by the careful measurement of the tumour thickness (preoperatively), as a mandatory initial condition is that the clinical and dermatoscopic characteristics of the lesion speak explicitly in favour of cutaneous melanoma.

The case is indicative of the new approach that is being presented and could be one of the

possible major innovations in the field of melanoma surgery today [1] [2] [3].

Case Report

We are reporting for a 72-years-old patient who was admitted to the Department of Dermatology, Venereology and Dermatologic Surgery at the Medical Institute of Ministry of Interior, (MVR-Sofia) because of a new lesion, which is localised in the head region right parietal region. The complaints are from around 2 years. Reason for the hospitalisation - increase in the lesion size and the pigmentation intensity over the last 2 months. During the clinical and dermatoscopic examination were established the typical features of a malignant melanocytic lesion, namely: heterogeneous lesion regarding the colour gamut: light brown to black in places, appearing dark grey; comparatively clearly demarcated from the healthy tissue; with areas of elevation (with central localization): with diameter of 3.3 cm to 2.7 cm; mostly plaque-like or rather endophytically growing; with irregular shape, uneven distribution of the pigment; with areas of regression.



Figure 1: 1a) Patient with cutaneous melanoma; 1b) Surgical margins with 1 cm surgical safety filed, preoperative conditions; 1c) Intraoperative status; 1d) Postoperative clinical status

The measured with a high frequency ultrasound (15 MHz) (in an external institution) tumor thickness was established that this is most probably a malignant melanocytic lesion with tumor thickness less than 1 mm (0.98 to 1 mm). The histologically established postoperative tumor thickness was 1 mm, with no ulcerations, no signs of angiolymphatic invasion, no increased number of mitoses.

Arterial hypertension as co-morbidity was noticed. Systemic medication of Amlodipine 5 mg (0/0/1), Moxonidine 0\.2 mg (0/0/1), Irbesartan 0.3 g (300 mg) (1/0/0) was prescribed. There is no anamnestic evidence of allergies to food and medications. Mildly enlarged several lymph nodes above the right common carotid artery established via ultrasound, without definitive evidence of metastatic involvement. Performed was a surgical treatment with field of surgical security of 1 cm in all directions, the defect was initially closed with expandable flap, as intraoperatively (because of the inability to fully adapt the wound edges) a decision was made to perform additional progressive (advancement) flap, the skin flap was mobilized from the proximal part of the skin of the skull and translocated in distal direction (Fig. 1a-1d). The defect was closed in the form of the letter Y (Y-plastic). The postoperative course was without complications. In order to monitor the enlarged lymph nodes, ambulatory antibiotic therapy was planned with clarithromycin 500 mg for a period of 10 days and repeated ultrasound control.

Discussion

Until now it was recommended to remove any melanocytic lesion with a field of surgical security of 0.4 to 0.5 cm, and subsequently to perform reexcisions with or without parallel drainage lymph node (depending on the established postoperative tumor thickness) [1]. Thanks to this new approach, some patients could avoid one (or the secondary reexcision) surgical intervention, which could be interpreted as a significant advantage or probably also survival benefit [1] [2] [3].

References

1. Tchernev G, Chokoeva AA. New Safety Margins for Melanoma Surgery: Nice Possibility for Drinking of "Just That Cup of Coffee"? Open Access Maced J Med Sci. 2017; 5(3):352-358. https://doi.org/10.3889/oamjms.2017.068 PMid:28698757 PMCid: PMC5503737

2. Tchernev G. One Step Surgery for Cutaneous Melanoma: "We Cannot Solve Our Problems with the Same Thinking We Used When We Created Them?" Open Access Maced J Med Sci. 2017; 5(6):774-776. <u>https://doi.org/10.3889/oamjms.2017.168</u> PMid:29531606 PMCid:PMC5839450

3. Tchernev G. Novel Surgical Approach in Cutaneous Melanoma Patients: "Daring Ideas Are Like Chessmen Moved Forward. They May Be Beaten, But they May Start a Winning Game!?"Open Access Maced J Med Sci. 2017; 5(6):810-812. https://doi.org/10.3889/oamjms.2017.199 PMid:29104697 PMCid:PMC5661726



Free Gingival Graft versus Mucograft: Histological Evaluation

Zaklina Menceva^{1*}, Oliver Dimitrovski², Mirjana Popovska³, Spiro Spasovski⁴, Vancho Spirov¹, Gordana Petrushevska⁵

¹Department of Oral Surgery, University Dental Clinical Centre St. Pantelejmon, Faculty of Dentistry, Ss Cyril and Methodius University of Skopje, Republic of Macedonia; ²Department of Oral Surgery and Implantology, Faculty of Dentistry, Ss. Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia; ³Department of Oral Pathology and Periodontology, Faculty of Dentistry, Ss. Cyril and Methodius University of Skopje, Republic of Macedonia; ⁶Ordinary General Dentistry Dr. Spasovski, Skopje, Republic of Macedonia; ⁵Institute of Pathology, Faculty of Medicine, Ss Cyril and Methodius University of Skopje, Republic of Macedonia; ⁶Ordinary General Dentistry Dr. Spasovski, Skopje, Republic of Macedonia; ⁵Institute of Pathology, Faculty of Medicine, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia; ⁶Ordinary Methodius University of Skopje, Skopje, Republic of Macedonia; ⁶Ordinary Methodius University of Skopje, Skopje, Republic of Macedonia; ⁶Ordinary Methodius University of Skopje, Skopje, Republic of Macedonia; ⁶Ordinary Methodius University of Skopje, Skopje, Republic of Macedonia; ⁶Ordinary Methodius University of Skopje, Skopje, Republic of Macedonia; ⁶Ordinary Methodius University of Skopje, Skopje, Republic of Macedonia; ⁶Ordinary Methodius University of Skopje, Skopje, Republic of Macedonia; ⁶Ordinary Methodius University of Skopje, Skopje, Republic of Macedonia; ⁶Ordinary Methodius University of Skopje, Skopje, Republic of Macedonia; ⁶Ordinary Methodius University of Skopje, Skopje, Skopje, Republic of Macedonia; ⁶Ordinary Methodius University of Skopje, Skopje

Abstract

Citation: Menceva Z, Dimitrovski O, Popovska M, Spasovski S, Spirov V, Petrushevska G. Free Gingival Graft versus Mucograft: Histological Evaluation. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):675-679. https://doi.org/10.3889/oamjms.2018.127

Keywords: Gingival recession; Free gingival graft; Mucograft; Elastic fibres; Collagen fibres; Connective

*Correspondence: Zaklina Menceva. Department of Oral Surgery, University Dental Clinical Centre St. Pantelejmon, Faculty of Dentistry, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia. Email: menceva@yahoo.com

Received: 17-Nov-2017; Revised: 11-Jan-2018; Accepted: 01-Feb-2018; Online first: 27-Mar-2018

Copyright: © 2018 Zaklina Menceva, Oliver Dimitrovski, Mirjana Popovska, Spiro Spasovski, Vancho Spirov, Gordana Petrushevska, This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist **INTRODUCTION:** The correction of the gingival recession is of esthetical and functional significance, but the tissue regeneration can only be confirmed by a histological examination.

AIM: This study aims to make a comparison between the free gingival graft and the autograft.

MATERIAL AND METHODS: This study included 24 patients with single and multiple gingival recessions. Twelve patients were treated with a free gingival graft and the other twelve with a micrograft. Six months after the surgical procedure, a micro-punch biopsy of the transplantation area was performed. The tissue was histologically evaluated, graded in 4 categories: immature, mature, fragmented and edematous collagen tissue. The elastic fibres were also examined and graded in three categories: with a normal structure, fragmented rare and fragmented multiplied.

RESULTS: Regarding the type of collagen tissue that was present, there was a significant difference between the two groups of patients, with a larger number of patients treated with a micrograft showing a presence of mature tissue, compared to the patients treated with a free gingival graft. A larger number of patients in both of the groups displayed elastic fibres with a rare fragmented structure; 33.3% of the patients showed a normal structure; 50% demonstrated a normal structure.

CONCLUSION: The patients treated with a free gingival graft showed a larger presence of fragmented collagen tissue and fragmented elastic fibres, whereas a mature tissue was predominantly present in the surgical area where a Geistlich Mucograft was placed.

Introduction

Despite the form, size and colour of the teeth [1], the anatomical characteristics of the gums have a great impact on the aesthetics and visual appearance of every individual. Regarding the previously stated, it is only logical that the recession of the gums is responsible for problems in the physiognomy, root hypersensitivity and the patient's fear of tooth loss.

However, the treatment of gingival recession is a quite complicated procedure, where the successful outcome depends on many factors, such as the initial condition of the gums, the biological capacity of the tissue, the type of surgical technique, the blood supply of the tissue, the regenerative potential of the periodontal tissue, etc. [2]. The biotype of the gums is also a critical factor that determines the outcome of the dental treatment, i.e. it has an impact on the therapeutic prognosis, which is based on the restorative, regenerative and implant treatment. The biotype of the gums is in a direct correlation with the appearance of gingival recession and the surgical techniques that can be used in the corrective procedures.

In the studies, guided tissue regeneration (GTR) is mostly recommended as a method of choice, because its main benefit is the formation of a new periodontal tissue attachment, which is also histologically confirmed. The newly formed attachment is colonised by ligament cells that eventually produce a new connective tissue [3].

According to Chambrone et al., [4] from a histological point of view, the use of CTG increases

the amount of keratinised tissue, providing much better protection from marginal inflammation and trauma.

From a histological aspect, the use of periodontal surgical methods should provide full or partial coverage of the exposed root surfaces with an actual periodontal regeneration [5]. Despite the quite predictable clinical results that derive from the use of various periodontal flaps in combination with a free gingival graft or a Mucograft, the histological results can be compared only by performing a biopsy from the transplantation area.

Many foreign doctors face difficulties when it comes to convincing the patients to agree on a tissue biopsy, despite the fact that this procedure is minimally invasive. Most of the comparisons derive from animal studies and biopsies [6] performed after extracting the teeth that have previously been treated with a free gingival graft or a micrograft. These biopsies display an obvious periodontal regeneration, which is confirmed by a histological examination.

The adhesion between the root surface and the graft indicates that the tissue recovery occurs primarily with the formation of a new periodontal tissue attachment between the root and the graft [7].

The goal of this study was to make a histological evaluation and comparison of the surgical area, six months after the procedure, between the uses of two types of graft: a free gingival graft and a Mucograft.

Material and Methods

The surgical and histological protocols were approved by the Ethics Committee of the Faculty of Dentistry in the University "St. Cyril and Methodius" in Skopje - Republic of Macedonia. Also, every patient received a thorough explanation, concerning the surgical procedure, prognosis and possible complications. If all of this were in order with the patient's expectations, we would receive their consent to start the procedure.

This study includes a total of 24 patients with single and multiple recessions of the gums, divided into two groups: group A (12 patients treated with a free gingival graft) and group B (12 patients treated with a Mucograft).

Every oral - surgical procedure was performed with the application of a 3% anaesthetic -Scandonest in the form of local infiltration anaesthesia with the use of a carpal syringe for the maxillary and mandibular nerves. The single and multiple gingival recessions were treated with Carl Martin GmbH instruments for periodontal surgery (Solingen - Germany). With number 15 surgical scalpels, an incision was made 2-3 mm from the gingival margin on the medial side of the upper first molar, going in depth right down to the periosteum, while maintaining a parallel course with the tooth position. In length, the incision spread all the way to the distal side of the canine, without including the palatal rugae, as not to compromise the esthetic results.

Because the path of the incision was parallel to the longitudinal axis of the tooth, damage to the palatal artery was avoided, and a separation of the connective tissue from the periosteum and epidermis was made in the desired length, according to the size of the recession, where the graft will be later placed. By size, the obtained graft was compatible to the recipient location and fixated to it with Vicryl absorbable sutures with a 5-0 thickness. The remaining part of the gingiva was sutured with nonabsorbable sutures.

On the market, the Geistlich Mucograft can be found packed in two sizes: 15 mm x 20 mm and 20 mm x 30 mm, and which size will be used depending on the dimensions of the surgical region. The advantage of this collagen matrix is that it provides a simple application without any need of previous preparation and hydration.

After the dimensions of the surgical area are measured, the dry autograft is easily cut in the appropriate size and placed in the area of interest. Its hydrophilic capability enables it to be easily hydrated by the patient's blood. The sutures are absorbable and fixate the graft with the adjacent tissue without any tension.

The micro punch gum technique was used for performing a biopsy of the area of transplantation (2 mm length), after which the tissue sample was sent for histological examination. The biopsy was performed six months after the surgical procedure with a previous application of a 3% local anaesthetic -Scandonest. The tissue sample was processed with a fixative agent in a formalin solution that was neutrally buffered and placed inside Eppendorf tubes for 6 to 18 hours and processed with embedding it in paraffin. 4 - 6 microsections were coloured with hemalaon eosin of LEICA automatic stainer. Afterwards, the tissue sample was submitted to a histological analysis to determine the structural characteristics, or more precisely the collagen and elastic fibres.

Histological verification of the tissue sample of every examinee was made, thus grading it in four categories: a) immature collagen tissue; b) mature (normal) collagen tissue; c) fragmented collagen tissue; d) edematous tissue. Regarding the structure of the elastic fibres, the tissue samples were divided into three groups: a) with a normal structure; b) rare fragmented structure; c) fragmented multiplied structure. SPSS 17.0 was used for processing the acquired data. Factors of relations, proportions and rates were used for analysing the attribute series, thus presenting them as absolute and relative numbers. The numerical (quantitative) series were processed with the use of measures for central tendency (average, median, minimal value, maximal value) and a measure of dispersion (standard deviation). Pearson, Chi-square test, Fisher – Freeman - Halton exact test and Fischer exact test were used to help determine the difference between certain attribute marks. The Mann Whitney U test was used for analysing the significance of the difference between certain numeric variables. The significance of the p-value was set to 0.05.

Results

From a total of 12 patients, who were treated with a free gingival graft, a fragmented collagen tissue (Figure 1a) was present in 8 (66.7%) of them, whereas a normal (mature) collagen was seen in the remaining four patients (33.3%). None of the patients from this group displayed immature collagen tissue in the histological examination in the following checkup period.

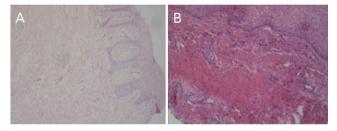


Figure 1: Fragmented collagen fibres in tissue samples, staining is H & E, shot with a 200x magnification Nikon Eclipse 80, six months after the surgical procedure: A) in a free gingival graft; B) in a Mucograft

In the other group of patients, where an autograft was used, in 9 (75%) of them was present a mature (normal) collagen tissue, whereas only 3 (25%) had an immature collagen tissue. There was no patient from this group that was registered with a fragmented collagen tissue.

Evidently, in the two groups of patients, there was no case with an appearance of edematous changes (not regarding its presence in the early stages of tissue formation). For a value of p < 0.05, a significant difference was confirmed between the two groups, regarding the present type of collagen tissue (Fisher – Freeman -Halton exact test: p = 0.0009), displaying a significant increase in the number of patients with a mature (normal) tissue, where a Mucograft was a method of choice (Table 1).

Table 1: Histological verification according to the type of collagen tissue in both of the groups, six months after the surgical procedure

		Gr		
Type of collagen tissue	9	Examined (FGG)	Controlled (Mucograft)	Total
Inemediate	Number	0	3	3
Immature	%	0%	25%	
	Number	4	9	13
Mature (normal)	%	33,33%	75%	
Mature frequencies	Number	8	0	8
Mature fragmented	%	66,67%	0%	
	Number	12	12	24
Total	%	50%	50%	100 %

Fisher - Freeman - Halton exact test: $p = 0.0009^*$; *significance for a value of p < 0.05.

The analysis of the elastic fibres structure proved that no fragmented increased elastic fibres were present in any of the tissue samples. In the first group of patients, where an autograft was placed, 8 of them showed elastic fibres that were fragmented rare, whereas in 4 of them the elastic fibres were with a normal structure. In the second group of patients, where a Mucograft was used 6 of them had elastic fibres with a normal structure, whereas the other 6 had fragmented rare elastic fibres (Figure 2a).

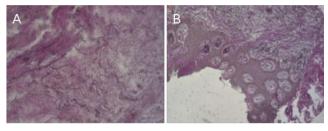


Figure 2: Elastic fibres with a rare fragmented structure in patients tissue samples, staining is H & E, shot with a 400x magnification Nikon Eclipse 80 six months after the surgical procedure: A) in an FGG; B) in a Mucograft

For a value of p > 0.05, no significant difference was present between the two groups, regarding the present type of elastic fibres (Fisher exact two-tailed test: p = 0.6802).

 Table 2: Prevalence of the elastic fibres in the tissue samples according to their type, six months after the surgical procedure

		Gr		
Type of collagen tiss	a	Examined (FGG)	Controlled (Mucograft)	Total
Normal structure	Number	4	6	10
Normal structure	%	33,33%	50%	
Freemanted rare	Number	8	6	14
Fragmented rare	%	66,67%	50%	
	Number	12	12	24
Total	%	50%	50%	100 %

Fisher exact two tailedtest: p = 0.6802; *significance for a value of p < 0.05.

Discussion

The free gingival graft is the oldest surgical technique that is used in the periodontal surgery, where the graft is obtained from the palate or the maxillary tuber. Obtaining the graft from the maxillary

tuber region is justified with the fact that the operative site is small; the healing of the donor place is much more simple and faster than when the graft is taken from the palate. The only problem in obtaining a palatal graft is the risk of damaging the palatal artery, so a very precise preoperative evaluation of the maximal dimension of the palatal tissue is required [8].

Ensuring the stability of the postoperative area is a key factor that has a direct impact on the successful outcome of the surgical procedure. The initial adhesion of the blood clot on the root surface is of a great significance because it provides the muchneeded pressure and support for the healing process. This is why the surgical method of choice and the first week after the procedure are crucial for accomplishing therapeutic success [9].

In this study, the final results were noted six months after the surgical procedure. Unfortunately, the assessment of the healing process and the histological characteristics of the tissue samples are not well documented in the available dental literature. Because of this, we had no possibility of comparing the results we detected in this study with results from other authors.

For determining the thickness of the palatal tissue, the CT [10] and ultrasound are considered to be the most advanced methods of choice in the everyday practice.

Other types of graft material that are used in the periodontal surgery are the collagen grafts, of which the most preferable is the Geistlich Mucograft, because its composition of collagen matrix is specifically designed initiate soft to tissue regeneration and ensure stability for the sutures with immediate support for the blood clot and early colonization of soft tissue cells (blood and nerve cells) [11]. The compact outer layer, which is comprised of collagen fibres with protective cell capabilities, doesn't only protect against bacterial invasion but also has a certain elasticity that simplifies the suturing process. The second layer is comprised of a dense, porous, spongy collagen structure, which enables an easy coagulum formation and helps promote angiogenesis and tissue integration [12].

The Geistlich Mucograft ® 3D collagen matrix is the ideal biomaterial for soft tissue regeneration. The collagen from the graft membrane provokes the host's fibroblasts to start producing new collagen fibres [13] [14]. The porosity of the Mucograft enables an enhanced infiltration of mesenchymal cells inside the area of transplantation. Unlike the typical reaction to foreign bodies (production of gigantic multinuclear cells, lymphocytes and granulation tissue), the host doesn't reject the Mucograft tissue and accepts it with no severe consequences.

The data that was gathered in this study has shown similarities with the results in Schmitt, et al., a study [15], in which he also examined the differences between a Mucograft and a free gingival graft, from a histological point of view. Schmitt suggests that the membrane of the Mucograft [16] is a promising alternative for regeneration of the keratinised mucosa. i.e. the Mucograft is a sufficient substitute for the free gingival graft when it comes to increasing the keratinised mucosa. Our study has shown concordance with Schmitt, regarding the fact that the Mucograft provokes similar clinical reactions in the early stages of regeneration with the natural tissue and that it has a more natural histological and clinical appearance that the free gingival graft. Schmitt also declared that a precise prediction of the duration and stability of the transplanted graft tissue cannot be proven yet, due to the lack of scientific studies in this area. Similar results are also found in McGuire and Scheyer study [17]. Their study confirms that the Mucograft collagen matrix is characterised by a shorter epithelium and an ability to successfully incorporate itself into the adjacent connective tissue of the host.

In conclusion, six months after the surgical procedure, a fragmented collagen tissue and fragmented elastic fibres were dominantly present in the tissue samples of the patients that were treated with a free gingival graft. However, a mature (normal) collagen tissue was found in the tissue samples of the patients that were treated with a Mucograft.

References

1. Chambrone L, Sukekava F, Araujo MG, Pustiglioni FE, Chambrone LA, Lima LA, Root-coverage procedures for thetreatment of localized recession-type defects, Cochrane Database of Systematic Reviews. 2009; 15(2):CD007161.

2. Van Dyke TE. The management of inlamation in periodontal disease. J Periodontol. 2008; 79(8 Suppl):1601-1608. https://doi.org/10.1902/jop.2008.080173 PMid:18673016 PMCid:PMC2563957

3. Souza SL, Macedo GO, Tunes RS, Silveira e Souza AM, Novaes Jr, AB, Grisi MF et al. Subepitelial connective tissue graft for root coverage in smokers and non smokers: a clinical and histologic controlled study in humans. J Periodontol. 2008; 79 (6):1014-1021. <u>https://doi.org/10.1902/jop.2008.070479</u> PMid:18533778

4. Chambrone L, Chambrone D, PustiglioniFE, Chambrone LA, Lima LA, Can subepitelial connective tissue grafts be considered the gold standard procedure in the treatment of Miller Class I and II recession-typedefect? J Dent. 2008; 36(9):659–671. https://doi.org/10.1016/j.jdent.2008.05.007 PMid:18584934

5. Goldstein M, Boyan BD, Cochran DL, Schwartz Z,Human histology of a new attachment after root coverageusingsubepithelial connective tissue graft, J Clin Periodontol, 2001, 28(7):657–662. <u>https://doi.org/10.1034/j.1600-051x.2001.028007657.x</u> PMid:11422587

6. Mcguire MK, Cochran DL, Evaluation of human recessiondefects treated with coronally advanced flaps and eitherenamel matrix derivative or connective tissue. Part 2: Histologicalevaluation. J Periodontol. 2003; 74(8):1126–1135. https://doi.org/10.1902/jop.2003.74.8.1126 PMid:14514225

7. Raspperini G, Silvestri M, Schenk RK, Nevins ML, Clinicaland

histologic evaluation of human gingival recessiontreated with a subepithelial connective tissue graft andenamel matrix derivative (Emdogain): a case report. Int J Periodontics Restorative Dent. 2000; 20(3):269–275.

8. Wara-aswapati N, Pitiphat W, Chandrapho N, Rattanayatikul C, Karimbux N. Thickness of palatal masticatory mucosa associated with age. Journal of periodontology. 2001; 72(10):1407-12. https://doi.org/10.1902/jop.2001.72.10.1407 PMid:11699483

9. Vitkov L, Krautgartner WD, Hannig M. Surfacemorphology of pocket epithelium. Ultrastruct Pathol. 2005; 29(2):121-127. https://doi.org/10.1080/01913120590916832 PMid:16028668

10. Song JE, Um YJ, Kim CS, Choi SH, Cho KS, CK, et al. Thickness of posterior palatal masticatory mucosa: the use of computerized tomography. J periodontol. 2008; 79(3):406-412. <u>https://doi.org/10.1902/jop.2008.070302</u> PMid:18315422

11. Lima RS, Peruzzo DC, Napimoga MH, Saba-Chujfi E, Santos-Pereira SA, Martinez EF. Evaluation of the biological behavior of Mucograft® in human gingival fibroblasts: an in vitro study. Brazilian dental journal. 2015; 26(6):602-6.

https://doi.org/10.1590/0103-6440201300238 PMid:26963203

12. Rothamel D, Schwarz F, Sager M, Herten M, Sculean A, Becker J. Biodegradation of differentlycross-linked collagen membranes: an experimental study inthe rat Clin. Oral Implants Res. 2005; 16:369–78. <u>https://doi.org/10.1111/j.1600-</u>0501.2005.01108.x PMid:15877758 13. Rothamel D, Schwarz F, Sculean A, Herten M, Scherbaum Wand Becker J. Biocompatibility of various collagenmembranes in cultures of human PDL fibroblasts andhuman osteoblast-like cells Clin. Oral Implants Res. 2004; 15:443–9. https://doi.org/10.1111/j.1600-0501.2004.01039.x PMid:15248879

https://doi.org/10.1111/j.1600-0501.2004.01039.x PMid:15248879

14. Harris RJ, Harris LE, Harris CR, Harris AJ. Evaluation of root coverage with two connective tissue grafts obtained from the same location. Int J Periodontol Rest Dent. 2007; 27(4): 333-339.

15. Schmitt CM, Tudor C, Kiener K, Wehrhan F, Schmitt J, Eitner S, Agaimy A, Schlegel KA. Vestibuloplasty: porcine collagen matrix versus free gingival graft: a clinical and histologic study. Journal of periodontology. 2013; 84(7):914-23. https://doi.org/10.1902/jop.2012.120084 PMid:23030237

16. Schmitt CM, Moest T, Lutz R, Wehrhan F, Neukam FW, Schlegel KA. Long-term outcomes after vestibuloplasty with a porcine collagen matrix (Mucograft®) versus the free gingival graft: a comparative prospective clinical trial. Clinical oral implants research. 2016; 27(11). <u>https://doi.org/10.1111/clr.12575</u>

17. McGuire MK, Scheyer ET. Xenogeneic collagen matrix with coronally advanced flap compared to connective tissue with coronally advanced flap for the treatment of dehiscence-type recession defects. Journal of Periodontology. 2010; 81(8):1108-17. <u>https://doi.org/10.1902/jop.2010.090698</u> PMid:20350159



An Anthropometric Study of Cranio-Facial Measurements and Their Correlation with Vertical Dimension of Occlusion among Saudi Arabian Subpopulations

Muhammed Irfan Majeed¹, Satheesh B. Haralur^{2*}, Muhammed Farhan Khan¹, Maram Awdah Al Ahmari¹, Nourah Falah Al Shahrani¹. Sharaz Shaik¹

¹Department of Prosthodontics, College of Dentistry, King Khalid University, Kingdom of Saudi Arabia; ²College of Dentistry, King Khalid University, Kingdom of Saudi Arabia

Abstract

Citation: Majeed MI, Haralur SB, Khan MF, Al Ahmari MA, Al Shahrani NF, Shaik S. An Anthropometric Study of Cranio-Facial Measurements and Their Correlation with Vertical Dimension of Occlusion among Saudi Arabian Subpopulations. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):680-686. https://doi.org/10.3889/oamjms.2018.082

Keywords: Anthropometric measurements; the Vertical dimension of occlusion; Cranio-facial measurements; Full

mouth rehabilitation *Correspondence: Satheesh B. Haralur. Department of Prosthodontics, College of Dentistry, King Khalid University, Kingdom of Saudi Arabia. E-mail:

Prosthodontics, College University, Kingdom o hb_satheesh@yahoo.com 07-Mar-2018:

Received: 11-Feb-2018; Revised: 07-Mar-Accepted: 13-Mar-2018; Online first: 28-Mar-2018

Copyright: © 2018 Muhammed Irfan Majeed, Satheesh Copyright: © 2018 Muhammed Irfan Majeed, Satheesh B. Haralur, Wuhammed Farhan Khan, Maram Awdah Al Ahmari, Nourah Falah Al Shahrani, Sharaz Shaik. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial suppor

Competing Interests: The authors have declared that no

BACKGROUND: Determining and restoring physiological vertical dimension of occlusion (VDO) is the critical step during complete mouth rehabilitation. The improper VDO compromises the aesthetics, phonetics and functional efficiency of the prosthesis. Various methods are suggested to determine the accurate VDO, including the facial measurements in the clinical situations with no pre-extraction records. The generalisation of correlation between the facial measurements to VDO is criticised due to gender dimorphism and racial differences. Hence, it is prudent to verify the hypothesis of facial proportion and correlation of lower third of the face to remaining craniofacial measurements in different ethnic groups. The objective of the study was to evaluate the correlation of craniofacial measurements and OVD in the Saudi-Arabian ethnic group.

METHODOLOGY: Total of 228 participants from Saudi-Arabian Ethnic group were randomly recruited in this cross-sectional study. Fifteen craniofacial measurements were recorded with modified digital Vernier callipers. and OVD was recorded at centric occlusion. The obtained data were analysed by using the Spearman's correlation and linear regression analysis.

RESULTS: The Mean OVD in male participants was higher (69.25 ± 5.54) in comparison to female participants (57.41 ± 5.32). The craniofacial measurement of Exocanthion-right labial commissure and the Mesial wall of the right external auditory canal-orbitale lateral had a strong positive correlation with VDO. The strong correlation was recorded with a trichion-upper border of right eyebrow line and trichion-Nasion only in males. Meanwhile, the length of an auricle recorded the positive correlation in female participants.

CONCLUSIONS: Being simple and non-invasive technique, craniofacial measurements and linear equations could be routinely utilised to determine VDO.

Introduction

The prosthodontist has an important role in geriatric health care for an ever-increasing elder population with accompanying dental disabilities. The number of patients requiring prosthetic rehabilitation for complete or partial edentulism is continuously growing due to improved life expectancy across the world. The efficient prosthesis to replace the missing teeth helps to palliate the functional, aesthetic and psychological disabilities of the patient.

Complete denture prosthesis includes the replacement of the lost natural dentition and the associated structures of the maxilla and the mandible. Establishing the optimum maxilla-mandibular relations, including correct occlusal vertical dimension is crucial for the successful clinical performance of complete denture [1], implant-supported prosthesis [2] and full mouth rehabilitation for excessive dental attrition. According to the Glossary of Prosthodontic Terms, the occlusal vertical dimension (OVD) is defined as the distance between two selected anatomic or marked points (usually one on the tip of the nose and the other on the chin) when in maximal intercuspal position [3]. Inaccurate OVD leads to multiple adverse effects on aesthetics, functional efficiency, temporomandibular joints, and masticatory muscles [4]. Furthermore, the improper dimension of the lower third of the face adversely affects the facial expression of the individual. Lack of reliable parameters makes the determination of OVD subjective and challenging task for the clinicians. Majority of researchers are of the opinion; the vertical dimension during denture rehabilitation ideally is similar to the OVD prior to the edentulous situation [5]. The methods to determine the OVD are broadly classified as Pre and Post extraction methods. The proposed pre-extraction methods are pre-extraction diagnostic casts [6], pre-extraction phonetics [7], cephalometric radiograph [8], profile tracing of the lower third of the face [9]. Reliability of these techniques are entirely dependent on the patient presentation in a dentate state. The pre-extraction methods are effective provided acceptable OVD, stable occlusion and satisfactory aesthetics prior to edentulism. Researchers have recommended numerous post extraction methods like physiologic rest position [10], facial aesthetic appearance [11], deglutition [12], cephalometric radiographs [13], postextraction phonetics [14], measurement of the former dentures [15], fingers length [16] and anthropometric measurement. Anthropometry is the science of measuring the weight, size, and proportions of the human body, providing valuable and objective insights into how to characterize phenotypic variation and dysmorphology [17]. The anthropometric measurements are routinely obtained directly from subjects by utilising the callipers and measuring philosophy of anthropometric tapes. The measurement to determine OVD was derived from the Leonardo Da Vinci drawings, later explored further by researchers like Ivy, Good Friend and Willis. The additional advantages of anthropometry technique are simple, non-invasive, low-risk, and inexpensive

The generalisation of the correlation between craniofacial distances and the OVD is criticised owing to the likelihood of variation due to gender dimorphism and racial differences [18]. The knowledge of the correlation between Anthropometric measurement and OVD in local population will add the objectively during the full mouth rehabilitation with a complete denture, implant-supported prosthesis or fixed prosthesis. The studies on the correlation between Cranio-facial landmark's measurements and OVD in the Saudi-Arabian population are sparse in dental literature.

Hence the present study was designed with an objective to examine the correlation between the Occlusal vertical dimension and Craniofacial measurements in the Saudi-Arabian ethnic group. The objective was also to evaluate the variation of these correlations between male and female gender.

Material and Methods

The study proposal was approved by the institutional research ethics committee, at College of Dentistry, King Khalid University, Saudi Arabia (SRC/ETH/2016-17/037). The study design was a descriptive cross-sectional, and it was conducted between June 2017 to December 2017 in King Khalid University, Abha, Kingdom of Saudi Arabia. Total of 228 participants from Saudi Arabian ethnic groups, consisting of 72 males, 156 females were included in the study. The participants were randomly selected during their visits to King Khalid University dental clinic. The participants were in the age group of 20-40 years and residents across the Southern part of Saudi Arabia. The participants were explained about the study objectives and methodology: the informed consent was obtained. The participants with a full complement of permanent dentition without partial denture, and angle class I jaw relations were included in the study. The individuals with previous orthodontic or prosthodontic treatment, major stomatognathic temporomandibular disorders, suraerv. facial deformities, asymmetry and scars in the lower part of the face were excluded from the study.

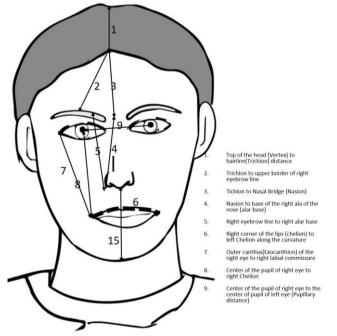


Figure 1: Figure displaying the craniofacial landmarks from 1-9

Before the craniofacial measurements, measurement training methods regarding the position of the participants, instrument handling, landmarks recording procedures identification and were confirmed and unified among the researchers. The digital callipers were calibrated with calibration rods. The facial measurements were recorded by two investigators. The inter-observer reliability was calibrated with a pilot study on 15 participants before the study. Intra-class correlation coefficient test was

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):680-686.

performed (ICC value = 0.98, P < 0.01) to confirm the inter-observer reliability. The readings were independently recorded by two investigators and average was considered as final measurement.

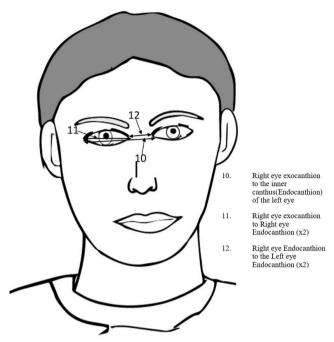


Figure 2: Figure displaying the craniofacial landmarks from 10-12

Participants were requested to sit in an upright position, head unsupported and occlusal plane parallel to the floor. It was verified with air bubble within the spirit level at the middle. The participants were guided to close at centric occlusion, and the softtissue points were marked by an indelible pencil. The facial measurements were registered with modified digital Vernier calliper (Mitutoyo 500-196, Illinois, USA). The Cranio-facial measurements [19] recorded during the study are as follows.

1) Top of the head (Vertex) to hairline (Trichion) distance

2) Trichion to the upper border of right eyebrow line

3) Tichion to Nasal Bridge (Nasion)

4) Nasion to the base of the right ala of the nose (alar base)

5) Right eyebrow line to the right alar base

6) Right corner of the lips (chelion) to left Chelion along the curvature

7) Outer canthus (Exocanthion) of the right eye to right labial commissure

8) Center of the pupil of the right eye to right chelion

9) Center of the pupil of the right eye to the centre of the pupil of the left eye (Pupillary

distance)

10) Right eye exocanthion to the inner canthus(Endocanthion) of the left eye

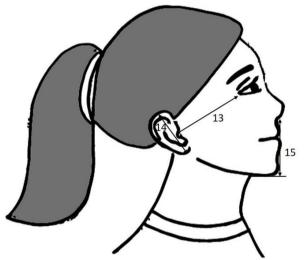
11) Right eye exocanthion to Right eye Endocanthion (x2)

12) Right eye Endocanthion to the Left eye Endocanthion (x2)

13) Mesial wall of the right external auditory canal to lateral corner of the bony orbit (orbitale lateral)

14) Superior surface of right ear to inferior surface of the right ear (length of the auricle)

15) The lower border of the septum of the nose (Subnasale) to most under the surface of the mandible (Gnathion). This measurement was considered as an occlusal vertical dimension for comparison with other cranio-facial dimensions.



13. Mesial wall of right external auditory canal to lateral corner of the bony orbit (orbitale laterale)

- Superior surface of right ear to inferior surface of the right ear (length of the auricle)
- 15. Lower border of the septum of the nose (Subnasale) to most under surface of the mandible (Gnathion)

Figure 3: Figure displaying the craniofacial landmarks from 13-15

The statistical analysis was performed using the SPSS 19 software (IBM Corporation, Armonk, New York, USA). All the Cranio-Facial measurements were recorded in millimetres, and the mean, standard deviation were calculated. The correlation between the craniofacial measurements and the OVD were assessed by Spearman's rank correlation coefficient. The linear regression analysis was performed to determine the model fit equation to predict the OVD. The level of statistical significance was determined at P < 0.05.

Results

The descriptive values of all the craniofacial measurements recorded during the study for both gender are presented in Table 1. The Mean OVD (Subnasale-gnathion distance) for Saudi-Arabian ethnic male was recorded at 69.25 (5.54) mm, while the mean OVD for females was 57.41 (5.32) mm. There was a significant difference (Table 2) in the Mean OVD scores for males and female; t (226) = 15.400, p = 0.000.

Table 1: Descriptive statistics of vertical dimension of occlusion, and cranio-facial measurements for male participants (mm)

Cranio-facial landmarks	Mean (SD)	
	Male	Female
Subnasale-gnathion(ovd)	69.25 (5.54)	57.41 (5.32)
Vertex-trichion	113.15 (12.63)	85.58 (13.76)
Trichion-upper border of right eyebrow line	61.34 (8.65)	61.82 (7.94)
Trichion-nasion	65.30 (9.38)	65.00 (8.50)
Nasion-right alar base	61.83 (4.25)	63.32 (4.91)
Right eyebrow line-right alar base	63.22 (4.30)	63.10 (4.85)
Right Chelion-left Chelion along the curvature	65.01 (5.59)	62.45 (5.99)
Exocanthion of the right eye-right labial commissure	72.52 (4.45)	70.50 (4.02)
Center of the pupil of the right eye to right Chelion	64.42 (2.92)	64.86 (3.78)
Pupillary distance	65.87 (4.40)	57.79 (4.14)
Exocanthion of right eye-Endocanthion of the left eye	67.51 (4.06)	57.00 (5.04)
Exocanthion of right eye-Endocanthion of right eye (x2)	69.22 (5.43)	49.51 (11.16)
Endocanthion right eye-Endocanthion of left eye (x2)	66.37 (6.77)	54.37 (12.13)
Mesial wall of right external auditory canal-orbitale laterale	67.59 (4.34)	68.05 (4.54)
Length of the auricle	62.44 (3.50)	61.82 (4.44)

The Spearman's correlation test was performed (Table 3) to identify the presence of a relation between OVD and other craniofacial measurements. The results indicated the presence of statistically significant correlation (p < 0.05) between the OVD and Mesial wall of the right external auditory canal to Orbitale laterale, exocanthion of the right eye to Endocanthion of the left eye in both genders.

 Table 2: Independent T-test to compare the OVD in male and female participants

	for Eq	e's Test uality of ances			t-test for Equality of Means				
	F	Siq.	t	df	Sig. (2- tailed)	Mean Difference	Std. Error Difference	95% Cor Interval Differ Lower	of the
OVD Equal variances assumed Equal	0.258	0.612	15.400	226	0.000	11.83333	0.76839	10.31921	13.34745
variances not assumed			15.164	133.083	0.000	11.83333	0.78038	10.28978	13.37689

The recorded measurements between Right eyebrow line -alar base, Nasion-alar base on right side and exocanthion of the right eye-right labial commissure showed the positive correlation with OVD in both genders. The positive correlation between OVD and distance between Trichion to the upper border of right eyebrow line, trichion to nasion was observed only in males. The OVD was significantly correlated with measurements between Exocanthion of right eye-Endocanthion right eye and Endocanthion of right eye-Endocanthion of the left eye in Females.

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):680-686.

Table 3: Spearman's correlation matrix between OVD and other craniofacial measurements in both gender

Measurement	M	ale	Female	
	r	Р	r	Р
Vertex-Trichion	-0.107	0.372	0.057	0.479
Trichion-upper border of right eyebrow line	0.467	0.000*	0.140	0.080
Trichion-Nasion	0.568	0.000*	0.131	0.103
Nasion-right alar base	0.304	0.009*	0.198	0.013*
Right eyebrow line-right alar base	0.517	0.000*	0.243	0.002*
Right Chelion-left Chelion along the curvature	0.499	0.000*	0.080	0.323
Exocanthion of right eye-right labial commissure	0.578	0.000*	0.365	0.000*
Center of the pupil of right eye to right Chelion	0.373	0.001*	0.251	0.002*
Pupillary distance	0.386	0.001*	0.274	0.001*
Exocanthion of right eye-Endocanthion of left eye	0.315	0.007*	0.236	0.003*
Exocanthion of right eye-Endocanthion of right eye (x2)	0.148	0.214	0.173	0.031*
Endocanthion right eye-Endocanthion of left eye (x2)	0.217	0.067	0.230	0.004*
Mesial wall of right external auditory canal-orbitale laterale	0.362	0.002*	0.221	0.006*
length of the auricle	0.013	0.910	0.209	0.009*
*p < 0.05.				

The Regression analysis was performed for prediction of OVD using craniofacial measurements (Table 4) and to obtain the linear regression equations. The significant regression equations were found with all craniofacial measurements, except vertex-trichion distance, Exocanthion of the right eye to Endocanthion of the right eye in both genders.

Table 4: Summary of Simple Regression Analyses for Variables Predicting OVD and Cranio-facial measurements (Male N = 72, Female N = 156)

				Male			Fem	ale
Variable	R ²	В	SE B	β	R^2	В	SE B	β
Vertex-trichion	0.026	-0.071	0.052	-0.16	0.001	0.013	0.031	0.032
Trichion-upper border of right eyebrow line	0.134	0.235	0.071	0.367*	0.003	0.034	0.054	0.052
Trichion-nasion	0.229	0.283	0.062	0.479*	0.003	0.035	0.050	0.057
Nasion-right alar base	0.132	0.473	0.145	0.363*	0.021	0.158	0.086	0.146
Right eyebrow line-right alar base	0.205	0.583	0.137	0.453*	0.032	0.197	0.087	0.180*
Right Chelion-left Chelion along the curvature	0.196	0.438	0.106	0.442*	0.007	0.073	0.071	0.082
Exocanthion of right eye-right labial commissure	0.294	0.675	0.125	0.542*	0.127	0.471	0.100	0.356*
Center of the pupil of right eye to right Chelion	0.119	0.655	0.213	0.345*	0.061	0.347	0.110	0.247*
Pupillary distance	0.126	0.464	0.140	0.369*	0.074	0.348	0.099	0.271*
Exocanthion of right eye- Endocanthion of left eye	0.087	0.402	0.156	0.295*	0.038	0.207	0.083	0.196'
Exocanthion of right eye- Endocanthion of right eye (x2)	0.030	0.176	0.120	0.173	0.003	0.027	0.038	0.057
Endocanthion right eye- Endocanthion of left eye (x2)	0.139	0.306	0.091	0.373*	0.011	0.047	0.035	0.106
Mesial wall of right external auditory canal-orbitale laterale	0.077	0.354	0.147	0.277*	0.050	0.253	0.092	0.224*

Length of the auricle 0.000 0.009 0.189 0.006 0.039 0.238 0.095 0.199* * p < 0.05 Abbreviations: the unstandardized beta-*B*; the standard error for the unstandardized beta *SE B*, the standardised beta β.

The prediction for OVD was insignificant with the length of the right auricle in males. Meanwhile, the poor prediction was observed with Endocanthion of right eye-Endocanthion of the left eye, and all facial measurements from trichion in females. The linear regression equations to predict the OVD from different cranio -facial measurements are presented in Table 5.

For the male subjects, OVD could be determined from other cranio-facial measurements utilizing following equations: Trichion-upper border of right eyebrow line: Y = 0.235 (IV) + 54.83, Trichion – Nasion = 0.283 (IV) + 50.78, Nasion-right alar base: Y = 0.473 (IV) + 40.01. The liner regression formula to predict OVD in both gender were: Right eyebrow line-right alar base: Y = 0.583 (IV) + 32.37 (males), Y = 0.197 (IV) + 44.97 (females), Exocanthion of right eye-right labial commissure: Y = 0.675 (IV) + 20.323 (males), Y = 0.471 (IV) + 24.22 (females), Center of

the pupil of right eye to right Chelion: Y = 0.655 (IV) + 27.07 (males), Y = 0.347 (IV) + 34.91 (females) and Pupillary distance: Y = 0.464 (IV) + 38.68 (males), Y = 0.348 (IV) + 37.30 (females).

 Table 5: The regression equation to predict the OVD from different Cranio-facial measurements

	Male	Female
Variable	Regression equation	Regression equation
Vertex-trichion		
Trichion-upper border of right eyebrow line	Y=0.235 (IV)+ 54.83	
Trichion-nasion	Y=0.283 (IV)+ 50.78	
Nasion-right alar base	Y=0.473 (IV)+ 40.01	
Right eyebrow line-right alar base	Y=0.583 (IV)+ 32.37	Y=0.197 (IV)+ 44.97
Right Chelion-left Chelion along the curvature	Y=0.438 (IV)+40.74	
Exocanthion of right eye-right labial commissure	Y=0.675 (IV)+20.323	Y=0.471 (IV)+24.22
Center of the pupil of the right eye to right Chelion	Y=0.655 (IV)+27.07	Y=0.347 (IV)+34.91
Pupillary distance	Y=0.464 (IV)+38.68	Y=0.348 (IV)+37.30
Exocanthion of right eye- Endocanthion of left eye	Y=0.402 (IV)+42.12	Y=0.207 (IV)+45.63
Exocanthion of right eye- Endocanthion of the right eye (x2)		
Endocanthion right eye-Endocanthion of left eye (x2)	Y=0.306 (IV)+48.95	
Mesial wall of right external auditory canal-orbitale laterale	Y=0.354 (IV)+45.31	Y=0.263 (IV)+39.54
Length of the auricle		Y=0.238 (IV)+42.72

Discussion

Determination of accurate vertical dimension of occlusion is a critical clinical step during full mouth rehabilitation. It is challenging task for the clinicians to predict the appropriate OVD due to lack of teeth or the excessive loss of coronal tooth structure. The multiple clinical techniques are suggested by the researchers determine accurate OVD. Cranio-facial to measurements to predict the height of the lower third of the face is suggested by numerous authors. The hypothesis is on the premise of facial proportions and relationship of the lower third of the face with other craniofacial dimensions [20]. Multiple researchers have attempted to find the correlation between OVD and other craniofacial measurements in various ethnic groups. Since there is noticeable genotypic and phenotypic variation between ethnic groups [21], it is prudent to scrutinise the hypothesis in the different ethnic groups. Hence, this study was conducted to understand the association between OVD with craniofacial dimensions in Saudi-Arabian population.

In 1950 Fenn et al. [22], suggested the utilisation of dimension between outer canthus of an eye-angle of mouth to determine the accurate OVD. Several studies are conducted to evaluate the correlation between various craniofacial distances to predict the OVD and results are inconsistent. Boyanov [23] suggested the length of the upper lip to the distance measured from the tubercle of the mouth to the lower border of the chin is reliable for determining the OVD. The Chou et al. [24] proposed the eye – ear distance, but Al-Dhaher et al. [25], reported a non -

significant correlation between the clinical OVD and eye-ear distances in males.

The study results indicated the mean OVD for a male was higher than the female counterparts. The Mean OVD recorded during the study was similar to the results described by Al-Hamdany et al. [26], in Iraqi male (68.25 ± 6.13). The OVD amongst the female participants was analogous to the investigation conducted among Indian population by Ladda et al. [16], (56.7 ± 3.0 mm) and Kulkarni et al. [27], (54-59mm). However, the Mean OVD in male gender was higher in the current study. Majority of craniofacial measurements in the males were significantly higher than the female subjects; the altered growth and development due to the sex-related characteristics are attributed to the difference [28].

The distance between the Nasion to Alar base and evebrow line to the Alar base showed the significant correlation with OVD in both genders. The research results are in confirmation with the recommendation of Earl Pound et al. [29], regarding the complete denture construction and anterior teeth placements. The distance between the chelion along the curvature was found to be positively correlated (p = 0.000, r = 0.499) with OVD only in the male. As suggested by Abhishek Nagpal et al. [30], it is highly inconsistent to be used to predict OVD. The results were in agreement with the findings of the anthropological study conducted within the Pakistani population [31]. The present study showed the strong correlation between Right eyebrow line to right alar base measurement and OVD; the similar observation was recorded by Majeed et al. [31].

The statistically significant correlation was observed between OVD and the dimension between pupils to the chelion in both genders. The studies conducted by the Nur Emalina et al. [32], among Sundanese population observed the similar strong correlations between the OVD and the pupil-rima oris distance. The inter pupillary distance between leftright eye also had the strong correlation with OVD with a p-value of 0.001. The Exocanthion of the right eye-Endocanthion of left eye distance also indicated the strong predictive capability for OVD. The Mesial wall of the right external auditory canal to orbitale lateral (eye to ear width) and centre of the pupil of the right eye to Chelion showed the statistically significant correlation with OVD, a similar observation was reported by Basent el al. [7]. The Chou et al. [24], Abdul-Rassol [34] opined eye-ear distance is a reliable predictor for OVD estimation. Subsequently, Delic et al. [18], recommended the eye-ear distance as a dependable method to determine the OVD. Al -Dhaher et al. [25], reported the significant variation in the correlation between ear-eye distance and stated the positive correlation only in female groups.

There was a significant positive correlation between Exocanthion of right eye-right labial commissure and OVD in both genders. Nagpal et al. [30], evaluated multiple craniofacial measurements to predict the OVD accurately, and they proposed the distance from the Exocanthion of the eye to the labial commissure and the distance from the distal canthus of the eye to the tragus of the ear as valuable adjuvants to determine the OVD.

Based on paleoanthropology, modern humans from Africa colonise Eurasia through the northern Sinai Peninsula and Bab al Mandab strait routes. The researchers indicate the Saudi-Arabian ethnic groups genetic flow from its African and Asian surrounding areas, including Africa, India, Indonesia. This could be the reason for the craniofacial measurements were similar to other nationals [35].

The cephalometric analysis of Saudi Arabian national indicated the convex profile with increased ANB angle, retrognathic mandible and bi maxillary protrusion in comparison to Caucasians [36]. The difference in correlation between male and female craniofacial measurements are due to the more prognathic mandibles and steeper mandibular angle in male in comparison to females, although the anterior lower face height is similar for both genders [37].

The results of the study are in agreement with the observation of Chou et al. [24], that the left facial measurements were more reliable in predicting OVD than right side measurements. The variation could be due to right hemisphere dominance for emotional expressions. The mobility of facial expression also exhibits facial asymmetry, and studies indicated the left side of the face is most commonly dominant in both males and females [38].

The study findings revealed the unreliability craniofacial measurements involving the trichion in estimating the OVD. The positive correlation was recorded with a trichion-upper border of right eyebrow line and Trichion-Nasion only in males. Meanwhile, the length of the auricle of the right ear recorded the positive correlation with OVD in female groups.

The direct in-vivo measurement is still considered as a gold standard due to multiple advantages like simple, low-cost and do not require instruments. The facial complex soft-tissue dimensions, spatial positions and proportions are helpful for the clinician to develop the treatment plan, analyse the final treatment outcome. The thickness of soft tissue covering the teeth and bone varies in a different location; hence dento-skeletal measurement alone is insufficient for clinical evaluation. An additional disadvantage of radiological evaluation is exposing individuals to radiation. The anatomic anthropometric description will help in quantifying craniofacial deformities to treat the congenital or traumatic facial disfigurements. Hence ethnically specific facial measurement is of great assistance for orthodontists, maxillofacial surgeons, pedodontist, plastic surgeon to identify, assess and develop appropriate the treatment plan to treat facial disharmony. The anthropometric database is also

utilised to identify the genetic disorders and recognize the individuals during forensic examinations. All facial measurements included in the present study excluding the measurement between Subnasale-Gnathion (OVD) is not affected due to teeth extraction. Hence, the unaffected craniofacial measurement will be helpful in predicting the OVD in edentulous patients and clinical situations with loss of occlusal dimension.

The limitation of the study was that only the Saudi-Arabian nationals were included in the study. Hence the results and regression formula cannot be extrapolated to other ethnic groups. The participants with Angles class I occlusion were included in the study; hence, the correlation in other malocclusion needs further investigation.

Within the limitations of the study, following conclusions were drawn.

The craniofacial measurement of Exocanthion of the right eye to right labial commissure and the Mesial wall of the right external auditory canal to orbitale lateral can be used a method for OVD approximation. The dimension between the centre of the pupil of the right eye to Chelion and Right eyebrow line to right alar base showed the strong positive correlation with OVD.Being simple and non-invasive technique, cranio-facial measurements could be routinely utilised to supplement the accurate determination of OVD. Researchers suggest the construction of ratios among all these craniofacial facilitate measurements the subjective to measurements of OVD, as using a calliper routinely is not highly applicable. It is recommended to utilise the linear regression equation and craniofacial measurements as an adjunct to determine the OVD in Saudi Arabian population.

References

1. de Almeida Rde C, da Rosa WL, Boscato N. The Effect of Occlusal Splint Pretreatment on Mandibular Movements and Vertical Dimension of Occlusion in Long-Term Complete Denture Wearers. Int J Prosthodont. 2016; 29(3):287-9. https://doi.org/10.11607/ijp.4369 PMid:27148992

2. Al Baker A, Habib SR, Al Amri MD. Preserving esthetics, occlusion and occlusal vertical dimension in a patient with fixed prostheses seeking dental implant treatment. Saudi Dent J. 2016; 28(4):203-8. <u>https://doi.org/10.1016/j.sdentj.2016.05.003</u> PMid:27872552 PMCid:PMC5110469

3. The Glossary of Prosthodontic Terms. J Prosthet Dent. 2005; 94:10–92. <u>https://doi.org/10.1016/j.prosdent.2005.03.013</u>

4. Wang W, Wang J, Lu HY, Ma WS, Dong FS, Hu XY, et al. The effects of increasing occlusal vertical dimension on the deep masseter of rat at different ages. Arch Oral Biol. 2017; 74:12-20. https://doi.org/10.1016/j.archoralbio.2016.10.031 PMid:27842253

5. Alhajj MN, Khalifa N, Abduo J, Amran AG, Ismail IA. Determination of occlusal vertical dimension for complete dentures patients: an updated review. J Oral Rehabil. 2017; 44(11):896-907.

https://doi.org/10.1111/joor.12522 PMid:28600914

6. Yanikoglu ND, Guldag MU, Duymus ZY. Determination of the occlusal vertical dimension: use of maxillary and mandibular posterior teeth measurement in edentate subjects. Eur J Prosthodont Restor Dent. 2005; 13(2):75-7. PMid:16011235

7. Silverman MM. The speaking method in measuring vertical dimension. J Prosthet Dent. 1953; 3:193–199. https://doi.org/10.1016/0022-3913(53)90127-9

8. Strajnic L, Stanisic-Sinobad D, Markovic D, Stojanovic L. Cephalometric indicators of the vertical dimension of occlusion. Coll Antropol. 2008; 32(2):535-41. PMid:18756907

9. Turner LC. The profile tracer: method for obtaining accurate preextraction records. J Prosthet Dent. 1969; 21(4):364-70. https://doi.org/10.1016/0022-3913(69)90044-4

10. Johnson A, Wildgoose DG, Wood DJ. The determination of freeway space using two different methods. J Oral Rehabil. 2002; 29(10):1010-3. <u>https://doi.org/10.1046/j.1365-2842.2002.00950.x</u> PMid:12421334

11. Orenstein NP, Bidra AS, Agar JR, Taylor TD, Uribe F, Litt MD. Changes in Lower Facial Height and Facial Esthetics with Incremental Increases in Occlusal Vertical Dimension in Dentate Subjects. Int J Prosthodont. 2015; 28(4):363-70. https://doi.org/10.11607/ijp.4288 PMid:26218018

12. Shanahan TE. Physiologic vertical dimension and centric relation. 1956. J Prosthet Dent. 2004; 91(3):206-9. https://doi.org/10.1016/j.prosdent.2003.09.002 PMid:15060486

13. Yamashita S, Shimizu M, Katada H. A Newly Proposed Method to Predict Optimum Occlusal Vertical Dimension. J Prosthodont. 2015; 24(4):287-90. <u>https://doi.org/10.1111/jopr.12223</u> PMid:25251764

14. Igic M, Krunic N, Aleksov L, Kostic M, Igic A, Petrovic MB, et al. Determination of vertical dimension of occlusion by using the phonetic vowel "O" and "E". Vojnosanit Pregl. 2015; 72(2):123-31. https://doi.org/10.2298/VSP15021231 PMid:25831903

15. Bissasu M. Use of a patient's old complete denture to determine vertical dimension of occlusion. J Prosthet Dent. 2001; 85:413–414. <u>https://doi.org/10.1067/mpr.2001.114279</u> PMid:11319541

16. Ladda R, Bhandari AJ, Kasat VO, Angadi GS. A new technique to determine vertical dimension of occlusion from anthropometric measurements of fingers. Indian J Dent Res. 2013; 24(3):316-20. https://doi.org/10.4103/0970-9290.117993 PMid:24025877

17. Farkas L. Examination. In: Farkas L, editor. Anthropometry of the head and face. 2nd ed. New York: Raven Press, 1994:3-56.

18. Delic Z, Vukovojac S, Grzic R, Maricic D, Kovac Z, Kovacevic D. Evaluation of craniometric methods for determination of vertical dimension of occlusion–Part 2. Coll Antropol. 2003; 27(Suppl 1):191–194. PMid:12955909

19. Misch CE. Clinical indications for altering vertical dimension of occlusion. Objective vs subjective methods for determining vertical dimension of occlusion. Quintessence international (Berlin, Germany: 1985). 2000; 31(4):280-2.

20. Ivy RS. Dental and Facial Types. In: The American System of Dentistry. Operative and Prosthetic Dentistry. vol. 2. Pentland, Edinburgh, 1887:1030.

21. Farkas LG, Katic MJ, Forrest CR, Alt KW, Bagic I, Baltadjiev G, et al. International anthropometric study of facial morphology in various ethnic groups/races. J Craniofac Surg. 2005; 16(4):615-46. https://doi.org/10.1097/01.scs.0000171847.58031.9e PMid:16077306

22. Fenn HRB, Liddelow KP, Gimson AP. Clinical dental prosthesis. Ed.1 Staples press, London, 1953: 191.

23. Boyanov B. Speedy and Exact Determining of the Vertical Dimension and Centric Relation of Edentulous Jaws. J Istanbul Univ. 1974; 8:9–18.

24. Chou TM, Moore DJ, Young L Jr, Glaros AG. A diagnostic craniometric method for determining occlusal vertical dimension. J Prosthet Dent. 1994; 71:568–574. <u>https://doi.org/10.1016/0022-3913(94)90439-1</u>

25. Al-Dhaher HA, AL-Huwaizi AFDetermination of the vertical dimension by cranio-facial measurement using clinical and cephalometric analysis (comparative study). J Bagh College Dentistry. 2009; 21:44–47.

26. Al-Hamdany AK, Kassab NH. Correlation of vertical dimen¬sions of soft tissue facial profiles. Al-Rafidain Dent J. 2010; 10(2): 243–253.

27. Kulkarni N, Kohli M. Estimation and correlation of individual facial height and total body height. J Int Oral Health. 2011; 3(2):37–42.

28. Oladipo GS, Esomonu C, Osogba IG. Craniofacial dimensions of Ijaw children and adolescents in Nigeria. J Biomed Int. 2010; 1(1):25-9.

29. Pound E. The mandibular movements of speech and their seven related values. J South Calif Dent Assoc. 1966; 34(9):435-41. <u>https://doi.org/10.1016/0022-3913(66)90006-0</u>

30. Nagpal A, Parkash H, Bhargava A, Chittaranjan B: Reliability of different facial measurements for determination of vertical dimension of occlusion in edentulous using accepted facial dimensions recorded from dentulous subjects. J Indian Prosthodont Soc. 2014; 14(3):233-242. https://doi.org/10.1007/s13191-013-0315-1 PMid:25183907 PMCid:PMC4148510

31. Majeed MI, Saleem T. Craniofacial Measurements for the determination of Occlusal Vertical Dimension and Gender Dimorphism in a Section of Pakistani Population. Med Forum. 2015; 26(1):55-57.

32. Akhma NE, Sumarsongko T, Rikmasari R. Correlation between the occlusal vertical dimension and the pupil rima oris distance among sundanese population. Padjadjaran Journal of Dentistry. 2017; 29(2):630-137. https://doi.org/10.24198/pjd.vol29no2.13657

33. Basnet BB, Parajuli PK, Singh RK, Suwal P, Shrestha P, Baral D. An anthropometric study to evaluate the correlation between the occlusal vertical dimension and length of the thumb. Clinical, cosmetic and investigational dentistry. 2015; 7:33. https://doi.org/10.2147/CCIDE.S75872 PMid:25678817 PMCid:PMC4322952

34. Abdul-Rassol M. Facial Measurement Method for Determining Occlusal Vertical Dimension. AL-Taqani J. 2007; 20:13–17.

35. Abu-Amero KK, Hellani A, Gonzalez AM, Larruga JM, Cabrera VM, Underhill PA. Saudi Arabian Y-Chromosome diversity and its relationship with nearby regions. BMC genetics. 2009; 10:59. https://doi.org/10.1186/1471-2156-10-59 PMid:19772609 PMCid:PMC2759955

36. Aldrees AM. Lateral cephalometric norms for Saudi adults: A meta-analysis. The Saudi dental journal. 2011; 23(1):3-7. https://doi.org/10.1016/j.sdentj.2010.09.002 PMid:24151411 PMCid:PMC3770244

37. Hassan AH. Cephalometric Norms for Saudi Adults Living in the Western Region of Saudi Arabia. The Angle Orthodontist. 2006; 76(1):109-13. PMid:16448278

38. Ercan I, Ozdemir ST, Etoz A, Sigirli D, Tubbs RS, Loukas M, et al. Facial asymmetry in young healthy subjects evaluated by statistical shape analysis. J Anat. 2008; 213(6):663-9. https://doi.org/10.1111/j.1469-7580.2008.01002.x PMid:19094182 PMCid:PMC2666135



Haemostasis in Oral Surgery with Blue-Violet Light

Daniela Veleska-Stevkoska*, Filip Koneski

Department of Oral Surgery and Implantology, Faculty of Dental Medicine, Ss. Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia

Abstract

Citation: Veleska-Stevkoska D, Koneski F. Haemostasis in Oral Surgery with Blue-Violet Light. Open Access Maced J Med Sci 2018 Apr 15; 6(4):687-691.. https://doi.org/10.3889/oamjms.2018.181

Keywords: Tooth extraction; Bleeding; Bleeding control; Haemostasis; LED irradiation

*Correspondence: Daniela Veleska-Stevkoska. Department of Oral Surgery and Implantology, Faculty of Dental Medicine, Ss. Cyril and Methodius University in Skopje, Republic of Macedonia. E-mail: daniela.veleska@gmail.com

Received: 01-Feb-2018; Revised: 23-Mar-2018; Accepted: 25-Mar-2018; Online first: 03-Apr-2018

Copyright: © 2018 Daniela Veleska-Stevkoska, Filip Koneski. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: The invasive dental procedures usually result in wounds accompanied by physiological bleeding. Even though the bleeding is easily manageable, it is still one of the major concerns of the patients and a reason for their subjective discomfort. Recently, a novel approach with light-emitting diode (LED) was introduced to control the bleeding. This study aims to examine the effectiveness of the irradiation with blue-violet light LEDs on the haemostasis.

MATERIAL AND METHODS: The study included 40 patients with an indication for tooth extraction, divided into two groups: examination group (n = 30) and a control group (n = 10). The site of the extraction socket in the examination group was irradiated with LED (410 nm) until the bleeding stopped. The patients from the control group were treated by conventional gauze pressure to stop the bleeding (control group). The duration of irradiation and gauze pressure was measured and compared. The statistical analysis was performed with Student T-test.

RESULTS: The examination group showed the shorter duration of bleeding compared to the control group for 13.67 seconds and 156 seconds, respectively. The most of the cases in the examination group were irradiated in 10 seconds (70%), followed by irradiation of 20 seconds (23.3%) and 30 seconds (6.6%). In the control group, the average time to stop the bleeding by the conventional method was 156 second.

CONCLUSION: The blue-violet LED light shortens the bleeding time from the extraction socket after tooth extraction and may be a promising method for achieving haemostasis.

Introduction

Light presents a spectrum of electromagnetic particles that travel in waves. The shorter the wavelength is, the higher the energy. On one end of the visible light spectrum, there are the blue light rays with the shortest wavelengths (and highest energy) and this area of light is called blue-violet or violet light. This is why the invisible electromagnetic rays just beyond the visible light spectrum are called ultraviolet (UV) radiation (Figure 1). The blue light has a wavelength of approximately 380nm to 500nm; making it one of the shortest and highest-energy wavelengths.

The blue light heightens the reaction times, elevates moods, boosts awareness and increases the feeling of well-being. Artificial sources of blue light include electronic devices (cell phones, laptop computers) as well as energy-efficient fluorescent bulbs and light-emitting diode (LED) lights [1]. A LED is a two-lead semiconductor light source (p-n junction diode) which emits light when activated [2]. The "p" (positive) side contains an excess of holes, while the "n" (negative) side contains an excess of electrons.

When a suitable voltage is applied to the leads, electrons can recombine with electron holes within the device and energy is released in the form of photons. This effect is called electroluminescence, and the colour of the light corresponds to the energy of the photon [3].

Blue LEDs were first developed by Herbert Paul Maruska in 1972 using gallium nitride (GaN) on a sapphire substrate [4] [5]. Nakamura, Hiroshi Amano and Isamu Akasaki were awarded the Nobel Prize in Physics in 2014 for the invention of the blue LED [6]

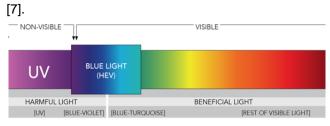


Figure 1: Location of blue light wavelengths in the spectrum

The invasive dental procedures usually result in bone and soft tissue wounds accompanied with physiological bleeding during the first few minutes. most common technique to The stop the postoperative bleeding is the superficial tamponade, i.e. placing gauze and applying pressure on it in the duration of 10-15 minutes. In patients without systemic diseases, disorders of the bleeding, coagulation or blood vessels and those who do not take any medications for altering the bleeding, this approach is appropriate enough to control the bleeding. However, even though the bleeding is easily manageable, it is still one of the major concerns of the patients and a reason for their subjective discomfort. Post-extraction dental sockets are filled with blood immediately after tooth extraction. The haemoglobin from the red blood cells has the strong light-absorbing capacity [10]. The absorbing haemoglobin can transform the light from the LED irradiation into heat energy on the bleeding surface (photocoagulation).

Some devices that are used in everyday dental practice for photopolymerisation of the composite fillings (LEDs) emit blue-violet light with a wavelength of 380-515 nm with two peaks (410 nm and 470 nm). These wavelengths can cover most of the absorption ranges of haemoglobin (430 nm). Control of bleeding is a major problem during oral-surgical interventions.

The study aims to examine the effectiveness of the new revolutionary method of irradiation with blue-violet light (LEDs) in achieving rapid and effective haemostasis.

Material and Methods

The study included overall 40 patients at the Clinic for Oral Surgery and Implantology at University Dental Clinical Centre "St. Pantelejmon" in Skopje, scheduled in appropriate time periods for oral surgical interventions. The written informed consent (Declaration of Helsinki) was obtained from all patients with their signature before starting with the treatment protocol.

In the questionnaire, appropriate data were noted, such as age and sex of the patient, type of intervention, localisation of intervention, duration of intervention and postoperative condition. The age of the patients varied from 20 to 80 years. The reasons for extraction were gangrenous dental radices, periodontitis, chronic apical periodontitis (HAP) and prosthodontic reasons for extraction (teeth that were not included in the prosthodontic treatment). Exclusion criteria were as follow: patients with the compromised general medical condition, patients under 18 years, pregnant women, patients who received anticoagulation medication and patients with systemic bleeding disorders.

Dental extractions were performed under local anaesthesia Scandonest 2% (Septodont, United Kingdom), consisting of mepivacaine hydrochloride 2% with epinephrine 1:100.000. After the tooth extraction, the surgical debridement (granulation tissue curettage) was done. The LED irradiation was performed on 30 patients over the extraction socket for 10 seconds and an additional 10 seconds in those clinical cases where bleeding continued. The same operator irradiated the bleeding socket with LED light from a distance of 1 cm from the surgical site (Figure 2a, b). Another 10 patients were treated by conventional gauze pressure to stop the bleeding (control group).



Figure 2: A) Extraction socket just after extraction of the maxillary right second premolar with obvious bleeding B) Extraction socket after LED irradiation

The study used LED device (Ivoclar Vivadent-Bluephase C5) with wavelengths of 380-515 nm and two peaks (410 nm and 470 nm) according to the protocol of Prof. Isao Ishikawa (Tokyo Women's Medical University, Tokyo, Japan) [11].

Protocol for blue-violet LED (380-515 nm) irradiation (Prof. Isao Ishikawa) includes:

- Light guide aperture: 1 cm in diameter (0.785 $\mbox{cm}^2\mbox{)}.$

- 750 mW/cm² at a distance of 1 cm from light emitter aperture.

- Irradiation area: approximately 1.25 cm².

- The irradiation time of 10 sec equals energy 50 joules and an energy density of 7.5 J/cm2.

- The irradiation time of 20 sec equals energy 100 joules and an energy density of 15 J/cm^2 .

Bleeding time for both procedures was measured. Bleeding time was defined as the length of needed time to stop the bleeding from the extraction socket after a gentle wipe with a piece of gauze. After seven days the extraction sockets were reexamined to confirm the healing process.

The obtained data and values of the duration of bleeding were collected and statistically analysed using the statistical software SPSS Statistics, v. 20. Student t-test was used to compare the means of the values. The significance for p-value was set to 0.05.

Results

The mean age of the patients in the experimental group was 51, while in the control group it was 53 years. In the examination group, most of the teeth were indicated for extraction due to periodontal disease and prosthodontic needs. In the control group, the most frequent reason was the periodontal disease (Table 1).

Table 1: Distribution of the indications for tooth extractions in both groups

Indication for extraction	Examinat	ion group (n=30)	Control group (n=1	
	Count	Percentage	Count	percentage
Periodontal disease	9	30%	4	40%
Proshtodontic needs	9	30%	2	20%
Remained necrotic root	5	16.6%	3	30%
Chronic periapical disease	7	23.3%	1	10%

The examination groups showed the shorter duration of bleeding compared to the control group for 13.67 seconds vs 156 seconds, respectively. The statistical difference was very strong (p = 0.000), (Tables 2 and 3).

Table 2: Means of the duration of bleeding in both groups

	Group	Ν	Mean	Std. Deviation	Std. Error Mean
Duration of bleeding	Examination group	30	13.67	6.149	1.123
	Control group	10	156.00	57.966	18.330

The most of the cases in the examination group were irradiated in 10 seconds (70%), followed by irradiation of 20 seconds (23.3%) and 30 seconds (6.6%). In the control group, the average time to stop the bleeding by the conventional method was 156 second.

Table 3: Significance of the values of bleeding between the groups (Student T-test)

		t	df	Sig. (2- tailed)
Duration of bleeding	Equal variances assumed	(13.574)	38	0.000
	Equal variances not assumed	(7.750)	9.068	0.000

The means of the duration of surgical procedure and significance of the difference of the values of duration of surgical procedures are presented in the Tables 4 and 5.

Table 4: Means of the duration of surgical procedure between the 10 seconds and > 10 seconds LED irradiated patients from the examination group

	Duration of irradiation	Ν	Mean	Std. Deviation	Std. Error Mean
Duration of surgical	10 seconds	2 1	15.3571	1.63663	0.35714
procedure	>10 seconds	7	19.2857	4.00892	1.51523

Discussion

The haemostasis is a complex process consisting of different steps, involving some cells and factors. The main purpose of this process is to stop bleeding by mechanical mechanisms of reparation of the injured blood vessels and clotting of the leaked blood. The first step is the vascular spasm as a first response to the injury.

Table 5: Significance of the difference of the values of duration of surgical procedures between the cases irradiated for 10 seconds and those irradiated for longer than 10 seconds, in the examination group

		t-test for Equality of Means			
		Т	df	Sig. (2- tailed)	Mean Difference
Duration of	Equal variances assumed	(3.748)	26	0.001	(3.92857)
surgical procedure	Equal variances not assumed	(2.524)	6.679	0.041	(3.92857)

The vessels get constricted, and the blood flow decreases. Then, the platelets aggregate and release factors which further improve the vasoconstriction. The second step consists of the formation of the platelet plug, which depends on some factors from the plasma. The third step is the process of coagulation, which can be enhanced by the intrinsic or extrinsic pathway. Some coagulation factors are involved, and the final result is the formation of fibrin around the platelet plug, representing the blood clot.

The process of haemostasis after tooth extraction is enhanced by applying dressing pressure with gauze, for the duration of 10 minutes. The normal ranges of bleeding time by Duke are from 2-5 minutes [12]. In this time frame, it is expected the bleeding to stop. However, because the wound that is caused by such invasive procedure is sometimes bigger than just a simple blood vessel injury, the gauze is left in place for extended period of time. The other methods to control the bleeding are used usually when extensive bleeding is present.

The electrocoagulation is an effective way to stop the bleeding [13]. This is a physical method

which includes heat and causes almost immediate bleeding stop. However, the risk of damaging the surrounding soft and bone tissues make this approach only acceptable where very extensive and uncontrolled bleeding is present.

Various materials, such as hemostatic agents, gelatin sponges, hemostatic gauze and collagen have been used to help stop bleeding [14]. Also, various lasers are used in oral surgery because of their excellent cutting and hemostatic effects. The laser's effects are due to three phases included in the mechanism of photocoagulation. The first phase consists of heating effects, followed by formation of spherocytes and met-haemoglobin. Finally, extended coagulum formation happens due to spherocyte rupture [11]. Thermal damage and carbonisation of the underlying soft and hard tissues could be possible complications after laser irradiation [15]. The high financial cost of the laser apparatus could be a barrier for its wide application in dentistry.

LEDs are used for the photopolymerization of composite resin fillings. The device Ivoclar Vivadent-Bluephase C5 was used in our study because it emits a wide range of blue-violet specific wavelengths (380-515 nm) and two peaks (410 nm and 470 nm). These wavelengths can cover most of the absorption ranges of haemoglobin (430 nm).

Blue-violet LED irradiation immediately controlled postextraction bleeding in our study. The photothermal interaction caused superheating of the blood, absorption and scattering of the energy, surface condensation of proteins, and vaporisation of blood fluid followed by coagulation.

In the statistical analysis of the results, we obtained a significant association of the analysed parameters. Irradiation with blue-violet LEDs causes immediate haemostasis of extraction socket after 10 sec; some cases still needed additional 10 sec. A double length of irradiation was needed in 23.3% of the cases, while the triple length in only 6.6% of the cases. The examination group showed almost immediate bleeding stop after applying LED light, compared to the control group. These findings are very similar to the findings from author Isao Ishikawa et al., which tested the effects of LED on postoperative bleeding in oral surgery [11]. The duration of the irradiation needed to stop the bleeding in our study was also similar to his results.

A conventional method stops the bleeding for 2-5 min (mean interval 180 sec). LED irradiation of the extraction alveoli caused the immediate formation of a blood clot and achieved stable haemostasis. The LED application provided rapid haemostasis and better coagulum filling than conventional pressure dressing.

- Postoperative side effects were not noted

- The healing of the extraction site was uneventful

The transmission electron microscopy of the blue-violet LED irradiated blood clot found out a unique structure of the clot (photothermal interaction with the blue-violet LED). On the surface of the LED irradiated clot, there was a thin amorphous laver of denatured plasma proteins (about 50-1500 nm) [11]. This amorphous layer is clinically presented as a shiny surface of the LED irradiated blood clot. Beneath the layer, many agglutinated platelets and other cellular elements (red blood cells, leukocytes) supported the layer and formed a blood clot. Red cells seemed to keep blood their normal morphologies. In laser irradiation, the spherocytes and their rupturing are present extensively, and it crosses the phase of absorption of the wavelength from the haemoglobin. In our study only wavelength that is enough to cause photocoagulation was used. Therefore, the LED light has considerably slighter effects on the wound healing, compared to the laser light [11]. The LED application is less harmful to the eyes than lasers and is less expensive. However, it is known that the light is often unable to penetrate more than 2-3mm into the composite [16]. LED irradiation never causes adverse effects on the surrounding tissues.

LED photocoagulation may produce not only rapid haemostasis but also the acceleration of periodontal tissue healing. The LED application has the potential to benefit periodontal photo-engineering and bone repair processes. This better coagulum formation may result in early tissue repair with minimal alveolar bone resorption. Pinheiro and Gerbi [17] reviewed the recent studies of photo-engineering of accelerated bone repair processes by low-level laser irradiation and mentioned that fibrin on a coagulum would act as a framework for cell migration during bone healing. Based on these findings, it seems possible that the role of photomedicine, including photo engineering, will be recognised and applied in oral treatment shortly.

The results of a study where LED irradiation was implemented in combination with gelatin sponge in patients taking warfarin showed effects of enhanced haemostasis in 30 seconds [18]. This finding is of particular importance because no sutures and further invasive measures were undertaken in this group of patients, who usually need additional measures of bleeding control due to the changed coagulation process. The study supports the photocoagulation effects on the platelet aggregation and further improved blood clotting, which is the late phase of the haemostasis process. However, these statements should be further examined in more details.

Another study showed that LED light enhances the cell and immune response to some of the bacteria specimens involved in the developing of the periodontal disease [19]. It is well known that the stable and appropriate coagulation is a first and a very important step in the process of wound healing. Favourable healing means no postoperative

complications and less bone defect and resorption. When all these factors are combined, it becomes clear that the LED irradiation may positively affect both the immediate and later wound healing.

In conclusion, the blue-violet LED light with a wavelength of 410 nm significantly shortens the bleeding time from the extraction socket after a tooth extraction. It may be a promising method for controlling the bleeding in healthy patients. There is a need for future research in this field, and the possible effects in patients with bleeding disorders should be evaluated, as well.

References

1. Aubé M, Roby J, Kocifaj M. Evaluating Potential Spectral Impacts of Various Artificial Lights on Melatonin Suppression, Photosynthesis, and Star Visibility. Plos One. 2013: 8(7):e67798. https://doi.org/10.1371/journal.pone.0067798 PMid:23861808 PMCid:PMC3702543

2. Moreno I, Sun CC. Modeling the radiation pattern of LEDs. Optics Express. 2008; 16(3):1808-1819. https://doi.org/10.1364/OE.16.001808 PMid:18542260

3. Schubert FE. Light-emitting diodes 2nd ed., Cambridge

University Press, 2006. https://doi.org/10.1017/CBO9780511790546

4. Nakamura S, Mukai T, Senoh M. Candela-Class High-Brightness InGaN/AlGaN Double-Heterostructure Blue-Light-Emitting-Diodes. Appl Phys Lett. 1994; 64(13):1687. https://doi.org/10.1063/1.111832

5. Dadgar A, Alam A, Riemann T, Bläsing J, Diez A, Poschenrieder M, et al. Bright, Crack-Free InGaN/GaN Light Emitters on Si (111). Physica Status Solidi. 2001; 188:155-158. https://doi.org/10.1002/1521-396X(200111)188:1<155::AID-PSSA155>3.0.CO:2-P

6. Naruhito I, Mukai T, Mukai N. U.S. Patent 5,578,839. Lightemitting gallium nitride-based compound semiconductor device. Issue date: 1996, November 26.

7. The Nobel Prize in Physics 2014 - Press release. www.nobelprize.org. Retrieved October 7, 2014.

8. Lipták BG. Instrument Engineers' Handbook: Process control

and optimization, CRC Press, 2005.

9. Dakin J, Dakin B, Robert GW. Handbook of optoelectronics, Volume 2, Taylor & Francis, 2006.

10. Merrick MF. Pardue HL. Evaluation of absorption and first- and second-derivative spectra for haemostasis by blue-violet LED 337 simultaneous quantification of bilirubin and hemoglobin. Clin Chem. 1986; 32:598-602. PMid:3955808

11. Ishikawa I, Okamoto T, Morita S, Shiramizu F, Fuma Y, Ichinose S, et al. Blue-Violet Light Emitting Diode (LED) irradiation immediately controls socket bleeding following tooth extraction; clinical and electron microscopic observations. Photomedicine and Laser Surgery. 2011; 29(5): 333-338. https://doi.org/10.1089/pho.2010.2856 PMid:21495857

12. Duke WW. The relation of blood platelets to hemorrhagic disease: Description of a method for determining the bleeding time and coagulation time and report of three cases of hemorrhagic disease relieved by transfusion. JAMA. 1910; 55:1185-1192. https://doi.org/10.1001/jama.1910.04330140029009

13. Kamoh A, Swantek J. Hemostasis in oral surgery. Dent Clin North Am. 2012: 56(1):17-23. https://doi.org/10.1016/j.cden.2011.06.004 PMid:22117940

14. Colman RW, Marder VJJ, Clowes AW. Overview of coagulation, fibrinolysis and their regulation. In: Haemostasis and Thrombosis: Basic Principles and Clinical Practice. 5th ed. (eds.) Philadelphia: Williams & Wilkins, 2006:17-20.

15. Sasaki KM, Aoki A, Ichinose S, Ishikawa I. Ultrastructural analysis of bone tissue irradiated by Er:YAG Laser. Lasers Surg Med. 2002; 31:322-332. https://doi.org/10.1002/lsm.10110 PMid:12430149

16. Price RB, Felix CA, Andreou P. Effects of resin composite composition and irradiation distance on the performance of curing lights. Biomaterials. 2004; 25: 4465-4477.

https://doi.org/10.1016/j.biomaterials.2003.11.032 PMid:15046937

17. Pinheiro AL, Gerbi ME. Photoengineering of bone repair processes. Photomed. Laser Surg. 2006; 24: 169-178. https://doi.org/10.1089/pho.2006.24.169 PMid:16706695

18. Okamoto T, Ishikawa I, Kumasaka A, Morita S, Katagiri S, Okano T. et al. Blue-violet light-emitting diode irradiation in combination with hemostatic gelatin sponge (Spongel) application ameliorates immediate socket bleeding in patients taking warfarin. Oral Surg Oral Med Oral Pathol Oral Radiol. 2014; 117(2): 170-177. https://doi.org/10.1016/j.oooo.2013.09.009 PMid:24332521

19. Takada A, Matsushita K, Horioka S, Furuichi Y, Sumi Y. Bactericidal effects of 310 nm ultraviolet light-emitting diode irradiation on oral bacteria. BMC Oral Health. 2017; 17:96. https://doi.org/10.1186/s12903-017-0382-5



Anxiety, Stress and Coping Patterns in Children in Dental Settings

Nadica Pop-Jordanova¹, Olivera Sarakinova², Maja Pop-Stefanova-Trposka², Efka Zabokova-Bilbilova^{3*}, Emilija Kostadinovska²

¹Macedonian Academy of Sciences and Arts, Skopje, Republic of Macedonia; ²Faculty of Dentistry, European University, Skopje, Republic of Macedonia; ³Department of Paediatric and Preventive Dentistry, Ss Cyril and Methodius University of Skopje, Faculty of Dentistry, Skopje, Republic of Macedonia

Abstract

Citation: Pop-Jordanova N, Sarakinova O, Pop-Stefanova-Trposka M, Zabokova-Bilbilova E, Kostadinovska E. Anxiety, Stress and Coping Patterns in Children in Dental Settings. Open Access Maced J Med Sci. 2018 Apr 15; 64(4):682-697. https://doi.org/10.3888/oamjms.2018.184

Keywords: Stress; Anxiety; Coping system; Children; Dentistry

*Correspondence: Efka Zabokova-Bilbilova. Department of Paediatric and Preventive Dentistry, Ss. Cyril and Methodius University of Skopje, Faculty of Dentistry, Skopje, Republic of Macedonia. E-mail: efka_zabokova@hotmail.com

Received: 21-Feb-2018; Revised: 26-Mar-2018; Accepted: 29-Mar-2018; Online first: 10-Apr-2018

Copyright: © 2018 Natica Pop-Jordanova, Olivera Sarakinova, Maja Pop-Stefanova-Trposka, Efka Zabokova-Bilbilova, Emilija Kostadinovska. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: Fear of the dentist and dental treatment is a common problem. It can cause treatment difficulties for the practitioner, as well as severe consequences for the patient. As is known, the level of stress can be evaluated thought electrodermal activity, cortisol measure in saliva, or indirectly by psychometric tests.

AIM: The present study examined the psychological influence of dental interventions on the child as well as coping patterns used for stress diminution.

METHODS: We examined two matched groups of patients: a) children with orthodontic problems (anomalies in shape, position and function of dentomaxillofacial structures) (N = 31, mean age 10.3 \pm 2.02) years; and b) children with ordinary dental problems (N = 31, mean age 10.3 \pm 2.4 years). As psychometric instruments, we used: 45 items Sarason's scale for anxiety, 20 items simple Stress - test adapted for children, as well as A - cope test for evaluation coping patterns.

RESULTS: Obtained scores confirmed the presence of moderate anxiety in both groups as well as moderate stress level. For Sarason's test obtained scores for the group with dental problems are 20.63 \pm 8.37 (from max 45); and for Stress test 7.63 \pm 3.45 (from max 20); for the orthodontic group obtained scores are 18.66 \pm 6.85 for Sarason's test, while for the Stress test were 7.76 \pm 3.78. One way ANOVA confirmed a significant difference in values of obtained scores related to the age and gender. Calculated Student t - test shows non-significant differences in obtained test results for both groups of examinees. Coping mechanisms evaluated by A - cope test shows that in both groups the most important patterns used for stress relief are: developing self-reliance and optimism; avoiding problems and engaging in demanding activity.

CONCLUSION: This study confirmed that moderate stress level and anxiety are present in both groups of patients (orthodontic and dental). Obtained scores are depending on gender and age. As more used coping patterns in both groups are developing self-reliance and optimism; avoiding problems and engaging in demanding activity. Some strategies for managing this problem are discussed.

Introduction

Dental fear usually indicates an unpleasant emotional reaction to specific threatening stimuli occurring in situations associated with dental treatment, while dental anxiety is an excessive and unreasonable negative emotional state experienced by dental patients.

Anxiety, fear and perceived stress in the dental setting are common worldwide. These problems are particularly related to the pediatric dentistry. It was assumed that fear and anxiety have a

mean prevalence between 10% and 20%, being very high in the earliest ages [1].

Statistics show that people from low socioeconomic status groups reported a higher level of dental fear than those individuals from high socioeconomic groups. The reported incidence of high dental fear and anxiety was 10% in an Icelandic study, but slightly higher in Singaporean population (17.1%). A cross-cultural study of Chinese and Danish patients reported moderate to high dental fear in 30% of Chinese and 15% of Danish participants. In 2009, a study of dental fear prevalence in the Netherlands reported 24.3% of the participants had moderate to high dental fear. Dental fear studies on German

populations have reported a mean Dental Anxiety Score of 8.6 and a dental phobia incidence of 11%. The highest prevalence of dental fear appears to be in Japan, where a study of 3041 students and adults reported that 42.1% had high dental fear [2].

However, perceived stress in dental setting can arise in both, patients as well as in dental practitioners. Many studies demonstrated that stress practitioners pediatric in dental arises from examination and treatment and can be broadly divided into those produced by the child and those produced by the child's guardian (usually the mother). On the other side, the patients (children) usually manifest some discomfort in a dental setting, which could be manifested as anxiety, worry or stress. In some patients, this anxiety can be so high and be presented as odontophobia. Fearful patients might neglect their teeth and oral hygiene and avoid any treatment procedures.

Processes known to contribute to the aetiology of dental fear and phobia include a variety of genetic, behavioural, and cognitive factors. Genetic vulnerability factors may interact with other etiological elements that cause a phobia.

The theory of classical conditioning explains acquired fear as a result of previous negative or traumatic experiences. Consequently, negative experiences during dental treatment are possible factors that promote dental anxiety, and several studies have findings that support this [3].

In our previous study [4] in a sample of 50 schoolers, we showed the presence of high anxiety among all children undergoing level dental intervention. There were differences in anxiety scores between girls and boys, girls having higher scores. Personality characteristics (evaluated with Eysenck personality questionnaire) showed low psychopathological traits, moderate extroversion and neuroticism, but accentuated insincerity (evaluated with L scale). We did not find a correlation between personality traits (obtained scores for EPQ) and anxiety, except for the neuroticism which was positively correlated with the level of anxiety.

This study aimed to evaluate anxiety and perceived stress in two groups of patients: orthodontic and dental, and to elaborate the patterns of coping mechanisms patients use to mediate the stress level.

Methodology and Sample

The evaluated sample comprised two groups of schoolers: a) children with orthodontic problems (anomalies in shape, position and function of dentomaxillofacial structures) (N=31, mean age 10.3 \pm 2.02 years); and b) children with ordinary dental

problems (N= 31, mean age 10.3 ± 2.4 years). Both genders were presented equally. Examinees were randomly selected.

The following psychometric tests were used: Sarason's General Anxiety Scale, Stress test for children and A-Cope questionnaire for assessing coping style.

The Sarason's General Anxiety Scale for Children (GASC) is a 45-item yes/no scale for use with children (grades 1-9). It measures chronic, generalised anxiety. The obtained score of 12 or below ranks in the low anxiety range. A score of 12-20 ranks in the medium range. Any score above 20 signifies high anxiety. Scoring 15 or higher is a good indication that a child experiences considerable discomfort about the situation in which he is [5].

Stress-test is a simple yes/no 20-item questionnaire where the higher scores are related to higher stress level [6].

The A-COPE is a coping inventory designed to explore children's coping behaviors that result from the normal stress associated with trying to create a balance between being connected to and at the same time independent from one's family [7]. The coping inventory identifies the behaviors children find helpful in managing problems or difficult situations. The A-COPE can be used as one single scale or broken into 12 sub-scales that reflect 12 different coping patterns: 1) ventilating feelings (like yelling and blaming), 2) seeking diversions (like sleeping or watching TV), 3) developing self-reliance and optimism (like organizing his/her life), 4) developing social support (like helping others solve their problems), 5) solving family problems (like working through family rules), 6) avoiding problems (like substance use or ignoring the problems), 7) seeking spiritual support (like talking to clergy), 8) investing in close friends (like boyfriends or girlfriends), 9) seeking professional support (like getting help from a counselor), 10) engaging in demanding activity (like strenuous physical activity or academically challenging activity), 11) beina humorous (like making a joke of the situation), and 12) relaxing (like listening to music). Psychological tests in this study were applied prior to dental intervention. Children were usually accompanied by their mothers and they gave prior consent for the study.

For statistical calculations, the online package Statistics 8 was used.

Results

Two groups of examinees were included: a) 31 children with orthodontic problems, mean age 10.3 \pm 2.02 years; and b) 31 children with simple dental problems, mean age 10.3 \pm 2.4 years. Examinees

were matched by age and gender.

Evaluated by Sarason's anxiety test the obtained scores for the group with dental problems were: 20.63 ± 8.37 (from max 45); these results correspond to moderate anxiety level. Evaluated by Stress test 7.63 ± 3.45 (from max 20), which correspond to small stress level. The obtained scores in the orthodontic group were: 18.66 ± 6.85 using Sarason's anxiety tests and 7.76 ± 3.78 using Stress test (Fig. 1).

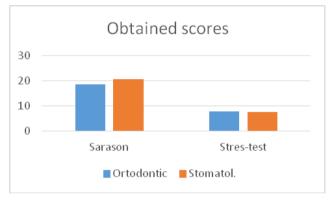


Figure 1: Obtained scores for both psychometric tests in orthodontic and dental patients

Calculated one-way ANOVA showed a significant variance in scores obtained using Sarason's anxiety scale related to age in both groups of patients.

Calculated one-way ANOVA for the significance of age in stress test is presented in Table 1. In this calculation results also confirmed the influence of the age on the variance of obtained scores.

Table 1: ANOVA-related-age and scores using stress-test in both groups

a)					
Effect	SS	Degr. of Freedom	MS	F	р
Intercept	2229.803	1	2229.803	400.6634	0.000000
Stres test	110.125	11	10.011	1.7989	0.129696
Effor	100.175	18	5.565		
b)					
Effect	SS	Degr. of Freedom	MS	F	р
Intercept	2514.056	1	2514.056	539.8604	0.000000
Stres test	43.800	12	3.650	0.7838	0.660721
Effor	79.167	17	4.657		

Correlation between age and scores evaluated by Sarason's anxiety test is shown in Fig. 2. There was a small positive correlation between the two mentioned variables.

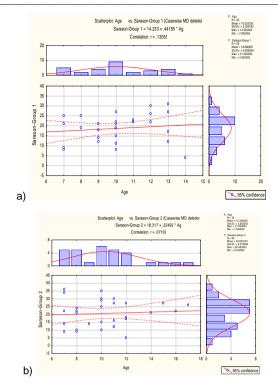


Figure 2: Correlation between age and scores obtained with Sarason's anxiety test

Correlation between scores obtained with Stress-test for both groups of patients is presented on Fig. 3.

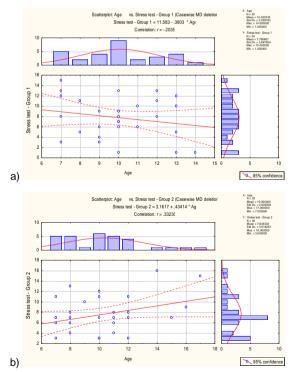


Figure 3: Correlations between age and obtained scores with Stress-test in both groups of examinees

As it can be seen, the correlation between age and obtained scores using Stress-test is negative

for orthodontic patients but positive for dental patients.

Finally, we used Student's t-test for obtained scores in both groups for both psychometric tests (Fig 4 and 5).

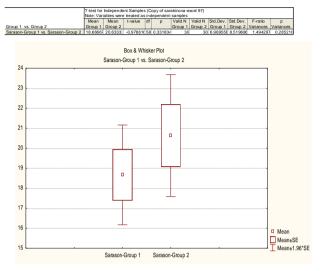


Figure 4: T-test for scores obtained with Sarason's anxiety test in both groups

The Student's t-test showed no significant differences in obtained scores for both tests in both groups of examinees.

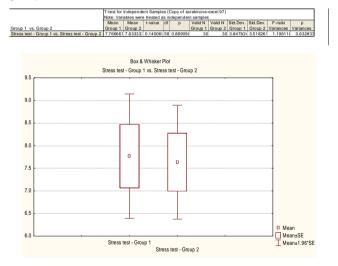


Figure 5: T-test for scores obtained with Stress-test in both groups

Coping can be defined as a set of cognitive and effective actions that arise in response to a particular concern. They represent an attempt to restore the balance or remove the turbulence for the individual. This may be done by solving the problem (removing the concern) or accommodating the concern without bringing about a solution.

The A-COPE applied in this research can be used as one single scale or broken into 12 sub-scales that reflect 12 different coping patterns: 1) ventilating feelings (like yelling and blaming), 2) seeking diversions (like sleeping or watching TV), 3)

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):692-697.

developing self-reliance and optimism (like organizing his/her life), 4) developing social support (like helping others solve their problems), 5) solving family problems (like working through family rules), 6) avoiding problems (like substance use or ignoring the problems), 7) seeking spiritual support (like talking to clergy), 8) investing in close friends (like boyfriends or girlfriends), 9) seeking professional support (like getting help from a counselor), 10) engaging in demanding activity (like strenuous physical activity or academically challenging activity), 11) being humorous (like making a joke of the situation), and 12) relaxing (like listening to music).

The obtained all 12 coping patterns for patients in both groups are presented in Fig. 6.

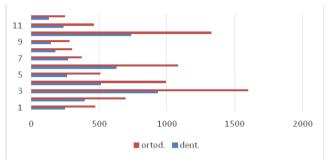


Figure 6: Obtained 12 coping patterns in both groups of patients

Coping mechanisms evaluated by A-cope test showed that in both groups the most important patterns used for stress relief were: developing selfreliance and optimism (3); avoiding problems (6) and engaging in demanding activity (10).

Discussion

The results of our study revealed the presence of moderate anxiety and relatively lowstress level in evaluated school children in both groups (orthodontic and dental settings). These results differ from our previous study [8] where they obtained anxiety scores were more accentuated and higher for girls compared to boys. We suppose that pretreatment preparation organised in the last period, as well as teaching children for the necessity of oral hygiene in elementary school, are very important for diminishing anxiety/stress manifestations. Our results are quite similar to the other studies in this context.

In a recent study published by Nelson et al., 2015 [8], the aim was to identify factors related to young children's distress during preventive oral health visits. The study showed that the majority of parents report that young children experience moderate to severe distress during preventive dental treatment. Pre-examination distress and difficulty with prior medical examinations and immunisations are significantly associated with distress during dental exam. Additionally, it was suggested that dental providers could help parents of young children to develop a habit of routine pediatric preventive care by anticipating child behaviours, informing parents about possible child reactions, providing parent coaching, and altering their style to facilitate a positive experience.

A similar study related to anxiety in dental practice was performed by Storjord et al., 2014 [3]. The authors compared dental anxiety in students of dentistry, biology, and psychology and showed that dental students demonstrated a lower degree of dental anxiety compared to psychology students and biology students. Senior dental students with clinical experience also showed a lower dental anxiety level than junior dental students. The practice-oriented dentistry education at the university might contribute to the differences in anxiety levels between new and experienced dentistry students.

Having in mind that dental fear and anxiety are strong negative emotions associated with dental treatment especially among children and adolescents, Cainetti et al., 2017 [9] conducted a meta-analysis about interventions used to diminish these problems. Two main techniques were analysed: pharmacological non-pharmacological. Non-pharmacological and interventions can be theoretically grouped into improved communication skills, rapport and trust behaviour modification building; techniques; cognitive behavioural therapy and physical restraints. Authors supported the second approach as more available and useful. In an earlier study, Hunter et al., 1990 [10] proposed rectally administered methohexital as a safe, effective sedative to ameliorate the stress of the dental surgical experience for the uncooperative child.

Unlike dental care for adults, care for young children necessitates a triadic relationship among the patient, parent, and clinician. Research demonstrates that the dental fear of a child who is 8 years or younger is significantly related to the dental fear of the parent. Emotional aspects of the dental experience, for the child and the parent, influence a parent's decision to return for subsequent dental visits [11].

Recommendations derived from the literature suggest that medical providers' use of distraction, nonprocedural talk, breathing exercises, specific directions to the child, and physical contact such as bouncing, patting, and rocking may improve a child's reaction to care. It has also been suggested to use the live or Filmed modelling technique as an effective intervention to prepare the child for a dental visit [12].

Interventions which can be useful for fear diminution are cognitive behavioural therapy, relaxation therapy, computer-assisted relaxation learning, hypnotherapy, group therapy, individual systematic desensitisation, pharmacological, flooding (implosion), and swallowing relaxation. These forms of treatment are essentially a form of counter conditioning to reverse the fear/anxiety into a state of acceptance and calm. Our own experience with peripheral biofeedback procedure showed a very positive effect to stress diminishing in different groups of children-patients [13] [14]. We strongly recommend the use of this technique.

In conclusion, the study confirmed moderate anxiety and relatively normal stress level in school undergoing orthodontic children and dental interventions. The obtained scores for psychometric tests are significantly different according to age (one-ANOVA). No significant differences were wav obtained between mean values of scores in both groups of examinees and for both psychometric tests (t-test was > 0.05). Using the Sarason's anxiety test a very small positive, but not significant correlation was obtained for age and scores (r = 0.13; r = 0.7, respectively). Using the Stress-test, calculated correlations between age and scores differ: it was positive for dental (r = 0.33) and negative for an orthodontic group of patients (r = -0.20), but without statistical significance. Three main coping patterns were used for stress mediation in the evaluated children: developing self-reliance and optimism (3): avoiding problems (6) and engaging in demanding activity (10).

We recommend the use of peripheral biofeedback for diminishing anxiety and stress as an easy to apply and highly cost-benefit procedure in children.

References

1. Basudan S, Binanzan N, Alhassan A. Depression, anxiety and stress in dental students. Int J Med Educ. 2017; 8:179-186. <u>https://doi.org/10.5116/ijme.5910.b961</u> PMid:28553831 PMCid:PMC5457790

2. Carter AE, Carter G, Boschen M, AlShwaimi E, and George R. Pathways of fear and anxiety in dentistry: A review. World J Clin Cases. 2014; 2(11): 642-653.

https://doi.org/10.12998/wjcc.v2.i11.642 PMid:25405187 PMCid:PMC4233415

3. Storjord HP, Teodorsen MM, Bergdahl J, Wynn R, and Kolset Johnsen JA. Dental anxiety: a comparison of students of dentistry, biology, and psychology. J Multidiscip Healthc. 2014; 7: 413-418. PMid:25285013 PMCid:PMC4181736

4. Pop-Jordanova N, Sarakinova O, Markovska-Simoska S, Loleska S. Anxiety and personality characteristics in children undergoing dental interventions. Contributions. MASA (Sec Med Sci), 2013; 34(3): 93-103.

5. Sarason IG. The test anxiety scale: concept and research. In Spielberg CD, Sarason IG, Stress and Anxiety (vol. 5) Washington DC, Hemisphere Publishing Co., 1978.

6. Spence SH, Barrett PM, Turner PM. Psychometric Properties of the Spence Children's Anxiety Scale with Young Adolescents. J Anxiety Disord. 2003; 17(6):605-625. https://doi.org/10.1016/S0887-6185(02)00236-0

7. Stanton AL, Kirk SB, Cameron CL, & Danoff-Burg, S. Coping

through emotional approach. Scale construction and validation. Journal of Personality and Social Psychology. 2000; 78(6): 1150-1169. <u>https://doi.org/10.1037/0022-3514.78.6.1150</u> PMid:10870915

8. Nelson TM, Huebner CE, Kim A, Scott JM, Pickrell JE. Parent-Reported Distress in Children Under 3-years Old During Preventive Medical and Dental Care. Eur Arch Paediatr Dent. 2015; 16(3): 283-290. <u>https://doi.org/10.1007/s40368-014-0161-9</u> PMid:25514877 PMCid:PMC4470890

9. Cianetti S, Paglia L, Gatto R, Montedori A, Lupatelli E. Evidence of pharmacological and non-pharmacological interventions for the management of dental fear in paediatric dentistry: a systematic review protocol. BMJ Open. 2017; 7(8): e016043. https://doi.org/10.1136/bmjopen-2017-016043 PMid:28821522 PMCid:PMC5629719

10. Hunter MJ, Griswold JD, Rosenberg M. Administration of methohexital for pediatric outpatient dentistry. Anesth Prog. 1990; 37(5): 248-251. PMid:2096749 PMCid:PMC2148599

11. Afshar H, Nakhjavani YB, Mahmoudi-Gharaei J, Mehrsa Paryab M, Zadhoosh S. The Effect of Parental Presence on the 5 year-Old Children's Anxiety and Cooperative Behavior in the First and Second Dental Visit. Iran J Pediatr. 2011; 21(2): 193-200. PMid:23056787 PMCid:PMC3446162

12. Paryab M, Zeinab Arab Z. The effect of Filmed modeling on the anxious and cooperative behavior of 4-6 years old children during dental treatment: A randomized clinical trial study. Dent Res J (Isfahan). 2014; 11(4): 502-507.

13. Pop-Jordanova N. Biofeedback application for somatoform disorders and attention deficit hyperactivity disorder (ADHD) in children, International Journal of Medicine and Medical Sciences, 2009; 1(2): 17-22.

14. Pop-Jordanova N, Demerdzieva A. Biofeedback Training for Peak Performance in Sport - Case Report. Macedonian Journal of Medical Sciences, 2010; 3(2): 113-118. https://doi.org/10.3889/MJMS.1857-5773.2010.0098



Exploring the Gingival Recession Surgical Treatment Modalities: A Literature Review

Mirsad Shkreta¹, Aneta Atanasovska-Stojanovska^{1*}, Blerta Dollaku², Zlatanka Belazelkoska¹

¹Department of Oral Pathology and Periodontology, Dental Clinical Center, Faculty of Stomatology, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia; ²Department of Restorative Dentistry and Endodontics, Faculty of Dentistry, University "Hasan Prishtina", Prishtina, Kosovo

Abstract

Citation: Shkreta M, Atanasovska-Stojanovska A, Dollaku B, Belazelkoska Z. Exploring the Gingival Recession Surgical Treatment Modalities: A Literature Review. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):698-708. https://doi.org/10.3889/oamjms.2018.185

Keywords: Gingival recession; Root coverage; Coronally advanced flap (CAF); Subepithelial connective tissue grafts (SCTG); Guided tissue regeneration (GTR)

*Correspondence: Aneta Atanasovska-Stojanovska. Department of Periodontology, Department of Oral Pathology and Periodontology, Dental Clinical Center, Faculty of Stomatology, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia. E-mail: anetaatanasovska@yahoo.com

Received: 02-Mar-2018; Revised: 25-Mar-2018; Accepted: 26-Mar-2018; Online first: 02-Apr-2018

Copyright: © 2018 Mirsad Shkreta, Aneta Atanasovska-Stojanovska, Blerta Dollaku, Zlatanka Belazelkoska. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

Gingival recessions present complex soft tissue pathology, with a multiple aetiology and a high prevalence which increases with age. They are defined as an exposure of the root surface of the teeth as a result of the apical migration of the gingival margin beyond the cementum-enamel junction, causing functional and aesthetic disturbances to the affected individuals. Aiming to ensure complete root coverage and satisfying aesthetic outcomes, a wide range of surgical techniques have been proposed through the decades for the treatment of the gingival recessions. The following literature review attempts to provide a comprehensive, structured and up-to-date summary of the relevant literature regarding these surgical techniques, aiming to emphasise for each technique its indications, its long-term success and predictability, its advantages and disadvantages about each other.

Introduction

Gingival recessions present one of the most common aesthetic and functional problems of the periodontium, but also one of the most complexes regarding the aetiology and the treatment modalities. They are defined as an exposure of the root surface of the teeth as a result of the apical migration of the gingival margin beyond the cementum-enamel junction [1] [2] [3]. It is very common: 50% of subjects in the populations studied have at least one or more sites of 1 mm of root exposure or more[1] [4] [5] [6]; it affectspatients with both good and poor oral hygiene [7] but with a higher prevalence in males [8] and in older ages [7]. It may be localized or generalized and it can affect one or more tooth surfaces, with the buccal ones being most frequently affected [7].

Besides aesthetic shortcomings [7] [8], gingival recessions have a high predisposition to be associated with functional problems related to root exposure, such as dentinal hypersensitivity [9] [10] [11], plaque retention, gingival inflammation, root caries [12] [13] [14] [15] [16], alveolar bone loss and eventually tooth loss [16] [17].

Like in many other periodontal conditions, the aetiology of gingival recessions is multifactorial and complex, with its exact mechanism not fully understood yet. It intertwines predisposing anatomic risk factors-such as bone dehiscence [18], gingival width and thickness insufficiency, tooth malposition [19] [20], aberrant attachment of the labial frenulum [1] [21] [22] with precipitating factors such as inflammation related to plaque, improper tooth brushinghabits [6] [21] [22] [23] [24], smoking [10], chronic trauma because of traumatic incisor relationship and iatrogenic factors related to improper restorative, prosthetic, orthodontic and periodontal procedures [25] [26].

Considering the high prevalence of this condition, the aesthetic and functional problems related to it and the challenges its treatment presents, a thorough understanding of the disease and its treatment modalities is of crucial importance, to manage it successfully and with predictable long-term outcomes.

Many attempts have been made by different authors [27] [28] [29] to provide a comprehensive classification system regarding gingival recessions. Miller [28] proposed useful recession defect classification based on the height of the interproximal papillae and interdental bone adjacent to the defect area, and the relation of the gingival margin to the mucogingival junction. This classification is useful when deciding on treatment options [30]. Nowadays, it is the most widely used.

Class I: Marginal tissue recession not extending to the mucogingival junction (MGJ). No loss of interdental bone or soft tissue

Class II: Marginal recession extending to or beyond the MGJ. No loss of interdental bone or soft tissue

Class III: Marginal tissue recession extends to or beyond the MGJ. Loss of interdental bone or soft tissue is apical to the CEJ but coronal to the apical extent of the marginal tissue recession.

Class IV: Marginal tissue recession extends to or beyond the MGJ. Loss of interdental bone extends to a level apical to the extent of the marginal tissue recession.

The key factors which determine the successful management of gingival recessions are the identification of its etiologic agents and their elimination, the assessment of the degree of tissue involvement and last but not least, the selection and the careful implementation of the appropriate surgical procedure in order to achieve optimal root coverage, improved soft tissue aesthetics and reduced sensitivity.

The selection of the surgical technique is influenced by some important factors related to the anatomy of the defect such as the size of the defect, the width of the keratinized gingiva apical to the recession, the thickness of the flap, the level of the interdental papilla and the alveolar bone, the vestibular depth and the position of the labial frenulum.

Evidence shows that the size of the initial recession defect will determine the amount of root coverage achieved [31]. Miller class I defects can achieve complete root coverage in 100% of cases, whereas in class II defects complete root coverage is seen in 88% of cases [28]. Larger recession defects rarely achieve full coverage. One study showed recession defects of 3-5 mm only managed to attain 80.6% coverage and recessions[32] greater than 5 mm only attained 76.6% root coverage with free gingival grafts. Nelson[33]reported 100% root coverage in recession defects less than 3 mm, 92% root coverage in recession defects of 4-6 mm and 88% in recession defects of 7-10 mm. Overall better results regarding the percentage of complete and mean root coverage can be achieved if defects are less than 4 mm [31].

Since 1960, a wide range of surgical techniques have been proposed for the treatment of the gingival recessions such as: the free gingival epithelialized graft [27]or free partially epithelialized graft [34], pedicle flaps such double papilla rotational laterallv repositioned "stimulated" flap [35] osteoperiosteal pedicle [36], laterally advanced flap [37] [38], coronally advanced flap [39] [40] [41], subepithelial connective tissuegraft [42] (the so-called envelope technique) and their modifications [43] [44]. Other authors have also combined some of the above-mentioned techniques, especially the coronally advanced flap technique, with enamel matrix derivative [45] [46], non-resorbable membranes [47] [48], resorbable membranes [49] [50], acellular dermal matrix allografts [51], xenogeneic collagen matrix [52] [53], platelet-rich plasma [54] [55] and living tissue-engineered human fibroblast-derived dermal substitute [56].

In general, the surgical procedures can be broadly classified in pedicle flap procedures, free graft procedures and guided tissue regeneration procedures either with resorbable or non-resorbable membranes [25]. Several modifications to the conventional techniques have been developed in an attempt to obtain optimal root coverage and better aesthetics.

The pedicle flap was the first periodontal plastic surgery procedure proposed in 1956 for root coverage [37]. This procedure consists in the repositioning of the donor tissue from an area adjacent to the recession defect to cover the exposed root surface. Since the flap remains attached at the base, it retains its blood supply, facilitating the revascularisation with the recipient site. Pedicle flap procedures involve:

a) Rotational flap procedures, which include a laterally positioned flap and the double papillae flap.

b) Flap advancement procedures such as the coronally advanced flap.

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):698-708.

Pedicle flap surgical techniques offer longterm predictability and satisfying aesthetic results in cases of relatively shallow single or multiple recession defects (< 5 mm) and if there is adequate keratinised tissue close to the recession defect. They are contraindicated in cases with an inadequate width of the keratinised gingiva, in subjects with a shallow vestibulum, or with a high insertion of the frenulum.

The laterally positioned flap procedure was the first pedicle graft procedure that was used for the treatment of gingival recessions [37]. It was first introduced by Grupe and Warren in 1956 [37] and later modified by Grupe [57]. It was a full-thickness flap prepared from the adjacent site on the side of the recession and repositioned to cover the defect. This was later modified by Hattler [58] who used a splitthickness flap repositioned in a similar way to cover multiple exposed root *surfaces*. Pfeifer and Heller [59] advocated the use of this split-thickness flap to minimise the potential risk for development of dehiscence at the donor's tooth.

The success rate reported for this technique was 69%-72% [60]. Literature findings suggest that several factors contribute to the success of the procedure. Factors such as the existence of a shallow recession defect [60] the adequate height and width of the keratinized tissue lateral to the recession [25]. the wide dimensions of the pedicle and the adequate tissue thickness of the flap [55] are critical in order to achieve predictable root coverage and good aesthetic results. The main advantages of the laterally positioned pedicle graft that it is relatively easy and not time-consuming, it produces excellent aesthetic results and avoids the need for a second surgical site [61]. The disadvantages, however, include the fact that it is applicable only for single-site recession, that there is a possible risk of gingival recession, dehiscence, or fenestration at the adjacent donor site, and that an adequate amount of keratinised tissue at the neighbouring donor site and a deep vestibule are needed.

Double papillae flap (DPF) procedures

This procedure was introduced by Cohen and Ross [35] to overcome the limitations presented by the laterally positioned flap regarding adequate width and height of keratinised gingiva. Since the procedure consists in the coverage of the exposed root by the interproximal papillae of both sides, it can be used in cases where there is insufficient keratinised gingiva on any one side of the recession defect. The excellent aesthetic result thanks to the perfect colour matching of the donor tissue with the recipient is the main advantage of this technique. Anyway, the procedure presents some major drawbacks such as its limitation

Coronally advanced flap (CAF) procedures

This procedure was first presented by Bernimoulin et al., [41] and it involves the coronal repositioning of the gingival tissue that lies apical to the recession defect. In cases representing shallow recession defects, a thick gingival biotype and a sufficient amount of keratinised gingiva, it can be performed as a one-stage procedure [40]. In other cases, when the thickness and/or amount of the keratinised gingival tissue are an issue, there is the need first to increase the thickness and the amount of the gingiva using a free gingival graft, a connective tissue graft or a resorbable/non-resorbable membrane (guided tissue regeneration). At a second stage, after three months of healing, the tissue can be coronally advanced to cover the recession defects. Since the soft tissue used to cover the root exposure is similar in colour, texture and thickness and blends perfectly with the in-situ gingiva, the coronally advanced flap procedures provides great aesthetic results [63], as long as some critical criteria-such as the presence of adequate keratinized tissue apical to the root exposure, the presence of adequate sulcular depth and no interproximal bone loss- are met [55].

The coronally advanced flap can be used with great reliability and predictability for the treatment of Miller Class I and II recession defects [25] [40] [64]. Zuchelli and de Sanctis [65] have also proposed a modified approach for the treatment of multiple recession defects in cases with high aesthetic demands.

The mean root coverage achieved with a single stage coronally repositioned flap varies between 55-99% and complete root coverage ranges from 24-95% of sites [25] [66]. According to Huang et al., [55], several factors such as the height of the interdental papilla, the amount of keratinised gingiva, the presence of gingival cleft extending in the alveolar mucosa, the deep cervical wear, the frenulum attachment, and the vestibular depth-might have an impact in the outcome.

Pini-Prato et al., [67] concluded that to achieve 100% root coverage with a coronally repositioned flap, the flap should be overcompensated by 2-2.5 mm and sutured tension-free. However, this may be difficult in cases where there are a large recession defect and a shallow sulcus depth. The coronally advanced flap is often used together with a subepithelial connective tissue graft and has proven to be the standard golden treatment in the treatment of recession defects [68]. In Miller's Class I defects, this combination has been shown to provide complete root coverage of the recession defect [69].

Newer approaches that involve the combination of CAF with acellular dermal matrix graft, enamel matrix derivative, platelet rich fibrin, or collagenous membrane are described further in this article.

Free graft procedures consist in the harvesting of soft tissue from the palatal mucosa, the maxillary tuberosity area or an edentulous ridge and placing it over a recession defect. This technique presents several differences compared to pedicle grafts such as the need of two surgical sites, the lack of the graft's blood supply, making it reliant on the vascularisation offered by the recipient site. For this to occur, there needs to be an adequate overlap of the graft tissue with the soft tissue around the recession defect at the recipient site. Immobilization of the graft at the recipient site is also essential. The success of this technique depends on the thickness of the graft tissue obtained. Therefore, the thickness and volume of the tissue to be grafted from the donor site are important factors in determining the appropriate treatment method and for predicting the prognosis [70] [71].

The commonly used free graft techniques include an epithelialized free gingival graft and a subepithelial connective tissue graft placed either with a pedicle flap, an envelope technique or a tunnelling technique.

The free gingival graft was first described by Bjorn in 1963 [72], and Sullivan and Atkins in 1968 [27] and it was initially used to increase the amount of the attached gingiva and extend the vestibular depth. Later it was used to cover exposed root surfaces.

Free gingival grafts can be used in either one stage procedure, where the graft is placed directly over the root surface, or in a two-stage procedure when the gingival biotype is thin at the recipient site. In this case, the graft is placed apical to the recession defect, and following healing, a pedicle flap is raised and moved coronally to cover the exposed root surface.

Wennstrom [25] reports that the success of free gingival grafts in root coverage is lower compared to other surgical procedures. The mean root coverage achieved with an epithelialized free gingival graft has been shown to vary between 9-87%, and complete root coverage varies between 9-72% of sites [66].

Several factors such as the adequate blood supply from tissues adjacent to the graft bed, the dimensions, border characteristics, thickness and the immobilization of the graft [73] and also the smoking habits of the patient (more than 10 cigarettes/day) [74] have been reported to influence the success of the procedure. Besides of offering several advantages such as the simplicity of the technique, the possibility to be used in situations that need an increase of the amount of the attached gingiva, this procedure comprises several disadvantages as well, such as the colour mismatch between the donor and recipient tissues, the increased discomfort and the potential for postoperative bleeding from the donor area because of the large wound that heals by secondary intention [75].

The subepithelial connective tissue graft is a bilaminar procedure designed to maximise the supraperiosteal and gingival blood supply of the grafted tissue. The subepithelial connective tissue graft that is usually harvested from the palate is placed over the recession area, while nutrients and revascularisation are derived from the recipient bed, the interdental papillae, and the overlying flap. This method is suitable for covering recessions of both single and multiple adjacent teeth and is especially indicated when aesthetics is the primary consideration. As well as providing root coverage, the subepithelial connective tissue graft can also be used to increase the thickness of the gingival tissues in areas of the gingival recession to reduce the risk of further recession in the future.

Subepithelial connective tissue grafts were first introduced by Langer and Calagna in 1980 [76] and further described in detail by Langer and Langer in 1985 [43]. It was presented as an alternative that overcame the limitations of the free gingival graft since it provided great aesthetic results, lower morbidity of the donor site because of its healing by primary intention and most importantly it offered excellent predictability of the results. For any given site. Nelson reported mean root coverage of 88% [33] while both Levine [77] and Harris [78] reported ~97% root coverage, whereas Tozum et al., [79] reported 96.4%. According to a study focused on the long-term results (27.5 months) of the subepithelial connective tissue grafts, these graft have been shown effective in obtaining a mean of 98.4%root coverage in 100 patients with 146 Miller class I or II recession defects [80]. Other studies on the subepithelial connective tissue graft have considered it to be a predictable method to obtain root coverage in recession defects on molars [81] and on other sites [51]. Chambrone et al., [82], conducted a systematic review and the results showed that the subepithelial connective tissue provided significant root coverage and arafts significant clinical attachment and keratinised tissue gain. Overall comparisons allow us to consider the subepithelial connective graft in combination with the overlying flap as the golden standard procedure in the treatment of recession-type defects [82].

Various modifications of the original technique have been proposed, including connective tissue graft with or without an epithelial collar, partially or covered by a pedicle flap, with an envelope or tunnel design preparation [83] [84].

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):698-708.

The main advantages of this procedure are that: it maintains a blood supply to the graft and therefore has good predictability; it provides good aesthetics with preservation of the original flap tissue; the donor site wound is less haemorrhagic and painful and can be healed by primary intention; it is simultaneously applied to both single and multiple recessions. However, the main disadvantage is the fact that this technique is technically demanding and more time-consuming.

Table 1: Comparison of different root coverage techniques in
terms of advantages, disadvantages and success rate

Technique	Advantages	Disadvantages	Success rate
LPF [19] [25] [37] [38] [57] [58] [60] [61]	Easy and not time-consuming. No need for a second surgical site. Good aesthetic results.	Applicable only for single-site recessions. Possible risk of gingival recession, dehiscence, or fenestration at the adjacent donor site. An adequate amount of keratinised tissue at the neighbouring donor site and a deep vestibule are needed.	69-72%
DPF [35] [62]	Perfect colour matching of the donor tissue with the recipient. Excellent aesthetic result.	Applicable only for single-site recessions. Poor predictability.	34-82%
CAF [25] [40] [41] [55] [63] [64] [66] [68] [69]	Effective. Excellent aesthetic results. Applicable for single- site and multiple- site recessions.	Presence of adequate keratinised tissue apical to the root exposure, adequate sulcular depth and no interproximal bone loss are needed.	55-99%
FGG [25] [27] [66] [72] [73] [74] [75]	Simplicity of the technique. Can be used in situations that need an increase in the amount of the attached gingiva.	Need of two surgical sites. Lack of the graft's blood supply, relying on the vascularisation offered by the recipient site. Increased discornfort for the patient. The potential for post-operative bleeding. Lower success rate. Colour mismatch.	9-72%
SCTG [43] [51] [76] [77] [78] [79] [80] [81] [82] [83] [84]	Applicable for single- site and multiple- site recessions. Great predictability. Excellent aesthetic results. Lower morbidity of the donor site compared to FGG thanks to the healing by primary closure.	Need of two surgical sites. A limited quantity of graft that can be harvested. Technically demanding. Time-consuming.	88-97%
GTR [85] [86] [87] [88] [89] [90] [91]	Good aesthetics. No need for a second donor site.	No added clinical benefit for the patient treatment in comparison to other traditional root coverage techniques. Need of a second surgical stage when non-resorbable membrane are used. High postoperative membrane exposure rate with potential infection and difficulties with wound closure. Applicable only to single-site recession defects.	45-81%
CAF +ADMA [51] [93] [94] [95] [96] [97] [98]	Unlimited availability. No need for a second donor site. Similar results as SCTG regarding the mean root coverage and the aesthetic outcome Lower postoperative discomfort for the patient. Applicable for single- site and multiple- site recessions.	Not as effective as the SCTG technique in increasing the width of the attached gingiva.	88.7-97 %
CAF+ EMD [45] [69] [99] [100] [101] [102] [103] [104] [105]	Unlimited availability. Unlimited availability. No need for a second donor site. Similar results as SCTG regarding the mean root coverage and the aesthetic outcome Lower postoperative discomfort for the patient. Applicable for single- site and multiple- site recessions.	Significant variation in the clinical outcomes.	62-89%
CAF+ PRF [106] [107] [108] [109] [110] [111] [112] [113] [114]	Unlimited availability. No need for a second donor site. Lower postoperative discomfort for the patient. Healing biomaterial with great potential for bone and soft tissue regeneration. Enhanced wound healing. Similar clinical results in solving gingival recession problems	More extensive studies are needed to prove its predictability.	72.1- 92.7%
CAF + CM [52] [53] [115] [116] [117] [118] [119]	Unlimited availability. No need for a second donor site. Lower postoperative discomfort for the patient. Increases gingival thickness and the width of the keratinised gingiva.	More extensive studies are needed to prove its predictability.	88.5- 94.32

The guided tissue regeneration technique consists in the placement of a non-resorbable or resorbable membrane between the recession defect and the exposed bone on one side and the coronally advanced flap on the other, with the aim to allow the selective repopulation of the root surface by periodontal ligament cells that can form new connective tissue attachment between the root surface and the alveolar bone. The first authors that have studied the use of guided regeneration techniques in the treatment of gingival recessions were Tinti and collaborators [85] [86] [87] [88].

In spite of providing several advantages, such as good aesthetics, the absence of the need for a second donor site, a realistic opportunity for true regeneration of the lost periodontal attachment, this technique also has some major drawbacks. Several literature reviews [89] [90] have concluded that GTR doesn't provide an added clinical benefit for the patient treatment in comparison to other traditional root coverage techniques such as the connective tissue graft or the coronally advanced flap procedure. Moreover, two meta-analyses conducted by Al-Hamdan et al., [90] and Clauser et al., [91], concluded that conventional mucogingival surgery resulted in statistically better root coverage, the width of keratinised gingiva, and complete root coverage compared to GTR. Other disadvantages are also the need of a second surgical stage when non-resorbable membrane are used, and the high postoperative membrane exposure rate is resulting in colonisation by oral microbiota [92], potential infection and difficulties with wound closure [89] [90]. Furthermore, the application of this technique is still restricted to single recession defects due to limitations concerning the membrane design, the properties of the membrane material, and the possibility mentioned above of membrane exposure.

Even though the combination of CAF with connective tissue grafts has been demonstrated to be the golden standard in the achievement of predictable root coverage of the recession defects, there are several limitations related to the harvesting of soft tissue autografts, such as the postoperative discomfort associated with an extra surgical site and the limited quantity of soft tissue that can be harvested. To overcome these limitations, Silverstein and Callan [93] advocated the use of an acellular dermal matrix allograft as a substitute for soft tissue autografts. The acellular dermal matrix allograft is biocompatible, safe and non-immunogenic since it is prepared by the removing of the cell components from the human donor skin and the preservation of the ultrastructural integrity.

Many clinical studies revealed the effectiveness of ADMA in the treatment of gingival recession defects [51] [94] [95] [96] [97] both in the short-term and in the long term. The use of the acellular dermal matrix produced a thicker marginal tissue and yielded a higher percentage of root coverage than a CAF alone [95]. Compared to CAF+SCTG several authors [51] [96] demonstrated that there was no statistically significant difference in the

mean root coverage obtained between the two procedures and that they were both aesthetically acceptable to the patients. A meta-analysis comparing the efficacy of ADM-allografts to other methods concluded that ADM-based root coverage therapy could be used successfully to repair gingival recession defects and to increase the width of the keratinised gingiva [98].

Thus, recession defects might be successfully covered using either an ADMA or SCTG, with no practical difference in the root coverage results or the aesthetics and with the advantage that ADMA offers unlimited availability of donor tissue, making it possible to treat many sites in one single surgical procedure, which improves patient case acceptance and reduces postoperative discomfort. The only drawback of the procedure is the fact that in spite of similar root coverage rates, AMDA technique is not as effective as the SCTG technique in increasing the width of the attached gingiva[51].

Enamel matrix derivative is an extract of the enamel matrix and contains amelogenins of various molecular weight, which according to several authors, are considered to play a particular role not only in enamel formation, but also in the formation of the cementum, periodontal ligament and alveolar bone [99] [100] [101].

Studies have shown that EMD enhances the proliferation and protein production by human periodontal ligament cells in vitro. Moreover, two histological studies showed the formation of new cementum, organising PDL fibres and newly formed bone after treating recession with SCTG+EMD or CPF+EMD [45] [102]. A randomised controlled trial that compared the treatment of Miller Class I and II defects with either EMD+CAF or SCTG+CAF revealed that at the 10-year follow-up evaluation, both techniques provided stable, clinically effective results and that they were similar to each other on all measured parameters [103].

Also, a review article from Cairo et al., [69] concluded that SCTG or EMD in conjunction with CAF enhances the probability of obtaining complete root coverage in Miller Class I and II single gingival recessions.

According to many recent studies, the combination of EMD with CAF produces similar results as the combination of SCGT with CAF regarding the predictability of the treatment of gingival recessions [104] [105].

A recent innovation in dentistry is the preparation and use of platelet-rich fibrin, an autologous leukocyte-platelet-rich fibrin matrix prepared from centrifuged blood without any addition of anticoagulant and bovine thrombin [106].

PRF was first developed in France by Choukroun et al., [107] for special use in oral and

maxillofacial surgery.

These growth factors are involved in wound healing and act as promoters of tissue regeneration.A number of studies have confirmed that the specific dense three-dimensional (3D) structure of the fibrin gel in PRF and the action of cytokines and growth factors trapped in the mesh fibrin matrix upregulate cellular activity, promote neoangiogenesis [108] [109]. bone growth and maturation and periodontal regeneration in vivo [110]. Some studies have demonstrated that PRF is a healing biomaterial with great potential for bone and soft tissue regeneration. without inflammatory reactions and may be used alone or in combination with bone grafts [111] [112]. Moreover, PRF used in the treatment of gingival recession problems provides several advantages related to the avoidance of a donor site surgical procedure, advanced tissue healing for the first 2 weeks post-surgery, and a major decrease in patient discomfort during the early wound healing period.

Moreover, studies that evaluated the clinical efficacy of PRF in comparison to SCTG concluded that both procedures provided similar clinical results in solving gingival recession problems. No difference could be found between PRF and SCTG procedures in gingival recession therapy, except for a greater gain in keratinised tissue width obtained in the SCTG group and enhanced wound healing associated with the PRF group [113] [114].

The need to avoid palatal donor sites and to have unlimited material availability has inspired researchers to search for alternative options to treat gingival recessions. One of these new approaches is the use of a xenogeneic collagen matrix (CM) of porcine origin (Mucograft[®], Geistlich, Wolhusen, Switzerland) in combination with the coronally advanced flap. Structurally, it is composed by two functional layers: an outer compact collagen layer which contributes to structure integrity, protection against infections and allows for better control during suturing, and an inner spongious layer which provides a suitable environment for early vascularisation and promotion of cellular recruitment.

Several studies have concluded that the collagen matrix of porcine origin has proven to be as effective and predictable as the connective tissue graft for increasing the width of KG and to be associated with significantly lower patient morbidity [52] [115] [116] [117].

In 2009, Sanz et al., [115] conducted a randomised retrospective clinical trial consisting of 20 patients followed for 1, 3 and 6 months about keratinised tissue gained through SCTG vs CM augmentation. They found a statistically significant amount of keratinised tissue achieved with both grafting materials (2.6 mm and 2.5 mm respectively) and lower patient morbidity associated with the collagen matrix. Similarly, in one of the first clinical

studies that have compared CM to SCTG, McGuire et al., [52] found that for both techniques, parameters such as the mean clinical attachment level, the periodontal depth and the keratinised gingiva width, improved significantly compared to baseline. All parameters tested for differences between treatment groups also showed equivalence, and at 6 months, no difference could be made in regards to colour or texture.

Another study by Cardaropoli et al., [53] comparing the CM+CAF technique and the SCTG+CAF technique, demonstrated that both these methods provided a significant reduction of the recession depth after 12 months and that there was no significant difference between them regarding all the clinical parameters that were investigated. The authors concluded that CM could deservedly be considered a substitute for the subepithelial connective tissue graft regarding the treatment of gingival recessions.

Anyway, a study by Jepsen et al., [118] which has compared the CM+CAF technique with CAF technique alone, has found that CM+CAF combination is not superior to the other technique regarding root coverage, but it improves the gingival thickness and the width of the keratinised gingiva significantly.

A more recent multicentre clinical trial concerning the treatment of isolated recessions proved that the combination of CM+CAF significantly increased the marginal soft tissue thickness and the patient satisfaction compared to coronally advanced flaps alone [119].

However, since the CM+CAF technique is relatively new, more studies are needed to determine its effectivity. If it proves to be as effective as the SCTG in providing adequate root coverage, adequate recession reduction and increased width of the keratinised gingiva, then it will undoubtedly be a priceless asset to the clinician in the treatment of gingival recessions.

In conclusion, gingival recessions present challenging soft tissue pathology, with multiple aetiology and a high prevalence which increases with age. Its successful surgical management is closely related to the identification and the elimination of its etiologic factors, the careful selection of the surgical technique and its correct implementation because the procedure is very technique-sensitive. A wide range of surgical techniques has been proposed for the treatment of the gingival recessions, each with its and disadvantages. advantages То provide predictable and long-term results, it is of paramount importance that the surgical technique is individually selected, taking into account several crucial factors such as the size of the defect, the width of the keratinised gingiva apical to the defect and the thickness of the flap. So far, the combination of the subgingival connective tissue graft with the coronally advanced flap represents the gold standard in the

treatment of the gingival recessions. More recent techniques such as the combination of CAF with enamel matrix derivative, or with platelet-rich fibrin or with xenogeneic collagen membrane, need further evaluation through more extensive studies.

References

1. Gorman WJ. Prevalence and aetiology of gingival recession. Journal of Periodontology. 1967; 38:316–22. https://doi.org/10.1902/jop.1967.38.4.316 PMid:5230025

2. Winders RV. Gingival recession of mandibular incisors related to malocclusion of the teeth. Journal of the Wisconsin State Dental Society. 1971; 47:339–43. PMid:5287622

3. Gartrell JR, Mathews DP. Gingival recession. The condition, process and treatment. Dental Clinics of North America. 1976; 20:199–213. PMid:1061689

4. Albandar JM, Kingman A. Gingival recession, gingival bleeding, and dental calculus in adults 30 years of age and older in the United States, 1988-1994. Journal of Periodontology. 1999; 70(1):30-43. <u>https://doi.org/10.1902/jop.1999.70.1.30</u> PMid:10052768

5. Loe H, Anerud A, Boysen H. The natural history of periodontal disease in man: Prevalence, severity and extent of gingival recession. Journal of Periodontology. 1992; 63:489–95. https://doi.org/10.1902/jop.1992.63.6.489 PMid:1625148

6. Vehkalahti M. Occurrence of gingival recession in adults. Journal of Periodontology. 1989; 60:599–603. <u>https://doi.org/10.1902/jop.1989.60.11.599</u> PMid:2600746

7. Kassab MM, Cohen RE. The aetiology and prevalence of gingival recession. Journal of the American Dental Association. 2003; 134(2):220-5. https://doi.org/10.14219/iada.archive.2003.0137

8. Susin C, Haas AN, Oppermann RV, Haugejorden O, Albandar

 Susin C, Haas AN, Oppermann RV, Haugejorden O, Albandar JM. Gingival recession epidemiology and risk indicators in a representative urban Brazilian population. Journal of Periodontology. 2004; 75:1377–86. https://doi.org/10.1902/jop.2004.75.10.1377 PMid:15562916

9. Al Wahadni A, Linden GJ. Dental hypersensitivity in Jordanian dental attenders. A case control study. Journal of Clinical Periodontology. 2002; 29:688–93. <u>https://doi.org/10.1034/j.1600-051X.2002.290804.x</u> PMid:12390564

10. Joshipura KJ, Kent RL, DePaola PF. Gingival Recession: Intra-oral distribution and associated factors. Journal of Periodontology. 1994; 65:864–71.

https://doi.org/10.1902/jop.1994.65.9.864 PMid:7990024

11. Khocht A, Simon G, Person P, Denepitiya JL. Gingival recession in relation to history of hard tooth brush use. Journal of Periodontology. 1993; 64:900–5. https://doi.org/10.1902/jop.1993.64.9.900 PMid:8229627

12. Lawrence HP, Hunt RJ, Beck JD. Three-year root caries incidence and risk modeling in older adults in North Caroline. Journal of Public Health Dentistry. 1995; 55:69–78. https://doi.org/10.1111/j.1752-7325.1995.tb02335.x PMid:7643330

13. DePaola PF, Soparkar PM, Tavares M, Kent RL, Jr. The clinical profiles of individuals with and without root surface caries. Gerodontology. 1989; 8:9–15. <u>https://doi.org/10.1111/j.1741-2358.1989.tb00396.x</u> PMid:2640454

14. Leske GS, Ripa LW. Three-year root caries increments: An analysis of teeth and surfaces at risk. Gerodontology. 1989; 8:17–21. <u>https://doi.org/10.1111/j.1741-2358.1989.tb00397.x</u>

15. Hellyer PH, Beighton D, Heath MR, Lynch EJ. Root caries in older people attending a general dental practice in East Sussex.

British Dental Journal, 1990; 169:201-6. https://doi.org/10.1038/sj.bdj.4807326 PMid:2223292

16. Seichter U. Root surface caries: A critical literature review. Journal of the American Dental Association. 1987; 115:305-10. https://doi.org/10.14219/jada.archive.1987.0236 PMid:3305657

17. Zucchelli G., Testori T., De Sanctis M. Clinical and anatomical factors limiting treatment outcomes of gingival recession: a new method to predetermine the line of root coverage. Journal of Periodontology. 2006; 77(4):714-721. https://doi.org/10.1902/jop.2006.050038 PMid:16584355

18. Bernimoulin J, Curilovié Z. Gingival recession and tooth mobility. Journal of Clinical Periodontology. 1977; 4:107-14. https://doi.org/10.1111/j.1600-051X.1977.tb01890.x PMid:266503

19. Guinard EA, Caffesse RG. Treatment of localized gingival recessions. Part I. Lateral sliding flap. Journal of Periodontology. 1978; 49:351-6. https://doi.org/10.1902/jop.1978.49.7.351 PMid:279662

20. Parfitt GJ, Mjör IA. A clinical evaluation of local gingival recession in children. Journal of Dentistry forChildren. 1964; 31:257-62

21. Sangnes G. Giermo P. Prevalence of oral soft and hard tissue lesions related to mechanical tooth cleaning procedures. Community Dentistry and Oral Epidemiology. 1976; 4:77–83. https://doi.org/10.1111/j.1600-0528.1976.tb01607.x PMid:1062255

22. Trott JR, Love B. An analysis of localized gingival recession in 766 Winnipeg high school students. Dental Practitioner and Dental Record. 1966; 16:209–13. PMid:5218030

23. Sangnes G. Traumatization of teeth and gingiva related to habitual tooth cleaning procedures. Journal of Clinical Periodontology. 1976; 3:94-103. https://doi.org/10.1111/j.1600-051X.1976.tb01855.x PMid:1064598

24. Iwakami K, Watanabe Y. Gingival response by the effect of brushing method and hardness of the toothbrush bristle. Journal of Meikai University School of Dentistry. 1989; 18:244-66. PMid:2489671

25. Wennstrom JL. Mucogingival therapy. Annals of Periodontology. 1996; 1(1):671-701. https://doi.org/10.1902/annals.1996.1.1.671 PMid:9118276

26. Tugnait A, Clerehugh V. Gingival recession- its significance and management. Journal of Dentistry. 2001; 29(6):381-394. https://doi.org/10.1016/S0300-5712(01)00035-5

27. Sullivan HC, Atkins JH. Free autogenous gingival grafts. I. Principles of successful grafting. Periodontics. 1968; 6(3):121-9. PMid:5240496

28. Miller PD Jr. A classification of marginal tissue recession. International Journal of Periodontics and Restorative Dentistry. 1985; 5(2):8-13. PMid:3858267

29. Smith RG. Gingival recession. Reappraisal of an enigmatic condition and a new index for monitoring. Journal of Clinical Periodontology. 1997; 24:201-5. https://doi.org/10.1111/j.1600-)492.x

30. MaynardGJ. The Value of Periodontal Plastic Surgery - Root Coverage. International J Periodontics Restorative Dent. 2004; 24.

31. Chambrone L, Sukekava F, Araujo M G etal. Root coverage procedures for the treatment of localized recession-type defects: a Cochrane systematic review. Journal of Periodontology. 2010; 81:452-478. https://doi.org/10.1902/jop.2010.090540 PMid:20367089

32. Holbrook T, Ochsenbein C. Complete coverage of the denuded root surface with a one-stage gingival graft. International Journal of Periodontics and Restorative Dentistry. 1983; 3:8-27. PMid:6358084

33. Nelson S W. The subpedicle connective tissue graft. A bilaminar reconstructive procedure for the coverage of denuded root surfaces. Journal of Periodontology. 1987; 58:95-102. https://doi.org/10.1902/jop.1987.58.2.95 PMid:3546673

34. Stimmelmayr, Stangl, Edelhoff, Beuer. Clinical prospective

study of a modified technique to extend the keratinized gingiva around implants in combination with ridge augmentation: one-year results. International Journal of Oral and Maxillofacial Implants. 2011; 26:1094-101. PMid:22010094

35. Cohen DW, Ross SE. The double papillae repositioned flap in periodontal therapy. Journal of Periodontology. 1968; 39(2):65-70. https://doi.org/10.1902/jop.1968.39.2.65

36. Smukler H, Goldman HM. Laterally repositioned "stimulated" osteoperiosteal pedicle grafts in the treatment of denuded roots. A preliminary report. Journal of Periodontology. 1979; 50(8):379-83. https://doi.org/10.1902/jop.1979.50.8.379 PMid:289753

37. Grupe J, Warren R. Repair of gingival defects by a sliding flap operation. Journal of Periodontology 1956; 27:2905. https://doi.org/10.1902/iop.1956.2

38. Zucchelli G, Cesari C, Amore C, Montebugnoli L, De Sanctis M. Laterally moved, coronally advanced flap: a modified surgical approach for isolated recession-type defects. Journal of Periodontology. 2004; 75(12):1734-41. https://doi.org/10.1902/jop.2004.75.12.1734 PMid:15732880

39. Liu WJ. Solt CW.A surgical procedure for the treatment of localized gingival recession in conjunction with root surface citric acid conditioning. Journal of Periodontology. 1980; 51(9):505-9. https://doi.org/10.1902/jop.1980.51.9.505 PMid:6932504

40. Allen EP1, Miller PD Jr. Coronal positioning of existing gingiva: short term results in the treatment of shallow marginal tissue recession. Journal of Periodontology. 1989; 60(6):316-9. https://doi.org/10.1902/jop.1989.60.6.316 PMid:2778599

41. Bernimoulin JP, Luscher B, Muhlemann HR. Coronally repositioned periodontal flap. Clinical evaluation after one year. Journal of Clinical Periodontology. 1975; 2:1-13. s://doi.org/10.1111/i.1600tb01721 x PMid 1055724 1075

42. Raetzke PB. Covering localized areas of root exposure employing the "envelope" technique. Journal of Periodontology. 1985; 56(7):397-402. https://doi.org/10.1902/jop.1985.56.7.397 PMid:3894614

43. Langer B, Langer L. Subepithelial connective tissue graft technique for root coverage. Journal of Periodontology. 1985; 56:715-720. https://doi.org/10.1902/jop.1985.56.12.715 PMid:3866056

44. da Silva RC, Joly JC, de Lima AF, Tatakis DN. Root coverage using the coronally positioned flap with or without a subepithelial connective tissue graft. Journal of Periodontology. 2004; 75(3):413-9. https://doi.org/10.1902/jop.2004.7 PMid:15088880

45. McGuire MK, Cochran DL. Evaluation of human recession defects treated with coronally advanced flaps and either enamel matrix derivative or connective tissue. Part 2: Histological evaluation. Journal of Periodontology. 2003; 74:1126-35. https://doi.org/10.1902/jop.2003.74.8.1126 PMid:14514225

46. Modica F, Del Pizzo M, Roccuzzo M, Romagnoli R.Coronally advanced flap for the treatment of buccal gingival recessions with and without enamel matrix derivative. A split-mouth study. Journal of Periodontology. 2000; 71(11):1693-8. https://doi.org/10.1902/jop.2000.71.11.1693 PMid:11128916

47. Pini Prato G, Tinti C, Vincenzi G, Magnani C, Cortellini P, Clauser C.Guided tissue regeneration versus mucogingival surgery in the treatment of human buccal gingival recession. Journal of Periodontology. 1992; 63(11):919-28. https://doi.org/10.1902/jop.1992.63.11.919 PMid:1453307

48. Trombelli L, Schincaglia G, Checchi L, Calura G. Combined guided tissue regeneration, root conditioning, and fibrin-fibronectin system application in the treatment of gingival recession. A 15case report. Journal of Periodontology. 1994; 65(8):796-803. https://doi.org/10.1902/jop.1994.65.8.796 PMid:7965558

49. Roccuzzo M, Lungo M, Corrente G, Gandolfo S. Comparative study of a bioresorbable and a non-resorbable membrane in the treatment of human buccal gingival recessions. Journal of Periodontology. 1996; 67(1):7-14. https://doi.org/10.1902/jop.1996.67.1.7 PMid:8676277

50. Tatakis DN, Trombelli L. Gingival recession treatment: guided tissue regeneration with bioabsorbable membrane versus connective tissue graft. Journal of Periodontology. 2000; 71(2):299-307. <u>https://doi.org/10.1902/jop.2000.71.2.299</u> PMid:10711621

51. Harris RJ. A comparative study of root coverage obtained with an acellular dermal matrix versus a connective tissue graft: results of 107 recession defects in 50 consecutively treated patients. International Journal of Periodontics and Restorative Dentistry. 2000; 20(1):51–59. PMid:11203548

52. Cardaropoli D, Tamagnone L, Roffredo A, Gaveglio L. Treatment of gingival recession defects using coronally advanced flap with a porcine collagen matrix compared to coronally advanced flap with connective tissue graft: a randomized controlled clinical trial. Journal of Periodontology. 2012; 83(3):321-8. https://doi.org/10.1902/jop.2011.110215 PMid:21721988

53. McGuire MK, Scheyer ET. Xenogeneic collagen matrix with coronally advanced flap compared to connective tissue with coronally advanced flap for the treatment of dehiscence-type recession defects. Journal of Periodontology. 2010; 81(8):1108-17. <u>https://doi.org/10.1902/jop.2010.090698</u> PMid:20350159

54. Cheung WS, Griffin TJ. A comparative study of root coverage with connective tissue and platelet concentrate grafts: 8-month results. Journal of Periodontology. 2004; 75(12):1678-87. https://doi.org/10.1902/jop.2004.75.12.1678 PMid:15732871

55. Huang LH, Neiva RE, Wang HL. Factors affecting the outcomes of coronally advanced flap root coverage procedure. Journal of Periodontology. 2005; 76(10):1729–1734. https://doi.org/10.1902/jop.2005.76.10.1729 PMid:16253095

56. Wilson TG Jr, McGuire MK, Nunn ME. Evaluation of the safety and efficacy of periodontal applications of a living tissueengineered human fibroblast-derived dermal substitute. II. Comparison to the subepithelial connective tissue graft: a randomized controlled feasibility study. Journal of Periodontology. 2005; 76(6):881-9. <u>https://doi.org/10.1902/jop.2005.76.6.881</u> PMid:15948681

57. Grupe HE. Modified technique for the sliding flap operation. Journal of Periodontology. 1966; 37(6):491-5. https://doi.org/10.1902/jop.1966.37.6.491 PMid:5224017

58. Hattler AB. Mucogingival surgery - utilization of interdental gingiva as attached gingiva by surgical displacement. Periodontics 1967; 5: 126–131. PMid:5340348

59. Pfeifer JS, Heller R. Histologic evaluation of full and partial thickness lateral repositioned flaps. A pilot study. Journal of Periodontology. 1971; 42(6): 331-333.

https://doi.org/10.1902/jop.1971.42.6.331 PMid:5282573

60. Zucchelli G, Cesari C, Amore C, Montebugnoli L, De Sanctis M. Laterally moved, coronally advanced flap: a modified surgical approach for isolated recession-type defects. Journal of Periodontology. 2004; 75(12):1734-41.

https://doi.org/10.1902/jop.2004.75.12.1734 PMid:15732880

61. Goldstein M, Brayer L, Schwartz Z. A critical evaluation of methods for root coverage. Critical Reviews in Oral Biology and Medicine. 1996; 7(1):87-98.

https://doi.org/10.1177/10454411960070010601 PMid:8727108

62. Tackas VJ.Root coverage techniques: a review.Journal of the Western Society of Periodontology. 1995; 43(1):5-14.

63. Kerner S, Sarfati A, Katsahian S, Jaumet V, Micheau C, Mora F. Qualitative cosmetic evaluation after root-coverage procedures. Journal of Periodontology. 2009; 80(1):41–47. https://doi.org/10.1902/jop.2009.080413 PMid:19228088

64. Cortellini P, Pini Prato G.Coronally advanced flap and combination therapy for root coverage. Clinical strategies based on scientific evidence and clinical experience. Periodontology 2000. 2012; 59(1):158-84. <u>https://doi.org/10.1111/j.1600-0757.2011.00434.x</u> PMid:22507065

65. Zucchelli G, De Sanctis M. Treatment of multiple recession type defects in patients with aesthetic demands. Journal of Periodontology. 2000; 71:1506-1514.

https://doi.org/10.1902/jop.2000.71.9.1506 PMid:11022782

66. Pagliaro U, Nieri M, Franceschi D, Clauser C, Pini-Prato G. Evidence-based mucogingivaltherapy. Part 1: A critical review of the literature on root coverage procedures. Journal of Periodontology. 2003; 74(5):709-40.

https://doi.org/10.1902/jop.2003.74.5.709 PMid:12816305

67. Pini Prato GP, Baldi C, Nieri M et al. Coronally advanced flap: the postsurgical position of the gingival margin is an important factor for achieving complete root coverage. Journal of Periodontology. 2005; 76:713–722.

https://doi.org/10.1902/jop.2005.76.5.713 PMid:15898931

68. Chambrone L, Faggion CM, Jr Pannuti CM, Chambrone LA. Evidence based periodontal plastic surgery: an assessment of quality of systematic reviews in the treatment of recession-type defects. Journal of Clinical Periodontology. 2010; 37: 1110–1118. https://doi.org/10.1111/j.1600-051X.2010.01634.x PMid:21070325

69. Cairo F, Pagliaro U, Nieri M.Treatment of gingival recession with coronally advanced flap procedures: a systematic review. Journal of Clinical Periodontology. 2008; 35(8 Suppl):136-62. https://doi.org/10.1111/j.1600-051X.2008.01267.x PMid:18724847

70. Monnet-Corti V, Santini A, Glise JM, Fouque-Deruelle C, Dillier FL, Liebart MF. Connective tissue graft for gingival recession treatment: assessment of the maximum graft dimensions at the palatal vault as a donor site. Journal of Periodontology. 2006; 77(5):899–902. <u>https://doi.org/10.1902/jop.2006.050047</u> PMid:16671884

71. Wara-aswapati N, Pitiphat W, Chandrapho N, Rattanayatikul C, Karimbux N. Thickness of palatal masticatory mucosa associated with age. Journal of Periodontology. 2001; 72(10):1407–1412. <u>https://doi.org/10.1902/jop.2001.72.10.1407</u> PMid:11699483

72. Bjorn H. Free transplantation of gingiva propria. Swedish Dental Journal. 1963; 22:684-689.

73. Camargo PM, Melnick PR, Kenney EB. The use of free gingival grafts for aesthetic purposes. Periodontology 2000. 2001; 27:72-96. <u>https://doi.org/10.1034/j.1600-0757.2001.027001072.x</u> PMid:11551301

74. Silva CO, Ribeiro Edel P, Sallum AW, Tatakis DN. Free gingival grafts: Graft shrinkage and donor-site healing in smokers and non-smokers. Journal of Periodontology. 2010; 81:692-701. https://doi.org/10.1902/jop.2010.090381 PMid:20429648

75. Baker P. The management of gingival recession. Dental Update. 2002; 29:114–120.

https://doi.org/10.12968/denu.2002.29.3.114 PMid:11989388

76. Langer B, Calagna L. The subepithelial connective tissue graft. Journal of Prosthetic Dentistry.1980; 44: 363-371. https://doi.org/10.1016/0022-3913(80)90090-6

77. Levine RA. Covering denuded maxillary root surfaces with the subepithelial connective tissue graft. Compendium. 1991; 12(8):568, 570, 572. PMid:1809511

78. Harris RJ. The guided tissue and partial thickness double pedicle graft: a predictable method of obtaining root coverage. Journal of Periodontology. 1992; 63: 477-486. https://doi.org/10.1902/jop.1992.63.5.477 PMid:1527693

79. Tozum TF, Keceli HG, Guncu GN, Hatipoglu H, Sengun D. Treatment of gingival recession: Comparison of two techniques of subepithelial connective tissue graft. Journal of Periodontology. 2005; 76:1842-8. <u>https://doi.org/10.1902/jop.2005.76.11.1842</u> PMid:16274302

 Harris RJ. GTR for root coverage: a long-term follow-up. International Journal of Periodontics and Restorative Dentistry. 2002; 22(1):55–61. PMid:11922218

81. Harris RJ. Root coverage in molar recession: report of 50 consecutive cases treated with subepithelial connective tissue grafts. Journal of Periodontology. 2003; 74(5):703–708. https://doi.org/10.1902/jop.2003.74.5.703 PMid:12816304

82. Chambrone L, Chambrone D, Pustiglioni FE, Chambrone LA, Lima LA. Can subepithelial connective tissue grafts be considered

the gold standard procedure in the treatment of Miller Class I and Il recession-type defects? Journal of Dentistry. 2008; 36(9):659-71. https://doi.org/10.1016/j.jdent.2008.05.007 PMid:18584934

83. Allen AL. Use of the supraperiosteal envelope in soft tissue grafting for root coverage. I. Rationale and technique. International Journal of Periodontics and Restorative Dentistry, 1994; 14(3):216-27. PMid:7995692

84. Santarelli GA. Ciancaglini R. Campanari F. Dinoi C. Ferraris S. Connective tissue grafting employing the tunnel technique: a case report of complete root coverage in the anterior maxilla. International Journal of Periodontics and Restorative Dentistry. 2001: 21(1):77-83. PMid:11829039

85. Tinti C, Vincenzi G, Cocchetto R. Guided Tissue Regeneration in Mucogingival Surgery. Journal of Periodontology. 1993; 64:1184-1191. https://doi.org/10.1902/iop.1993.64.11s.1184

86. Tinti C, Vincenzi G, Cortellini P, Pini Prato G, Clauser C. Guided tissue regeneration in the treatment of human facial recession. A 12-case report. Journal of Periodontology. 1992; 63(6):554-60. https://doi.org/10.1902/jop.1992.63.6.5 PMid:1625156

87. TintiC, VincenziG. The treatment of gingival recession with guided tissue regeneration procedure by means of Gore-Tex membranes. Quintessence International. 1990; 6:465-468.

88. Tinti C, Vincenzi P. Expandedpolytetra- fluoroethylenetitaniumreinforced mem- branes for regeneration of mucogingival recession defects. A 12 -case report. Journal of Periodontology. 1994; 65:1088–1094. https://doi.org/10.1902/jop.1994.65.11.1088 PMid:7853134

89. Danesh-Meyer MJ, Wikesjö UM. Gingival recession defects and guided tissue regeneration: a review. Journal of Periodontal Research.2001; 36:341-354. https://doi.org/10.1034/j.1600 0765.2001.360601.x PMid:11762869

90. Al-Hamdan K, Eber R, Sarment D, Kowalski C, Wang HL. Guided tissue regeneration-based root coverage: meta-analysis. Journal of Periodontology. 2003; 74:1520-1533. https://doi.org/10.1902/jop.2003.74.10.1520 PMid:14653400

91. Clauser C, Nieri M, Franceschi D, Pagliaro U, Pini-Prato G. Evidence-based mucogingival therapy. Part 2: Ordinary and individual patient data meta-analyses of surgical treatment of recession using complete root coverage as the outcome variable. Journal of Periodontology. 2003; 74: 741-756. https://doi.org/10.1902/jop.2003.74.5.741 PMid:12816306

92. Lin NH, Gronthos S, Bartold PM. Stem cells and future periodontal regeneration.Periodontology 2000. 2009; 51:239-51. https://doi.org/10.1111/j.1600-0757.2009.00303.x PMid:19878478

93. Silverstein LH, Callan DP. An acellular dermal matrix allograft substitute for palatal donor tissue. Postgraduate Dentistry. 1996; 3:14-21

94. Harris RJ. A short-term and long-term comparison of root coverage with an acellular dermal matrix and a subepithelial graft. Journal of Periodontology. 2004; 75(5):734-743. https://doi.org/10.1902/jop.2004.75.5.734 PMid:15212356

95. Woodyard JG, Greenwell H, Hill M, et al. The clinical effect of acellular dermal matrix on gingival thickness and root coverage compared to coronally positioned flap alone. Journal of Periodontology. 2004; 75:44-56. os://doi.org/10.1902/jop.2004.75.1.44 PMid:15025216

96. Tal H, Moses O, Zohar R, Meir H, Nemcovsky C. Root coverage of advanced gingival recession: a comparative study between acellular dermal matrix allograft and subepithelial connective tissue grafts. Journal of Periodontology. 2002; 73(12):1405-11. https://doi.org/10.1902/jop.2002.73.12.1405 PMid:12546089

97. Harris RJ. Clinical evaluation of 3 techniques to augment keratinized tissue without root coverage. Journal of Periodontology. 2001; 72:932-8.

https://doi.org/10.1902/jop.2001.72.7.932 PMid:11495142

98. Gapski R, Parks CA, Wang HL. Acellular dermal matrix for mucogingival surgery: a meta-analysis. Journal of Periodontology. 2005: 76(11):1814-1822. https://doi.org/10.1902/jop.2005.76.11.1814 PMid:16274299

99. Lindskog S. Hammarstrom L. Formation of intermediate cementum. 3H-tryptophan and 3H-proline uptake into the epithelial root sheath of Hertwig in vitro. Journal of Craniofacial Genetics and Developmental Biology. 1982; 2: 171-177. PMid:7174778

100. Slavkin HC, Bessem C, Fincham AG, Bringas P, Jr, Santos V. Snead ML. Zeichner-David M. Human and mouse cementum proteins immunologically related to enamel proteins. Biochimica et Biophysica Acta. 1989; 991:12-18. https://doi.org/10.1016/0304-4165(89)90021-4

101. Fukae M, Tanabe T, Yamakoshi Y, Yamada M, Ujiie Y, Oida S. Immunoblot detection and expression of enamel proteins at the apical portion of the forming root in porcine permanent incisor tooth germs. Journal of Bone and Mineral Metabolism. 2001; 19(4):236-43. https://doi.org/10.1007/s007740170026 PMid:11448016

102. Carnio J, Camargo PM, Kenny EB, Schenk RK. Histologic evaluation of 4 cases of root coverage following a connective tissue graft combined with an enamel matrix derivative preparation. Journal of Periodontology. 2002; 73:1534–43. https://doi.org/10.1902/jop.2002.73.12.1534 PMid:12546106

103. McGuire MK, Schever ET, Nunn M. Evaluation of human recession defects treated with coronally advanced flaps and either enamel matrix derivative or connective tissue: comparison of clinical parameters at 10 years. Journal of Periodontology. 2012; 83(11):1353-62. https://doi.org/10.1902/jop.2012.110373 PMid:22348698

104. Alexiou A. Vouros I. Menexes G. Konstantinidis A. Comparison of enamel matrix derivative (Emdogain) and subepithelial connective tissue graft for root coverage in patients with multiple gingival recession defects: A randomized controlled clinical study.Quintessence International. 2017; 48(5):381-389. PMid:28396887

105. Cheng GL, Fu E, Tu YK, Shen EC, Chiu HC, Huang RY, Yuh DY, Chiang CY. Root coverage by coronally advanced flap with connective tissue graft and/or enamel matrix derivative: a metaanalysis. Journal of Periodontal Research. 2015; 50(2):220-30. https://doi.org/10.1111/jre.12199 PMid:25039691

106. Dohan DM, Choukroun J, Diss A, Dohan SL, Dohan AJ, Mouhyi J, Gogly B. Platelet-rich fibrin(PRF): a second-generation platelet concentrate. Part I: technological concepts and evolution. Oral Surgery, Oral Medicine, Oral Pathology, Oral Radiology, and Endodontology. 2006; 101:e37-44. https://doi.org/10.1016/j.tripleo.2005.07.008 PMid:16504849

107. Choukroun J, Adda F, Schoefer C, Vervelle A. Uneopportunite enparo- implantologie: Le PRF. Implantodontie. 2000: 42:55-62.

108. Simonpieri A. Choukroun J. Girard MO. Ouaknine T. Dohan D. Immediate post- extraction implantation: Interest of the PRF Implantodontie. 2004; 13: 177-189. https://doi.org/10.1016/i.implan.2004.06.004

109. Van Hinsbergh VW, Collen A, Koolwijk P. Role of fibrin matrix in angiogenesis. Annals of the New York Academy of Sciences. 2004; 936:426-437. https://doi.org/10.1111/j.1749-6632.2001.tb03526.x

110. Lekovic V, Camargo PM, Weinlaender M, Vasilic N, Aleksic Z, Kenney EB. Effectiveness of a combination of platelet-rich plasma, bovine porous bone mineral and guided tissue regeneration in the treat- ment of mandibular grade II molar furcations in humans. Journal of Clinical Periodontology. 2003; 30:746-751. https://doi.org/10.1034/j.1600-051X.2003.00368.x PMid:12887344

111. Saluja H, Dehane V, Mahindra U. Platelet-Rich fibrin: a second generation platelet concentrate and a new friend of oral and maxillofacial surgeons. Annals of Maxillofacial Surgery. 2011; 1:53-57. https://doi.org/10.4103/2231-0746.83158 PMid:23482459 PMCid:PMC3591032

112. Kim TH, Kim SH, Sándor GK, Kim YD. Comparison of platelet-rich plasma (PRP), platelet-rich fibrin (PRF), and

concentrated growth factor (CGF) in rabbit-skull defect healing. Archives of Oral Biology. 2014; 59:550–558. https://doi.org/10.1016/j.archoralbio.2014.02.004 PMid:24667430

113. Moraschini V, BarbozaEdos S. Use of Platelet-Rich Fibrin Membrane in the Treatment of Gingival Recession: A Systematic Review and Meta-Analysis. Journal of Periodontology. 2016; 87(3):281-90. <u>https://doi.org/10.1902/jop.2015.150420</u> PMid:26561997

114. Jankovic S, Aleksic Z, Klokkevold P, Lekovic V, Dimitrijevic B, Kenney EB, Camargo P. Use of platelet-rich fibrin membrane following treatment of gingival recession: a randomized clinical trial. International Journal of Periodontics and Restorative Dentistry. 2012; 32(2):e41-50. PMid:22292152

115. Sanz M, Lorenzo R, Aranda JJ, Martin C, Orsini M. Clinical evaluation of a new collagen matrix (Mucograft prototype) to enhance the width of keratinized tissue in patients with fixed prosthetic restorations: a randomized prospective clinical trial. Journal of Clinical Periodontology. 2009; 36(10):868-76. https://doi.org/10.1111/j.1600-051X.2009.01460.x PMid:19678861

116. Herford AS, Akin L, Cicciu M, Maiorana C, Boyne PJ. Use of a porcine collagen matrix as an alternative to autogenous tissue for grafting oral soft tissue defects. J Oral Maxillofac Surg. 2010; 68(7):1463-70. <u>https://doi.org/10.1016/j.joms.2010.02.054</u>

PMid:20417009

117. Jung RE, Hürzeler MB, Thoma DS, Khraisat A and Hämmerle CHF. Local tolerance and efficiency of two prototype collagen matrices to increase the width of keratinized tissue. Journal of Clinical Periodontology. 2011; 38: 173–179. https://doi.org/10.1111/j.1600-051X.2010.01640.x PMid:21092054

118. Jepsen K, Jepsen S, Zucchelli G, Stefanini M, de Sanctis M, Baldini N, Greven B, Heinz B, Wennström J, Cassel B et al.Treatment of gingival recession defects with a coronally advanced flap and a xenogeneic collagen matrix: a multicenter randomized clinical trial. Journal of Clinical Periodontology. 2013; 40(1):82-9. <u>https://doi.org/10.1111/jcpe.12019</u> PMid:23050490

119. Stefanini M, Jepsen K, de Sanctis M, Baldini N, Greven B, Heinz B, Wennström J, Cassel B, Vignoletti F, Sanz M et al. Patient-reported outcomes and aesthetic evaluation of root coverage procedures: a 12-month follow-up of a randomized controlled clinical trial. Journal of Clinical Periodontology. 2016; 43(12):1132-1141. <u>https://doi.org/10.1111/jcpe.12626</u> PMid:27717210



The Predictive Effects of Protection Motivation Theory on Intention and Behaviour of Physical Activity in Patients with Type 2 Diabetes

Mohammad Ali Morowatisharifabad¹, Mahdi Abdolkarimi^{1*}, Mohammad Asadpour², Mahmood Sheikh Fathollahi³, Parisa Balaee⁴

¹Department of Health Education & Promotion, Shahid Sadoughl University of Medical Sciences, Yazd, Iran; ²Department of Health Services and Health Promotion, School of Health, Rafsanjan University of Medical Sciences, Rafsanjan, Iran; ³Occupational Environment Research Center, School of Medicine, Rafsanjan University of Medical Sciences, Rafsanjan, Iran;⁴Ali ibn Abi Talib Hospital, Diabetes Clinic, Rafsanjan, Iran

Abstract

Citation: Morowatisharifabad MA, Abdolkarimi M, Asadpour M, Sheikh Fathollahi M, Balaee P. The Predictive Effects of Protection Motivation Theory on Intention and Behaviour of Physical Activity in Patients with Type 2 Diabetes. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):709-714. https://doi.org/10.3889/oamjms.2018.119

Keywords: Diabetes; Protection Motivation Theory; Physical Activity

*Correspondence: Mahdi Abdolkarimi. Department of Health Education & Promotion, Shahid Sadoughl University of Medical Sciences, Yazd, Iran. E-mail: mandi_13581@yahoo.com

Received: 22-Jan-2018; Revised: 27-Jan-2018; Accepted: 28-Jan-2018; Online first: 23-Mar-2018

Copyright: © 2018 Mohammad Ali Morowatisharifabad, Mahdi Abdolkarimi, Mohammad Asadpour, Mahmood Sheikh Fathollahi, Parisa Balaee. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This study was funded by Shahid Sadoughi University of Medical Sciences, Yazd, Iran

Competing Interests: The authors have declared that no competing interests exist

INTRODUCTION: Theory-based education tailored to target behaviour and group can be effective in promoting physical activity.

AIM: The purpose of this study was to examine the predictive power of Protection Motivation Theory on intent and behaviour of Physical Activity in Patients with Type 2 Diabetes.

METHODS: This descriptive study was conducted on 250 patients in Rafsanjan, Iran. To examine the scores of protection motivation theory structures, a researcher-made questionnaire was used. Its validity and reliability were confirmed. The level of physical activity was also measured by the International Short - form Physical Activity Inventory. Its validity and reliability were also approved. Data were analysed by statistical tests including correlation coefficient, chi-square, logistic regression and linear regression.

RESULTS: The results revealed that there was a significant correlation between all the protection motivation theory constructs and the intention to do physical activity. The results showed that the Theory structures were able to predict 60% of the variance of physical activity intention. The results of logistic regression demonstrated that increase in the score of physical activity intent and self - efficacy increased the chance of higher level of physical activity by 3.4 and 1.5 times, respectively OR = (3.39, 1.54).

CONCLUSION: Considering the ability of protection motivation theory structures to explain the physical activity behaviour, interventional designs are suggested based on the structures of this theory, especially to improve self - efficacy as the most powerful factor in predicting physical activity intention and behaviour.

Introduction

According to the World Health Organization, type 2 diabetes is the third most common cause of death in the world and the biggest challenge for today's modern life [1]. The increase in the incidence of type 2 diabetes represents the disease as a global epidemic [2]. According to the World Health Organization, some people with type 2 diabetes in Iran will exceed 6 million by the year 2030 [3]. Increased diabetes in the world is associated with increased inactivity and obesity [4].

Several studies consider physical activity as

Although diabetes patients are usually encouraged to exercise, they usually do not succeed in doing so, and health system recommendations about physical activity are barely followed by diabetes [8].The cause of low level of physical activity is the chronic nature of the disease. Studies have shown that, after six months, lack of compliance with the

the key to lifestyle behaviours, both in prevention and control [5]. Regular physical activity improves blood glucose control and has positive effects on blood lipids, blood pressure, cardiovascular complications, as well as the quality of life. It reduces mortality and disability in these patients [6]. Regarding physical activity, diabetic patients are recommended to perform 30 minutes of moderate exercise five days a week [7].

recommendations is rapidly rising [9].On the other hand, some patients cannot motivate themselves to continue their physical activity. There are numerous personal and environmental barriers that cause instability in physical activity [10]. Therefore, designing appropriate interventions for physical activity seems necessary in this group. Using health education Theory as a framework to help educate and change their behaviour and survival is necessary.

One of the most important theory's for changing the behaviour is the theory of protection motivation (PMT). This theory is designed by Rogers to explain the effective and ineffective adaptive behaviours at the time of feeling threatened with health status [11]. Based on this Theory, two types of threat appraisal and coping appraisal determine the intent of individuals for protective behaviours. Threat appraisal includes perceived severity and perceived sensitivity. The third factor added is the reward which is the result of adopting a health-neutral behaviour. Therefore, a recommended behaviour will be accepted that has a high perceived severity and sensitivity regarding the consequences of not doing so, and the rewards resulting from the implementation of incompatible behaviour are minimal. The coping appraisal is based on self - efficacy, response efficacy, and cost of response [12]. In general, based on this Theory, if individuals feel more threatened regarding the consequences of not performing a behaviour and, at the same time, adapt to this threat, there is a motive for changing behaviour [13].

The motivation for physical activity is considered as an effective concept in interventions related to physical activity. While motivation is considered as the best predictor of following recommendations related to physical activity, studies have shown that the motivation for physical activity is low [14]. Many studies have examined the effectiveness of the protection motivation Theory for promoting physical activity. As found by Mirkarimi et al., education based on this Theory has increased physical activity intent and weight loss in obese women in comparison to the control group [15]. There are controversies in this regard. Milne showed that there was no difference between the motivation group with the control group about intent and practice of physical activity in students [16].

Nevertheless, limited studies have examined the impact of this Theory on promoting physical activity in patients, and in particular, patients with type 2 diabetes. As at the time of the present study, no study of physical activity has been done on patients with diabetes in the Iranian population based on this Theory. Due to the chronic nature of type 2 diabetes, as well as the physiological and psychological characteristics of these patients, the importance of regular physical activity is emphasised in these patients. For this purpose, the effects of protection motivation theory on physical activity are investigated.

Method

This descriptive - analytical study was performed on 250 patients with type 2 diabetes who had a health record in health centres in Rafsanjan in 2017. The sampling method was random cluster sampling. The samples were randomly selected from four clusters of eight health centres and then, using random numbers, they were selected to reach the sample size.

The criteria for entering a diagnosis of type 2 diabetes were the medical records and consent to participate in the study. Exclusion criteria included having psychological problems such as depression. as well as physical and medical failure to perform the recommended physical activity for diabetic patients. The individuals were informed of the study, and the researchers assured people that the information provided by them would be confidential. To determine the level of physical activity, the International Physical Activity Questionnaire-Short Form (IPAQ-SF) was used, which included all physical activities in the working environment, sports activities and daily activities of life. This standard questionnaire was prepared by the World Health Organization, and its validity and reliability were confirmed in various countries [17]. In Iran, the Persian version of this questionnaire was also used in multiple cases, and its validity was confirmed [18]. This self - report questionnaire includes three categories of physical activity: walking activity, moderate intensity activity and high - intensity activity. Calculation of the total score with the sum of the time and number of days of the week spent on these activities were done, and they were converted to Mets (equivalent to the metabolic rate per minute). This questionnaire is divided into three levels of low, moderate and high activity.

To investigate the constructs of the protection motivation theory, a researcher - made questionnaire was used. The questionnaire was designed based on the opinions of the health education specialists (two people), interview with some diabetics and physicians and staff of the diabetes clinic was involved in the training. After the initial formulation, content validity ratio (CVR) and content validity index (CVI) were used for determining the validity and reliability. The questionnaire was completed by ten health education experts regarding validity and reliability, and questions that did not get the required criteria were removed. Finally, a questionnaire was designed with 36 questions in seven constructs: perceived severity,

perceived Susceptibility, self - efficacy, response efficiency, cost, reward, and protection motivation with Cronbach's alpha of 0.76 - 0.80.Questions were designed in the form of a five-point Likert spectrum. Perceived sensitivity was assessed with six questions. perceived severity with five questions, self - efficacy and response efficacy with six questions, and reward structures and perceived costs by four questions. Protection motivation was considered in the form of three questions of varying intentions. A 5 - point Likert scale was used ranging from completely agree to completely disagree (completely agree = 5, Agree = 4, No idea = 3, Disagree = 2, and completely disagree = 1). Perceived severity Responses ranged from 1 (Very low) to 5 (Very high). Data were analysed by SPSS software version 18 using correlation coefficient, logistic regression, linear regression and chi-square test. The assessment of the normality of data distribution was confirmed by Kolmogorov - Smirnov test (p > 0.05). The significance level in the tests was considered to be 0.05.

Results

The majority of participants were women (190 persons; 76%). The age of the participants was between 28 and 65, and the mean was 52. Moreover, about half of the subjects under study were overweight (46.4%). The sample characteristics are listed in Table 1.

The results of physical activity behaviour showed that about half of women (53.2%) and 26.7% of men with diabetes were in the inactive group. Also, 87% of women in the inactive group had a low level of education, and 75.6% of inactive people reported having a low income. Chi-square test showed that there was significant difference between the male and female groups and in people with different economic status and with different levels of education in physical activity level (p < 0.001) Table 1.

Table 1: Characteristics and level of physical activity in diabetic patients

Variables		N (%)	Inactive N (%)	Minimally active N (%)	P value
Sex	Male	190 (76)	16 (26.7)	44 (73.3)	P < 0.001*
	Female	60 (24)	101 (53.2)	89 (46.8)	X2 = 12.85
Age	25-40	15 (6%)	6 (40.0)	9 (60.0)	
	40-50	69 (27.6)	27 (39.1)	42 (60.9)	
	50-60	138 (55.2)	68 (49.3)	70 (60.9)	P = 0.327
	60-65	28(11.2%)	16 (57.1)	12 (42.9)	
Education	Elementary	78 (31.2)	56 (71.8)	22 (28.2)	
	Middle school	125 (50)	50 (40.0)	75 (60.0)	P < 0.001*
	Diploma and Postgraduate	45 (18.8)	11 (23.4)	36 (76.6)	X2 = 32.23
Income	Weak	69 (37.6)	52 (75.4)	17 (24.6)	P < 0.001*
	Moderate	173 (66.9)	63 (36.4)	110 (63.9	X2 = 31.62
	Good	8 (3.2)	6 (75%)	2 (25)	
Diabet	1-3 year	208 (52)	27 (23.1)	25 (18.8)	
history	3-5 year	92 (36.8)	39 (33.3)	53 (39.8)	P = 0.512
	Above 5 year	106 (42.4)	51 (43.6)	55 (41.4)	
BMI	Below 18.5	3 (1.3)	1 (0.9)	2 (1.6)	
	18.5 - 24.9	66 (26.4)	28 (23.9)	38 (29.5)	
	25.0 - 29.9	116 (46.4)	54 (46.2)	62 (48.1)	P = 0.41
	30.0 and Above	61 (24.6)	34 (29.1)	27 (20.9)	

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):709-714.

protection The results of motivation structures showed that the highest score was related to the response efficacy (21.8 ± 3.05) and the lowest score for perceived costs (9.02 \pm 1.82). The results also showed that there was a significant correlation between all the protection motivation structures and the intention to do physical activity. The highest correlation was related to self-efficacy (r = 0.716), and the lowest was related to Perceived severity (r = 0.171). The results showed that patients had a higher degree of coping appraisal compared to the threat appraisal. Also, rewards and perceived cost structures had a reverse and negative relationship with the intention to perform physical activity (Table 2).

Table 2: Descriptive statistics and intercorrelations of PMT construct in diabetic patients

Variable	1	2	3	4	5	6	7	8	9
1.Vulnerability									
2. Severity	0.283**								
3.Self- Efficacy	0.076	0.062							
4.Response	0.136*	0.134*	0.549**						
Efficacy									
5.Perceived Cost	-0.121	-0.053	-0.503**	-0.435**					
Reward	-0.198**	-0.186**	-0.629**	-0.444**	0.440**				
7.Coping Appraizal	0.127*	0.101	0.878**	0.836**	-0.709**	-0.630**			
8. Threat Appraizal	0.823	0.639	0.325**	0.317**	-0.271**	-0.584**	0.373**		
9.Protection	0.171**	0.149*	0.716**	0.520**	-0.576**	-0.567**	0.741**	0.400**	
Motivation									
Mean	20.2	16.9	20	21.79	9.02	10.18	32.80	26.88	10.98
SD	4.05	2.51	3.41	3.05	1.82	2.42	6.87	6.36	1.96

*p < .05. **p < 0.01.

The results of linear regression showed that the constructs were able to predict the physical activity behaviour. In Model 1 that included the six PMT constructs simultaneously, self - efficacy and perceived cost structures predicted behaviour (p < 0.001). Increasing the self-efficacy score and reducing perceived cost scores led to an increased score of physical activity intention. Model 2 involved the two PMT pathways simultaneously.

The result showed that predictive power of coping appraisal was higher in predicting physical activity intention (p < 0.001). Finally, after controlling for confounding factors (Model 3), there was no change in the ability to predict the intention to do physical activity, and model structures were able to predict 60% of the variance in physical activity intention (Table 3).

Table	3:	РМТ	Predictors	of	Physical	Activity	Intention	in
Diabet	ic P	Patient	S					

PMT Constructs	Р	hysical activity	intention (P.M)
	Model I	Model 2	Model 3
Vulnerability	0.058		0.05
Severity	0.074		0.072
Self- Efficacy	0.467***		0.465
Response Efficacy	0.090		0.85
Perceived Cost	-0.247***		-0.249***
Reward	-0.099		-0.112
Coping Appraisal		0.68	
Threat Appraisal		0.14**	
SEX			-0.37
R2	0.60	0.56	0.60
Model 1 includes the 6 Pl	MT construct scores	Model 2 inclu	udes the 2 PMT pathway

scores; Model 3 Adjusted for sex; p < 0.05. p < 0.01. p < 0.001.

The results of logistic regression test (Table 4) after modifying the confounding factors (Model 2) showed that physical activity behaviour is predictable by three variables: behavioural intention, self - efficacy

and gender (p < 0.001). Based on the results of Table 4, high physical activity intention and self - efficacy scores increased the chances of higher levels of physical activity by 3.4 and 1.5 times, respectively. Also, the gender (female) reduced the chances of having physical activity by 13%.

Accordingly, the most important predictor of behaviour was physical activity intention. The results of Hosmer and Lemeshow showed that the model was able to fit the physical activity after modifying the confounding factors (p = 0.52).

 Table 4: PMT predictors of physical activity level in diabetic patients

Variables	в	SE	Mode Unadju OR		P value	в	SE	Model 2 Adjusted OR	P value
Vulnerability	0.081	0.068	1.08		0.232	0.081	0.076	1.08	0.287
Severity	-0.082	0.092			0.368	-0.073	0.106	0.930	0.492
Self-efficacy	0.382	0.105	1.46		0.000	0.434	0.119	1.54	0.000
Response efficacy	0.052	0.083	1.05		0.532	0.054	0.096	1.05	0.578
Cost	-0.040	0.139	.96		0.774	0.007	0.147	1.00	0.965
Rewards	-0.092	0.113	.91		0.412	0.064	0.129	1.06	0.619
Protection Motivation	0.912	0.243	2.49		0.000	1.22	0.294	3.39	0.000
SEX						-1.99	0.574	0.136	0.000
2LL		172.1	5			147.6	7		
Nagelkerkes R square				66% 72	%				

P = 0.51

Nagelkerkes R square Hosmer and Lemeshow Test P = 0.25p < 0.05; p < 0.01; p < 0.001.

Discussion

This study aimed to investigate the effect of protective motivation Theory constructs on the prediction of physical activity behaviour in patients with type 2 diabetes. Results of physical activity behaviour showed that physical activity was low despite repeated recommendations. In the case of women, about half of the population was in the inactive group. These results are in line with the results of other studies similar to those recommended for diabetic patients [10][19][20]. Studies on the level of physical activity in diabetic patients in Iranian population showed low levels, especially in women with type 2 diabetes [21]. There are several elements regarding the low level of physical activity, including social, cultural and personal factors. The chronic nature of the disease, and physical, as well as cultural and social constraints, for women, in particular, can play a role in the low level of physical activity in this group. Nevertheless, it is necessary to adopt strategies to increase the level of physical activity.

Regarding demographic factors, the results showed that the level of physical activity was related to the level of education and income, while the age and history of diabetes did not correlate with it. These findings are consistent with the results of similar studies in this area. Norouzi et al. showed the level of education as a predictor of physical activity behaviour in people with diabetes [22]. Although awareness does not necessarily change the behaviour of individuals, people with a higher education level can access and understand more information provided to diabetic patients, and this can be effective in improving the behaviour of physical activity in this group. In our study, the effect of age was not significant on physical activity which was consistent with Costanzo study [23]. Given that behaviour such as physical activity has evolved, ageing may not affect the physical activity of patients. On the other hand, our age range was up to 65 years, and if higher ages are entered into the study, mixed results may be attained due to a limitation in the elderly.

The results of our study showed that there was a significant relationship between physical activity intention and construct scores of protection motivation Theory. However, the correlation between structures and intention was different, so that the highest relationship was between intention and self - efficacy and the lowest was the perceived severity. Regarding self - efficacy, the results of this study are in line with the majority of similar studies. Most studies have considered self-efficacy as one of the most important constituents of effective physical activity [24][25]. In a systematic review and meta-analysis, self - efficacy was one of the most promising structures of predicting behaviour [26]. Research has shown that patients with type 2 diabetes, in addition to having a low level of physical activity, have a lower level of self - efficacy for exercise than normal people [27].

In the present study, there was a weak correlation between perceived severity and physical activity behaviour in diabetic patients. This finding was not consistent with the results of Courneya [28]. They considered perceived severity as one of the most important predictors of intention. In justifying this contradiction, it can be pointed out that in the study of Courneya, the target group was those who were exercising and the increase in perceived severity led to increasing in intent, while in our study, people had a less physical activity or did not intend to do so. On the other hand, the nature of diseases is different in these studies.

In the present study, the relationship between the threat appraisals with the intention to do physical activity was weaker than the relationship between the coping appraisal and the intention to do physical activity. According to the results of Purdie (2002), coping appraisal is a stronger predictor of motivation [29]. The research done to identify persuasive methods suggests that older people, compared to younger people, respond less to messages with the content of fear (perceived sensitivity and severity) [30]. Given that the majority of participants in our study were over 50 years of age, it could justify the results.

Overall, the results of this study were consistent with the overall structure of the theory, so that self - efficacy, response efficacy and perceived severity and Susceptibility had a positive relationship with intention, while the costs of behavior and rewards of lack of perceived physical activity was inversely related to the intention to do physical activity.

The results of regression test indicated that the constructs of protection motivation theory predicted 60% of the behavioral variance, while, after adjusting the confounding variables, two constructs, self - efficacy and costs had the highest predictability of the intention of physical activity. In interventional studies in this field, self - efficacy in combination with response efficacy has been the most important predictors of physical activity[31]. The importance of self - efficacy in explaining the intention of physical activity can be considered in two directions: First is prevalence the psychological problems such as stress and depression in this group which has a negative effect on self - efficacy, and the second is the nature of exercise, which requires careful planning and support from the family and community. In this way, promotion self - efficacy to perform the recommended physical activity can have a significant effect on the decision to do so. Self - efficacy was also the only construct that predicted both the intention and the physical activity behaviour. In the present study, the perceived cost construct had a reverse and significant relationship in predicting the intention to perform physical activity. These results were not consistent with the results of Rahaei et al., which showed that the rewards combined with self - efficacv were predictor of behaviour [32]. In justifying this contradiction, we can point to the difference in the nature of behavior in two studies, as it is possible to say that people with diabetes do not feel significant external and internal rewards for not doing physical activity, while the perceived costs such as spending time, cost, tiredness and weakness can be more effective in physical activity intention. Therefore, by adjusting perceived costs, you can take steps to motivate patients to start physical activity.

Our study had some limitations, including the self - report nature of physical activity, which may cause some degree of bias. On the other hand, although the instruments were used based on experts' opinions and after reliability and validity investigations, perhaps designing a questionnaire using gualitative research methods and obtaining patient feedback can provide more accurate results. Despite these limitations, the present study was the first study to investigate the intention and behaviour of physical activity in patients with type 2 diabetes and, in addition to correlation; it investigated the predictive power of protection motivation theory in predicting the intent and behaviour of physical activity. Nevertheless, it is recommended that by conducting precise interventional studies based on the theory of protection motivation, the practical effectiveness and results of the intervention based on this theory should be analysed for patients with type 2 diabetes.

In conclusion, the present study showed that although there was a correlation between all the

constructs of the PMT with the intention to do physical activity, only self - efficacy and cost were able to predict the intent of behaviour. Also, behaviour was also predictable by intention and self - efficacy. Thus, it seems that intervention based on the constructs of this theory emphasising self - efficacy and reducing perceived costs can increase the incentive to initiate physical activity and Promote the level of physical activity in Diabetic Patients.

Compliance with Ethical Standards

Funding: This study was funded by Shahid Sadoughi University of Medical Sciences, Yazd, Iran.

Conflict of Interest: The authors declare that there is no conflict of interest regarding the publication of this article.

Informed consent: Informed consent was obtained from all individual participants included in the study.

Ethical approval: The Ethics committee of the Shahid Sadoughi University of Medical Sciences-Yazd approved this study. Ethic code: IR.SSU.SPH.REC.1395.97.

References

1. Organization WH. Global health risks: mortality and burden of disease attributable to selected major risks: World Health Organization, 2009.

2. Chen L, Magliano DJ, Zimmet PZ. The worldwide epidemiology of type 2 diabetes mellitus present and future perspectives. Nat Rev Endocrinol. 2012; 8(4):228-36.

https://doi.org/10.1038/nrendo.2011.183 PMid:22064493

3. Javanbakht M, Mashayekhi A, Baradaran HR, Haghdoost A, Afshin A. Projection of diabetes population size and associated economic burden through 2030 in Iran: evidence from micro-simulation Markov Theory and Bayesian meta-analysis. PloS one. 2015; 10(7):e0132505.

https://doi.org/10.1371/journal.pone.0132505 PMid:26200913 PMCid:PMC4511591

4. Geiss LS, Pan L, Cadwell B, Gregg EW, Benjamin SM, Engelgau MM. Changes in incidence of diabetes in US adults, 1997–2003. Am J Prev Med. 2006; 30(5):371-7. https://doi.org/10.1016/j.amepre.2005.12.009 PMid:16627124

5. Colberg SR, Albright AL, Blissmer BJ, Braun B, Chasan-Taber L, Fernhall B, et al. Exercise and type 2 diabetes: American College of Sports Medicine and the American Diabetes Association: joint position statement. Exercise and type 2 diabetes. Med Sci Sports Exerc. 2010; 42(12):2282-303.

https://doi.org/10.1249/MSS.0b013e3181eeb61c PMid:21084931

6. Morrato EH, Hill JO, Wyatt HR, Ghushchyan V, Sullivan PW. Physical activity in US adults with diabetes and at risk for developing diabetes, 2003. Diabetes care. 2007; 30(2):203-9. https://doi.org/10.2337/dc06-1128 PMid:17259482

7. Medicine ACoS. ACSM's guidelines for exercise testing and

prescription: Lippincott Williams & Wilkins, 2013.

8. King DE, Mainous AG, Carnemolla M, Everett CJ. Adherence to healthy lifestyle habits in US adults, 1988-2006. Am J Med. 2009; 122(6):528-34. https://doi.org/10.1016/j.amjmed.2008.11.013 PMid:19486715

9. Osterberg L, Blaschke T. Adherence to medication. N Engl J Med. 2005; 353(5):487-97. https://doi.org/10.1056/NEJMra050100 PMid:16079372

10. Thomas N, Alder E, Leese G. Barriers to physical activity in patients with diabetes. Postgrad Med J 2004; 80(943):287-91. https://doi.org/10.1136/pgmj.2003.010553 PMid:15138320 PMCid:PMC1742997

11. Webb TL, Sniehotta FF, Michie S. Using theories of behaviour change to inform interventions for addictive behaviours. Addiction. 2010; 105(11):1879-92. https://doi.org/10.1111/j.1360-0443.2010.03028.x PMid:20670346

12. Norman P, Boer H, Seydel ER. Protection motivation theory. In: M. Conner PN, editor. Predicting Health Behaviour: Research and Practice with Social Cognition Theorys. Maidenhead: Open University Press, 2005:81-126.

13. Plotnikoff RC, Rhodes RE, Trinh L. Protection motivation theory and physical activity: a longitudinal test among a representative population sample of Canadian adults. J Health Psychol 2009; 14(8):1119-34. https://doi.org/10.1177/135910530934230 PMid:19858332

14. Plotnikoff RC, Trinh L. Protection motivation theory: is this a worthwhile theory for physical activity promotion? Exerc Sport Sci Rev. 2010; 38(2):91-8.

https://doi.org/10.1097/JES.0b013e3181d49612 PMid:20335741

15. Mirkarimi K, Mostafavi F, Eshghinia S, Vakili MA, Ozouni-Davaji RB, Aryaie M. Effect of motivational interviewing on a weight loss program based on the protection motivation theory. Iran Red Crescent Med J. 2015; 17(6). https://doi.org/10.5812/ircmj.2

16. Milne S, Orbell S, Sheeran P. Combining motivational and volitional interventions to promote exercise participation: Protection motivation theory and implementation intentions. Br J Health Psychol. 2002; 7(2):163-84.

https://doi.org/10.1348/135910702169420 PMid:14596707

17. Wendel-Vos GW, Schuit AJ, Saris WH, Kromhout D. Reproducibility and relative validity of the short questionnaire to assess health-enhancing physical activity. J Clin Epidemiol . 2003; 56(12):1163-9. https://doi.org/10.1016/S0895-4356(03)00220-8

18. Seyed Emami R, Eftekhar Ardebili H, Golestan B. Effect of a health education intervention on physical activity knowledge, attitude and behavior in health volunteers. J Hayat. 2011; 16(3):48-55

19. Wanko NS, Brazier CW, Young-Rogers D, Dunbar VG, Boyd B, George CD, et al. Exercise preferences and barriers in urban African Americans with type 2 diabetes. The Diabetes Educator. 2004; 30(3):502-13. https://doi.org/10.1177/014572170403000322 PMid:15208848

20. Nelson KM, Reiber G, Boyko EJ. Diet and exercise among adults with type 2 diabetes. Diabetes care. 2002; 25(10):1722-8.

https://doi.org/10.2337/diacare.25.10.1722 PMid:12351468

21. Sarrafzadegan N, Kelishadi R, Baghaei A, Sadri GH, Malekafzali H, Mohammadifard N, et al. Metabolic syndrome: an emerging public health problem in Iranian women: Isfahan Healthy Heart Program. Int J Cardiol. 2008; 131(1):90-6. https://doi.org/10.1016/j.ijcard.2007.10.049 PMid:18190978

22. Norouzi A, Ghofranipour F, Heydarnia A, Tahmasebi R. Determinants of physical activity based on Health Promotion Theory (HPM) in diabetic women of Karaj diabetic institute. ISMJ. 2010: 13(1):41-51.

23. Costanzo C, Walker SN, Yates BC, McCabe B, Berg K. Physical activity counseling for older women. West J Nurs Res. 2006; 28(7):786-801. https://doi.org/10.1177/0193945906289495 PMid:17056774

24. Foley L, Prapavessis H, Maddison R, Burke S, McGowan E, Gillanders L. Predicting physical activity intention and behavior in school-age children. Pediatr Exerc Sci. 2008; 20(3):342-56. https://doi.org/10.1123/pes.20.3.342 PMid:18714123

25. Solimanian A, Niknami S, Hajizadeh I, Shojaeezadeh D, Tavousi M. Predictors of physical activity to prevent osteoporosis based on extended Health Belief Theory. Payesh. 2014; 13:313-20

26. Amireault S. Godin G. Vézina-Im L-A. Determinants of physical activity maintenance: a systematic review and meta-analyses. Health Psychol Rev. 2013; 7(1):55-91. https://doi.org/10.1080/17437199.2012.701060

27. Grace SL, Barry-Bianchi S, Stewart DE, Rukholm E, Nolan RP. Physical activity behavior, motivational readiness and self-efficacy among Ontarians with cardiovascular disease and diabetes. J Behav Med. 2007; 30(1):21-9. https://doi.org/10.1007/s10865-006-9080-5 PMid:17109217

28. Courneya KS, Hellsten L-A. Cancer prevention as a source of exercise motivation: An experimental test using protection motivation theory. Psychol Health Med. 2001; 6(1):59-64. https://doi.org/10.1080/13548500125267

29. Purdie N, McCrindle A. Self-regulation, self-efficacy and health behavior change in older adults. Educ Gerontol. 2002; 28(5):379-400. https://doi.org/10.1080/03601270290081353

30. Charles ST, Mather M, Carstensen LL. Aging and emotional memory: the forgettable nature of negative images for older adults. J Exp Psychol Gen. 2003; 132(2):310.

https://doi.org/10.1037/0096-3445.132.2.310 PMid:12825643

31. Baranowski T, Cullen KW, Nicklas T, Thompson D, Baranowski J. Are current health behavioral change Theory's helpful in guiding prevention of weight gain efforts? Obes Res. 2003; 11 Suppl:23s-43s. https://doi.org/10.1038/oby.2003.222 PMid:14569036

32. Rahaei Z, Ghofranipour F, Morowatisharifabad MA, Mohammadi E. Determinants of cancer early detection behaviors: application of protection motivation theory. Health Promot Perspect. 2015; 5(2):138. https://doi.org/10.15171/hpp.2015.016 PMid:26290829 PMCid:PMC4539052



The Effect of Diabetes Self-Management Education on Hba1c Level and Fasting Blood Sugar in Type 2 Diabetes Mellitus Patients in Primary Health Care in Binjai City of North Sumatera, Indonesia

Rusdiana^{1*}, Maya Savira², Rina Amelia³

¹Departement of Biochemistry, Faculty of Medical Universitas Sumatera Utara, Medan, Indonesia; ²Departement of Physiology, Faculty of Medical Universitas Sumatera Utara, Medan, Indonesia; ³Departement of Public Health, Faculty of Medical Universitas Sumatera Utara, Medan, Indonesia

Abstract

Citation: Rusdiana, Savira M, Amelia R. The Effect of Diabetes Self-Management Education on Hba1c Level and Fasting Blood Sugar in Type 2 Diabetes Mellitus Patients in Primary Health Care in Binjai City of North Sumatera, Indonesia. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):715-718. https://doi.org/10.3889/0amjims.2018.169

Keywords: Type 2 diabetes mellitus; DSME; Fasting Blood Sugar; Hba1c

*Correspondence: Rusdiana. Departement of Biochemistry, Faculty of Medical University Sumatera Utara, Medan, Indonesia. E-mail: rusdiana7165@yahoo.com

Received: 26-Dec-2017; Revised: 02-Apr-2018; Accepted: 04-Apr-2017; Online first: 12-Apr-2018

Copyright: © 2018 Rusdiana, Maya Savira, Rina Amelia. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: The present research is supported by Ministry of Research and Technology and the Higher Education Republic of Indonesia. The support is under the research grant BP-PTN USU of the Year 2017 Contract Number: 97/UN5.23.1/PPM/KP-TALENTA USU/2017

Competing Interests: The authors have declared that no competing interests exist

AIM: The study aimed to evaluate the effect of short-term diabetes self-management education (DSME) on Hba1and Fasting Blood Sugar in type 2 diabetes mellitus patients attending the Primary Health Care (PHC) in Binjai city of North Sumatera, Indonesia.

SUBJECTS AND METHODS: A quasi-experimental (pretest-posttest) study was conducted in 4 PHCs, involving 80 patients with type 2 diabetes mellitus. The patients in received a 3-months intervention, including an 8 week education on self- management of diabetes mellitus and subsequent 4 weeks of practice of the self- management guidelines. The patients received standard advice on diet management.

RESULTS: There was a significant reduction in Hba1c levels. The statistical analysis using t-test found that there was a significant difference of Hba1c value between pre and post education among type 2 diabetes mellitus patients (p < 0.005).

CONCLUSIONS: Diabetes self-management education in PHC of Binjai city can reduce the Hba1c level in type 2 diabetes mellitus patients.

Introduction

Diabetes mellitus, commonly type 2 diabetes mellitus, is an increasing health problem worldwide. It has been estimated that there will be 552 million patients with diabetes and 300 million people with impaired glucose tolerance in 2030 [1]. Diabetes mellitus is associated with various atherosclerotic complications, including cerebrovascular and cardiovascular diseases, causing significant morbidity and mortality [2].

Diabetes self-management education (DSME)

is the ongoing process of facilitating the knowledge, skill, and ability necessary for diabetes self-care. This process incorporates the need, goals, and life experiences of the person with diabetes and is guided by evidence-based standards. Therefore, it plays an important role in the clinical management of diabetes [3].

Monitoring of metabolic markers, such as blood pressure, body weight, lipid profile, fasting blood sugar and Hba1c, is essential in the clinical management of patients with diabetes because hypertension, obesity and dyslipidemia are wellknown risk factors of atherosclerosis and are common in diabetic patients [4]. Monitoring of these risk factors also helps in evaluation of treatment response of the patients [5]. As a long-term disease, diabetes mellitus needs lifetime care and management. However, 50-80% of patients with diabetes did not have enough skills and knowledge for self-care of the disease [6]. Previous studies have shown that DSME improves homeostasis of metabolism of the patients, and healthy lifestyle prevents the development of atherosclerosis in patients with type 2 diabetes [7][8].

This study was undertaken to evaluate the effect of DSME on Hba1c and Fasting Blood Sugar.

Methods

In this study, a total of 80 patients with type 2 diabetes mellitus were recruited from four Primary Health Care in Binaji city, North Sumatera, Indonesia. This is guasi-experimental research with One Group Pretest-Posttest Design. This was conducted from April to September 2017. From each PHC 20 samples were taken. The patients were recruited in accordance with the inclusion criteria, age > 40 years oldcooperative patients and willing to join the research and exclusion criteria (using diuretic and the middle of cancer therapy). Among the 80 patients, two of them did not complete the DSME programme or did not attend the follow-up examination and thus were excluded from the study. This research was approved by Health Research Ethical Committee, Medical Faculty of University Sumatera Utara/H. Adam Malik General Hospital number 591/TGL/KEPK FK USU-RSUP HAM/2016. Patients were informed of the detail of the study, and written consent was obtained from the patients before they participated in the study.

Blood samples were collected (using syringe) twice before and after interventions and transferred to the laboratory immediately to conduct glycosylated haemoglobin test by Alere Afinion as 100 Analyzer. Fasting blood sugar of samples, we examined by using a portable measuring instrument (Gluco DR). We examine blood pressure, weight, and height and waist size as well.

A questionnaire interview method was adopted to collect information about the family history of the disease, education level and type of the job.

The patients in the intervention participated in a DSME programme in which the patients were required to attend a two-hour lesson weekly for eight weeks and to follow the self-management guidelines of the education programme in the daily activities within the study period. For the DSME programme, all the lessons were focused on the skill and knowledge for healthy eating, being active, monitoring, taking medication, problem-solving, reducing risks, and healthy coping [9]. For the samples blood test (fasting blood sugar and HBa1c), height, weight waist size examinations were performed before the commencement of the DSME programme as the baseline and one month after the completion of the DSME programme.

 Table 1: The content of the diabetes self-management

 education programme for the patients in the PHCs of Binjai city

	Contents
Management die	t Common misunderstanding of diet for self-management of type 2 DM
and Healthy eati	ng Understanding about healthy food for type 2 diabetes
	Limiting sugar consumption
	Appropriate caloric intake for type 2 diabetes
	Kinds of food, drink, fruits for type 2 diabetes
	Understanding the effect the heated food over and over again
	When the time to eat
Exercising	Understanding about exercise for self-management of type 2 DM
DM	The importance of regular exercise for type 2 DM
	Understanding what is the right exercise for type 2 DM
	An individualised plan for regular exercise every day for 30 minutes
	Self-check and control body weight
	The wrong belief that all activities are an exercise
	Understanding that exercise is a regular and rhythmic activity
Monitoring	The importance of regular monitoring of fasting blood sugar.
	Monitoring of fasting blood sugar by self
	Self-management of fasting blood sugar
Medication	Pathology and medical treatments for type 2 diabetes mellitus
	The importance of taking diabetic medications
	Knowing the type of medication for each patient (drugs, injected)
	The right time and frequency of taking diabetic medication
Reducing risks	Common complication of type 2 DM (stroke, renal failure, cataract, etc.))
	Stopping unhealthy behaviours like smoking, drinking with much sugar,
	Maintaining a healthy lifestyle
	Risk factors for type 2 diabetes
Problem-solving	
-	Regular medication
	Appropriate time and frequency of exercise every day for 30 minutes

The continuous data were expressed as the mean \pm standard deviation (SD). Shapiro-Wilk test was used for checking the normality of distribution. If the data were normally distributed, a t-test was used. Otherwise, a nonparametric test was applied.

Results

The number of the samples from the four PHCs were 80 comprising 52 females (65%) and 28 males (35%), achieving the assigned target of interviews before and after the intervention. The demographic data; about gender, age-group, education level, kinds of job, family history and type of treatment are presented in Table 1. We give the education for 3 months only. Two of samples did not complete the DSME programme or did not attend the follow-up examination and thus were excluded from the study.

Table 2 shows that BMI values had a minimum of 18.20 kg/m² and a maximum of 35.25 kg/m², FBS values minimum of 76 mg/dL and a maximum of 600 mg/dL and Hba1c values had a minimum of 5.7% and a maximum of 12.50%.

When we did the post-test the number of samples is 78 because two of that are excluded because one of them was is died, and the other did not complete all of the education.

Table 1: Baseline information about study participants

Respondent characteristic		Ν	%
Gender			
	Male	28	35
	Female	52	65
Age Group			
	Early Elderly (45-55y)	36	60
	Further Elderly (56-65y)	31	35
	Seniors (> 65 y)	13	16.25
Education Level			
	Primary School	27	33.75
	Junior High School	16	20
	Senior High School	23	28.75
	Academy/University	14	17.5
Job			
	Not work	40	50
	Labour		
	Harvester	2	2.5
	Self Employe	9	11.25
	State Employee	19	23.75
	Etc	6	7.5
Family History			
	Maternal history	4	5
	Faternal history	40	50
	Maternal and Paternal	20	25
	History	20	25
Type of treatment	-		
	Oral drug	79	98.75
	Insulin	1	1.25

We found that there was a significant difference in all variables between pretest and posttest.

Table 2: Baseline characteristics of the samples before intervention (Pretest)

Characteristics	Ν	Minimum	Maximum	1
BMI	80	18.20	35.25	(
FBS	80	76.00	600.00	
Hba1c	80	5.70	12.50	τ
Waist size	80	107	75	
Valid N	80			

Discussion

This study aimed to evaluate the effect of diabetes self-management education on reducing Hba1c levels among the type 2diabetes mellitus patients by measuring glycaemic indexes before and after intervention in primary health care in Binjai city. One of the reasons for diabetic patients' failure to achieve the desired outcomes is their lack of participants in treatment. This participation is an important factor in the treatment of patients who are willing to follow a treatment plan throughout the life [10].

Table 3: Baseline characteristics of the samples after intervention (Postest)

Characteristics	N	Minimum	Maximum	Mean	Std. Deviation
BMI	78	18.20	32.25	24.03	3.12
FBS	78	98.00	345.00	149.22	52.704
Hba1c	78	5.7	11.90	7.8897	1.28018
Waist size	78	73	105.00	90.3321	8.5802
Valid N	78				

A study by Chuang Yuan ect showed that the patients in the intervention group had significant reduction of the Hba1c level and body weight after receiving the education as compared to the control group, indicating that education had positive effects for improving the health status of patients with type 2 diabetes [11]. Different with us all the patients with type 2 diabetes mellitus we gave diabetes selfmanagement education, and it had a positive effect on improving the health status by reducing Hba1c after the intervention.

Table 4: Statistical analysis

Characteristics	Pretest	Posttes	P value
BMI	24.9944 ± 3.656	24.03 ± 3.12	P<0.0005
FBS	218.39 ± 96.99	149.22 ± 52.704	
Hba1c	8.66 ± 1.76	7.889 ± 1.280	
Waist size			

In spite of the short exposure time to education, our findings suggest that the educational intervention was successful in achieving some significant changes in the lifestyles of participating subjects. Among our target population there was an increase in the number of patients being involved in exercise like bike, aerobic and just walking for 30 minutes, an increase in the proportion of patients using the healthy diet that we suggested, increased vegetable intake, diet soft drinks, and the reason for those changes was the doctor's advice and more personal concern about their health care. This resulted in achieving a good change in the control of diabetes mellitus mean HbA1c) in the post-educated than the pre-educated group. 8.38541 92.0313

Respondents in the two surveys were similar about gender, age, marital status, educational level, the presence of a maternal history of diabetes. On our studying we took the samples at the age > 60 years old is a little compared than < 60 years old, the reason was it make us easy for giving education, for the samples with age>60 years old we gave education besides for the patient we gave to the education for their close family. Most respondents had received some form of health education in the recent past and the follow-up survey. However, the recent health education was well organised and directed mainly to diabetic patients and their close family. All the study participants received the drugs of diabetes mellitus type 2 from PHCs because we included patients diagnosed with type 2 diabetes mellitus. Only one patient received insulin.

The level education was more at the middle level (30 people) than at the low (20 people), the high (25 people) and academic (5 people). We can say that these results are encouraging, considering that the health education intervention was of very short duration and was of limited scope and quality. A largescale, effective and high-quality health education program is likely to have much better results; such a program is expected to reduce the burden of diabetes mellitus in Binjai city, North Sumatera.

The conclusion of this study is that improving the quality of health education in PHC in Binjai city through well-designed programs will improve the awareness and practices among the population in general, but particularly among patients with diabetes mellitus, increasing awareness for diabetes mellitus patients about exercising like aerobic in PHC each week and exercise every day at least for 30 minutes. Several studies concluded that lack of knowledge, self -care skills, and correct information about the treatment programs hinder the improvements. One important problem is non-compliance and adherence to treatment plan [12] however, we must not forget emphasis just on knowledge, because in many cases, people know what to do, but do not put their knowledge in action [13].

There are several limitations in our study: Firstly; the time interval between pre and posttest was relatively short. Secondly; the possibility of bias in the end line survey cannot be excluded (patients exposed to health education and/or a similar interview at the end-line are more likely to give 'adequate' answers to the questions). Thirdly, we recorded data only for pretest and post-test time points. Finally, it is expected that the effect of diabetes self-management education intervention may have been short-lived, as observed in several other studies.

Acknowledgements

The authors gratefully acknowledge that the present research is supported by Ministry of Research and Technology and the Higher Education Republic of Indonesia. The support is under the research grant BP-PTN USU of the Year 2017 Contract Number: 97/UN5.2.3.1/PPM/KP-TALENTA USU/2017.

References

1. Alberti KGMM, Zimmet P. Epidemiology: global burden of disease-where does diabetes mellitus fit in? Nature Reviews

Endocrinology. 2013; 9(5):258-260,2013.

2. Naslafkih A, Sestier F. Diabetes mellitus related morbidity, riskof hospitalization and disability. Journal of Insurance Medicine. 2003; 35(2):102-113, 2003.

3. Martha M. Funnell, MS, RN, et al National Standards for Diabetes Self- Management Education. Diabetes Care. 2008; 31(Supplement 1): S97—S104. <u>https://doi.org/10.2337/dc08-S097</u> PMid:18165344

4. Tripathi BK, Srivastava AK. Diabetes mellitus: complication and therapeutics. Medical Science Monitor. 2006; 12(7):RA 130-147

5. Clement S. Diabetes sel-management education. Diabetes Care. 1995; 18(8):1204-1214. https://doi.org/10.2337/diacare.18.8.1204 PMid:7587866

6. Kreutzenberg SV, Tiengo A, Avaogaro A. Cerebrovascular S.V.de Kreutzenberg, A.Tiengo, and A.Avaogaro disease in diabetes mellitusa: the role of carotid intima –media thickness," Nyutrition, Metabolism and Cardiovascular Diseases. 2009; 19(9):667-673. https://doi.org/10.1016/j.numecd.2009.03.014 PMid:19500958

7. Kim SH, Lee SJ, Kang ES, et al. Effects of lifestyle modification on metabolic parameters and carotid intima media thickness in patients with type 2 diabetes mellitus, Metabolism: Clinical and Experimental. 2006; 55(8):1053-1059. https://doi.org/10.1016/j.metabol.2006.03.017 PMid:16839841

8. Mulnier H, Barnard M, Forbes A, et al. Effect of selfmanagement programme on glycaemic control and weight in people with established Type 2 diabetes. Diabetic Medicine. 2013; 30(Supplement 1): 131.

9. AADE. AADE7 self-care behaviours. Diabetes Educator. 2008; 34(3):445-449. PMid:18535317

10. Abdoli S, Ashktorab T, Ahmadi F, Parvizi S. [Barriers to and Facilitators of Empowerment in People with Diabetes]. Iran J Endocrinol Metab. 2009; 5:455-64.(Persian)

11. Yuan C, Lai CW, Chan LW, Chow M, Law HK, Ying M. The effect of diabetes self-management education on body weight, glycemic control, and other metabolic markers in patients with type 2 diabetes mellitus. Journal of diabetes research. 2014; 2014.

12. Tan AS, Yong LS, Wan S, Wong ML. Patient education in the management of diabetes mellitus. Singapore Med J. 1997; 38:156-60. PMid:9269394

13. Heisler M, Smith DM, Hayward RA, Krein SL, Kerr EA. How well do patients assessments of their diabetes self management correlate with actual glycemic control and receipt of recommended diabetes services? Diabetes Care. 2003; 26:738-43. https://doi.org/10.2337/diacare.26.3.738 PMid:12610031



Patterns of Antibiotic Prescription in Children: Tirana, Albania Region

Joana Mihani*, Suela Këlliçi

Department of Pharmacy in Faculty of Medicine, University of Medicine, Tirana, Albania

Abstract

Citation: Mihani J, Këlliçi S. Patterns of Antibiotic Prescription in Children: Tirana, Albania Region. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):719-722. https://doi.org/10.3889/camjms.2018.150

Keywords: Antibiotics; Children; Prescription; Tirana *Correspondence: Joana Mihani. Department of Pharmacy in Faculty of Medicine, University of Medicine, Tirana, Albania. E-mail: joana.mihani@gmail.com

Received: 12-Jan-2018; Revised: 01-Mar-2018; Accepted: 06-Mar-2018; Online first: 13-Apr-2018

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: Antibiotics (abx) constitute the most prescribed therapeutic agent in the world. There is little data regarding antibiotic consumption by young children in Albania.

AIM: This study aims to evaluate antibiotic prescription in children in quantitative and qualitative terms, and therefore, propose recommendations to improve overall clinical outcomes.

METHODS: A retrospective, cross-sectional drug utilisation study was conducted based on unreimbursed prescriptions collected in 25 pharmacies, randomly selected within the district of Tirana, during the period beginning December 2015 to January 2016. They contain at least one antibacterial therapeutic agent prescribed for children 0-15 years old, for systemic use. The data were analysed using SPSS 20.

RESULTS: A group of 904 prescriptions meet inclusion criteria, 54.1% patient were female, and 45.9% were male. The most exposed age group were 2-6 years old. The most common diagnosis was respiratory tract infections: bronchitis (59.2%), tonsillitis (17%) followed by bronchopneumonia (9.6%). The most prescribed antibiotic classes are Penicillins (33%), Cephalosporins (33.2%) and Macrolides (21,5%). Amoxicillin (19.4%), Azithromycin (14.7%), the combination of Amoxicillin and Clavulanic acid (13.5%) and Cefaclor (11.7%) were the most commonly prescribed. We observed short duration therapies, with a mean duration of 5.21 days and in 17.4% of cases with a duration of ≤ 2 days.

CONCLUSIONS: We observed a large use of broad-spectrum antibiotics for common respiratory tract infection in children less than 6 years old. We would recommend the creation of an electronic database of patient's record in order to monitor the quality of prescription and education of the healthcare professionals and patient of risks related to antibiotic resistance.

Introduction

Antibiotics (abx) constitute the most prescribed therapeutic agent in the world [1]. Their use has become prolific worldwide, and the rate of prescriptions grown along with [2] [3]. Preschool children are the primary recipients of this medication. [4]. Differences in antibiotic prescription in quantitative and qualitative terms, caries greatly from region to region, country to country [5]. Overuse and misuse of antibiotics have been proven to be the primary cause of antibiotic resistance in patients [6]. It is estimated that almost 50% of antibiotics prescribed for children by primary care physicians are unnecessary [7]. In the USA, almost three-quarters of all antibiotics are prescribed for acute respiratory infections, and 44% of children with common colds were reported to be treated with antibiotics [8] [9]. In Canada, 74% of preschool children seeking care for respiratory infections received antibiotic prescriptions [10]. Prescribing broad-spectrum antibiotics, instead of the narrow spectrum (when appropriate) is also a common type of inappropriate usage [11]. There is little data regarding antibiotic consumption by young children in Albania.

This study aims to evaluate antibiotic prescription in children in quantitative and qualitative terms and to, therefore, propose recommendations and counter-measures to improve overall clinical outcomes.

Patients and Methods

А retrospective, cross-sectional drug utilisation study conducted based was on unreimbursed prescriptions collected in 25 pharmacies, randomly selected within the district of Tirana. Drugs were prescribed by physicians and dispersed by pharmacies during the period beginning December 2015 to January 2016. They contain at least one antibacterial therapeutic agent prescribed for children 0-15 years old, for systemic use. The aim of this study is: to evaluate the most prescribed antibiotics; to discover the most common diagnosis they are prescribed for and the mean duration of therapy for each diagnosis; and finally, to compare possible similar patterns of prescription dissemination with other countries. To define each drug, we referred to the Anatomical Therapeutic Chemical Classification System (ATC). According to this system, antibiotics for systemic use are defined as the J01 main therapeutic group [12]. The data were analysed using **SPSS 20.**

Results

We collected a total number of 904 prescriptions, 54.1% patient were female, and 45.9% were male. According to children age, the most exposed age group were 2-6 years old, and preschool children (\leq 6 years old) represent 2/3 of total prescription.

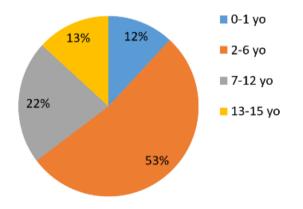


Figure 1: Prescription of antibiotics among age groups

Antibiotic therapy was given to children for 1 -10 days, with a mean therapy duration of 5.21 days. In 17.4% of cases, antibiotic are given to children for ≤ 2 days. Most common diagnosis for prescribing an antibiotic was respiratory tract infections: bronchitis (59.2%), tonsillitis (17%) followed by bronchopneumonia (9.6%). Table 1: Diagnosis for prescribing an antibiotic and mean duration of therapy

Diagnose	%	Mean Duration	Std. Deviation	95% Confidence Interva Mean	
		(days)		Lower	UpperBound
				Bound	
Tonsilitis	17	5.15	2.309	4.78	5.52
Bronchitis	59.2	5.22	2.291	5.02	5.41
Bronchopneumonia	9.6	5.63	1.472	5.32	5.95
Gastroenteritis	4.1	5.05	2.438	4.24	5.87
Otitis	2	5.89	1.875	4.96	6.82
Urinary tract infection	0.7	6.83	1.941	4.80	8.87
Synositis	3.4	5.35	2.039	4.60	6.00
Dental infection	2.5	4.17	2.188	3.23	5.12
Parasitic infection	1.4	3.00	2.000	1.79	4.21

The most prescribed antibiotic classes are Penicillins (33%), Cephalosporins (33.2%) and Macrolides (21.5%) followed by Aminoglycosides with 3.3% of cases.

Table 2: Systemic antibiotics prescription

Chemical group	Drug	%
J01C Penicillins	J01CA04 Amoxicillin	
	J01CR02 Amoxicillin and Clavulanic acid	13.5
J01DB First-generation	J01DB01 <u>Cefalexin</u>	5
cephalosporins	J01DB04 Cefazolin	3
J01DC Second-generation	J01DC02 Cefuroxime	2.2
cephalosporins	J01DC04 Cefaclor	11.7
J01DD Third-generation	J01DD02 Ceftazidime	0.2
cephalosporins	J01DD04 Ceftriaxone	6.4
	J01DD08 Cefixime	4.8
J01FA Macrolides	J01FA01 Erythromycin	
	J01FA09 Clarithromycin	6.5
	J01FA10 Azithromycin	14.7
J01G Aminoglycoside	J01GB03 Gentamicin	3.4
J01EE Combinations of sulfonamides and <u>trimethoprim</u>	J01EE01 Sulfamethoxazole and trimethoprim	5.3
J01XD Imidazole derivatives	J01XD01 Metronidazole	3.7

Amoxicillin (19.4%), Azithromycin (14.7%), the combination of Amoxicillin and Clavulanic acid (13.5%) and Cefaclor (11.7%) were the most commonly prescribed. Penicillins were the most prescribed drugs overall, while Cephalosporins were more prescribed for Bronchitis, Bronchopneumonia and Otis. For dental infections. Penicillins remained the first pharmaceutical treatment choice. Macrolides prescribed are usually for **Bronchitis** and Bronchopneumonia.

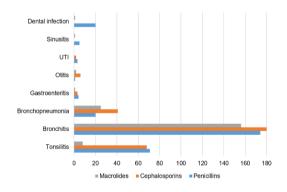


Figure 2: Prescription of Penicillins, Macrolides and Cephalosporins According to Diagnosis

Syrups were the most commonly used pharmaceuticals dosage forms (53.2%), followed by injections (29.8%) and tablets/capsules (15%).

Discussions

902 prescriptions, 64.4% From were dispensed for children under 6 years old. In point of fact, the general worldwide trend is that preschool children are the most exposed to these drugs [4] [13]. Other studies have evidenced that antibiotics are often prescribed without likely therapeutic effects (e.g. for viral respiratory infections). This phenomenon is particularly frequent amongst children [9] [10] [14] [15] [16]. Most of the prescriptions in our study were for respiratory tract infections and bronchitis, as the most frequent diagnosis. According to the pediatric treatment recommendations of the Center for Disease Control and Prevention (CDCP) antibiotics are not helpful and should not be used for bronchitis [17]. Because the risk of antibiotic resistance and Clostridium difficile infection, antibiotics should not be routinely used in the treatment of acute bronchitis, especially in younger patients in whom pertussis is not suspected [18]. From this study, we discovered that broad spectrum abx are far more preferred pharmaceuticals in the Tirana region, with Penicillins being the most prescribed drug class, followed by Cephalosporines Macrolides. and Amoxicillin, Azithromycin, the combination of Amoxicillin and Clavulanic acid and Cefaclor are usually prescribed and dispersed for respiratory tract infection. The trend of using newer broad-spectrum agents in pediatric outpatients is spreading globally. In the Netherlands [13], UK [19], Italy [20], and in the US [21] [22], the broad-spectrum abx has increased use of disproportionately. The use of broad-spectrum agents for viral infections is also a serious concern regarding antibiotic resistance [23] [24]. In this study, we observed short duration therapies, with a mean duration of 5.21 days and in 17.4 % of cases with a duration of \leq 2 days. This use is in contrast with general guidelines of antibiotic use where in most cases it is recommended that a therapy continues 7-10 days for diagnosis such as Tonsillitis and Pneumonia [25]. Nevertheless, according to the pharmacokinetic characteristics of some antibiotics [26], and some studies in which 5 days duration therapies, showed that these could be equally effective as therapies with a duration of more than 7 days, authors of the studies recommended to be cautious about the results [27]. We observed the widespread use of injections for outpatient children (almost 30% of all patients). Oral dosage of pharmaceutical forms is more appropriate and less traumatic for children. This study gives information about the patterns of antibiotic prescriptions in children for the mentioned region of Tirana. However, it has some limitations. First, it is limited only to unreimbursed prescriptions. However, a previous study of antibiotic consumption in Albania showed that the unreimbursed abx use is almost seven times higher than the reimbursed use [28]. Secondly, the location of the study is restricted to the region of

Tirana, and this is a random sampling study. The absence of clinical electronic health record databases for drug prescription outside the reimbursement scheme presents a major difficulty in collecting data to evaluate antibiotic prescriptions for outpatients on a national scale. This program could help to monitor pharmaceuticals like antibiotics which are frequently prescribed and dispersed outside this scheme, increase the responsibilities of appropriate antibiotic use, including warnings, and finally, to decrease costs for disease management for Albanian families.

In conclusion, this study demonstrated a large use of broad-spectrum antibiotics for common respiratory tract infection in children less than 6 years old. Guidelines recommend that for some of these infections, antibiotics can be avoided or at least delayed, as the situation is monitored. Short duration therapies were observed, raising other concerns such as antibiotic resistance. This study has a limited number of prescriptions, and it is nor conducted on a national scale. We would recommend the creation of an electronic database of patients record to monitor the quality of prescription and education of the health care professionals and patient of risks related with antibiotic resistance.

References

1. Muller A, Coenen S, Monnet DL, Goossens H. European Surveillance of Antimicrobial Consumption (ESAC): outpatient antibiotic use in Europe, 1998-2005. Euro Surveill. 2007; 12 (41):pii=3284. <u>https://doi.org/10.2807/esw.12.41.03284-en</u>

2. Majeed A, Moser K. Age- and sex-specific antibiotic prescribing patterns in general practice in England and Wales in 1996. Br J Gen Pract. 1999; 49(446): 735–736. PMid:10756619 PMCid:PMC1313505

3. Finkelstein JA, Metlay JP, Davis RL, Rifas-Shiman SL, Dowell SF, Platt R. Antimicrobial use in defined populations of infants and young children. Arch Pediatr Adolesc Med. 2000; 154(4):395-400. https://doi.org/10.1001/archpedi.154.4.395 PMid:10768680

4. Blix HS, Engeland A, Litleskare I, Rønning M. Age- and genderspecifi c antibacterial prescribing in Norway. J Antimicrob Chemother. 2007; 59:971-976. <u>https://doi.org/10.1093/jac/dkm032</u> PMid:17329270

5. Rossignoli A, Clavenna A, Bonati M. Antibiotic prescription and prevalence rate in the outpatient paediatric population: analysis of surveys published during 2000-2005. Eur J Clin Pharmacol. 2007; 63(12):1099–1106. <u>https://doi.org/10.1007/s00228-007-0376-3</u> PMid:17891535

6. Veliĉković-Radovanović RH, Petrović J, Kocić B, Antić S, Randelović G. Correlation between antibiotic consumption and bacterial resistance as quality indicator of proper use of these drugs in inpatients. Vojnosanit Pregl. 3009; 66(4): 307-312. https://doi.org/10.2298/VSP0904307V

7. Pichichero ME. Dynamics of antibiotic prescribing for children. JAMA. 2002; 287(23):3133–3135.

https://doi.org/10.1001/jama.287.23.3133 PMid:12069678

8. McCaig LF, Hughes JM. Trends in antimicrobial drug prescribing among office-based physicians in the United States. JAMA. 1995; 273(3):214-219.

https://doi.org/10.1001/jama.1995.03520270048030

9. Nyquist AC, Gonzales R, Steiner JF, Sande MA.. Antibiotic prescribing for children with colds, upper respiratory tract infections, and bronchitis. JAMA. 1998; 279(11):875-877. https://doi.org/10.1001/jama.279.11.875 PMid:9516004

10. Wang EE, Einarson TR, Kellner JD, Conly JM. Antibiotic prescribing for Canadian preschool children: evidence of overprescribing for viral respiratory infections. Clin Infect Dis. 1999; 29(1):155-160. <u>https://doi.org/10.1086/520145</u> PMid:10433579

11. van Roosmalen MS, Braspenning JC, De Smet PA, Grol RP. Antibiotic prescribing in primary care: first choice and restrictive prescribing are two different traits. Qual Saf Health Care. 2007; 16(2):105-109. <u>https://doi.org/10.1136/qshc.2006.018580</u> PMid:17403755 PMCid:PMC2653145

12. WHO Collaborating Centre for Drug Statistics Methodology. Guidelines for ATC Classification and DDD Assignment, 2013. Available at:

https://www.whocc.no/filearchive/publications/1_2013guidelines.pdf , (accessed 30/06/2017).

13. Otters HB, van der Wouden JC, Schellevis FG, van Suijlekom-Smit LW, Koes BW. Trends in prescribing antibiotics for children in Dutch general practice. J Antimicrob Chemother. 2004; 53:361-366. <u>https://doi.org/10.1093/jac/dkh062</u> PMid:14729760

14. Marra F, Patrick DM, Chong M, Bowie WR. Antibiotic use among children in British Columbia, Canada. J Antimicrob Chemother. 2006; 58:830-839. <u>https://doi.org/10.1093/jac/dkl275</u> PMid:16921182

15. Mainous AG 3rd, Hueston WJ, Love MM, Evans ME, Finger R. An evaluation of statewide strategies to reduce antibiotic overuse. Fam Med. 2000; 32(1):22-29. PMid:10645510

16. Vaccheri A, Castelvetri C, Esaka E, Del Favero A, Montanaro N. Pattern of antibiotic use in primary health care in Italy. Eur J Clin Pharmacol. 2000; 56(5):417-425.

https://doi.org/10.1007/s002280000165 PMid:11009052

17. Pediatric Treatment Recommendations. Available at: https://www.cdc.gov/getsmart/community/for-hcp/outpatient-hcp/pediatric-treatment-rec.pdf, (accessed 30/06/2017).

18. Ross HA. Diagnosis and Treatment of Acute Bronchitis. American Family Physician. 2010; 82(11):1346-1350.

19. Schneider-Lindner V, Quach C, Hanley JA, Suissa S. Secular trends of antibacterial prescribing in UK paediatric primary care. J

Antimicrob Chemother. 2011; 66(2):424–433. https://doi.org/10.1093/jac/dkq452 PMid:21172784

20. Resi D, Milandri M, Moro ML. Emilia Romagna Study Group On The Use Of Antibiotics In Children.Antibiotic prescriptions in children. J Antimicrob Chemother. 2003; 52:282–286. https://doi.org/10.1093/jac/dkg302 PMid:12865400

21. Steinman MA, Gonzales R, Linder JA, Landefeld CS. Changing use of antibiotics in community-based outpatient practice, 1991– 1999. Ann Intern Med. 2003; 138:525–533. https://doi.org/10.7326/0003-4819-138-7-200304010-00008 PMid:12667022

22. Stille CJ, Andrade SE, Huang SS, Nordin J, Raebel MA, Go AS, Chan KA, Finkelstein JA. Increased use of second-generation macrolide antibiotics for children in nine health plans in the United States. Pediatrics. 2004; 114(5): 1206–1211. https://doi.org/10.1542/peds.2004-0311 PMid:15520097

23. Spellberg B, Guidos R, Gilbert D, Bradley J, Boucher HW, Scheld WM, Bartlett JG, Edwards J Jr. The Epidemic of Antibiotic-Resistant Infections: A Call to Action for the Medical Community from the Infectious Diseases Society of America Clin Infect Dis. 2008; 46(2): 155-164.

24. Antbotc resstance threats in the United States, 2013. Available at https://www.cdc.gov/drugresistance/pdf/ar-threats-2013-508.pdf (accessed 30/06/2017).

25. Antibiotic choices for common infections. Available at http://www.bpac.org.nz/Supplement/2013/July/docs/Antibioitcs_gui de_2013.pdf (accessed 30/06/2017).

26. Langtry HD, Balfour JA. Azithromycin. A review of its use in pediatric infectious diseases. Drugs. 1998; 56(2):273-297. https://doi.org/10.2165/00003495-199856020-00014 PMid:9711451

27. Altamimi S, Khalil A, Khalaiwi KA, Milner R, Pusic MV, Al Othman MA. Short versus standard duration antibiotic therapy for acute streptococcal pharyngitis in children. Cochrane Database Syst Rev. 2012; 15;(8):CD004872.

Hoxha I, Malaj A, Malaj L. Antibiotic use in Albania between
 and 2012. The Journal of Infection In Developing Countries.
 9(1): 94-98. <u>https://doi.org/10.3855/jidc.5375</u> PMid:25596577



Job Demands, Burnout, and Teamwork in Healthcare Professionals Working in a General Hospital that Was Analysed At Two Points in Time

Dragan Mijakoski^{1*}, Jovanka Karadzhinska-Bislimovska¹, Sasho Stoleski¹, Jordan Minov¹, Aneta Atanasovska¹, Elida Bihorac²

¹Institute of Occupational Health of Republic of Macedonia, WHO Collaborating Center, Skopje, Republic of Macedonia; ²MPH candidate, Faculty of Medicine, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia

Abstract

Citation: Mijakoski D, Karadzhinska-Bislimovska J, Stoleski S, Minov J, Atanasovska A, Bihorac E. Job Demands, Burnout, and Teamwork in Healthcare Professionals Working in a General Hospital that Was Analysed At Two Points in Time. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):723-729. https://doi.org/10.3889/oamjms.2018.159

Keywords: Job demands; Burnout; Teamwork; Longitudinal study; Healthcare professionals

*Correspondence: Draga Mijakoski. Institute of Occupational Health of RM, WHO Collaborating Center, Skopje, Republic of Macedonia. E-mail: dmijakoski gvahoo.com

Received: 13-Oct-2017; Revised: 16-Dec-2017; Accepted: 17-Dec-2017; Online first: 14-Apr-2018

Copyright: © 2018 Dragan Mijakoski, Jordan Minov, Karadzhinska-Bislimovska, Sasho Stoleski, Jordan Minov, Aneta Atanasovska, Elida Bihorac. This is an openaccess article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

AIM: The purpose of the paper was to assess job demands, burnout, and teamwork in healthcare professionals (HPs) working in a general hospital that was analysed at two points in time with a time lag of three years.

METHODS: Time 1 respondents (N = 325) were HPs who participated during the first wave of data collection (2011). Time 2 respondents (N = 197) were HPs from the same hospital who responded at Time 2 (2014). Job demands, burnout, and teamwork were measured with Hospital Experience Scale, Maslach Burnout Inventory, and Hospital Survey on Patient Safety Culture, respectively.

RESULTS: Significantly higher scores of emotional exhaustion (21.03 vs. 15.37, t = 5.1, p < 0.001), depensionalization (4.48 vs. 2.75, t = 3.8, p < 0.001), as well as organizational (2.51 vs. 2.34, t = 2.38, p = 0.017), emotional (2.46 vs. 2.25, t = 3.68, p < 0.001), and cognitive (2.82 vs. 2.64, t = 2.68, p = 0.008) job demands were found at Time 2. Teamwork levels were similar at both points in time (Time 1 = 3.84 vs. Time 2 = 3.84, t = 0.043, p = 0.97).

CONCLUSION: Actual longitudinal study revealed significantly higher mean values of emotional exhaustion and depersonalization in 2014 that could be explained by significantly increased job demands between analysed points in time.

Introduction

Burnout is most often analysed as a psychological syndrome, resulting from exposure to chronic emotional and interpersonal workplace stressors [1] [2] [3]. This syndrome is represented by three dimensions - emotional exhaustion (an overwhelming exhaustion, as well as feelings of being overextended by the demands of the job and depleted of one's emotional and physical resources), depersonalization or cynicism (a negative, callous, or excessively detached response to various aspects of the job, as well as cynical response to the recipients of care), and reduced personal accomplishment (feelings of incompetence and a lack of achievement and productivity at work) [3]. Since reduced personal accomplishment correlates weakly with both emotional exhaustion and depersonalization and with detected burnout correlates [4] [5], recent studies focused mostly on emotional exhaustion and depersonalization [6] [7] [8].

It is clearly shown that workplace stress and burnout are important issues for a wide range of occupations. For example, the teaching profession is becoming more and more stressful due to increased responsibilities and demanding deadlines [9] [10] [11]. Healthcare professionals (HPs), while providing healthcare services to the patients, are exposed to different psychosocial hazards that originate from the workplace demands and conditions [12] [13]. These hazards are particularly detrimental when requirements of the work do not match the capabilities, resources or needs of the worker [14]. Hospital HPs in everyday practice is dealing with different physical (e.g., responsibility for too many patients, very fast work), social, emotional, cognitive, and organisational factors (job demands) that require prolonged physical and/or psychological (cognitive and emotional) efforts. Job demands are associated with certain physiological and/or psychological costs in workers (leading to overtaxing and emotional exhaustion) when the invested personal efforts are high [3] [6] [15] [16].

On the other hand, job resources represent those aspects of the job that reduce both job demands and changes in workers related to the job demands. Additionally, job resources help workers in achieving work goals; they stimulate personal growth, learning and development of employees [15]. Job resources are present at the organizational (e.g., good salary, job security) or interpersonal (e.g., teamwork, supervisor and co-worker support) level, at the specific job position (e.g., participation in decision making), and at the level of the task (e.g., autonomy, performance feedback) [16].

According to the job demands/resources model of stress (JD - R model), burnout develops through two types of psychological processes: demanding aspects of work (high job demands) resulting in overtaxing and exhaustion (energetic process); and lack of resources leading to withdrawal behaviour (depersonalization) and disengagement (motivational process) When [6] [16]. the organizational context is represented by adequate job resources, such as proper feedback, adequate supervisor and co-worker support, and appropriate teamwork, in employees could be detected high levels of job engagement as well as low levels of depersonalization. On the contrary, in the context of reduced iob resources (e.g., inappropriate performance feedback, low salary, job insecurity, inadequate supervisory coaching and teamwork), job demands are particularly effective and detrimental [6] [16] [17].

Teamwork, as a particular job resource, is a specific cooperative process between team members that allows them to develop effective, mutual relationships in achieving team goals through sharing knowledge and skills [18] [19]. Teamwork is an extremely important resource in hospital settings, and HPs are coordinating their activities to deliver healthcare services to the patients that should be safe, effective, patient - centred, timely, efficient, and equitable [20]. Also, teamwork could protect workers from emotional exhaustion, depersonalization, and disengagement [15]. However, research in this field has demonstrated that teamwork had stronger effects on the relationship job engagement - job satisfaction than on the relationship job demands - burnout. Those findings support theoretical and empirical data about the existence of two psychological processes: 1.

energetic process, in which job demands lead to poor health via burnout, and 2. motivational process, in which job resources result in higher job satisfaction via job engagement [15] [21] with job resources having weaker effect on the relationship job demands - burnout.

Despite numerous studies on burnout in HPs, either cross-sectional or longitudinal [22] [23] [24] [25] [26] [27], mainly analysing its predictors and consequences, to date there is poor pool of data in the region of South - East Europe (SEE) on changes in burnout levels over time. In this study we analysed HPs working in a general hospital in Skopje, Macedonia that is an educational base of the Faculty of Medicine in Skopje, providing health care to the general population at secondary and tertiary level.

The health care system in the Republic of Macedonia faces continuous reforms oriented towards improvement of safety, effectiveness. patientcenteredness, timeliness, efficiency, and equity of patient care, as the key attributes of high quality health care [28] [29]. Within aforementioned reforms, followed by reorganisation of hospital settings, HPs from the study hospital are referring increased job demands (ex., significantly increased number of patients served, low salaries of HPs, complex administrative requirements, etc.) [28]. On the other hand, previous qualitative and quantitative studies [28] [29] [30] demonstrated protective workplace factors (job resources) within analysed hospital context (ex., appropriate working conditions, support from superiors and co-workers, teamwork, independence in decision excellent interpersonal making, relationships. etc.). But. although hospital good management continued implement to organizational and management standards, high level of discipline, teamwork, good communication between team members, HPs working in this hospital still complain about the listed job demands.

The actual paper analyses the concepts of job demands, burnout, and teamwork taking into consideration the national context and specifics of the hospital in focus. The purpose of the present study was to assess job demands, burnout, and teamwork in HPs working in a general hospital that was analysed at two points in time with a time lag of three years.

Methods

The study was conducted in a general teaching hospital from Skopje, Macedonia, an educational base of the Faculty of Medicine. The most important criteria for selecting the hospital were to have stable management and to represent the most typical hospital organisational system, providing

inpatient and outpatient (specialist healthcare with specialist diagnostics) health care and laboratory tests. The total number of employees in the hospital in 2011 was about 420, and it had about 500 beds. Before the research, ethical approval was obtained by the hospital's ethics committee.

The research design included two crosssectional studies. The hospital employees were studied at two points in time during hospital reorganisations that were conducted in line with country health care reforms. The reforms were followed by higher job demands in HPs, represented mainly by the increased workload, increased number of patients served, and complex administration procedures. The surveys were performed at two points in time (2011 and 2014) with a time lag of three years. On both occasions, the hard copies of the questionnaires together with a letter explaining the objectives of the study and assuring participants' anonymity and confidentiality were distributed in envelopes to all HPs working in the Hospital. Questionnaires were returned anonymously in sealed envelopes participants' to protect privacy. Participation in the study was voluntary.

Participants were HPs working in general hospital that was subject to analysis. Time 1 respondents (N = 325) were those who participated during the first wave of data collection (2011) and who had completed the questionnaires. Time 2 respondents (N = 197) were HPs from the same hospital who responded at Time 2 (2014) and who had also completed the questionnaires. Job demands, burnout, and teamwork were measured with Hospital Experience Scale, Maslach Burnout Inventory, and Hospital Survey on Patient Safety Culture, respectively.

Hospital Experience Scale (HES), which was constructed and developed for FP7 ORCAB Project (http://orcab.web.auth.gr/), was applied for the assessment of job demands within the actual study. The items were categorised into four subscales: physical workload (seven items, e.g., I am responsible for too many patients in hospital rounds), organisational (six items, e.g., The roles in my department are not clear/ambiguous), emotional (six items, e.g., I have to deal with verbally abusive patients) and cognitive (five items, e.g., I have to make decisions when I don't have all the information I need) job demands. Participants indicated their level of agreement with the items on a 5 - point Likert scale (1 = never to 5 = always), and points for statements relating to each of the job demands types were averaged to derive the four types of job demands. The higher mean score means, the higher perceived level of particular job demands type.

Burnout was examined with the Maslach Burnout Inventory (MBI) [3]. Emotional exhaustion (nine items) and depersonalisation (five items) subscales were applied and measured using a 7 - point Likert scale (0 = never to 6 every day). Emotional exhaustion refers to the feelings of overwhelming exhaustion, lack of energy and depletion of emotional resources and the person feels used up. Depersonalization is the interpersonal dimension of burnout that refers to the feelings of frustration, anger, and cynicism. It is described as an excessively detached response to other people. Emotional exhaustion was assessed through items such as "I feel emotionally drained from my work" and "I feel burned out by my work", and depersonalization with items such as "I feel I treat some patients as if they were impersonal objects". Responses are added to form a score for each subscale, thus giving each participant scores for the two components of burnout. The higher the score in one dimension delineates the higher level of burnout.

Teamwork (four items, e.g., when one area in this unit gets busy, others help out) was measured with the Hospital Survey on Patient Safety Culture, developed by the US Agency for Healthcare Research and Quality (http://www.ahrq.gov/qual/patientsafetyculture/hospcul t1.htm). Participants indicated the level of agreement with the items (1 = strongly disagree, 2 = disagree, 3 = neither agree nor disagree, 4 = agree, 5 = strongly agree) and the mean score was calculated. The higher mean score represents a higher level of perceived teamwork.

To keep participants' anonymity and confidentiality, the questionnaires were returned anonymously in sealed envelopes and data were made completely anonymous before being analysed and published. Therefore, there was no possibility to follow one person from one point of time to the next. Afterwards, data were analysed as if they were independent.

Independent samples *t*-test was used to compare data obtained at two points in time (2011 and 2014). Pearson's correlation coefficients were calculated to examine relationships between continuous variables (burnout dimensions, different types of job demands, and teamwork). *P* values < 0.05 were considered statistically significant, and the main results are given with 95% confidence intervals (CI). The Statistical Package for the Social Sciences (SPSS) statistics (Chicago USA 2011, version 20) was used for the statistical analyses.

Results

Completed surveys were returned by 325 HPs (70.2% females, 29.8% males) at Time 1. Participants had an average age of 38.12 (SD = 10.58) years, and they worked for an average of 130.55 (SD = 121.01) months at the same hospital and 105.18 (SD = 106.03) months within the same unit. They worked for an average of 42.14 (SD = 11.21) hours per week.

At Time 2, 197 HPs (79.9% females, 20.1% males) returned completed surveys. They had an average age of 42.36 (SD = 9.29) years, and they worked for an average of 157.3 (SD = 115.27) months at the same hospital and 115.25 (SD = 108.19) months within the same unit. They worked for an average of 43.73 (SD = 8.41) hours per week. Similar frequencies of participants were married or lived together with their partner and reported full - time contract as a type of employment at Time 1 and Time 2.

Means, standard deviations, internal consistency coefficients (i.e., Cronbach's alphas), and correlation coefficients of analysed variables at Time 1 and Time 2 are presented in Table 1.

Table 1: Means, standard deviations, internal consistencycoefficients (i.e., Cronbach's alphas), and correlationcoefficients of variables in Time 1 and Time 2

Variable	Mean	St. dev.	α	1	2	3	4	5	6
Time 1									
1. Emotional Exhaustion	15.37	11.46	0.88	/					
Depersonalization	2.75	4.3	0.75	0.623**	/				
 Job demands Physical demands 	3.29	0.67	0.71	0.283**	0.146**	/			
4. Job demands Organizational demands	2.34	0.77	0.78	0.229**	0.21**	0.431**	/		
5. Job demands Emotional demands	2.25	0.61	0.75	0.285**	0.263**	0.367**	0.58**	/	
6. Job demands Cognitive demands	2.64	0.71	0.71	0.27**	0.161**	0.422**	0.502**	0.449**	/
7. Teamwork Time 2	3.84	0.79	0.84	-0.249**	-0.25**	-0.161**	-0.435**	-0.291**	-0.206**
1. Emotional Exhaustion	21.03	12.78	0.91	/					
2. Depersonalization	4.48	5.42	0.78	0.639**	/				
3. Job demands Physical demands	3.3	0.64	0.73	0.379**	0.315**	/			
4. Job demands Organizational demands	2.51	0.73	0.72	0.342**	0.346**	0.362**	/		
5. Job demands Emotional demands	2.46	0.65	0.75	0.293**	0.306**	0.355**	0.605**	/	
 Job demands Cognitive demands 	2.82	0.73	0.72	0.221**	0.245**	0.309**	0.586**	0.546**	/
7. Teamwork	3.84	0.86	0.91	-0.182**	-0.173*	-0.163*	-0.28**	-0.172*	-0.23**

** Correlation is significant at the 0.01 level (2 - tailed); * Correlation is significant at the 0.05 level (2 - tailed); *Note*. First row numbers (1 - 6) indicate the same respective; variables as shown in the first column (eg., 1. Emotional Exhaustion, 2. Depersonalization, 3. Job demands - Physical demands etc.)

The reliability of the scales at both Time 1 and Time 2 was above 0.7 which was adequate for further statistical analyses. Using bivariate analyses, we found significant positive correlations of burnout dimensions with all job demands types at both times. On the other hand, teamwork was negatively correlated with both burnout dimensions and all types of job demands at Time 1 and Time 2 (see Table 1). Figure 1 and Figure 2 show mean emotional exhaustion and depersonalization scores in 2011 and 2014.

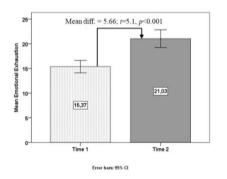


Figure 1: Mean emotional exhaustion scores in 2011 (Time 1) and 2014 (Time 2)

Figure 1 and Figure 2 data demonstrate that significantly higher scores of emotional exhaustion (21.03 vs. 15.37, Mean diff. = 5.66, t = 5.1, p < 0.001) and depersonalization (4.48 vs. 2.75, Mean diff. = 1.72, t = 3.8, p < 0.001) were detected at Time 2 (2014).

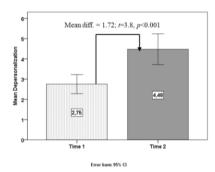


Figure 2: Mean depersonalization scores in 2011 (Time 1) and 2014 (Time 2)

Descriptive statistics of job demands and teamwork and differences between 2011 (Time 1) and 2014 (Time 2) are shown in Table 2.

Table 2: Descriptive statistics of job demands and teamwork
and differences between 2011 (Time 1) and 2014 (Time 2)

		Mean	SD	t	Mean diff. (95% CI)	р
Job demands - Physical demands	2011 2014	3.29 3.3	0.67 0.64	-0.043	0.003 (-0.11, 0.12)	0.965
Job demands - Organizational demands	2011 2014	2.34 2.51	0.77 0.73	-2.38	-0.16 (-0.3, -0.03)	0.017
Job demands - Emotional demands	2011 2014	2.25 2.46	0.61 0.65	-3.68	-0.21 (-0.32, -0.1)	<0.001
Job demands - Cognitive demands	2011 2014	2.64 2.82	0.71 0.73	-2.68	-0.17 (-0.3, -0.07)	0.008
Teamwork	2011 2014	3.84 3.84	0.79 0.86	0.043	0.003 (-0.14, 0.15)	0.965

The data obtained represent significantly higher scores of organizational (2.51 *vs.* 2.34, Mean diff. = 0.16, t = 2.38, p = 0.017), emotional (2.46 *vs.* 2.25, Mean diff. = 0.21, t = 3.68, p < 0.001), and cognitive (2.82 *vs.* 2.64, Mean diff. = 0.17, t = 2.68, p=0.008) job demands at Time 2. Despite aforementioned significant differences, teamwork levels were similar at both points in time (Time 1 = 3.84 *vs.* Time 2 = 3.84, Mean diff. = 0.003, t = 0.043, p = 0.97) (see Table 2).

Discussion

Emotional exhaustion (15.37) and depersonalization (2.75) mean scores in 2011 within the actual study were lower than it was found in previous studies analysing burnout in hospital HPs. For example, Vahey DC et al. registered an average score of emotional exhaustion - 24.3 and an average score of depersonalization - 7.4 in nurses from 40 units in 20 urban hospitals across the United States [31]. Similarly, low mean values of both burnout dimensions were also registered in HPs in other studies conducted in R. Macedonia [29][30][32][33]. In a study conducted in HPs from seven South and South - Eastern European countries, adopting a cross-national approach, Macedonian HPs demonstrated levels of emotional exhaustion (EE) and depersonalization (DP) in the lower end of the average EE and DP scores [34].

On the other hand, job demands in 2011 showed medium level (physical - 3.29, organisational -2.34, emotional - 2.25, and cognitive - 2.64 job demands) with the relatively high level of perceived teamwork - 3.84. The average score of teamwork with the added value of the standard deviation - 0.79 becomes over 4.5, the level that demonstrates teamwork as a significant protective factor within analysed hospital, taking into consideration that teamwork is found to be one of the most important job good together with interpersonal resources. relationships. support from superiors, adequate feedback, and independence in decision making.

The implementation of JD - R Model within analysed hospital context in 2011, references to both medium level job demands and high level teamwork that could boost the compensatory efforts in HPs in order to maintain high level of performance (high job engagement) and to reduce physiological and psychological costs in HPs associated with their work efforts (low exhaustion and depersonalization) [16]. Indeed, job demands may lead to the depletion of energy (emotional exhaustion), but in our case job resources (e.g., high level of teamwork) with their protective and motivational potential have led to low levels of exhaustion and depersonalization. The protective function of teamwork was demonstrated elsewhere. Different studies have shown that teamwork predicted lower levels of burnout. The more that HPs experienced teamwork, the less they felt burnt out [15] [17] [32].

To our knowledge, similar studies analysing changes in burnout levels over time in the SEE Region are very rare. The present study was aimed to assess job demands, burnout, and teamwork in HPs, working in a general hospital, at two points in time (2011 and 2014). We have found that both burnout dimensions were positively correlated with all types of job demands, while teamwork was negatively correlated with burnout dimensions and job demands at both occasions (2011 and 2014). Similarly to our findings, studies have shown that HPs who perceive their job demands as reasonable and who have more support from colleagues (higher levels of teamwork) have higher levels of psychological well - being [35]. Additionally, equivalent results of bivariate analyses were also registered in other studies conducted in R. Macedonia [29] [30].

The actual study demonstrated that in 2014, the mean values of emotional exhaustion and depersonalization were significantly higher than in 2011. This increase in emotional exhaustion and depersonalization levels between 2011 and 2014 has to be explained in a hospital context where job demands also significantly increased between analysed points in time, while perceived teamwork levels remained relatively high and constant from 2011 until 2014. Namely, our data suggested that in HPs, in the period between 2011 and 2014, the feelings of more strict hierarchy in the hospital. ambiguous roles, and problematic communication have become more intense, they became more concerned about the negative influence of media on the work of HPs, together with an increase in emotional (e.g., emotional involvement in work, dealing with verbally abusive patients) and cognitive (e.g., inadequate feedback, time pressures) job demands.

According to the JD - R model, demanding aspects of work (high job demands) as well as involvement in jobs with chronic job demands (either work overload or emotional demands or others) exhaust employees' mental and physical resources and may, therefore, lead to the depletion of energy [16]. It was scientifically proven that these job demands predict overtaxing and exhaustion in workers. Additionally, in Macedonian context, it was detected that ageing of HPs positively predicted both emotional exhaustion and depersonalization [30]. Having in mind this knowledge, we further performed bivariate analyses between burnout dimensions and age within the actual study. These analyses showed a positive correlation of both burnout dimensions with an age of HPs in either 2011 or 2014, meaning that the older HPs experienced more emotional exhaustion and depersonalization. Therefore, higher burnout scores in 2014 than in 2011 could be a result not only of higher job demands but also an effect of the ageing of HPs.

The other component of JD - R model, besides job demands, is job resources. Hospital context is, usually, characterised by one of the most important job resources, defined as teamwork. It is well established that teamwork could protect workers from emotional exhaustion, depersonalization, and disengagement [15]. Research has shown that teamwork demonstrated a buffering effect (i.e., increasing the teamwork would decrease either the effect of the job demands on burnout or the effect of age on burnout) [30].

Since the level of perceived teamwork was constantly high between 2011 and 2014 (over 4.5, together with the added value of the standard deviation), we expected that the high level of this job resource would boost the compensatory efforts in HPs to reduce exhaustion and depersonalization. However, the levels of burnout significantly increased from 2011 to 2014. These findings could be explained the results of mediation and moderation analyses showing that teamwork had stronger effects on the relationship job engagement - job satisfaction than on the relationship job demands - burnout [32]. In other words, in the analysed hospital context, teamwork has failed to decrease the effect of the job demands on burnout. Finally, this study again confirms the existence of two processes in the psychosocial functioning of workers: 1. Energetic process (job demands - burnout - poor health), and 2. Motivational process (job resources - job engagement - job satisfaction) [15] [21].

Findings of the actual study should be interpreted with caution as answering bias could rise because it is possible that more affected HPs tended to answer. Also, a "healthy worker effect" may have underestimated the levels of burnout. Additional limitations also include the fact that the analyses were based on self - reporting from questionnaires.

As a conclusion, this study shows differences in job demands and burnout in HPs working in a general hospital that was analysed at two points in time with a time lag of three years. In 2011, emotional exhaustion and average depersonalization scores were lower than it was found in previous studies analysing burnout in hospital HPs. On the other hand, job demands in 2011 showed medium level, while teamwork demonstrated relatively high level. The actual study revealed significantly higher mean values of emotional exhaustion and depersonalization in 2014 that could be explained by significantly increased job demands between analysed points in time. The perceived teamwork levels remained relatively high and constant from 2011 until 2014. Although, scientific data demonstrated that teamwork negatively predicted burnout and it can boost compensatory efforts in HPs to reduce exhaustion and depersonalise zation, this study supports the findings that teamwork had stronger effects on the relationship job engagement - job satisfaction than on the relationship job demands - burnout.

Obtained data can be used in the implementation of specific organisational interventions in the hospital setting through providing adequate JD -R interaction to prevent burnout in HPs. The interventions should be guided by the findings that job demands have a key role in the increase of exhaustion and depersonalization levels over time. The most importantly, this study highlights the importance of job demands in burnout development, even in the context when teamwork has constantly high levels. Specific strategies should be implemented in the hospital towards minimising competitiveness between colleagues, giving clear roles to workers, improvement of communication, giving adequate feedback about completed workplace tasks, and lowering time pressures. Further keeping the teamwork on high levels can be used towards the improvement of job engagement, job satisfaction, as well as good health and well - being in HPs since it has effects on the energetic and motivational psychosocial processes.

Physical job demands should not be overlooked since there level over time was constantly higher than the levels of other job demands. Reducing workload and time pressure through new employment as well as by purchasing new medical equipment and other supplies should be taken into account. Raising awareness in policymakers and key stakeholders should be strengthened. Finally, it should be stressed that providing adequate job demands-resources interaction can lead to the prevention of job burnout in HPs, as well as to improve the quality of care for the final consumers, or patients.

References

1. Maslach C, Leiter MP. The truth about burnout. San Francisco, CA: Jossey-Bass, 1997. PMid:9332965

2. Leiter MP, Maslach C. Burnout and health. In: Baum A, Revenson T, Singer J, eds. Handbook of health psychology. Hillsdale, NJ: Lawrence Earlbaum, 2000. Retrieved from http://cord.acadiau.ca/publications.html. PMCid:PMC310941

3. Maslach C, Schaufeli WB, Leiter MP. Job burnout. Annu Rev Psychol. 2001; 52:397-422.

https://doi.org/10.1146/annurev.psych.52.1.397 PMid:11148311

4. Cordes C, Dougherty T. A review and integration of research on job burnout. Acad Manage Rev. 1993; 18:621-659.

5. Kalliath TJ, O'Driscoll MP, Gillespie DE, Bluedom AC. A test of the Maslach Burnout Inventory in three samples of healthcare professionals. Work & Stress. 2000; 14:35-50. https://doi.org/10.1080/026783700417212

6. Demerouti E, Bakker AB, Nachreiner F, Schaufeli WB. The job demands-resources model of burnout. J Appl Psychol. 2001; 86:499-512. <u>https://doi.org/10.1037/0021-9010.86.3.499</u> PMid:11419809

7. Maslach C, Leiter MP. Early predictors of job burnout and engagement. J Appl Psychol. 2008; 93:498-512. https://doi.org/10.1037/0021-9010.93.3.498 PMid:18457483

8. Purvanova RK, Muros JP. Gender differences in burnout: A meta-analysis. J Vocat Behav. 2010; 77:168-185. https://doi.org/10.1016/j.jvb.2010.04.006

9. Hepburn A, Brown S. Teacher stress and management of accountability. Human Relations. 2001; 54(6):691-715. https://doi.org/10.1177/0018726701546001

10. Kyriacou C. Teacher stress and burnout: An international review. Educational Research. 1987; 29:145-152. https://doi.org/10.1080/0013188870290207

11. Cooper CL. Life at the Chalkface - Identifying and measuring teacher stress. Br J Educ Psychol. 1995; 65(1):69-71. https://doi.org/10.1111/j.2044-8279.1995.tb01131.x PMid:7727268

12. International Labour Organization, International Occupational Safety and Health Information Centre. Hazard datasheets on occupations (HDO). Geneva, Switzerland: International Labour Organization (ILO), International Occupational Safety and Health Information Centre (CIS), 2000. http://www.ilo.org/. Page reviewed 2012. Page updated 2014. Accessed August 22, 2017.

13. National Institute for Occupational Safety and Health. CDC resources page. Centers for Disease Control and Prevention Web site. Healthcare workers. http://

http://www.cdc.gov/niosh/topics/healthcare/. Page reviewed January 13, 2017. Page updated May 14, 2017. Accessed August 22, 2017.

14. National Institute for Occupational Safety and Health. Stress at

work (DHHS [NIOSH] publication no. 99-101). Cincinnati, OH: National Institute for Occupational Safety and Health, 1999.

15. Schaufeli WB, Bakker AB. Job demands, job resources, and their relationship with burnout and engagement: a multi-sample study. J Organiz Behav. 2004; 25:293-315. https://doi.org/10.1002/job.248

16. Demerouti E, Bakker A. The Job demands-resources model: Challenges for future research. SA Journal of Industrial Psychology. 2011; 37(2):1-9. https://doi.org/10.4102/sajip.v37i2.974

17. Schaufeli WB, Bakker AB, Van Rhenen W. How changes in job demands and resources predict burnout, work engagement, and sickness absenteeism. J Organ Behav. 2009; 30:893-917. https://doi.org/10.1002/job.595

18. Scarnati JT. On becoming a team player. Team Performance Management: An International Journal. 2001; 7(1/2):5-10. https://doi.org/10.1108/13527590110389501

19. Harris PR, Harris KG. Managing effectively through teams. Team Performance Management: An International Journal. 1996; 2(3):23-36. <u>https://doi.org/10.1108/13527599610126247</u>

20. Baker DP, Day R, Salas E. Teamwork as an essential component of high-reliability organizations. Health Serv Res. 2006; 41(4 Pt 2):1576-1598. <u>https://doi.org/10.1111/j.1475-6773.2006.00566.x</u> PMid:16898980 PMCid:PMC1955345

21. Schaufeli WB, Bakker AB. Werk en welbevinden: Naar een positieve benadering in de Arbeidsen Gezondheidspsychologie (Work and well-being: Towards a positive occupational health psychology). Gedrag & Organisatie. 2001; 14:229-253.

22. Nordang K, Hall-Lord ML, Farup PG. Burnout in health-care professionals during reorganizations and downsizing. A cohort study in nurses. BMC Nursing. 2010; 9:8. https://doi.org/10.1186/1472-6955-9-8 PMid:20525338 PMCid:PMC2900247

23. McManus IC, Winder BC, Gordon D. The causal links between stress and burnout in a longitudinal study of UK doctors. Lancet. 2002; 359:2089-2090. <u>https://doi.org/10.1016/S0140-6736(02)08915-8</u>

24. Embriaco N, Papazian L, Kentish-Barnes N, Pochard F, Azoulay E. Burnout syndrome among critical care healthcare workers. Curr Opin Crit Care. 2007; 13(5):482-488. https://doi.org/10.1097/MCC.0b013e3282efd28a PMid:17762223

25. Innstrand ST, Langballe EM, Espnes GA, Falkum E, Aasland OG. Positive and negative work–family interaction and burnout: A longitudinal study of reciprocal relations. Work & Stress. 2008; 22(1):1-15. <u>https://doi.org/10.1080/02678370801975842</u>

26. Kim H, Ji J, Kao D. Burnout and Physical Health among Social Workers: A Three-Year Longitudinal Study. Social Work. 2011; 56(3):258-268. <u>https://doi.org/10.1093/sw/56.3.258</u> PMid:21848090

27. Rouleau D, Fournier P, Philibert A, Mbengue B, Dumont A. The effects of midwives' job satisfaction on burnout, intention to quit and turnover: a longitudinal study in Senegal. Hum Resour Health. 2012; 10:9. <u>https://doi.org/10.1186/1478-4491-10-9</u> PMid:22546053 PMCid:PMC3444355

28. Karadzinska-Bislimovska J, Basarovska V, Mijakoski D, Minov J, Stoleski S, Angeleska N, Atanasovska A. Linkages between workplace stressors and quality of care from health professionals' perspective - Macedonian experience. Br J Health Psychol. 2014; 19(2):425-41. https://doi.org/10.1111/bjhp.12040 PMid:23480487

29. Mijakoski D, Karadzinska-Bislimovska J, Basarovska V, Montgomery A, Panagopoulou E, Stoleski S, Minov J. Burnout, Engagement, and Organizational Culture: Differences between Physicians and Nurses. Open Access Maced J Med Sci. 2015; 3(3):506-513. <u>https://doi.org/10.3889/oamjms.2015.091</u> PMid:27275279 PMCid:PMC4877848

30. Mijakoski D, Karadzinska-Bislimovska J, Milosevic M, Mustajbegovic J, Stoleski S, Minov J. Differences in burnout, work demands and team work between Croatian and Macedonian hospital nurses. Cognition, Brain, Behavior. 2015; 19(3):179-200.

31. Vahey DC, Aiken LH, Sloane DM, Clarke SP, Vargas D. Nurse burnout and patient satisfaction. Med Care. 2004; 42(2):II57-II66. https://doi.org/10.1097/01.mlr.0000109126.50398.5a

32. Mijakoski D, Karadzinska-Bislimovska J, Basarovska V, Minov J, Stoleski S, Angeleska N, Atanasovska A. Work demandsburnout and job engagement-job satisfaction relationships: teamwork as a mediator and moderator. Open Access Maced J Med Sci. 2015; 3(1):176-183. https://doi.org/10.3889/oamims.2015.024

33. Mijakoski D, Karadzinska-Bislimovska J, Basarovska V, Stoleski S, Minov J. Burnout and work demands predict reduced job satisfaction in health professionals working in a surgery clinic. Open Access Maced J Med Sci. 2015; 15;3(1):166-173. https://doi.org/10.3889/oamjms.2015.020

34. Alexandrova-Karamanova A, Todorova I, Montgomery A, et al. Burnout and health behaviors in health professionals from seven European countries. Int Arch Occup Environ Health. 2016; 89(7):1059-1075. <u>https://doi.org/10.1007/s00420-016-1143-5</u> PMid:27251338

35. Freeborn DK. Satisfaction, commitment, and psychological well-being among HMO physicians. West J Med. 2001; 174(1):13-8. <u>https://doi.org/10.1136/ewjm.174.1.13</u> PMid:11154654 PMCid:PMC1071220



Stevens - Johnson Syndrome and Toxic Epidermal Necrolysis; Extensive Review of Reports of Drug-Induced Etiologies, and Possible Therapeutic Modalities

Adegbenro Omotuyi John Fakoya, Princess Omenyi, Precious Anthony, Favour Anthony, Precious Etti, David Adeiza Otohinoyi, Esther Olunu

All Saints University, School of Medicine, Roseau, Dominica

Citation: Fakoya AOJ, Omenyi P, Anthony P, Anthony F, Etti P, Otohinoyi DA, Olunu E, Stevens - Johnson Syndrome and Toxic Epidermal Necrolysis; Extensive Review of Reports of Drug-Induced Etiologies, and Possible Therapeutic Modalities. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):730-738. https://doi.org/10.3889/oamjms.2018.148

Keywords: Stevens-Johnson Syndrome; Toxic Epidermal Necrolysis; Drug hypersensitivity; Adverse drug reaction; Skin: Mucous membranes

*Crrespondence: Adegbenro Omotuyi John Fakoya. All Saints University, School of Medicine, Roseau, Dominica. E-mail: gbenrofakoya@gmail.com

Received: 22-Dec-2017; Revised: 21-Feb-2018; Accepted: 28-Feb-2018; Online first: 28-Mar-2018

Copyright: © 2018 Adegbenro Omotuyi John Fakoya, Princess Omenyi, Precious Anthony, Favour Anthony, Precious Etti, David Adeiza Otohinoyi, Esther Olunu. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

Introduction

Stevens-Johnson syndrome (SJS) is а dermatological condition with the more severe form being toxic epidermal necrolysis syndrome (TEN) or Lyell's syndrome. These two syndromes are said to exist at the two ends of the spectrum of an adverse skin reaction occurring from severe epidermolysis [1]. They present as severe exfoliative reactions affecting mainly the skin and mucous membranes [1]. The characteristic clinical presentation includes mucocutaneous tenderness, hemorrhagic erosions, and erosion of the mucous membrane. erythematous macules, blisters and denuded skin occurring as a result of the severe separation of the epidermis from the dermis [2]. The Bastuji-Garin et

Abstract

Stevens - Johnson Syndrome and Toxic Epidermal Necrolysis are adverse hypersensitivity reactions that affect the skin and mucous membranes. They are characterised by erythematous macules and hemorrhagic erosions of the mucous membranes. Epidermal detachments of varying degrees of severity also occur in these conditions. Various aetiologies are associated with these conditions, with adverse drug reaction being the most common. Though the worldwide incidence of these conditions is recorded as low, diverse types of medication are being observed to lead to these conditions. This review compiles information on the details of Stevens-Johnson syndrome and Toxic Epidermal Necrolysis, the pathophysiology, therapeutic management, and largely considers the drug-induced etiologies associated with these conditions.

al. criterium is used in the diagnosis of SJS/TEN in which patients are classified into three categories based on the degrees of skin detachment [2], while the international classification uses the affected body surface area (BSA); SJS involves lower than 10% of the BSA, while TEN affects greater than 30% of the BSA. The definition of SJS and TEN incorporates an overlap which shall be highlighted in Table 1 below. It has been shown that SJS and TEN have mortalities in the range of 10 to 50 percent [3].

SJS/TEN can present in any age group but occurs more frequently in women, HIV-Infected patients, and the elderly. The global incidence rate associated with TEN is low, estimated in 2005 between 0.4 and 1.2 or 1.3 per million persons yearly. An epidemiologic study of TEN in France gave a similar incidence of 1 to 1.3 cases/million/ annum [4]. However, as the years' advance, the numbers of incidence seem to be increasing in other parts of the world. Literature shows a correlation between the incidence and increasing age. The incidence increases sharply with increasing age, as does the use of drugs with ageing [5]. Females are most commonly affected represented by a female-male ratio of 3:2. The average age of patients reported is between the ages of 46 and 63, while the proportion of females is estimated between 61.3% and 64.3%, respectively [4]. Reports have also been linked to patients with Human Immunodeficiency Virus (HIV)-1 infection, recipients of bone-marrow transplants and systemic lupus erythematosus (SLE) [5].

Drug hypersensitivity has been associated with relatively complex genetic factors, which have been studied in diverse populations as well as in a variety of ethnic background. Chung et al., demonstrated a uniquely strong correlation between drug hypersensitivity (Carbamazepine triggered SJS), ethnic background (Hans Chinese) and Human Leucocyte Antigen (HLA)-B*1502 [3]. This strong association resulted in a further investigation into a similar cohort of Hong Kong Han Chinese having severe adverse cutaneous reactions to anticonvulsant drugs [6].

In another study of a Thai population, the susceptibility of individuals with HLA-B*1502 to the anticonvulsant carbamazepine was confirmed [7]. association However. а weak between carbamazepine and HLA-B*1502 was only demonstrable in an Indian-based study. While no genetic correlation could be established in Europeans and Japanese [8][9].

To further corroborate this non-genetic association in Europeans, a large study (RegiSCAR) carried out an HLA-B genotype on patients who suffered severe adverse cutaneous reactions triggered carbamazepine, lamotrigine, sulfamethoxazole, allopurinol, and NSAIDs of oxicamtype regarded as high-risk drugs. The study showed that HLA-B*1502 is not a confirmatory marker for any of the studied high-risk drugs known to cause SJS/TEN, and hence cannot be authoritatively labelled the cause of pathology in Europeans [10][11]. Therefore, it can be concluded that for SJS/TEN in individuals exposed to Carbamazepine, "the genetic constellation of HLA-B*1502 is not a population independent marker" [6].

HLA-B*5801 is another genotype which has been highly correlated with SJS/TEN in Han Chinese patients exposed to allopurinol. The study showed a 100% correlation of allopurinol exposure to HLA -B*5801 positive genotype in patients who presented with the adverse drug reactions [12]. Subsequent studies further revealed a high correlation between HLA-B*5801 and SJS/TEN in Thai patients [7], Japanese patients [9], and too much lower degree patients of European descent (about 55% of cases) [11].

Epidermal necrosis that occurs as a part of the TEN disease process is mainly associated with massive keratinocyte apoptosis [4]. This is mediated by surface receptors such as tumour necrosis factor (TNF) receptor (Fas), and when coupled with the Fas ligand causes disassembly of DNA and cell death by the induction of apoptosis [7]. Cell death could also be modulated by death receptor-independent mechanisms that include the release granzyme-B and perforins from cytotoxic T lymphocytes, thus activating the caspase-dependent or caspase-independent mechanism [12].

Clinical Presentation

The initial presentation of SJS/TEN may include non-specific symptoms such as fever, discomfort with swallowing, and stinging eyes. Typically, the cutaneous manifestations of SJS/TEN are usually preceded by these non-specific symptoms [13] [14]. Early locations for skin involvement include the presternal truncal region, the face, and could also involve the palms and the soles. In about 90% of patients, there is involvement of the mucosa of the mouth, genital and/or gastrointestinal tracts visible as erythema and erosions [13] [14]. Other frequent presentations at the beginning of the pathology is eye related and this ranges from acute conjunctivitis, erythema, edema of the evelid, ocular discharge and crusting, to corneal erosion, the formation of conjunctival membrane or pseudomembrane, and in severe cases, to corneal ulcerations, cicatrizing lesions, fornix shortening, and symblepharon [15] [16]. However, late complications of SJS/TEN cannot be by the severity of acute ocular predicted manifestations [17]. Erythematous and livid macules typify the morphology of early cutaneous lesions.

 Table 1: Clinical manifestations distinguishing SJS, SJS-TEN overlap, and TEN [2]

Clinical entity	SJS	SJS-TEN overlap	TEN
Primary Lesions	Dusky red lesions	Dusky red lesions	Poorly delineated erythematous plagues
	Flat atypical targets	Flat atypical targets	Epidermal detachment Dusky red lesions Flat atypical targets
Distribution	Isolated lesions	Isolated lesions	Isolated lesions (rare)
	Confluence (+) on face and trunk	Confluence (++) on face and trunk	Confluence (+++) on face, trunk, and elsewhere
Mucosal involvement	Yes	Yes	Yes
Systemic symptoms	Usually	Always	Always
Detachment (%body surface area)	< 10	10-30	> 30

The second phase is characterised by the development of wide-spread areas of epidermal separation. If no epidermal separation is observed, it warrants more detailed skin examination during which a tangential mechanical pressure is exerted on many erythematous areas, called Nikolsky sign. If the

Review Article

mechanical pressure causes and epidermal detachment, Nikolsky sign is positive. However, Nikolsky sign is not only defined for SJS or TEN, as it can equally be positive in conditions like the autoimmune bullous cutaneous pathologies like the pemphigus vulgaris [15] which can be utilised as a distinguishing feature from a similar autoimmune condition, bullous pemphigoid.

A major prognostic factor is the degree of cutaneous involvement. The evaluation of the degree of skin involvement should only include the already detached necrotic skin or detachable skin, that is, those that are Nikolsky positive [17].

Magina and colleagues [16] reported the following presentations for the late phase of TEN: Cutaneous hypo and hyperpigmentation (62.5%), nail dystrophies (37.5%), and the rest being eye complications. In another study, Yip et al. reported late ocular complications in about 50% of patients with TEN and reported them by ranking them in decreasing frequencies: "severe ocular drvness (46% of cases). trichiasis (16%), symblepharon (14%), distichiasis entropion loss (5%), (5%), (14%), visual ankyloblepharon (2%), lagophthalmos (2%), and corneal ulceration (2%)" [18]. Hypertrophic scars have only been reported in a handful of patients [19]. Reports have shown that 73% of patients with acute phase mucosal involvement subsequently presented with long-term complications with mucosal sequelae involving the oral and oesophagal mucosa majorly, and to a lesser degree, the genital and pulmonary mucosa [20]. Similarly, a nine-patient SJS/TEN study showed seven of the patients presenting with either keratoconjunctivitis or xerostomia or both, with a resemblance to Sjogren-like syndrome [21]. Furthermore, another report revealed a patient with "Sjögren-like pluriglandular exocrine insufficiency", which is also resulted in an impairment of the exocrine pancreas [22].

Drug etiologies associated with SJS and TEN

A major percentage of the disease is caused by medications, while the remaining is due to upper respiratory infections such as HIV [23], Hepatitis virus, Herpes virus, Mycoplasma pneumoniae [24] [25]. Others include malignancies, as well as idiopathic. A substantial number of medications have been implicated in the aetiology of SJS/TEN. This article has attempted to review as many as possible of the published reports. The table 2 below summarises some drug categories that have been implicated in the aetiology of SJS/TEN.

Table 2: Reported cases of drug-induced sjs/ten

Drug Classification	Deferences
Drug Classification	References
Antibiotics	23-34
Anticonvulsants	35-41
Sulfonylureas	42
Diuretics	43-44
Analgesics	45-48
Antidepressants	49-50
Tyrosine Kinase Inhibitors	51-54
Xanthine Oxidase Inhibitors	55
Androgenic hormones	56-57
Antineoplastic drugs	58-60
Antiviral drugs	61-63
Combination drug(Aggrenox)	64
Immunosuppressant/modulators	65-67
Antihistamines	68
Angiotensin-converting enzyme inhibitors	69
Anti-osteoporotic agent	70
Contrast agent	71
Insecticide	72-73

Antibiotics

Sulfonamides: Among the antibiotics, the most implicated high-risk cause of SJS/TEN are the sulfonamides especially *Trimethoprim* -*Sulfamethoxazole* which accounts for about 69% of cases. The other non-sulfonamide antibiotics are considered low risk [23] [24]. Figure 1, is a female patient with adverse cutaneous reaction from Trimethoprim-Sulfamethoxazole prescribed for an upper respiratory tract infection.



Figure 1: Patient with SJS/TEN caused by Trimethoprim - Sulfamethoxazole, before the commencement of therapy

Aminopenicillins: Aminopenicillins have been shown to be the most frequent causes of SJS when compared to the other antibiotics. This could be due to how frequently they are prescribed [25]. Amoxicillin/clavulanic acid (Co-amoxiclav) even resulted in SJS in an 18-month-old child treated postcaustic poisoning and esophagogastric necrosis [26].

Fluoroquinolones: Ciprofloxacin induced SJS in a patient treated for otitis media reported in Sweden. [27] *Norfloxacin* induced SJS may appear similar to pemphigus, hence making early diagnosis a bit difficult [28].

Tetracyclines: Doxycycline has been implicated in the aetiology of SJS in the systemic use of ophthalmologic eyelid and ocular surface disorders [29]. *Minocycline* has been shown to induce both SJS and concurrent bilateral Parotitis in a young boy [30].

Macrolides: Azithromycin has been shown to cause SJS after a five-day outpatient completion [31].

Cephalosporins: Cefotaxime has been implicated in causing SJS when administered to an elderly lady for treatment of upper urinary infection [32], likewise *Cefepime* [33].

Metronidazole: Metronidazole induced SJS tends to start off with neurological manifestations before mucocutaneous and skin eruptions. This is worth noting, as patients should be advised of the early symptoms to prevent this rare adverse effect [34].

Anticonvulsants

Phenytoin: There is a possible association between the HLA-B*1502 allele and phenytoininduced SJS in Asian patients. This is still under review by the FDA. This could mean a possible genetic predisposition to getting SJS in certain populations as opposed to others [35].

Lamotrigine: A potential rare side effect of SJS/TEN has been implicated regardless of appropriate dosing and adjustments; Concurrent use with Valproic acid increases risk [36].

Carbamazepine: Increased frequency of its use for pain control has further increased its implication in causing SJS/TEN [37].

Oxcarbazepine: A case was reported in India after use for treatment of epilepsy in a 21 – year-old male. SJS occurred 2 weeks during treatment despite accurate titrations [38].

Phenobarbital: Risk increases within the first 2 months of treatment. Genetic predisposition has been associated with this medication in conjunction with SJS/TEN [39].

Sodium valproate: A potential cause of SJS/TEN, though lower risk than the rest of the anticonvulsants. Increased risk when used together with other anticonvulsants. When used as monotherapy, it rarely causes SJS. However, if it occurs, it seems to be restricted to the involvement of only the oral mucosa [40].

Levetiracetam: It has been implicated in hypersensitivity syndrome reactions as well as SJS. Although rare it can be probably dose related [41].

Sulfonylureas

Glipizide: A study showed the increase in dosage from 5mg to 10 mg in a certain patient triggered a complex immune reaction that resulted in SJS the following day, it was postulated it could be due to the certain delayed immune reaction and possibly due to hapten hypothesis [42].

Diuretics

Furosemide: A potential adverse effect is SJS, especially when used as an additive with other sulfa-containing drugs [43].

Acetazolamide: A commonly used drug in ophthalmology. It is also a sulfonamide as well as a carbonic anhydrase inhibitor. It's been associated with fatal SJS in patients of Korean and Japanese descents. HLA-B59, which is specific to Japanese descents, is a risk factor [44].

Analgesics

i) Non-steroidal anti-inflammatory drugs (NSAIDS):

Diclofenac: It could cause SJS especially in the elderly; caution should be applied when prescribing this drug [45].

Ibuprofen: SJS occurred in a Nepali male after taking 400mg of Ibuprofen every 8 hours for 2days. It could be due to genetic predisposition by HLA type or some inflammatory mediators causing epithelial damage [46].

Rofecoxib: A selective COX-2 inhibitor that has decreased gastrointestinal side effects was shown to cause SJS after three weeks of administration to a patient with systemic arthralgia [47].

ii) Paracetamol (Acetaminophen):

Paracetamol was shown to cause SJS/TEN despite its fair safety margin. It was shown to be dose-dependent in causing SJS [48].

Antidepressants

Mirtazapine: A patient with Systemic lupus erythematosus (SLE) who took mirtazapine for depression presented with SJS after 15 days of use. Though a very rare cause of SJS. The presence of the autoimmune disease led to a dilemma between either SLE or mirtazapine as the cause. The history and resolution of the disease eventually pointed to Mirtazapine as the culprit [49].

Duloxetine: The study showed 0.01% of patients treated with this medication could potentially cause SJS. An adolescent was affected in this study; so far it had only been adults involved [50].

Tyrosine kinase inhibitors

Afatinib: SJS can be seen in patients treated with Afatinib for Non-small cell lung cancer (NSCLC) [51].

Vandetanib: Also used in the treatment of NSCLC, was shown to cause SJS in certain patients

[52].

Imatinib: SJS occurred in a patient treated with Imatinib for chronic myeloid leukaemia after treatment for 2 days. Caution should be taken in the prescription of this medication, as SJS is a potential adverse effect [53].

Sunitinib: A patient was treated with Sunitib for renal cell carcinoma with metastasis to the lung. On day 14 of treatment, the patient presented with SJS [54].

Xanthine oxidase inhibitor

Allopurinol: Commonly used in the treatment of chronic gout. It is usually considered a safe drug, and due to its frequent administration, increased risk for SJS/TEN is possible, also common in genetically predisposed patients especially in the Han Chinese population [55].

Androgenic hormones

Danazol: A patient diagnosed with systemic lupus erythematosus was prescribed danazol for treatment of autoimmune hemolytic anaemia. It has been approved as a second line agent in SLE related haematological disorders including thrombocytopenia [56].

Androgenic anabolic steroids: An athlete involved in the illicit use of steroids presented with SJS immediately after injecting drostanolone propionate, danazol, and metenolone enanthate [57]. Considering the common use of steroids for body performance, patients should be alerted to this rare side effect.

Antineoplastic drugs

Paclitaxel: An antineoplastic agent used to treat several cancers; Though SJS could be a rare complication, caution is advised when prescribed. A 53 – year-old male treated with Paclitaxel manifested symptoms after administration of second dose [58].

Docetaxel: Due to its strong toxicity, a patient presented with SJS after its use as a chemotherapeutic agent. Skin eruptions erupted after the first cycle of chemotherapy [59].

Tegafur/gimeracil/uracil (TS-1): A 78-year-old Japanese male presented with SJS eight days after treatment for carcinoma of the oral floor. Further tests showed an association between drug eruptions and antinuclear antibodies and positive drug-induced lymphocyte stimulation test [DLST] [60].

Anti viral drugs

i) Neuraminidase inhibitor (Oseltamivir);

the medication is popularly known as Tamiflu and is indicated for prevention and treatment of Influenza. Considering the increased use of this drug, there are concerns about an increased risk for SJS [61].

ii) Nucleoside reverse transcriptase inhibitor (Adefovir): Commonly used in the treatment of Hepatitis B and Herpes simplex virus. A case of SJS was reported due to adefovir use [62].

iii) Non-nucleoside reverse transcriptase inhibitor (Nevirapine): Commonly used in the combination treatment for HIV. Patients infected with HIV-1 are more prone to SJS [63]. Figure 2 shows a healing adverse cutaneous reaction from Nevirapine (a component of the HAART) for a patient diagnosed with HIV.



Figure 2: Patient with SJS/TEN caused by Nevirapine responding to therapy

Aggrenox

This is a combination of Aspirin and Dipyridamole, it is mainly used for stroke reduction in high-risk patients. It caused SJS in an elderly Chinese woman with transient ischemic attack who was recently switched from aspirin to Aggrenox [64].

Immunosuppressants/immunomodulators

i) Immuno-modulatory imide drugs (IMiDs):

Thalidomide: This is approved for use in the treatment of multiple myeloma. It inhibits Interleukin 6 (IL-6), a vital component in the proliferation of myeloma cells. A study once showed thalidomide could be used to treat SJS/TENS. However a case of SJS was reported with its use. This contradiction is worth noting [65].

Lenalidomide: Though similar to thalidomide, it has shown to have lesser adverse effects. A rare adverse effect is SJS [66].

ii) Imidazole nucleoside:

Mizoribine: An immunosuppressant used in

renal transplants, lupus nephritis and rheumatoid arthritis. A 32-year-old Japanese woman diagnosed with SLE was started on mizoribine for lupus nephritis. She desired pregnancy hence cyclophosphamide was not used. Mizoribine induced SJS 6 months later despite its known safety margin [67].

Antihistamines

Fexofenadine: Telfast-D a drug containing both fexofenadine-pseudoephedrine was prescribed to a patient due to unrelenting allergic rhinitis. It further resulted in SJS. It was confirmed by skin testing (prick, intradermal and patch) which showed a positive reaction 96 hours later [68].

Angiotensin-converting enzyme inhibitors

Ramipril: Ramipril resulted in SJS after being newly prescribed for a patient for hypertension. ACE inhibitors are known to interfere directly with cell cohesion causing bullous eruptions, which are similar to pemphigus vulgaris or bullous pemphigoid. These reactions are usually non-immunological [69].

Antiosteoporotic agent

Strontium ranelate: It is known as a dual action bone agent (DABA) because of increases deposition of bone by osteoblasts and decreases resorption of bone by osteoclasts. A 67-year-old Chinese woman was diagnosed with SJS 3 weeks after starting this medication for treatment of post-menopausal osteoporosis [70].

Other reported non-therapeutic agents/chemicals in the aetiology of SJS

lopentol: This is a contrast medium. A 6year old diagnosed with Hodgkin's disease was injected with iopentol to undergo CT scan to explore his lymphadenopathy. Three days later he presented with SJS [71]. Knowing how common CT scans are used, it is worth noting the potential adverse effect of contrast medium.

Carbamate: This is an insecticide. A 63 – year-old farmer was exposed to the insecticide two days before presenting with SJS. He wasn't on any medication. He admitted to contact of the carbamate with his skin [72]. There has been a reported case of TENS associated with oral ingestion of carbamate as a suicide attempt [73].

The therapeutic approach to SJS and TENS

The treatment modality employed in patient management is dependent on the aetiology of the

disease which as previously mentioned could be; infectious, drug-induced, as well as malignancies or idiopathic [74].

The first step to the treatment of SJS and TENS is to eliminate the causative factor. In cases of SJS/TENS caused by infections with organisms, the patient is treated with the appropriate antimicrobial. For drug-induced SJS/TENS, the offending drug is withdrawn immediately [75].

The next step is the provision of supportive care for the patient. This includes administration of intravenous fluids as well as parenteral or nasogastric feeding. Patients are also to be kept in a warm environment [76].

The final step is symptomatic treatment. Several methods have been employed in symptomatic treatment (Table 3).

Table 3: Various approaches in the management of SJS and TEN

Therapy	Mechanism of Action	Advantages	Disadvantages	Reference
Systemic Corticosteroids	They decrease immune response to	Since SJS/TEN is thought to be as a	Corticosteroids are possible causative factors	[77, 78]
Conticosteroids	an exogenous agent.	result of an immune	for SJS/TENS. Some	
	an exogenous agent.	response of the	studies show that they can	
		body to an	increase the risk of	
		exogenous agent,	infections.	
		corticosteroids may		
		decrease the		
		severity of this response.		
Human	In addition to a	Autoantibodies in	Some studies record	[77, 78]
Intravenous	combination of	IVIG are believed to		[,]
Immune Globulin	immunoglobulins, IVIG	reduce	the use of IVIG when	
(IVIG)	contains	complications of	compared to supportive	
	autoantibodies against Fas receptors. Fas	I EN. It can be used in combination with	care or corticosteroids	
	receptors are on the	corticosteroids as		
	surface of	management		
	keratinocytes. When	therapy resulting in		
	bound to by the Fas	a better chance of		
	ligand they mediate	decreasing mortality		
	the Fas-Fas ligand- mediated apoptosis.	rate. It was discovered that		
	The autoantibodies in	when compared to		
	IVIG bind to Fas	supportive care		
	receptors to prevent	only, early		
	this apoptotic process	intervention with		
		IVIG appeared to		
		significantly improve the ocular		
		involvement of		
		SJS/TEN.		
Cyclosporine	It inhibits calcineurin	Patients treated	Leukoencephalopathy,	[77, 79, 80]
	and thus decreases T	with cyclosporine	neutropenia, pneumonia,	
	cell activity. It acts as an	completed re- epithelization more	and nephropathy.	
	immunosuppressant. It	quickly than with		
	can also prevent the	other treatments.		
	process of apoptosis	Fewer numbers of		
	through the	patients treated		
	downregulation of NF- kB.	developed organ failure and died		
	KD.	than with other		
		treatments.		
Plasmapheresis	This process involves	- It is a safe		[78]
	the filtration the	procedure.		
	patient's blood.	Marca Salata		
	-The cellular	 it has yielded favourable results 		
	component is	with survival rates		
	separated, and the	of 77-100% after 1		
	plasma is discarded.	to 8 exchanges.		
	Antificial places of the			
	 Artificial plasma and albumin is added to 			
	the filtered cellular			
	components and then			
	re-transfused back into			
	the patient.			
	-This is done to			
	eliminate the non-			
	dialyzable pathogens			
	in the plasma.			
Granulocyte	-It increases neutrophil	-It can reduce the		[77]
Granulocyte Colony Stimulating Factor		-It can reduce the risk of infection in neutropenic patients		[77]

Other potential therapeutic measures like the TNF-alpha inhibitor, thalidomide and

Cyclophosphamide have been associated with increased mortality [77].

In conclusion, SJS and TEN are both life threatening adverse hypersensitivity reactions. Proper understanding of the etiology as well as the progression of these conditions is necessary for early diagnosis as well as treatment. It is expected that the investigation of the mechanism of action of drugs associated with SJS and TEN will improve the current understanding of the condition with aim of eliminating its incidence. There are existing treatment modalities for these conditions, however, there is no therapeutic measure defined as superior to others. It has however been observed that the earlier these conditions are diagnosed and managed the better the prognosis.

Authors Contribution

AOJ, PO, PA, FA, PE, DO and EO wrote the manuscript; AOJ and DO reviewed the manuscript; all the authors approved the manuscript for publication.

Acknowledgement

The authors wish to acknowledge the support of the administration of All Saints University School of Medicine, Dominica. The authors also appreciate the patients for giving their consent to use their pictures, though efforts were also made to ensure the privacy of their identity.

References

1. Mockenhaupt M. The current understanding of Stevens-Johnson syndrome and toxic epidermal necrolysis. Expert Rev Clin Immunol. 2011; 7: 803-813. <u>https://doi.org/10.1586/eci.11.66</u> PMid:22014021

2. Bastuji-Garin S, Rzany B, Stern RS, Shear NH, Naldi L Clinical classification of cases of toxic epidermal necrolysis, Stevens-Johnson syndrome and erythema multiforme. Arch Dermatol. 1993; 129: 92-96.

https://doi.org/10.1001/archderm.1993.01680220104023

3. Chung WH, Hung SI, Hong HS, Hsih MS, Yang LC, Ho HC, Wu JY, Chen YT. Medical genetics: a marker for Stevens-Johnson syndrome. Nature. 2004; 428:486. <u>https://doi.org/10.1038/428486a</u> PMid:15057820

4. Downey A, Jackson C, Harun N, Cooper A. Toxic epidermal necrolysis: Review of pathogenesis and management. Journal of the American Academy of Dermatology. 2012; 66(6):995-1003. https://doi.org/10.1016/j.jaad.2011.09.029 PMid:22169256

5. Schwartz R, McDonough P, Lee B. Toxic epidermal necrolysis.

Journal of the American Academy of Dermatology. 2013; 69(2):187.e1-187.e16. <u>https://doi.org/10.1016/j.jaad.2013.05.002</u> PMid:23866879

6. Man CB, Kwan P, Baum L, Yu E, Lau KM, Cheng AS, Ng MH. Association between HLA-B*1502 allele and antiepileptic druginduced cutaneous reactions in Han Chinese. Epilepsia. 2007; 48:1015–1018. <u>https://doi.org/10.1111/j.1528-1167.2007.01022.x</u> PMid:17509004

7. Tassaneeyakul W, Tiamkao S, Jantararoungtong T, Chen P, Lin SY, Chen WH, Konyoung P, Khunarkornsiri U, Auvichayapat N, Pavakul K. et al. Association between HLA-B*1502 and carbamazepine-induced severe cutaneous adverse drug reactions in a Thai population. Epilepsia. 2010; 51:926–930. https://doi.org/10.1111/j.1528-1167.2010.02533.x PMid:20345939

8. Alfirevic A, Jorgensen AL, Williamson PR, Chadwick DW, Park BK, Pirmohamed M. HLA-B locus in Caucasian patients with carbamazepine hypersensitivity. Pharmacogenomics. 2006; 7:813–818. https://doi.org/10.2217/14622416.7.6.813 PMid:16981842

9. Kaniwa N, Saito Y, Aihara M, Matsunaga K, Tohkin M, Kurose K, Sawada J, Furuya H, Takahashi Y, Muramatsu M. et al. HLA-B locus in Japanese patients with anti-epileptics and allopurinol-related Stevens-Johnson syndrome and toxic epidermal necrolysis. Pharmacogenomics. 2008; 9:1617–1622.

https://doi.org/10.2217/14622416.9.11.1617 PMid:19018717

10. Lonjou C, Thomas L, Borot N, Ledger N, de Toma C, LeLouet H, Graf E, Schumacher M, Hovnanian A, Mockenhaupt M, Roujeau JC. A marker for Stevens-Johnson syndrome: ethnicity matters. Pharmacogenomics J. 2006; 6:265–268. https://doi.org/10.1038/sj.tpj.6500356 PMid:16415921

11. Lonjou C, Borot N, Sekula P, Ledger N, Thomas L, Halevy S, Naldi L, Bouwes-Bavinck JN, Sidoroff A, de Toma C. et al. A European study of HLA-B in Stevens-Johnson syndrome and toxic epidermal necrolysis related to five high-risk drugs. Pharmacogenet Genomics. 2008; 18:99–107. https://doi.org/10.1097/FPC.0b013e3282f3ef9c PMid:18192896

12. Hung SI, Chung WH, Liou LB, Chu CC, Lin M, Huang HP, Lin YL, Lan JL, Yang LC, Hong HS. et al. HLA-B*5801 allele as a genetic marker for severe cutaneous adverse reactions caused by allopurinol. Proc Natl Acad Sci USA. 2005; 102:4134–4139. https://doi.org/10.1073/pnas.0409500102 PMid:15743917 PMCid:PMC554812

13. Lebargy F, Wolkenstein P, Gisselbrecht M, Lange F, Fleury-Feith J, Delclaux C, Roupie E, Revuz J, Roujeau JC: Pulmonary complications in toxic epidermal necrolysis: a prospective clinical study. Intensive Care Med. 1997; 23: 1237-1244. https://doi.org/10.1007/s001340050492

14. Revuz J, Penso D, Roujeau JC, Guillaume JC, Payne CR, Wechsler J, Touraine R: Toxic epidermal necrolysis. Clinical findings and prognosis factors in 87 patients. Arch Dermatol. 1987; 123: 1160-1165.

https://doi.org/10.1001/archderm.1987.01660330071012 PMid:3632000

15. Chang YS, Huang FC, Tseng SH, Hsu CK, Ho CL, Sheu HM: Erythema multiforme, Stevens-Johnson syndrome, and toxic epidermal necrolysis: acute ocular manifestations, causes, and management. Cornea. 2007; 26: 123-129. https://doi.org/10.1097/ICO.0b013e31802eb264 PMid:17251797

16. Sotozono C, Ueta M, Koizumi N, Inatomi T, Shirakata Y, Ikezawa Z, Hashimoto K, Kinoshita S: Diagnosis and treatment of Stevens-Johnson syndrome and toxic epidermal necrolysis with ocular complications. Ophthalmology. 2009; 116: 685-690. https://doi.org/10.1016/j.ophtha.2008.12.048 PMid:19243825

17. Yip LW, Thong BY, Lim J, Tan AW, Wong HB, Handa S, Heng WJ: Ocular manifestations and complications of Stevens-Johnson syndrome and toxic epidermal necrolysis: an Asian series. Allergy. 2007; 62: 527-531. <u>https://doi.org/10.1111/j.1398-9995.2006.01295.x</u> PMid:17313402

18. Magina S, Lisboa C, Leal V, Palmares J, Mesquita-Guimaraes J: Dermatological and ophthalmological sequels in toxic epidermal necrolysis. Dermatology. 2003; 207: 33-36.

https://doi.org/10.1159/000070938 PMid:12835545

19. Sheridan RL, Schulz JT, Ryan CM, Schnitzer JJ, Lawlor D, Driscoll DN, Donelan MB, Tompkins RG: Long-term consequences of toxic epidermal necrolysis in children. Pediatrics. 2002; 109: 74-78. https://doi.org/10.1542/peds.109.1.74

20. Oplatek A, Brown K, Sen S, Halerz M, Supple K, Gamelli RL: Long-term follow-up of patients treated for toxic epidermal necrolysis. J Burn Care Res. 2006; 27: 26-33. https://doi.org/10.1097/01.bcr.0000194268.01514.f8 PMid:16566534

21. Roujeau JC, Guillaume JC, Revuz J, Touraine R: Reporting adverse drug reactions. Lancet. 1985: 2: 1244-10. https://doi.org/10.1016/S0140-6736(85)90771-8

22. Saban J, Pais JR, Rodriguez JL, Boixeda D: Sjogren-like pluriglandular exocrine insufficiency after drug-induced toxic epidermal necrolysis. Postgrad Med J. 1991; 67: 195-197. https://doi.org/10.1136/pgmj.67.784.195

23. Mockenhaupt M, Viboud C, Dunant A, Naldi L, Halevy S.Bouwes Bavinck JN, et al. Stevens-Johnson syndrome and toxic epidermal necrolvsis: assessment of medication riskswith emphasis on recently marketed drugs. The EuroSCAR study. J Invest Dermatol 2008; 128:35-44. https://doi.org/10.1038/sj.jid.5701033 PMid:17805350

24. Rojeau JC, Kelly JP et al: Medication use and the risk of Steven Johnson syndrome or toxic epidermal necrolysis. The New England Journal of Medicine, 1995; 333:1600-1608. https://doi.org/10.1056/NEJM199512143332404 PMid:7477195

25. Ling YF, Yang CH et al .Severe cutaneous adverse reactions related to systemic antibiotics. Clinical Infectious Diseases. 2014; 58(10):1377-1385. https://doi.org/10.1093/cid/ciu126 PMid 24599767

26. Fatallah N et al. Co-amoxiclav-induced Stevens Johnson Syndrome in a child. Pan African Medical Journal. 2013; 14:38. https://doi.org/10.11604/pami.2013.14.38.1408

27. Hallgren J et al. Stevens-Johnson associated with ciprofloxacin: A review of adverse cutaneous events reported in Sweden associated with this drug. J Am Acad Dermatol. 2003; 49:s267-9. https://doi.org/10.1016/S0190-9622(03)00478-2

28. Maciejewska et al. Stevens-Johnson syndrome/toxic epidermal necrolysis presumably induced by norfloxacin. Postep Derm Alergol. 2014; 31, 3:194-196.

https://doi.org/10.5114/pdia.2014.40796 PMid:25097494 PMCid:PMC4112256

29. Lau B ,Mutyala D,Dhaliwal D.A Case report of Doxycycline induced Steven Johnson syndrome. Corneal Journal. 2011; 30: 595-597. https://doi.org/10.1097/ICO.0b013e3181f05773 PMid:21099409

30. Yoon J, Lee SH et al: Concurrence of stevens johnson syndrome and bilateral parotitis after minocycline therapy. Case rep dermatol. 2010; 2:88-94. https://doi.org/10.1159/000314952 PMid:21103193 PMCid:PMC2988842

31. Nappe TM. Steven Johnson syndrome after treatment with azithromycin. An uncommon culprit. American journal of emergency medicine. 2016; 34:676e1-676e3.

32. Liberopoulos E et al. Possible Cefotaxime-Induced Stevens-Johnson Syndrome. The Annals of Pharmacotherapy. 2003; 37:812-814. https://doi.org/10.1345/aph.1C453 PMid:12773067

33. Luciano M, et al. Stevens-Johnson syndrome caused by cefepime; Journal of Pharmacology and Pharmacotherapeutics. 2015; 2015:35.

34. Mazumdar, Goutameswar, and Koushik Shome. Stevens-Johnson syndrome following use of metronidazole in a dental patient. Indian Journal of Pharmacology. 2014; 46(1):121. https://doi.org/10.4103/0253-7613.125193 PMid:24550598 PMCid:PMC3912796

35. Hun YF, Wu XT, et al. Phenytoin-induced Stevens-Johnson syndrome with negative HLA-B*1502 allele in mainland China: Two cases. Elsevier seizure. 2011; 20:431-432. https://doi.org/10.1016/j.seizure.2011.01.005 PMid:21334226

36. Hilas O, Charneski L. Lamotrigine induced steven johnson syndrome. Am J Health Syst Pharm. 2007; 64:273-275. https://doi.org/10.2146/ajhp060071 PMid:17244876

37. Devi, K., et al. The commonest cause of toxic epidermal necrolysis and Stevens-Johnson syndrome: A study of 7 years. Indian Journal of Dermatology, Venereology and Leprology. 2005; 71(5):325. https://doi.org/10.4103/0378-6323.16782

38. Sharma SR, Sharma N, Yeolekar ME. Oxcarbazepine-induced Stevens Johnson syndrome: A rare case report. Indian Dermatol Online J. 2011; 2:13-5. https://doi.org/10.4103/2229-5178.7986 PMid:23130207 PMCid:PMC3481788

39. Gaur, Sumit, and Rupali Agnihotri. Phenobarbital induced Stevens-Johnson syndrome in a child. Indian Journal of Pharmacology. 2012; 44(4):531. https://doi.org/10.4103/0253-7613.99344 PMid:23087523 PMCid:PMC3469965

40. Naveen, K. et al. Stevens-Johnson syndrome induced by sodium valproate monotherapy. International Journal of Critical Illness and Injury Science. 2012; 2(1):44. https://doi.org/10.4103/2229-5151.94904 PMid:22624102 PMCid:PMC3354377

41. Zou LP. Ding CH. et al. Stevens Johnson syndrome induced by levetiracetam. Elsevier Seizure. 2012; 21:823-825 https://doi.org/10.1016/j.seizure.2012.09.005 PMid:23036769

42. Cheng JB, Anderson RC et al. Stevens Johnson Syndrome associated with Glipizide Therapy. Dermatitis. 2006; 17(1):36-38. https://doi.org/10.2310/6620.2006.05038

43. Wright AA, Vesta K, et al. Stevens-Johnson Syndrome Associated With Furosemide: A Case Report. Journal of Pharmacy practice. 2010; 23(4):367-370. https://doi.org/10.1177/0897190010362260 PMid:21507837

44. Her Y et al. Stevens–Johnson syndrome induced by acetazolamide. Journal of Dermatology. 2011; 38: 272-275. https://doi.org/10.1111/i.1346-8138.2010.00921.x PMid:21342230

45. Babamahmoodi F, Eslami G, et al. Diclofenac-Induced Stevens-Johnson Syndrome: A Case Report . Iranian journal of pharmacology & therapeutics. 2012; 11: 33-35.

46. Angadi S, Karn A. Ibuprofen induced Stevens-Johnson syndrome-toxic epidermal necrolysis in Nepal. Asia Pac Allergy. 2016; 6:70-73. https://doi.org/10.5415/apallergy.2016.6.1.70 PMid:26844223 PMCid:PMC4731484

47. Goldberg D, Panigrahi D, et al. A Case of Rofecoxib-Associated Stevens-Johnson syndrome With Corneal and Conjunctival Changes. Cornea. 2004; 23(7):736-737. https://doi.org/10.1097/01.ico.0000126330.77228.a3 PMid:15448505

48. Biswal S, Sourav S. Paracetamol induced Stevens-Johnson syndrome - toxic epidermal necrolysis overlap syndrome. International journal of Dermatology. 2014; 53:1042–1044. https://doi.org/10.1111/ijd.12355 PMid:24673330

49. Bhasin A, et al. First case of mirtazepine-induced Stevens-Johnson syndrome from India, Indian Journal of Pharmacology. 2012; 44(5):656. https://doi.org/10.4103/0253-7613.100411 PMid:23112435 PMCid:PMC3480806

50. Strawn J, Whitsel R, et al. Atypical stevens Johnson syndrome in an adolescenttreated with duloxetine. Journal of child and adolescent psychopharmacology. 2011; 21:91-92. https://doi.org/10.1089/cap.2010.0071 PMid:21309700

51. Doesch J, Debus D et al. Afatinib-associated Stevens-Johnson syndrome in an EGFR-mutated lung cancer patient. Elsevier. Lung Cancer. 2016; 95:35-38.

https://doi.org/10.1016/j.lungcan.2016.02.015 PMid:27040849

52. Yoon J, et al. Stevens-Johnson Syndrome Induced by Vandetanib. Ann Dermatol. 2011; 23(Suppl. 3): S343-5. https://doi.org/10.5021/ad.2011.23.S3.S343 PMid:22346274 PMCid:PMC3276793

53. Jha P, Himanshu D, Jain N, Singh AK. Imatinib-induced Stevens-Johnsons syndrome. BMJ case reports. 2013; 2013:bcr2012007926.

54. Lee J et al. Case of sunitinib-induced Stevens–Johnson syndrome.Journal of dermatology. 2013; 40(9):753-4. https://doi.org/10.1111/1346-8138.12219 PMid:23855706

55. Mockenhaupt M. Allopurinol is the most frequent cause of Stevens-Johnson syndrome and toxic epidermal necrolysis. Expert Review of Dermatology. 2012; 7(3):213. https://doi.org/10.1586/edm.12.5

56. Koh WL, Tay YK, Koh MJA. Danazol-induced Stevens-Johnson syndrome in a patient with systemic lupus erythematosus. Dermatology Online Journal. 2015; 21(1):17.

57. Cocoa S, Viviano M. Stevens-Johnson syndrome and abuse of anabolic steroids. J Korean Assoc Oral Maxillofac Surg. 2017; 43:57-60. https://doi.org/10.5125/jkaoms.2017.43.1.57 PMid:28280713 PMCid:PMC5342976

58. Hiraki et al. Stevens-Johnson Syndrome Induced by Paclitaxel in a Patient with Squamous Cell Carcinoma of the Lung. Anticancer research. 2004; 24:1135-1138. PMid:15154637

59. Sawada Y. Docetaxel-induced StevensJohnson syndrome with regenerating epidermis composed of atypical keratinocytes. European Academy of Dermatology and Venereology. 2009; 23:1333-1334. <u>https://doi.org/10.1111/j.1468-3083.2009.03183.x</u> PMid:19453796

60. Minakawa S, et al. Tegafur/gimeracil/oteracil (TS-1) induced StevenseJohnson syndrome. Dermatologica Sinica. 2013; 31:154e156.

61. Smith EV, Pynn MC, Blackford S, Leopold DJ. Stevens– Johnson syndrome secondary to oseltamivir (Tamiflu®). Br J Gen Pract. 2010; 60(571):133-4. <u>https://doi.org/10.3399/bjgp10X483292</u> PMid:20132714 PMCid:PMC2814276

62. Chattopadhyay P, Sarma N. Adefovir-induced Stevens-Johnson syndrome and toxic epidermal necrolysis overlap syndrome. Singapore Med J. 2011; 52(2):31-34.

63. Singh H, et al. Nevirapine induced Stevens-Johnson syndrome in an HIV infected patient. Indian Journal of Pharmacology. 2011; 43(1):84. <u>https://doi.org/10.4103/0253-7613.75680</u> PMid:21455432 PMCid:PMC3062132

64. Jao T, et al. Aggrenox (Asasantin retard)-induced Stevens– Johnson syndrome. British Journal of Clinical Pharmacology. 2008; 67(2):264-265. <u>https://doi.org/10.1111/j.1365-2125.2008.03340.x</u> PMid:19094159 PMCid:PMC2670386

65. Das A et al. Johnson syndrome with toxic epidermal necrolysis due to thalidomide in a case of multiple myeloma. Indian Journal of Pharmacology. 2014; 46(5):557. <u>https://doi.org/10.4103/0253-7613.140598</u> PMid:25298592 PMCid:PMC4175899

66. Allegra A, Alonci A, et al. Stevens–Johnson syndrome after lenalidomide therapy for multiple myeloma: a case report and a review of treatment options. Hematol Oncol. 2012; 30:41–45. https://doi.org/10.1002/hon.1000 PMid:21702057

67. Kakushi M, Atsuo O, et al. Stevens–Johnson syndrome induced by mizoribine in a patient with systemic lupus erythematosus, Modern Rheumatology. 2006; 16(2):113-116. https://doi.org/10.3109/s10165-006-0467-5

68. Teo SL, Santosa A. Stevens-Johnson Syndrome/Toxic

Epidermal Necrolysis Overlap Induced by Fexofenadine. J Investig Allergol Clin Immunol. 2017; 27(3):191-193. https://doi.org/10.18176/jiaci.0158 PMid:28570227

69. Oskay T. Stevens–Johnson Syndrome associated with Ramipril. International Journal of Dermatology 2003; 42:580–58. https://doi.org/10.1046/j.1365-4362.2003.01838.x PMid:12839617

70. Tan KW, Wang YS, Tay YK. Stevens-Johnson Syndrome Due to Strontium Ranelate. Annals Academy of Medicine. 2011; 40:11.

71. Lafitte E, et al. Severe Stevens–Johnson syndrome induced by contrast medium iopentol. British Journal of Dermatology. 2004; 150: 376–377. https://doi.org/10.1111/j.1365-2133.2003.05763.x

72. Lim JH, et al. Stevens–Johnson syndrome following occupational exposure to carbamate insecticide. Journal of Dermatology. 2010; 37:182–184. <u>https://doi.org/10.1111/j.1346-8138.2009.00784.x</u> PMid:20175856

73. Rajendran N, Chitfambalam PC, Jayaraman AM. Carbamate pesticide induced toxic epidermal necrolysis.Indian J Dermatol Venereol Leprol. 2001; 67:253–254. PMid:17664764

74. Zaidi M, et al. Amoxycillin and Clavulanic Acid Induced Stevens-Johnson Syndrome: A Case Report. Excli Journal. 2017; 16:748–751. PMid:28827990 PMCid:PMC5547378

75. Biswal S, Sahoo SS. Paracetamol induced Stevens-Johnson syndrome–toxic epidermal necrolysis overlap syndrome. International journal of dermatology. 2014; 53(8):1042-4. https://doi.org/10.1111/ijd.12355 PMid:24673330

76. Bajwa SJ, Kaur J. Stevens–Johnsons syndrome and toxic epidermal necrolysis: Need to look beyond current etiologies, diagnostics, and therapeutics. Medical Journal of Dr. DY Patil Vidyapeeth. 2017; 10(1):68. <u>https://doi.org/10.4103/0975-2870.197913</u>

77. Kohanim S, Palioura S, Saeed HN, Akpek EK, Amescua G, Basu S, Blomquist PH, Bouchard CS, Dart JK, Gai X, Gomes JA. Stevens-Johnson syndrome/toxic epidermal necrolysis–a comprehensive review and guide to therapy. I. Systemic disease. The ocular surface. 2016; 14(1):2-19.

https://doi.org/10.1016/j.jtos.2015.10.002 PMid:26549248

78. Schneider J, Cohen P. Stevens-Johnson Syndrome and Toxic Epidermal Necrolysis: A Concise Review with a Comprehensive Summary of Therapeutic Interventions Emphasizing Supportive Measures. Advances in Therapy. 2017; 34(6):1235-1244. https://doi.org/10.1007/s12325-017-0530-y PMid:28439852 PMCid:PMC5487863

79. Maciejewska J, et al. Stevens-Johnson syndrome/Toxic epidermal necrolysis presumably induced by norfloxacin. Advances in Dermatology and Allergology. 2014; 3:194–196. https://doi.org/10.5114/pdia.2014.40796 PMid:25097494 PMCid:PMC4112256

80. Allegra, A, et al. Stevens-Johnson syndrome after lenalidomide therapy for multiple myeloma: a case report and a review of treatment options. Hematological Oncology. 2011; 30(1):41–45. https://doi.org/10.1002/hon.1000 PMid:21702057



Pain Relief as an Integral Part of the Palliative Care

Marija Sholjakova^{1*}, Vesna Durnev², Andrijan Kartalov², Biljana Kuzmanovska²

¹Faculty of Medicine, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia; ²University Clinic of TOARICUEM, Faculty of Medicine, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia

Abstract

Citation: Sholjakova M, Durnev V, Kartalov A, Kuzmanovska B. Pain Relief as an Integral Part of the Palliative Care. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):739-741. https://doi.org/10.3889/oamims.2018.163

Keywords: Pain relief: Palliative care: End of life

*Correspondence: Marija Sholjakova. Faculty of Medicine, Ss Cyril and Methodius University of Skopje, Skopje, Republic of Macedonia. E-mail: msoljakova@yahoo.com

Received: 06-Mar-2018; Revised: 18-Mar-2018; Accepted: 19-Mar-2018; Online first: 06-Apr-2018

Actighted. 19-Mar.2016, Omme Inst. 00-Apr.2016 Copyright: © 2018 Marija Sholjakova, Vesna Durnev, Andrijan Kartalov, Biljana Kuzmanovska. This is an openaccess article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

BACKGROUND: Palliative therapy represents active care for patients whose illness has such nature that is not responding to the curative treatment. The palliative care aims to provide comfort and prevention from the suffering of the patients at the end of their life. Treatment of the pain presents an important integral part of palliative care.

AIM: This article aims to discuss and answer to some of the analgesic regimes and therapeutic dilemmas.

RESULTS: Pain control, in addition to the other treatments such as alleviation of psychological, sociological and spiritual problems, has a priority. The proper pain management can achieve a better quality of life for the patients and their families.

CONCLUSION: It can be concluded that because of the different origin of the pain, the use of analgesic therapy should be individualised and adapted to the real need of every person. Finally, only a good organisation and institutionalisation of the palliative care in one society could permit better prevention of suffering at the end of the life.

Introduction

The complexity of the management of the patients suffering the pain is a challenge for professionals, particularly for the patients at the end of the life. Most of the terminal patients, especially those with malignancy have a poor quality of life. The persistence of the pain is a result of the terminal illness itself, or of the therapeutic approach, such as chemotherapy, neuropathic, or from concomitant diseases such as osteoarthritis, spondylolisthesis, migraine, etc.

Pain evokes unpleasant sensation to the patients. This is a result of stimulations which hurt or destroy tissues and commonly is associated with a pathological event in the body. Pain is a subjective phenomenon, and its objective evaluation is difficult. This is especially difficult in palliative care because of the pain complexity. The reason for the pain, especially in malignant diseases, is very often neural

Open Access Maced J Med Sci. 2018 Apr 15; 6(4):739-741.

compression and infiltration, bone metastasis, obstructions and infiltration of the soft tissues. In approximately 70–90% of the patients, during the palliative phase, the pain is present in one moment [1]. The patients on palliative care had fear of the pain with a physical, emotional and psychological component of the pain. In early 1900, Sherrington attempted to define the pain and he accentuated the two components of the pain: sensitivity and affection. Later, Henry Head found a double reaction in acute sensation. Today it is accepted to speak about pain as a complex perception experience with plasticity [2].

The reaction to the pain is complex and individual. The magnitude, the severity of the illness and the degree of patients' discomfort, all take part in the formation of individual experience to pain. Different physiological and psychological phenomena in the body produce modifications of the quality of the pain. The memories of pain episodes, the patients' reactivity to pain, families and friends support, religions, personal defence skills, and therapeutic strategies are the most frequent reasons for these modifications. The level of education, culture and tradition take an important part in the formation of the pain experience. The threshold to the pain is individual. Severe pain produces mental and physical torture of the body. The person is exhausted, fatigue and without energy. Fatigue is one of the leading symptoms of the terminal states and often concomitant symptom of the malignancy, producing a poor quality of life [3]. The pain experience is unique, stretch individual and leads to changes in the personality. It has social implications; it disturbs the sleep, appetite, lowers the tolerance to the stress and is often the reason for depression [4].

In the strategy for pain management, and in palliative care, there are two known approaches: evaluation of the pain, and the treatment (management) of the pain.

Even though pain is an individual complex experience, it is supported by different physiological, psychological and spiritual factors. During the evaluation of the quality and quantity of pain, all additional factors and their interactions must be taken into account. The detailed anamnesis is essential. It orients the clinician for the patient's needs and determines whether an aggressive and sufficient therapy for pain relief is necessary or not [5].

Evaluation of the pain

Since pain is an individual experience, symptoms should be converted to measurable magnitudes in purpose to make an appropriate assessment. Taking anamnesis is the first step which helps tremendously, and also pain must be described in details. This is not so easy in palliative care!

To assign the appropriate management, it is important to discover: the origin of the pain, the states in which the pain is more intensive, the quality of the pain, the route of propagation of the pain, and the degree and the intensity of the pain. There are several methods that help in the evaluation of the severity of the pain. The approach is as follows:

1st: Where? (Location and propagation of the pain)

2nd: When? (Constant: intermittent: night pain)

3rd: How? (Description of the pain) 4th: How much? (Intensity of the pain)

Methods for the evaluation of the pain are Type I and Type II (Figure 1). They are based on the physiological, neurological and neuro-pharmacological findings or the patient's subjective experience [6].

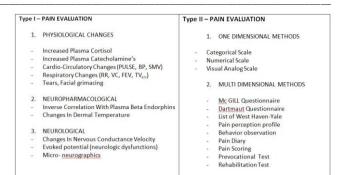


Figure 1: Methods for the evaluation of the pain

Pain control

In general in palliative care, the pain therapy primary contains a general analgesic approach and secondary, use of additional alternative therapy.

The primary analgesic management starts with management of the nociception pain (by WHO as "step by step" approach), combined with the management of the neurogenic pain (anticonvulsive and antidepressants - gabapentin, carbamazepine, phenytoin, and Amitriptyline, Nortriptyline) and management with adjuvants (corticosteroids, sedatives, antiemetic, Alfa - 2 agonists, local anaesthetics, NMDA receptor antagonists etc.) [4] [5] [6].

Today a flexible approach to pain relief therapy for palliative care provides the best results. It consists of the use of more therapeutic abilities at the same time frame at different time intervals. The main characteristic of this therapy is a continuum of analgesic management. As an addition to the standard analgesic therapy is specific therapeutic operations (ex: anti-tumour therapy, neuroaxial therapy, parenteral PCA, neurolytic the etc.) [7].

The secondary, alternative approach in pain control is very popular in palliative care. It compromises of the use of several methods which are cheap, effective and popular for patients at the end of their life. Those are interventional, rehabilitation, stimulation, psychological and complementary approaches [8] [9].

The organisation of the pain management in palliative care

Most of the patients undergoing palliative care are hospitalised in different institutions. Some of them are in geriatric centres or specialised clinics as surgery, oncology, intern medicines or others. This approach is very expensive to the society. In developed countries, this category of patients (at the end of their life) is lodged in "hospices". In this type of institutions, the quality of care is at a very high level, where the pain relief is performed by specialised professional team [9] [10] [11].

The second organisational model is "home care". This type of palliative care is more practical and cheaper. A mobile team of one GP and one nurse (educated for assessing and treating the pain) visits the patients at their homes. This type of care needs big efforts from the families and their maximal support [12].

Ethical dilemmas in palliative care

In terminal patients, the occurrence of ethical issues and questions is verv frequent. Common response to these questions is to take measures that will help the sufferers. But when the conventional medical methods of management in palliative care are spent, the last choice is the use of alternative methods of treatment. Most of them must offer comfort to the patient and prevention from suffering [13].

In conclusion, pain management is an integral part of the palliative care. Pain relief is a very important part of improving the quality of life in terminal patients. Because of unpleasant sensations, experiences and fear of pain, the treatment must be complex and multidisciplinary. Good organisation and institutionalisation of the palliative care in one society could only permit better prevention of suffering at the end of the life.

References

1. Greer S, Joseph M. Palliative Care, A Holistic Discipline. Integr Cancer Ther. 2016; 15(1): 5–9.

https://doi.org/10.1177/1534735415617015 PMid:26631259 PMCid:PMC5736083

2. Ratini M. Pain Medications for Palliative Care, WebMD Medical Reference, 2017. PMid:28874624

3. Joranson DE, Rajagopal MR, Gilson AM. Improving Access to Opioid Analgesics for Palliative Care in India. 2002; 24(2):152–159.

4. Wiffen PJ, Derry S, Moore RA. Impact of morphine, fentanyl, oxycodone or codeine on patient consciousness, appetite and thirst when used to treat cancer pain. Cochrane Database of Systematic Reviews. 2014; 5: CD011056. https://doi.org/10.1002/14651858.CD011056

5. Bywater J, et al. In 'Green Book', Pain, Wessex Palliative Physicians, 1st Ed., 2014:4-24.

6. Salins NS, Crawford GB. Intrathecal Analgesia and Palliative Care: A Case Study. Indian J Paliat Care. 2010; 16(1): 44–47. https://doi.org/10.4103/0973-1075.63134 PMid:20859471 PMCid:PMC2936082

7. Joseph, M. The Challenge of Cancer Induced Neuropathic Pain. J Palliat Care Pediatr. 2016; 1(1):5-8.

8. Clinical Quality and Patient Safety Unit, Queensland Ambulance Service. Palliative Care. 2016:321-322.

9. Chambers WA. Nerve blocks in palliative care; British Journal of Anesthesia. 2008; 101(1):95–100. https://doi.org/10.1093/bia/aen105 PMid:18495677

10. Van der Worp F, Stapel JT, Lako S, Hendriks J, Vissers KCP, Steegers MAH. The comparison of two analgesic regimes after ambulatory surgery: an observational study. Pain Prac. 2014; 14(3):260–270. https://doi.org/10.1111/papr.12068 PMid:23615039

11. Obs & Gynae Clinical Guidelines Co-ordinator: Clinical practice guidelines Palliative Care: Intrathecal administration of medications, WNHS, May 2017, Part: 1-3.

12. Boggs T, et al. Guidelines by Great Ormon Street: Analgesia: Use of patient/proxy patient controlled analgesia in palliative care, Approved by Guideline Approval Group, first introduced 01.09.2011, Approved 06 July 2017.

13. Barclay L, Lie D. New guidelines issued for family support in patient-centered ICU. Critical Care Medicine. 2007; 37:605-22.



Advanced Computational Methods in Bio-Mechanics

Waleed M. S. Al Qahtani¹, Mohamed I. El-Anwar^{2*}

¹Prosthodontics and Implantology Division, AL-Farabi Dental College, Jeddah, Saudi Arabia; ²Mechanical Engineering Department, National Research Centre, Egypt

Abstract

Citation: Al Qahtani WMS, El-Anwar MI. Advanced Computational Methods in Bio-Mechanics. Open Access Maced J Med Sci. 2018 Apr 15; 6(4):742-746. https://doi.org/10.3889/oamjms.2018.149

Keywords: Biomechanics; Finite Difference Method; Finite Element Method; Finite Volume Method; Applications; Computational Techniques; Computational Methods

*Correspondence: Mohamed Ibrahim El-Anwar. Mechanical Engineering Dept., National Research Centre, Egypt. E-mail: anwar_eg@yahoo.com

Received: 30-Dec-2017; Revised: 22-Feb-2018; Accepted: 01-Mar-2018; Online first: 08-Apr-2018

Copyright: © 2018 Waleed M. S. Al Qahtani, Mohamed I. El-Anwar. This is an open-access article distributed under the terms of the Creative Commons Attribution-NonCommercial 4.0 International License (CC BY-NC 4.0)

Funding: This research did not receive any financial support

Competing Interests: The authors have declared that no competing interests exist

A novel partnership between surgeons and machines, made possible by advances in computing and engineering technology, could overcome many of the limitations of traditional surgery. By extending surgeons' ability to plan and carry out surgical interventions more accurately and with fewer traumas, computer-integrated surgery (CIS) systems could help to improve clinical outcomes and the efficiency of healthcare delivery. CIS systems could have a similar impact on surgery to that long since realised in computer-integrated manufacturing. Mathematical modelling and computer simulation have proved tremendously successful in engineering.

Computational mechanics has enabled technological developments in virtually every area of our lives. One of the greatest challenges for mechanists is to extend the success of computational mechanics to fields outside traditional engineering, in particular to biology, the biomedical sciences, and medicine. Biomechanics has significant potential for applications in orthopaedic industry, and the performance arts since skills needed for these activities are visibly related to the human musculoskeletal and nervous systems.

Although biomechanics is widely used nowadays in the orthopaedic industry to design orthopaedic implants for human joints, dental parts, external fixations and other medical purposes, numerous researches funded by billions of dollars are still running to build a new future for sports and human healthcare in what is called biomechanics era.

Introduction

The term biomechanics had been developed during the early 1970s. Biomechanics has been defined as the study of the movement of living things using the science of mechanics [1], while Biomedical Engineering is the engineering branch that is concerned with solving problems in biology and principles. medicine. Biomedical engineers use methods, and approaches drawn from the more traditional branches of electrical, mechanical, chemical, materials, and computer engineering to solve this wide range of problems. On the other hand, numerical methods are mathematical techniques for performing accurate, efficient and stable computations. using а computer. to solve mathematical models of biomedical systems.

Governing equations, material properties, mechanisms, etc., may lead to nonlinearities. Simulating, and analysing such complicated and challenging problems may be impossible to be done analytically. Where, the analysis is done in an iterative process of hypothesis and verification, including several steps of modelling, computer simulation and experimental measurements.

Branches and Applications of Biomechanics

As illustrated in Figure 1, human beings, Biomechanics can be divided into three major branches of applications; Sports Biomechanics (kinematics), Orthopedic Biomechanics (kinetics) and Anthropometry.

Biomechanics is widely used in orthopaedic industry to design orthopaedic implants for human joints, dental parts, external fixations and other medical purposes. Biotribology is a very important part of the study of performance and function of biomaterials used for orthopaedic implants. It plays a vital role to improve the design and produce successful biomaterials for medical and clinical purposes.

Biomechanics is also applied to studying human musculoskeletal systems. Such research fields utilise force platforms to study human ground reaction forces and infrared videography to capture the trajectories of markers attached to the human body to study human 3D motion [2]. Research also applies electromyography systems to study the muscle activation. By this, it is feasible to investigate the muscle responses to the external forces as well as perturbations.

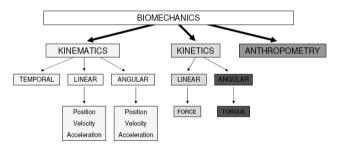


Figure 1: Major branches of mechanics used in most biomechanics studies [2]

Body displacements often lead to strains within the body. Strains are deformations that result in changes of shape within the body. These strains, in turn, lead to stress, the interior forces due to the stretching or compression of atomic bonds. These forces result in the acceleration of the material, affecting the motion and hence the evolution of the strains.

The equations of elasticity consist of Newton's law relating force to acceleration together with stressstrain relations (depends on the material) describing the force that results from a given strain. For sufficiently small strains the stress may be assumed to vary linearly with strain, resulting in the equations of linear elasticity. On the other hand, Rigid-body motions (translations and rotations) in which the body is simply moved as a rigid entity do not lead to any internal strain or stress.

Biomedical engineers start with a continuous mathematical model to explain an observed phenomenon in a biological system. Simulating and modelling such system is too difficult or impossible to be solved analytically. Therefore, a numerical analysis that used mathematical theories leads to algorithms for solving mathematical models approximately on computers. Continuous functions are approximated by finite arrays of values, and algorithms approximately solve the mathematical problem efficiently, accurately, and reliably.

For example, the application of imaging methods to assess solid and fluid biomechanical events is becoming increasingly powerful and important. Imaging methods have classically been used for assessing the relative motion of objects, such as blood cells in the microcirculation, or markers on tissues [3]. With the advent of CT, MRI and other molecular tagging methods, both solid and solute mechanics can be assessed. Case studies include simulation of tumour compression, experimental compression and ultrasound imaging, in vitro high resolution breast tumour imaging and cardiac imaging are representing typical cases nowadays [3].

Biomedical engineers use/develop computer programs, which control precision and accuracy of the measurements and computations as an integral part of solutions to real-world bioengineering problems. There are tons of biomedical problems require the solution of nonlinear equations. Most nonlinear equations cannot be explicitly solved using analytical methods, however. Unlike linear equations, whose roots can be found using analytical methods, solvers need to be formulated to determine the roots of nonlinear equations.

Systems that have one independent variable can be modelled by ordinary differential equations, whereas systems with two or more independent variables require partial differential equations. The great majority of differential equations, especially the nonlinear ones and those that involve large sets of simultaneous differential equations, do not have analytical solutions but require the application of numerical techniques for their solution. Several numerical methods for differentiation, integration, and the solution of ordinary and partial differential equations are based on the concept of finite differences.

The calculus of finite differences enables to take a differential equation and integrate it numerically by calculating the values of the function at a discrete (finite) number of points. Another very useful application of the calculus of finite differences is in the derivation of interpolation/extrapolation formulas, the interpolating polynomials, which can be used to represent experimental data when the actual functionality of this data is not known [3]. Three basic formulations can be used in the representation of the PDE terms; Backward, Forward, and Central Finite Differences. On the other hand, a huge number of different schemes can be found in the literature for scanning time, or spatial domain(s).

Finite difference method changes the set of governing PDE into another set of algebraic equations, once this set is formulated in matrices form can be solved. Direct or iterative root finding, and/or matrix inverse can give solution(s) for the algebraic equations. On the other hand, drawbacks of using finite difference method appear in irregular domain boundaries, selecting spatial and time steps, and its effect on solution stability. Poor selection of these steps may alter the solution convergence rate and may lead to diverged or no solution.

The Finite element method (FEM) is a powerful computational technique for approximate solutions to a variety of engineering problems having complex domains subjected to general boundary conditions. Analyses by finite element become an essential step in the design or modelling of a physical phenomenon in various engineering disciplines. A physical phenomenon usually occurs in a continuum of matter (solid, liquid, or gas) involving several field variables. The field variables vary from point to point, thus possessing an infinite number of solutions in the domain.

FEM relies on the decomposition of the domain into a finite number of sub-domains (elements) for which the approximate systematic solution is constructed by applying the variational or weighted residual methods. FEM reduces the problem to a finite number of unknowns by dividing the domain into elements and by expressing the unknown field variable regarding the assumed approximating functions within each element. These functions (also called interpolation functions) are defined regarding the values of the field variables at specific points, referred to as nodes (connect adjacent elements). The ability to discretise the irregular domains with finite elements makes the method a valuable and practical analysis tool for the solution of the boundary, initial, and eigenvalue problems arising in various engineering disciplines [3].

Finite volume methods are closely related to finite difference methods. That finite volume method can often be interpreted directly as a finite difference approximation to the differential equation. However, finite volume methods are derived on the basis of the integral form of the conservation law, a starting point that turns out to have many advantages. Classical finite difference methods, in which derivatives are approximated by finite differences, can be expected to break down near discontinuities in the solution where the differential equation does not hold. Finite volume methods are based on the integral form instead of the differential equation. In one space dimension, a finite volume method is based on subdividing the spatial domain into intervals (the "finite volumes," also called grid cells) and keeping track of an approximation to the function integral over each of these volumes. In each time step these values using approximations to the flux through the endpoints of the intervals to be updated. Rather than point-wise approximations at grid points, that break the domain into grid cells and approximate the total function integral over each grid cell, or the cell average of the function, which is this integral divided by the volume of the cell [4].

Instrumentation such as the blood pressure (BP) monitor, computed tomography (CT) or magnetic resonance imaging (MRI), and the microscope; have a common structure. In all cases, the computer controls the electronic interface (the user interface and data collection), which is connected to the subsystems that sense the tissue properties and control the sensor. The computers embedded in the instruments have helped to standardise the operation. Thus non-trained users can operate the BP monitor and other medical devices while being in better control of their healthcare. Computerized systems of sensor and controllers are undergoing continuous development for several goals like increasing accuracy, reducing the cost of testing and inspection, etc. [5].

Element elastic modulus values from the CT images were determined via two main steps. First, averaging element brightness values (B), based on the CT image pixels brightness data were calculated. The relationship between image brightness of normal and osteoporotic bone and density was used to estimate the density of each element.

The finite element model [6] was used to examine the mechanical response of normal and osteoporotic L5 human vertebral body under compressive stress. Results showed that during the elastic range of the vertebral bone there is a linear relationship between applied load and displacement, but with a different slope for normal and osteoporotic vertebral body. Further increase in the applied load increases the displacement especially for the osteoporotic vertebral body reaching 50% increase in the deformation more than the normal one. The proposed model can expect the maximum fracture risk in the L5 as well as detecting the beginning of osteoporosis.

A similar complex biomechanical analysis of the human lumbar spine was performed [7], aimed to improve the efficiency of conservative traction therapies and to prevent osteoporosis. The former concerns tension, the latter compression of the lumbar spine. As for tension, time-related in vivo elongations were measured durina the regular traction hydrotherapy of patients. Based on the experimental results, parameter-dependent viscoelastic numerical tensile models of the lumbar functional spinal units were created for numerical simulation and parameter identification.

A research team from Katholieke Universiteit, Leuven, Belgium, studied the biomaterial surface characteristics modulate the outcome of bone regeneration around oral implants. Experimental investigations have demonstrated the importance of platelets and their activation for bone regeneration around implants. A higher amount of bone-to-implant contact has been observed on "micro rough" sandblasted/acid-etched versus "smooth" turned implant surfaces. The team performed numerical demonstration [8] for the key role of activated platelets which is controlled by implant surface characteristics.

Dental implantology problems were numerically studied [9] [10] [11] from different perspectives. Results of these studies showed that stability depends on implant implant design parameters [12]. For example, increasing implant length generates diameter and better stress spongy distributions on and cortical bones. Approximate implant design equations and curves were obtained [9]. Bone stresses increase as bone level decreases with varying values depending on implant parameters.

Increasing value of the ratio between dental implant side area and its cross-sectional area reduces stresses transferred to cortical and spongy bones. Therefore, using implants with a higher ratio of side area to cross-section area, especially with the weak jaw bone, is recommended [11].

Recent numerical studies of rotary and reciprocating instruments proved that modelling of the instrument with equivalent circular cross-sectional area did not affect results quality, while the crosssectional shape and its cutting angles could affect instrument cutting efficiency [13] [14]. The reciprocating system has great advantages over other root therapy instruments.

There are many examples of how applying biomechanics in changing equipment designs had improved sports performance. When improved javelin designs in the early 1980s resulted in longer throws that endangered other athletes and spectators, redesigns in the weight distribution of the "new rules" javelin again shortened throws to safer distances [15].

Aiming to break world records, many biomechanics studies aimed to improve performance in exercise and conditioning programs. Biomechanical studies of exercise movements and training devices serve to determine the most effective training to improve performance. Strength and conditioning professionals can better apply the principle of specificity when biomechanical research is used in the development of exercise programs. Computercontrolled exercise and testing machines are another examples of how biomechanics contributes to strength and conditioning [2].

Movement safety, or injury prevention/treatment, is another primary area where biomechanics can be applied. Those biomechanical studies of auto accidents had resulted in measures of the severity of head injuries, which has been applied in biomechanical testing, and in the design of many kinds of helmets to prevent head injury. Sports medicine professionals have traditionally studied injury data to try to determine the potential causes of disease or injury (epidemiology). Engineers and occupational therapists use biomechanics to design work tasks and assistive equipment to prevent overuse injuries related to specific jobs. Combining biomechanics with other sports sciences has aided in the design of shoes for specific sports [16], especially running shoes [17].

In conclusion, biomechanics is used in a diverse range of disciplines including biology, ergonomics, engineering, physiology, medicine, dentistry, and mechanical physics. It may be the major area of concern in some instances (e.g. artificial joints, prosthetics and orthoses, mechanisms of physical injury) or it may be a vital adjunct to another area (e.g. design of an implantable pacemaker or specialist surgical tools).

Many professionals, engineers, designers, physical therapists, oral and orthopaedic surgeons, cardiologists, and aerospace engineers use practical applications of biomechanics. That biomechanics helps the physical therapist prescribe rehabilitative exercises, assistive devices, or orthotics.

Biomechanical research is a powerful ally in the sports medicine quest to prevent and treat the injury. Biomechanical studies help prevent injuries by providing information on the mechanical properties of tissues, mechanical loadings during movement, and preventative or rehabilitative therapies.

Numerical solution of physical phenomena's governing equations using finite techniques is a vital step in many case studies. That it helped in better understanding of the effect of many oral/dental devices and materials.

References

1. Herbert H. The meaning of the term biomechanics. Journal of Biomechanics. 1974; 7:189-190. <u>https://doi.org/10.1016/0021-9290(74)90060-8</u>

2. Knudson D. Fundamentals of Biomechanics. 2nd Edition, Springer Science, 2007.

3. Dunn SM, Constantinides A, Moghe PV. Numerical Methods in Biomedical Engineering. Academic Press, 2006.

4. Leveque RJ. Finite Volume Methods for Hyperbolic Problems. Cambridge University Press, 2004.

5. Miller K, Nielsen PMF. Computational Biomechanics for Medicine. Springer Science, 2010. <u>https://doi.org/10.1007/978-1-4419-5874-7</u>

6. Tolba ET, El-Sayed EM, Radi AM, El-Anwar MI. Development and verification of computed tomography-based finite element model for the L5 vertebral body. Journal of Biophysics and Biomedical Sciences. 2008; 1(2):63-68.

7. Kurutz M, Fornet B, Gálos M, Tornyos A, Szabadszállási T. Experimental and numerical biomechanical analysis of the human lumbar spine. Journal of Computational and Applied Mechanics. 2006; 7(1):23–39.

8. Feneis H, Dauber W. Pocket Atlas of Human Anatomy. 4th edition, 2000.

9. El-Anwar MI, El-Zawahry MM. A three dimensional finite element study on dental implant design. J Gen Eng Biotech. 2011; 9(1):77–

82. https://doi.org/10.1016/j.jgeb.2011.05.007

10. EL Zawahry MM, El-Anwar MI, El-ragi AF. Different bone resorption levels effect on stresses distribution for different implant design. J Am Sci. 2010; 6(12):1521-5.

11. El-Anwar MI, El-Zawahry MM, Ibraheem EM, Nassani MZ, ElGabry H. New dental implant selection criterion based on implant design. Eur J Dent. 2017; 11:186-91. <u>https://doi.org/10.4103/1305-7456.208432</u> PMid:28729790 PMCid:PMC5502562

12. Natali AN. Dental Biomechanics. Taylor & Francis, 2003. https://doi.org/10.1201/9780203514849 PMCid:PMC1868202

13. Hubbard M, Alaways LW. Optimum release conditions for the new rules javelin. Int J Sport Biomech. 1987; 3:207–21. https://doi.org/10.1123/ijsb.3.3.207

14. El-Anwar MI, Yousief SA, Kataia EM, Abd El-Wahab TM. Finite

Element Study on Continuous Rotating versus Reciprocating Nickel-Titanium Instruments. Braz Dent J. 2016; 27(4): 436-441. https://doi.org/10.1590/0103-6440201600480 PMid:27652707

15. El-Anwar MI, Mandorah AO, Yousief SA, Soliman TA, Abd El-Wahab TM. A Finite Element Study on: Mechanical Behavior of Reciprocating Dental Files. Braz J Oral Sci. 2015; 14(1):52-59. https://doi.org/10.1590/1677-3225v14n1a11

16. De Koning JJ, Houdijk H, de Groot G, Bobbert MF. From biomechanical theory to application in top sports: The Klapskate story. J Biomech. 2000; 33:1225–1229. https://doi.org/10.1016/S0021-9290(00)00063-4

17. Bartlett R. Introduction to Sports Biomechanics. Taylor & Francis e-Library, 2002.